

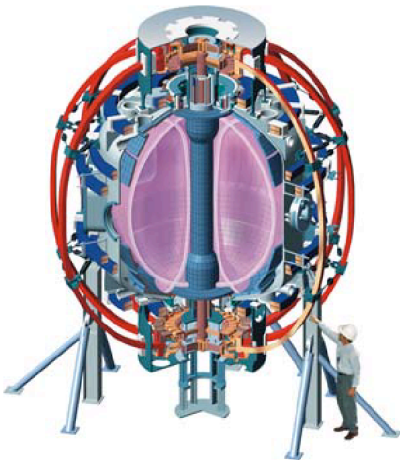
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Physically Motivated and Rigorous Formulation of Magnetic Helicity and its Temporal Evolution

A Progress Report

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NSTX Results Review

September 20 – 22, 2004

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MAGNETIC HELICITY

Magnetic helicity K is a measure of the flux linkage of a magnetic field \mathbf{B} .

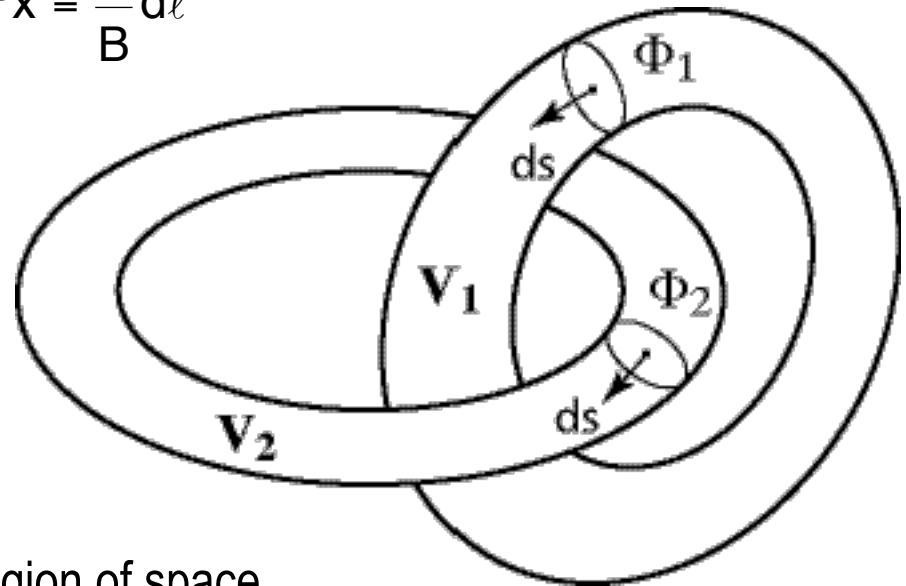
Moffatt's example of magnetic helicity ... linked flux loops:

$$K \equiv 2 \Phi_1 \Phi_2 = \int_{V=V_1+V_2} \mathbf{A} \cdot \mathbf{B} d^3x, \quad d^3x = \frac{\Phi}{B} d\ell$$

Linkage of a vector field is a **global topological** property.

Must integrate over **all** field-containing space.

Local or differential magnetic helicity appears to have no logically justified physical interpretation.



One usually is interested in only a limited region of space.

Region of interest is usually linked by and/or connected with outside fluxes. Becomes gauge dependent, nonphysical.

What to do?

RELATIVE Helicity Has Physical Meaning

Following Berger & Field, *J. Fluid Mech.* 147 (1984) 133, **Relative Helicity** K_{rel} is the **difference** between the helicities of two fields each existing in principle in **all** of space: the physical field \mathbf{B} and a chosen reference field \mathbf{B}_{ref} .

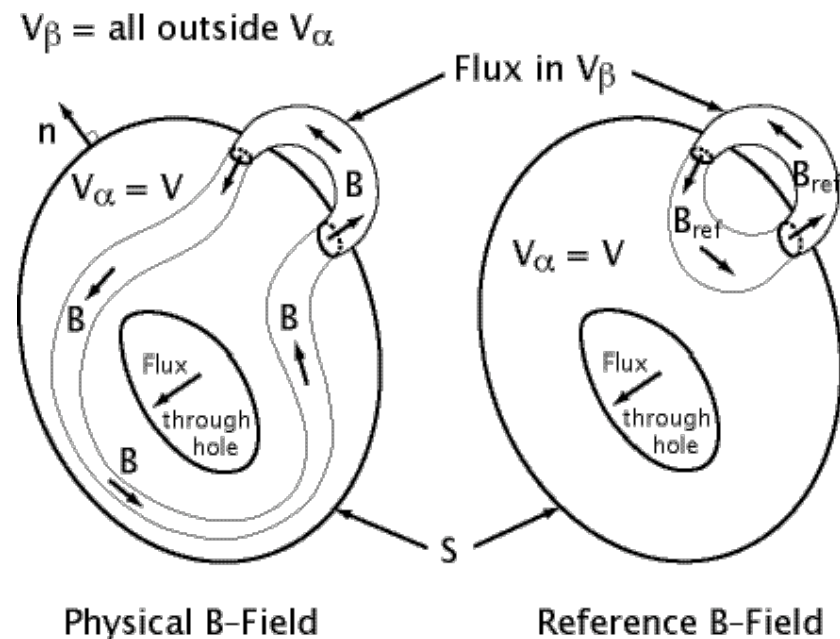
Let $\mathbf{B}_{\text{ref}} = \mathbf{B}$ outside of the volume $V = V_{\alpha}$ of interest; i.e., throughout V_{β} .

Then, because the field geometries of \mathbf{B}_{ref} and \mathbf{B} outside V are equal, the **Relative Helicity**

$$K_{\text{rel}} = \int_{V_{\infty}} \mathbf{A} \cdot \mathbf{B} d^3x - \int_{V_{\infty}} \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}} d^3x$$

$$\mathbf{B} = \nabla \times \mathbf{A}, \quad \mathbf{B}_{\text{ref}} = \nabla \times \mathbf{A}_{\text{ref}}$$

is the difference of their linkage in the volume of interest V_{α} .



How to Define and Use Relative Helicity Has Not Been Made Totally Clear

- **Berger-Field** did not extend their ideas to toroidally connected volumes, and some of their proofs were restricted to $\nabla \times \mathbf{B} = 0$ and/or to the Coulomb gauge, $\nabla \cdot \mathbf{A} = 0$, and to fixed boundary.
 - Many authors follow Bevir-Gray and add a simple external flux linkage term, but then the toroidal surface must be a magnetic surface.
 - Alternatively, the torus is cut, yielding a simply connected volume with a cut condition.
 - Extension of Berger-Field requires either restriction on gauge or extra terms, e.g. **Finn & Antonsen** [Comments Plas. Phys. Controlled Fusion 9 \(1985\) 111](#).
 - Moving boundary can change (a) linkage within $V(t)$ and (b) connection to outside.
- **Boozer** [Phys. Fl. 29 \(1986\) 4123](#), [Phys. Fl. B 5 \(1993\) 2271](#), treated moving and deforming, toroidally and simply connected boundaries, but not with a relative helicity.
- **Moses, Gerwin, Schoenberg**, [Phys. Plas. 8 \(2001\) 4839](#), give a simple helicity, easy to apply, but requires cut for torus, and they did only fixed boundary case.
- Need to formulate a physically meaningful, mathematically rigorous magnetic helicity and rules for its use, for at least

**toroidally and/or simply connected,
arbitrarily penetrated,
moving boundary**

RELATIVE MAGNETIC HELICITY FOR SIMPLY AND TOROIDALLY CONNECTED VOLUMES

Begin with BF relative helicity over all space, $V_\infty = V_\alpha + V_\beta$, with $\mathbf{B}_{\text{ref}} = \mathbf{B}$ throughout V_β :

$$\begin{aligned} K_{\text{rel}} &= \int_{V_\infty} \mathbf{A} \cdot \mathbf{B} d^3x - \int_{V_\infty} \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}} d^3x \\ &= \int_{V_\alpha=V} (\mathbf{A} \cdot \mathbf{B} - \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x + \int_{V_\beta} (\mathbf{A} - \mathbf{A}_{\text{ref}}) \cdot \mathbf{B} d^3x \end{aligned}$$

Since $\mathbf{B}_{\text{ref}} = \mathbf{B}$ in V_β , let $\mathbf{A} - \mathbf{A}_{\text{ref}} = \nabla f_\beta$ in V_β , with f_β **globally well-defined**, single-valued in V_β .

Require that all sources be within V_∞ so \mathbf{B} does not contribute to integrals at ∞ .

Let S be the closed surface that encloses V and \mathbf{n} its outward unit normal vector. Then,

$$K_{\text{rel}} = \int_V (\mathbf{A} \cdot \mathbf{B} - \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x - \int_S f_\beta \mathbf{B} \cdot \mathbf{n} d^2x$$

The surface integral is commonly overlooked or else eliminated by restrictive choice(s).

This K_{rel} is gauge independent, but last integral over **outside** of surface is inconvenient.

RELATIVE MAGNETIC HELICITY

Add Some Physics

Actual field \mathbf{B} and reference field \mathbf{B}_{ref} must both be physically realizable in V_∞ , including across surface S .

Then, the following field components must each be separately continuous across S :

$$\mathbf{B} \cdot \mathbf{n}, \quad \mathbf{A} \times \mathbf{n}, \quad \nabla g \times \mathbf{n}, \quad g; \quad \mathbf{B}_{\text{ref}} \cdot \mathbf{n}, \quad \mathbf{A}_{\text{ref}} \times \mathbf{n}, \quad \nabla g_{\text{ref}} \times \mathbf{n}, \quad g_{\text{ref}}$$

Continuity of normal \mathbf{B} and of tangential \mathbf{A} means no flux sheet hidden in S .

∇g is any globally well-defined gauge function. Its continuity means no charge double layer (e.g., a plasma sheath) hidden in S .

And then $\nabla f_\alpha \times \mathbf{n} = \nabla f_\beta \times \mathbf{n}$ across S .

Because of continuity we can drop subscripts α and β on S .

Expression for **Relative Helicity** still looks the same,

$$K_{\text{rel}} = \int_V (\mathbf{A} \cdot \mathbf{B} - \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x - \int_S f \mathbf{B} \cdot \mathbf{n} d^2x$$

except now **f must satisfy** $\Rightarrow \nabla f \times \mathbf{n} = (\mathbf{A} - \mathbf{A}_{\text{ref}}) \times \mathbf{n}$ **on S.**

[Remember to add $g - g_{\text{ref}} + (\text{any constant})$ to f if gauge(s) changed.]

RELATIVE MAGNETIC HELICITY

Additional Forms

K_{rel} is independent of gauges ∇g and ∇g_{ref} added to \mathbf{A} and \mathbf{A}_{ref} and depends only on quantities in V and on S , i.e., the region of interest.

Under the same conditions, K_{rel} can be written in other forms:

$$\begin{aligned} K_{\text{rel}} &= \int_V (\mathbf{A} \cdot \mathbf{B} - \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x - \int_S f \mathbf{B} \cdot \mathbf{n} d^2x \\ &= \int_V (\mathbf{A} \cdot \mathbf{B} - \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x + \int_S \mathbf{A} \times \mathbf{A}_{\text{ref}} \cdot \mathbf{n} d^2x \\ &= \int_V (\mathbf{A} + \mathbf{A}_{\text{ref}}) \cdot (\mathbf{B} - \mathbf{B}_{\text{ref}}) d^3x \end{aligned}$$

Last line is Finn & Antonsen's K_{rel} . Derivation is clearer here than in their 1985 paper.

It has no surface integrals, just an integral over the volume of interest V ,
or can integrate over any volume that fully includes V , since $\mathbf{B} - \mathbf{B}_{\text{ref}} = 0$ outside V .

The FA (last line) form is, in my experience so far, the easiest to work with, despite the four terms in its integrand.

Decompose \mathbf{B} into “CLOSED” and “OPEN” Components

Definitions of open and closed...

Let $\mathbf{B} = \mathbf{B}_{cl} + \mathbf{B}_{op}$ and $\mathbf{B}_{ref} = \mathbf{B}_{ref,cl} + \mathbf{B}_{ref,op}$ with $\nabla \cdot \mathbf{B}_{cl} = \nabla \cdot \mathbf{B}_{op} = \nabla \cdot \mathbf{B}_{ref,cl} = \nabla \cdot \mathbf{B}_{ref,op} = 0$.

Require **closed** components to satisfy

$$\mathbf{B}_{cl} \cdot \mathbf{n} = \mathbf{B}_{ref,cl} \cdot \mathbf{n} = 0 \text{ on } S$$

Require **open** components to satisfy

$$\mathbf{B}_{op} \cdot \mathbf{n} = \mathbf{B}_{ref,op} \cdot \mathbf{n} \neq 0 \text{ on } S$$

Also let there be corresponding vector potentials such that

$$\mathbf{B}_{cl} = \nabla \times \mathbf{A}_{cl}$$

$$\mathbf{B}_{op} = \nabla \times \mathbf{A}_{op}$$

$$\mathbf{B}_{ref,cl} = \nabla \times \mathbf{A}_{ref,cl}$$

$$\mathbf{B}_{ref,op} = \nabla \times \mathbf{A}_{ref,op}$$

Closed \mathbf{B} is fully contained within V and does not penetrate S ; S is a magnetic surface of \mathbf{B}_{cl} .

Open \mathbf{B} penetrates S and connects with the outside.

This decomposition is **not unique**.

Then,

$$\begin{aligned} K_{rel} = & \int_V \left(\mathbf{A}_{cl} \cdot \mathbf{B}_{cl} + \mathbf{A}_{op} \cdot \mathbf{B}_{op} + \mathbf{A}_{cl} \cdot \mathbf{B}_{op} + \mathbf{A}_{op} \cdot \mathbf{B}_{cl} \right) d^3x \\ & - \int_V \left(\mathbf{A}_{ref,cl} \cdot \mathbf{B}_{ref,cl} + \mathbf{A}_{ref,op} \cdot \mathbf{B}_{ref,op} + \mathbf{A}_{ref,cl} \cdot \mathbf{B}_{ref,op} + \mathbf{A}_{ref,op} \cdot \mathbf{B}_{ref,cl} \right) d^3x \\ & - \int_S \left(f_{cl} \mathbf{B}_{cl} + f_{op} \mathbf{B}_{op} + f_{cl} \mathbf{B}_{op} + f_{op} \mathbf{B}_{cl} \right) \cdot \mathbf{n} d^2x \end{aligned}$$

(BF form shown; similarly for other forms)

... and Simplify It ...

The K_{rel} expressions with open-closed decomposition simplify greatly if we require that

$$\mathbf{B}_{op} = \mathbf{B}_{ref,op} \text{ everywhere in } V.$$

This choice also makes the decomposition unique. Many terms cancel.

All forms of K_{rel} simplify to the same forms, which are equivalent:

$$\begin{aligned} K_{rel} &= \int_V \left[\mathbf{A}_{cl} \cdot \mathbf{B}_{cl} - \mathbf{A}_{ref,cl} \cdot \mathbf{B}_{ref,cl} + 2(\mathbf{B}_{cl} - \mathbf{B}_{ref,cl}) \cdot \mathbf{A}_{op} \right] d^3x \\ &= \int_V \left[\mathbf{A}_{cl} \cdot \mathbf{B}_{cl} - \mathbf{A}_{ref,cl} \cdot \mathbf{B}_{ref,cl} + 2(\mathbf{A}_{cl} - \mathbf{A}_{ref,cl}) \cdot \mathbf{B}_{op} \right] d^3x \\ &= \int_V \left[(\mathbf{A}_{cl} + \mathbf{A}_{ref,cl}) \cdot (\mathbf{B}_{cl} - \mathbf{B}_{ref,cl}) + 2(\mathbf{B}_{cl} - \mathbf{B}_{ref,cl}) \cdot \mathbf{A}_{op} \right] d^3x \\ &= \int_V \left[(\mathbf{A} + \mathbf{A}_{ref}) \cdot (\mathbf{B}_{cl} - \mathbf{B}_{ref,cl}) \right] d^3x \quad \text{etc.} \end{aligned}$$

Interpretation:

Relative helicity in toroidally and simply connected volumes can be reduced to the relative helicity of just a **closed** field component, plus (if S is penetrated by B) a cross linkage between closed and open field components.

Special Case Yields Further Simplification

So far we have neither restricted gauges nor chosen \mathbf{B}_{ref} .

Now consider the special case where:

- \mathbf{B}_{op} is **current-free** (a common choice):
 - Then let $\mathbf{B}_{\text{op}} = \mathbf{B}_{\text{ref,op}} = \nabla\chi$ in V , where χ is a well-defined scalar potential in V .
 - “Vacuum” \mathbf{B} has lowest energy in V with given boundary conditions.
- \mathbf{A}_{op} and $\mathbf{A}_{\text{ref,op}}$ **gauges** chosen so that each has the same “electric charge”:
 - Then $\nabla \cdot (\mathbf{A}_{\text{op}} - \mathbf{A}_{\text{ref,op}}) = 0$ in V and $(\mathbf{A}_{\text{op}} - \mathbf{A}_{\text{ref,op}}) \cdot \mathbf{n} = 0$ on S .

Then, K_{rel} simplifies to depend only on closed-field components,

$$K_{\text{rel}} = \int_V (\mathbf{A}_{\text{cl}} \cdot \mathbf{B}_{\text{cl}} - \mathbf{A}_{\text{ref,cl}} \cdot \mathbf{B}_{\text{ref,cl}}) d^3x$$

Even more special, $\nabla \cdot \mathbf{A} = 0$ in V and $\mathbf{A} \cdot \mathbf{n} = 0$ on S for all components is allowed.

- **Moses et al. Gauge choice**
- Then all electric charges are accounted for in $\nabla^2\phi$, not in \mathbf{A} .

Time Derivative of K Yields Helicity Evolution/Conservation Eqn.

Differentiate K_{rel} with respect to time (less algebra with FA form):

$$\frac{dK_{\text{rel}}}{dt} = \int_{V(t)} \frac{\partial}{\partial t} [(A + A_{\text{ref}}) \cdot (B - B_{\text{ref}})] d^3x + \int_{S(t)} (A + A_{\text{ref}}) \cdot (B - B_{\text{ref}}) (\mathbf{U} \cdot \mathbf{n}) d^2x$$

Here $\mathbf{U}(\mathbf{x},t)$ is the local **normal** component of the velocity of $S(t)$ at \mathbf{x} . \mathbf{x} is the coordinate vector in a “fixed” frame. Let prime (') denote a value measured at point \mathbf{x} in an inertial frame moving with the instantaneous local $\mathbf{U}(\mathbf{x},t)$.

Using $\mathbf{E} = -\partial\mathbf{A}/\partial t - \nabla\phi$, where ϕ is a scalar electric potential, and $\mathbf{E}' = \mathbf{E} + \mathbf{U} \times \mathbf{B}$, yields

$$\frac{dK_{\text{rel}}}{dt} = -2 \int_{V(t)} (\mathbf{E} \cdot \mathbf{B} - \mathbf{E}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x - \int_{S(t)} (\mathbf{E}' - \mathbf{E}'_{\text{ref}}) \times (\mathbf{A} + \mathbf{A}_{\text{ref}}) \cdot \mathbf{n} d^2x$$

However, $(\mathbf{E}' - \mathbf{E}'_{\text{ref}}) \times \mathbf{n} = 0$ in frame moving with $S(t)$, so the final result is just

$$\frac{dK_{\text{rel}}}{dt} = -2 \int_{V(t)} (\mathbf{E} \cdot \mathbf{B} - \mathbf{E}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}) d^3x$$

The **parallel** (to \mathbf{B}) component of \mathbf{E} changes magnetic helicity (flux linkage).

... Add Physics ...

Use Braginskii two-fluid “Ohm’s law” to express $\mathbf{E} \cdot \mathbf{B}$ (actual fields) as plasma physics. Manipulate reference field $\mathbf{E}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}}$ integral to show some possibilities. Then,

$$\frac{dK_{\text{rel}}}{dt} = - \int_{V(t)} 2 \left(\eta_{\parallel} \mathbf{J} - \frac{k}{e} T_e \nabla \ln n_e \right) \cdot \mathbf{B} d^3x - \int_{S(t)} 2 \left(\phi' - 1.7 \frac{k}{e} T_e \right) \mathbf{B} \cdot \mathbf{n} d^2x$$

Ohm

density
gradient

electric
potential

thermo
electric

$$- \int_{S(t)} \left(\mathbf{A} \times \frac{\partial \mathbf{A}'}{\partial t} \right) \cdot \mathbf{n} d^2x - \frac{d}{dt} \int_{V(t)} \mathbf{A}_{\text{ref}} \cdot \mathbf{B}_{\text{ref}} d^3x$$

Change of
surface fluxes

Change of
Reference helicity

Transformations between fixed and moving frames $\phi' = \phi - \mathbf{U} \cdot \mathbf{A}$ $\frac{\partial \mathbf{A}'}{\partial t} = \frac{d\mathbf{A}}{dt} = \frac{\partial \mathbf{A}}{\partial t} + \mathbf{U} \cdot \nabla \mathbf{A}$
 No Hall terms in helicity evolution.

DISCUSSION

- When $S(t)$ is a magnetic surface, helicity can be changed by: plasma resistivity, density gradient potential; changing external flux linkages; and changing reference field's helicity.
- Static electric and thermoelectric potentials transport helicity across $S(t)$ if \mathbf{B} penetrates the surface.
- E_{\parallel} changes magnetic helicity; E_{\perp} convects magnetic flux.
- Need to understand better:
 - How to interpret helicity evolution when reference field changes with changing geometry.
 - Develop linked external fluxes contribution; should give a natural unique definition of surface-averaged linkage when \mathbf{B} penetrates $S(t)$.