

# Turbulent Particle transport in H-mode pedestal of Tokamak Plasma

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## High confinement (H-mode) discharges are identified:

- Steep density and temperature profiles in edge region – form pedestal
- Reduction in  $H_\alpha$  ( $^1\text{H}$  Plasma),  $D_\alpha$  (D-plasma) signals
- H – factor:  $H = \frac{\tau_E}{\tau_E^L} > 1$

Energy confinement time is defined by

$$\tau_E = \frac{\int 1.5n(T_e + T_i)d^3x}{P_{input}}$$

## Why Particle Transport?

Power balance:

$$P_H^{ext} + P_{alfa} = \frac{3nT}{\tau_E}; \quad P_{alfa} = \frac{1}{4}n^2 \langle \sigma v \rangle E_{alfa}$$

- Thermonuclear Power

$$P_{th} = n_D n_T \langle \sigma v \rangle E = \frac{1}{4}n^2 \langle \sigma v \rangle E$$

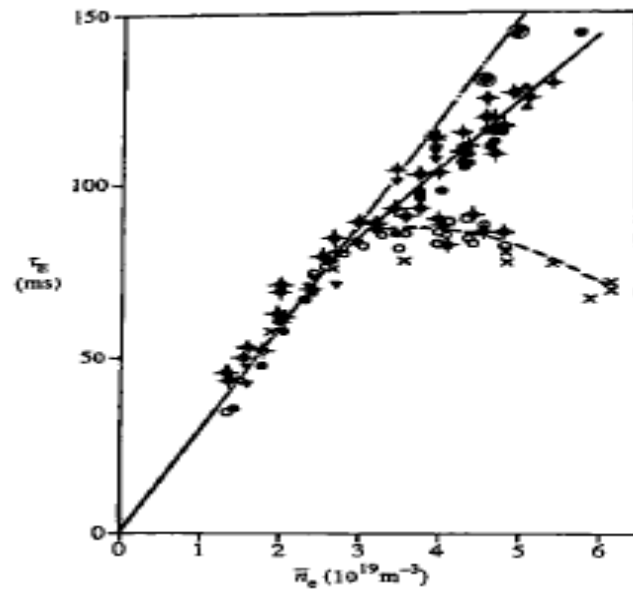
- Particle and thermal Transport – they are correlated

- **Peaked density profile:**

- yields high fusion power -  $P_{th} \propto n^2$
- stabilizes micro-instabilities (Drift modes - ITG, ETG) and reduces heat transport
- generates a large bootstrap fraction ( $J_b \propto \beta_{pol}$ ) required for continuous operation ( $J_b \propto (1/B_p)(dP/dr)$ )
- deep penetration of low  $Z$ , high  $Z$  impurities and  $H_e$  ashes accumulation in reactor phase (disadvantages)

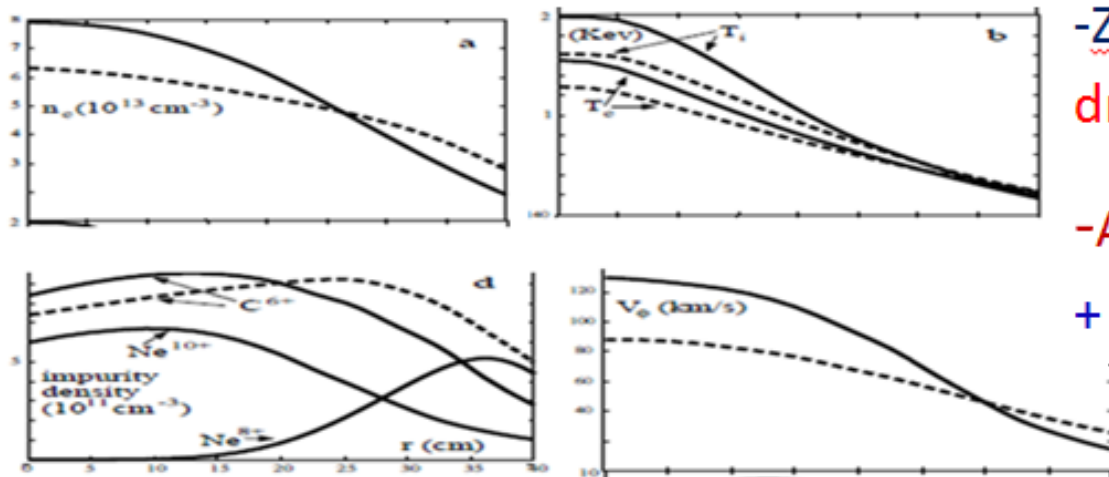
- Variants of operating modes exit by density peaking

- Improved Ohmic Confinement Mode (IOC) -  $\tau_E \propto n$



R. Aratari et al., ASDEX - 88

- Super shot with solid deuterium pallets showed more peaked density profiles ( due to **ITG turbulence suppression**)- energy confinement time was improved and  $n\tau_E \sim 10^{20} m^{-3} s$  achieved
- **Radiative Improved** moved: Energy transport reduced with impurities seeding (TEXTOR, Ongena et al 1995)



- $Z_{\text{eff}}$ : reduces the interchange drive in ITG dynamic

-Asymmetric radiation: **MARFE**  
+ toroidal rotation

## Particle versus thermal Transport

- Particle transport is different from heat transport
- Heat source is almost always located in the core
  - Distinction between pinch and diffusive terms difficult
- Particle source is often located only in outer edge region, while showing peaked density profile
  - Distinction between pinch and diffusive terms easier

- **Traditional gradient and flux relation:**

$$\Gamma = -D \nabla n + V n; \quad V = V^{an} + V^{neo} + V^{ware}$$

$$\frac{\Delta n}{n} = \frac{V R}{D} \quad \rightarrow \text{Peaked density parameter}$$

- **Relation between gradient and flux is more complex**
- **The vague form turbulent flux as**

$$\Gamma = -D (\nabla n, \nabla T, \nabla B, \dots)$$



## ❖ Gradient and Flux Matrix

- General form of transport matrix

$$\begin{pmatrix} \Gamma \\ Q \\ \pi_\phi \\ \dots \end{pmatrix} = \begin{bmatrix} D & D_{Tn} & D_{nV} & V_{Dn} \\ D_{nT} & \chi_T & D_{TV} & V_{DT} \\ D_{Vn} & D_{VT} & \chi_\phi & V_{DV} \\ \dots & \dots & \dots & \dots \end{bmatrix} \begin{pmatrix} \nabla n \\ \nabla T \\ \nabla V_\phi \\ \dots \end{pmatrix} + D_J \nabla F_j$$

## ❖ Outline

- Neoclassical particle transport and limitations
- Turbulent particle transport
- Transport in pedestal
- Summary and open issues

## • Neoclassical Transport and Limitations

- **Ware Pinch:** conservation of canonical moment in the presence of induced toroidal electric field ( $E_\phi$ ), all trapped particles drift towards the magnetic axis

$$V^{ware} \sim 2.44 \varepsilon^{1/2} E_\phi / B_\theta$$

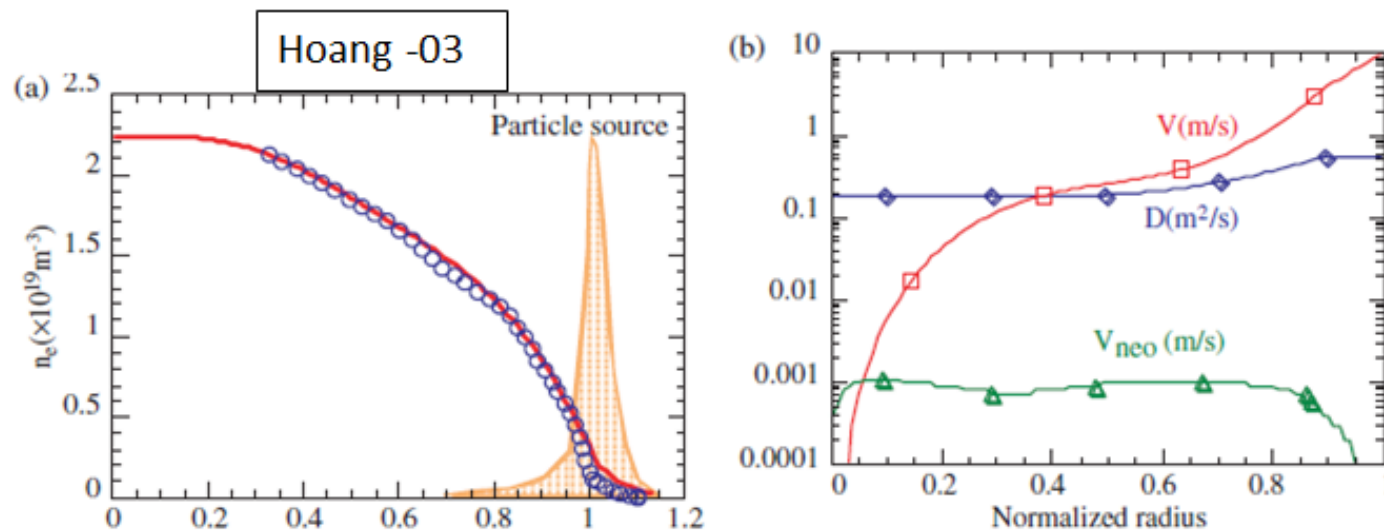
- Usually dominant in core at low power- **Wagner 93**
- ITB (**EDA H-mode**) in Alcator C-Mod could be understood by ware pinch- **Ernst 04** (??)
- Peaked density is observed in no-inductive discharge i.e.,

$$V^{ware} = 0$$

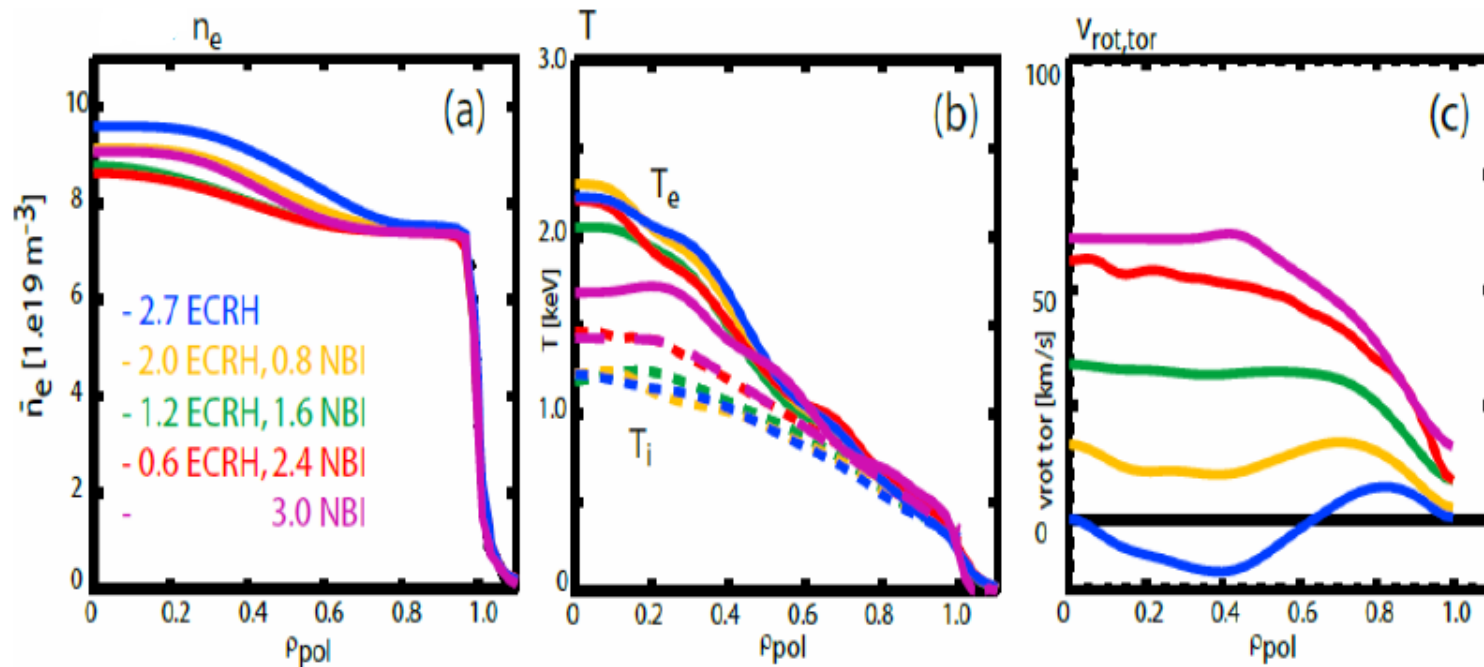
- Some cases [high density H-mode in JET, ASDEX-UP] observed pinch found to be

$$V_{pinch} \geq V^{neo}; \quad D \propto \chi_e \quad !! \text{ Sign of ETG Turbulence (discuss later)}$$

- Ware pinch cannot explain all experiments [L-mode in JET, D-IIID, TEXTOR, TCV, Tore-supra results] and no-inductive discharge (Tore-supra) i.e.,  $V^{ware} = 0$



- NBI fuelling is not essential element for peaked density
- Actions of toroidal rotation also of interest



Core Particle Transport

C. Angioni,

ITPA T&amp;C, Hefei, 2-5.04.2012

## ❖ Particle Turbulent transport

- Quasi-linear particle flux results from linear phase shift between density and potential perturbation  $\tilde{n} = (1 + i\delta)\tilde{\phi}$  - transport by  $\omega < \Omega_i$  **micro-turbulence**. Drift KE – Horton-83:

$$\Gamma_n = \sum_k \left\langle (k_y \rho_s)^2 \int d^3 v F_M \left[ \frac{\gamma_k J_0(k_\perp \rho_s)^2 |\tilde{\phi}_k|^2}{(\omega_{rk} + \omega_{Dk})^2 + \gamma_k^2} \right] \left[ R/L_n + (E/T_e - 1.5)R/L_T - \omega_{Dk} \right] \right\rangle$$

Fluctuation spectrum

$$\propto \frac{[D(R/L_n)]}{\text{Diffusive}} + \frac{D_T R/L_T}{\text{Thermo diffusion}} + \frac{RV_p}{\text{Pinch - Compressibility}}$$

$$\Gamma_n \propto \sum_k \left\langle |V_k|^2 \delta(\omega - kV) \left[ R/L_n + (E/T_e - 1.5)R/L_T - 2\omega / R\omega_{Dk} \right] \right\rangle_V$$

## ❖ TEP Theory

(Yankov 94, Nycander-Rosenbluth 95, Naulin 98)

- For  $\vec{B} = \hat{z}B(x, y)$  and  $v_E = \hat{z} \times \nabla \phi / B$ ;  $\vec{\nabla} \cdot \vec{v}_E \neq 0 \rightarrow$  **Compressible**
- $\partial_t n + \vec{\nabla} \cdot n \vec{v}_E = d_t(n/B) = 0$ ; Here  $n/B$  is a Lagrangian invariant and equivalent to advection of  $n/B$
- Turbulence mixing  $\rightarrow$  relaxation towards  $\nabla_x \ln n = \nabla_x \ln B$  (equivalent to peaking factor) or canonical profile  $n = B(x)$
- Extension to toroidal momentum pinch (**Diamond, Hahm--**) - Lagrangian invariant  $nV_{\parallel} / B^3 \rightarrow$  Turbulent mixing - relaxation towards  $\nabla \ln(nV_{\parallel}) = 3\nabla \ln B$

## ❖ Thermo-diffusion flux

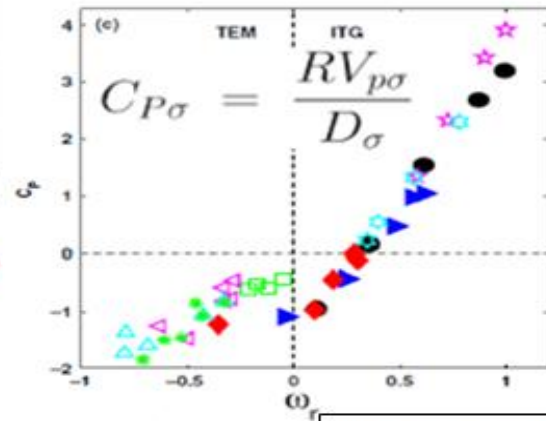
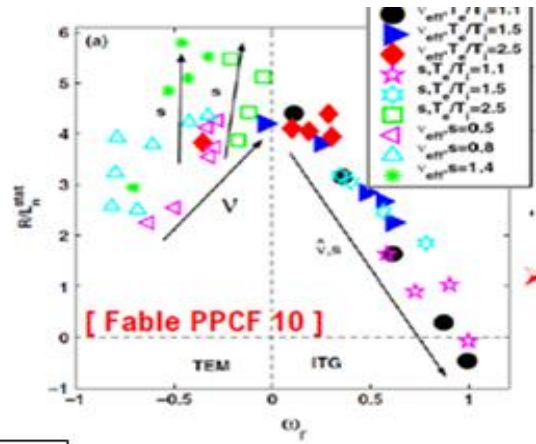
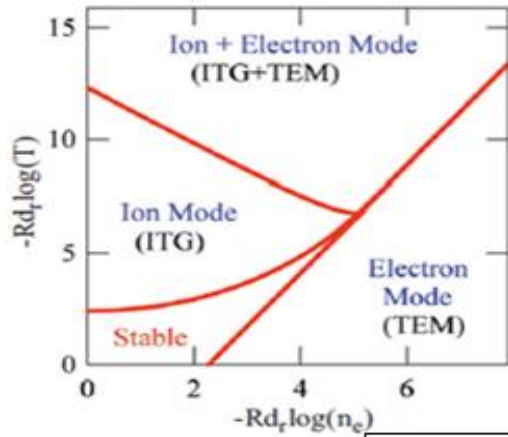
(Coppi 79, Waltz 89, Terry 89, Nordman 90----)

- Term proportional to  $(-R\nabla \ln T)$  - Thermo-diffusion flux

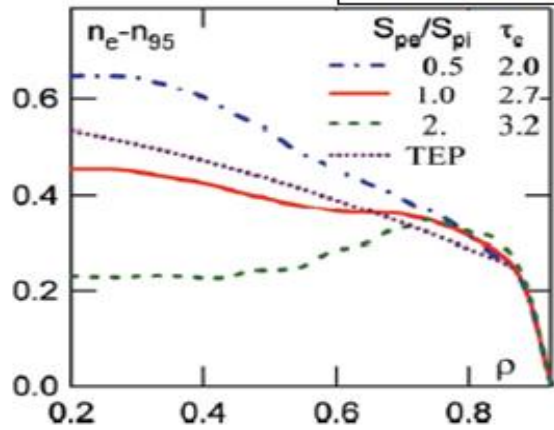
$$RV_p = D_{nT} \left( \omega_{rk}, \omega_d(\hat{s}), \omega_{dtr}(\hat{s}), v_{eff}, \gamma_k \right) (-R\nabla \ln T) - \text{Trapped particles}$$

- Interaction between toroidal momentum and particle fluxes appears – step density with toroidal flows
- $D_{nT}$  -Complex!! and depend on the characteristic of turbulence
- ITG-TEM mode - **CORE-region**

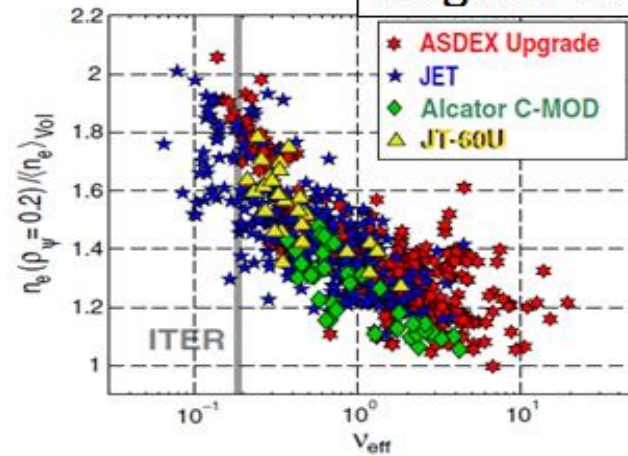




Garbet 03



Angioni 09



## ❖ QL versus Non QL - Hot topic?

- QL theory suggests a linear relation between gradient and flux and turbulent saturation - mixing length:

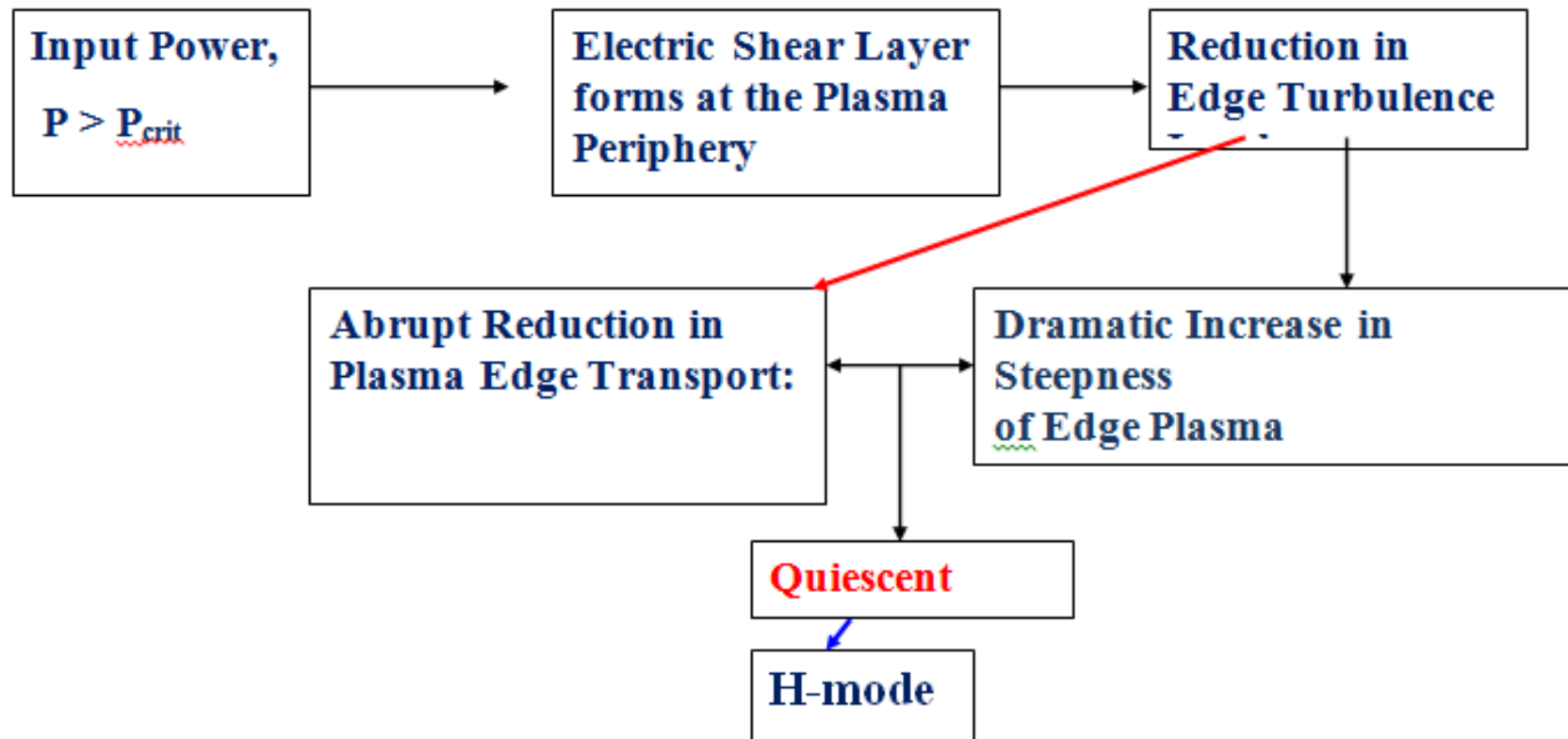
$$\nabla \delta n = \nabla n \Rightarrow \delta n / n \sim 1 / k_x L_n$$

$$\partial_t \sim (c / B) \hat{z} \times \vec{\nabla} \delta \phi \cdot \vec{\nabla} \Rightarrow e \delta \phi / T_e \sim (\gamma_k / \omega_*) (1 / k_x L_{n,T})$$

- Existence-multi-states: L-H, ITB, cold pulse expts. – suggests the relation between gradient and flux is quite intricate.
- Multi-scales interaction between particle, thermal, and momentum fluxes, mean flows, zonal flows, zonal fields etc.  
– **the hot topic**
- Meso-scale coherent structures and nonlocal diffusion is also vital (??) – **complicated**

## ❖ Transport in H-mode pedestal

- L-H transition and quiescent state H-mode



## Questions:

- What is the underlying physics of steep density formation though the particle source is absent?
- Drift turbulence on scales  $\rho_s = c_s / \Omega_i$  - ITG-TEM and DRBM, are the main drivers of transport channels are suppressed due to ExB shear /or Zonal flow rotations.
- Reduced turbulent diffusion and neo-classical diffusion are small to explain the rapid development of sharp profiles in H-mode transition.
- Transition occurs in m-sec from L - I and I - H
- Pedestal Physics, not well understood. But not ITG-TEM, DRBM turbulence → Can it be ETG?

- **Propose:** ETG mode may a possible candidate for particle pinch and thermal transports in barriers and pedestal confinement time  $\tau_E^{Ped} \propto n / I_p$  - **Ohkawa scaling**
- **Streamers in local ETG simulations-** Jenko 2000



- Electron transport remains anomalous - unaffected from ExB shear and MHz fluctuations are observed in: NSTX (Smith 09), FT-2 (Gusakov 06), Tore Supra (Hennequin09)

# ❖ Toroidal ETG Mode

## ITG versus ETG

### ITG

$$\tilde{n}_e = \tilde{\phi} \quad (\omega / k_{\parallel} < V_{the});$$

$$\gamma^{ITG} \sim k_{\theta} \rho_i (V_{thi} / \sqrt{RL_n});$$

### ETG

$$\tilde{n}_i = -\tau_i \tilde{\phi} \quad (\omega / k_{\perp} < V_{thi})$$

$$\gamma^{ETG} \sim k_{\theta} \rho_e (V_{the} / \sqrt{RL_n})$$

$$\chi_i / \chi_e \sim \sqrt{m_i / m_e}$$

- Condition for adiabatic ion  $k_{\perp} c_i > \omega \sim \omega_* \Rightarrow \rho_s / L_n > 1$ , OK in core
- Wave number and frequency ordering in pedestal:  $k_{\perp} \rho_e \leq 1$ ,  $k_{\perp} c_i \geq |\omega| \sim \omega_*$
- Both like Interchange mode- stabilize by Larmor radius
- ETG is mirror image of ITG
- Interchange mode stabilize by Larmor radius

-

- For  $k_{\perp} c_{i,I} \sim |\omega|$ , ETG mode resonates with background ions, which results in deviation of ions from Boltzmann condition. Non-adiabatic response can be determined by DKE,

$$\frac{\partial \tilde{f}_j}{\partial t} + \vec{V}_{\perp} \cdot \frac{\partial \tilde{f}_j}{\partial \vec{x}} + \frac{Ze}{m_j} \delta E_{\perp} \cdot \frac{\partial f_{0j}}{\partial \vec{V}} = 0.$$

$$\tilde{n}_i = -\tau_i \tilde{\phi} \left[ 1 + i\pi^{1/2} \hat{\omega} \exp(-\hat{\omega}^2) \right]; \quad \tilde{n}_I = -\tau_I \tilde{\phi} \left[ 1 + i\pi^{1/2} A_I^{1/2} Z \hat{\omega} \exp(-A_I \hat{\omega}^2) \right]$$

Electrostatic ETG eigenmode equation in  $\hat{s} - \alpha$  geometry

$$\left( A \frac{\partial^2}{\partial \theta^2} + B + C \theta^2 \right) \tilde{\phi}_k = 0$$

- Radial length of ETG mode  $\rightarrow$  By balancing

Vorticity [i.e.  $k_{\perp}^2 \rho_e^2 \omega^2$ ]  $\sim$  Parallel compression [i.e.  $c_e^2 k_{\parallel}^2 (1 + 5\tau^* / 3)$ ]

$$\gamma^2 k_y^2 \rho_e^2 (\hat{s} - \alpha)^2 \theta^2 \simeq c_e^2 / q^2 R^2 \partial^2 / \partial \theta^2$$

- Simple analysis yields inverse of mixing length

$$(k_x \rho_e)^2 \approx (k_y \rho_e)^2 |\hat{s} - \alpha|^2 (\Delta\theta)^2 = \bar{\varepsilon}_n |\hat{s} - \alpha| (1 + 5\tau^* / 3)^{1/2} / 2q (\bar{\varepsilon}_n \eta_e / \tau^*)^{1/2} (1 - \eta_{th} / \eta_e)^{1/2}$$

- For  $\hat{s} > \alpha$  and  $\eta_{th} / \eta_e < 1$  (scaling similar to ITG by Biglari *et al*-89)

$$\chi_e \approx k_y \rho_e \frac{q}{\hat{s}} \frac{c_e \rho_e^2}{L_T}$$



- In the opposite limit, when  $\hat{s} \leq \alpha$

$$\chi_e \approx \frac{c_e \lambda_s^2}{qR} \frac{(\eta_e - \eta_{th})}{2\tau^* |1 - \hat{s} / \alpha|} \propto I_P / na^2 \quad \text{“Ohkawa scaling in pedestal”}$$

ETG driven electron thermal transport in pedestal has many interesting features:

- 1) It reproduces Ohkawa scaling that  $\chi_e \propto \lambda_s^2$ .
- 2)  $\chi_e$ , proportional to *local* current  $\chi_e \propto 1/q$  - this is in contrast to general believing that  $\chi_e$  improves with increasing current.
- 3)  $\chi_e$  is inversely proportional to the parameter  $|1 - \hat{s} / \alpha|$ , which indicates a possible blow up of electron thermal transport as  $\alpha$  approaches to local magnetic shear

4)  $\chi_e$  is the dominant energy loss channel over ion loss in plateau regime (i.e.,  $\chi_i^{plateau} \sim qc_i\rho_i^2 / R$ ) in H-mode pedestal if  $\chi_e > \chi_i^{plateau}$  - which yields condition  $\sqrt{m_e / m_i} > q^2 |1 - \hat{s} / \alpha| \beta / 2$ .

The other implication of the pedestal confinement scaling:

- AS  $\chi_e \propto 1/q$ , electron confinement will deteriorate as a bootstrap current builds up - increase of  $\chi_e$  implies the increase of the turbulence level - resulting in the generation of anomalous electron hyper-viscosity ( $\mu_{||e}^H$ )
- $\mu_{||e}^H$ , likely to **accelerate** magnetic reconnection and ensuing ELM crash or may **enhance** ELM activities.

- Recent simulation (Xu-10) used  $\mu_{\parallel e}^H \sim \chi_e$  without theoretical justification. Here, it is straightforward to obtain  $\mu_{\parallel e}^H \sim \chi_e$  by calculating radial current flux and electron heat flux,

$$\mu_{e\parallel} = m_e \langle \tilde{v}_{rk} (n_0 \tilde{J}_{\parallel e-k} + \tilde{n}_{-k} J_{\parallel 0}) \rangle \approx m_e n_0 [-\mu_{\parallel e} dJ_{\parallel 0} / dr + V_{pinch} J_{\parallel 0}]$$

$$Q_e = \langle \tilde{v}_{rk} (n_0 \tilde{T}_{e-k} + \tilde{n}_{-k} T_{e0}) \rangle \approx n_0 [-\chi_e dT_e / dr + V_{pinch} T_e]$$

Here  $\mu_{\parallel e}^H \sim \chi_e$ , as in the case of ITG turbulence (Mattor-88) and given by

$$\mu_{\parallel e} \sim \chi_e \approx c_e L_n \frac{k_{\theta}^2 \rho_e^2 \gamma_{0k}}{|\omega_{0k}|^2} \left| \frac{e\phi_k}{T_e} \right|^2 \sim \chi_e^{ohkawa} |k_y \rho_e| \eta_e^2 (\eta_e - \eta_{th}) / |1 - \hat{s} / \alpha|$$

- Electron Prandtl number due to ETG turbulence is 1

## • Particle pinch and pinch velocity

- The non-adiabatic ions and impurities in the edge pedestal can induce particle flux – similar to coupled ITG-TEM,  $\Gamma_n \equiv \langle \tilde{v}_{rk} \tilde{n}_{-k} \rangle$ ,

$$\Gamma_n \approx \pi^{1/2} \tau_i n c_e k_y \rho_e \left( \frac{\omega_r}{k_{\perp} V_{thi}} \right) \left[ \exp\left( -\frac{\omega_r^2}{k_{\perp}^2 V_{thi}^2} \right) + \frac{\tau_I}{\tau_i} Z_{eff} A_i^{1/2} \exp\left( -A_i^{1/2} \frac{\tau_I}{\tau_i} \frac{\omega_r^2}{k_{\perp}^2 V_{thi}^2} \right) \right] |\tilde{\phi}_k|^2$$

$$\approx -\pi^{1/2} n |k_y \rho_e| \left( \frac{\chi_e^{Ohkawa}}{4L_{Te}} \right) \left( \frac{\tau_i}{\tau^*} \right)^{3/2} \left( \frac{R}{L_{Te}} \right)^{1/2} \left( \frac{\rho_s}{L_{Te}} \right) \left( \frac{1 - \eta_{th} / \eta_e}{1 + 5\tau^* / 3} \right)^{1/2} \frac{1}{|1 - \hat{s} / \alpha|}$$

$$\times \left( \exp(-\hat{\omega}^2) + (T_i / T_I) Z_{eff} A_I^{1/2} \exp(-A_I \hat{\omega}^2) \right)$$

- In absence of recycled neutral flux in pedestal from wall, a steady state (i.e.  $\Gamma_n = 0$ ) is set by the condition  $\eta_e \sim \eta_{th} \sim 2$

- The density scale length  $L_n$  locked to  $L_{Te}$  which is set by ETG heat balance  $\langle L_{Te} \rangle \sim Q_e / \chi_e T_e$ ;  $Q_e$  is the heat flux entering into pedestal from the core.
- pinch time or pedestal formation time due to ETG turbulence,  

$$\tau = n L_n / \Gamma_n$$
- $\tau$  for two sets of tokamak plasma parameters:  $n=0.2, 0.7$ ,  $T_e = 0.8, 2.5$   
 $B = 2, 5$ ,  $R = 1.5, 6$ ,  $\eta_e = 2.0$ ,  $Z_{eff} = 2.0$ ,  $\hat{s} = 2$ ,  $\alpha = 2.5$ ,  $q = 3$ ,  $\tau_i = \tau_I = 1$ ,  
 $A_I = 6$ ,  $A_i = 2$ ,  $\langle k_y \rho_e \rangle = 0.5$ ,  $L_n / a = 0.04 \rightarrow$  resulting in  $\tau = 0.1, 20 \text{ ms}$  for each case
- Density pedestal formation occurs within  $100 \mu\text{s}$  in medium size tokamaks whereas in large machines like ITER, the pedestal formation time will be slower and typically  $\tau \leq 20 \text{ ms}$ .

- Acceleration of density pedestal formation can be summarized as: Ion temperature and density pedestals start to form first as  $\rho_i$  scale turbulence is quenched by  $E \times B$  shear. Since ETG turbulence will still be active in this condition and drive inward particle pinch, accelerating the density pedestal formation. This pedestal formation continues until it hits the ETG threshold  $\eta_e \sim \eta_{th} \sim 2$ , as observed in ASDEX-U experiments (Neuhauser-02).

## CONCLUSIONS

- (i) The electron thermal conductivity exhibits a different scaling depending on relative values of  $\hat{s}$  versus  $\alpha$ . It exhibits gyro-Bohm-like scaling when  $\hat{s} > \alpha$ , while follows the Ohkawa scaling when  $\hat{s} < \alpha$  - Ohkawa scaling governs  $\chi_e$  in edge pedestal.
- (ii) ETG turbulence can induce an inward particle pinch during the development phase, which can lead to the rapid formation of density pedestal until it hits ballooning mode limit.
- (iii) Our theory predicts that the pedestal electron temperature profile must remain near the ETG threshold value  $\eta_e \sim \eta_{th} \sim 2$ .
- (iv) ETG turbulence will prevail in pedestal (as long as  $\eta_e > \eta_{th}$ ) no matter whether the KBM threshold condition is met or not. KBMs, on the other

hand, become unstable when a pressure gradient exceeds BM threshold. Once the BM onset condition is met, ETG and KBM turbulence may co-exist in the pedestal.

- (v) How this multi-scale interaction happens and what would be the consequence of this have not fully elucidated yet.
- (vi) We plan to extend this analysis to include the interaction between ETG and BM to study back reaction of BM to ETG via multi-scale interaction.



**Thank you**