PAC-33 Questions – Day 1

1. What are the key physics issues and technical capabilities required to resolve the ST's suitability for an FNSF, and are any of these *not* likely to be resolved by NSTX-U? Factor in contributions from MAST and other experiments. Is the foreseen NSTX-U budget sufficient?

– J. Menard

- 2. Give 2 or 3 of your best examples of how the NSTX program is advancing model validation and predictive capability, including a description of the connections between experimentalists, theorists, modelers, both here at PPPL and the community at large.
 - S. Kaye using TSG input

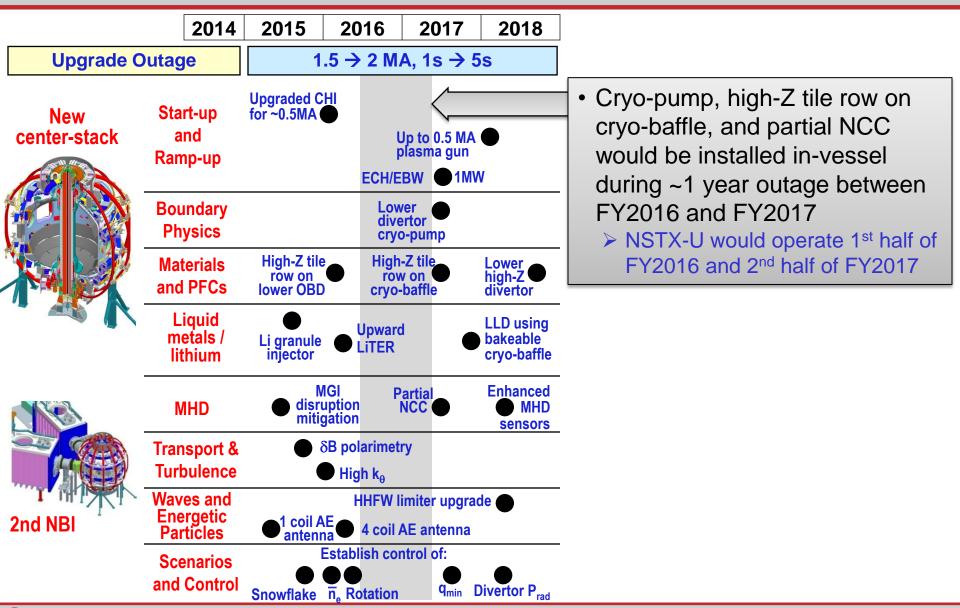
PAC-33 Questions – Day 1 – Q1

 What are the key physics issues and technical capabilities required to resolve the ST's suitability for an FNSF, and are any of these *not* likely to be resolved by NSTX-U? Factor in contributions from MAST and other experiments. Is the foreseen NSTX-U budget sufficient?

• Quick answers:

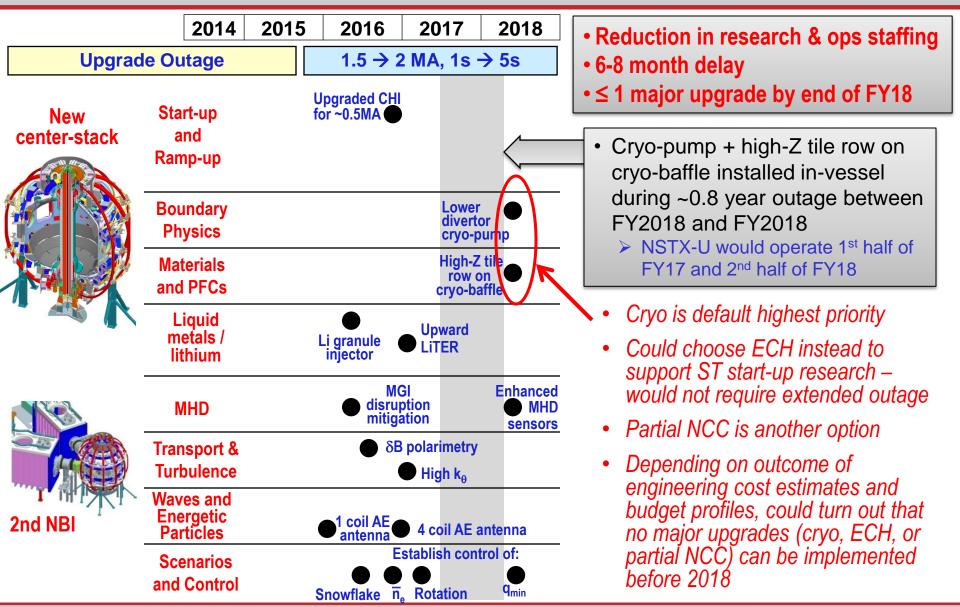
- NSTX-U + MAST-U plan to have the capabilities to resolve all STspecific questions of ST suitability for FNSF
- NSTX-U 5YP base funding should be sufficient to implement major tools: cryo, ECH, and likely the partial NCC during 5YP
- Lower funding levels would substantially delay and/or eliminate the above major upgrades from the 2014-18 plan – would have to be implemented in follow-on 5 year plan.

5 year plan tools with 5YP base funding (FY2012 + 2.5% inflation)



🔘 NSTX-U

5 year plan tools with FWP base funding (Presidential FY2013 + 2.5% inflation)



🔘 NSTX-U

Q1: Key physics + operational questions to be resolved to assess ST suitability for FNSF

See FESAC-TAP (2008) and ReNeW (2009) for more details Quantitative values are NSTX-U vision/interpretation of requirements

- 1. Can full non-inductive CD be achieved at FNSF-relevant β_{T} (~10-20%?)
 - Are full non-inductive profiles compatible with high-β? Can fast-ion instabilities be suppressed/controlled, and understood to maintain acceptable/beneficial FI transport?
- 2. Can the plasma current (~0.3-0.4MA) be created with small or no solenoid flux and ramped up to full non-inductive operation (~0.8-1MA)?
 - Can models of current formation and ramp-up be validated for use to extrapolating to FNSF?
- 3. Can H-mode confinement be sustained with $H_{98} \ge 1.2-1.3$ at ST-FNSFlevel β_T and approaching FNSF-level ν^* values?
 - Does the favorable ν^{\star} dependence of confinement extend to lower ν^{\star}
 - Which micro-instabilities are dominant for electron thermal transport at high- β + low v*?
 - What are implications for ST-FNSF?
- 4. Can FNSF-relevant β_T and NI operation sustained with low disruptivity?
- 5. Can divertor heat-fluxes be reduced below engineering limits, and do such heat-flux mitigation solutions extrapolate to FNSF?

Key physics + operational questions to be resolved to assess ST suitability for FNSF (1)

- 1. Can full non-inductive CD be achieved at FNSF-relevant β_{T} (~10-20%?)
 - Are full non-inductive profiles compatible with high-β? Can fast-ion instabilities be suppressed/controlled, and understood to maintain acceptable/beneficial FI transport?

- Even w/ no additional upgrades to NSTX-U, facility should be able access full non-inductive transiently, i.e. several τ_E to ~1 τ_{CR} with reasonable confidence (see Gerhardt talk)
- Extending this to full 5s pulse length will require improved density and impurity control
 - Will have Lithium coatings for D control + Lithium granule injector for ELM triggering and impurity flushing
 - This evaporator + injector scheme not yet proven on NSTX/NSTX-U, but EAST results are favorable so far
 - Cryo-pump + ELMing successful on other devices should work well for NSTX-U / MAST-U
 - There is the possibility that cryo-pumping would also eliminate ELMs similar to lithium experience...
 - MAST-U has cryo-pumps as part of Super-X divertor, may be implemented earlier than on NSTX-U
- Existing/planned fast-ion diagnostics are sufficient so support full NICD studies
- 5YP base funding is sufficient, lower funding could delay/eliminate cryo from 5YP

Key physics + operational questions to be resolved to assess ST suitability for FNSF (2)

- Can the plasma current (~0.3-0.4MA) be created with small or no solenoid flux and ramped up to full non-inductive operation (~0.8-1MA)?
 - Can models of current formation and ramp-up be validated for use to extrapolating to FNSF

- With no additional upgrades to NSTX-U, facility should be able to double CHI current from 200-400kA, and separately test HHFW+NBI ramp-up from 300-400kA (OH target) up to ~1MA
 - TSC, NIMROD, GENRAY making recent progress in modeling/interpreting helicity injection, ECH/EBW
- Coupling CHI start-up to HHFW/NBI ramp-up will likely require ECH heating of CHI target
 - High-power gyrotron would also enable tests of EBW-only plasma start-up
 - ECH/EBW tests on MAST-U combined with CHI start-up and NBI ramp-tests in NSTX-U + integrated modeling might provide acceptable basis for projecting to next-steps
 - Unclear when MAST-U will have resources to implement high-power ECH/EBW must complete MAST-U first
- ECH would significantly lower risk to ST-FNSF to demonstrate full start-up/ramp-up solution
 - Cost/scale of FNSF arguably demands this
- 5YP base funding is sufficient, lower funding could delay/eliminate gyrotron from 5YP

Key physics + operational questions to be resolved to assess ST suitability for FNSF (3)

- Can H-mode confinement be sustained with $H_{98} \ge 1.2-1.3$ at ST-FNSF-level β_T and approaching FNSF-level v^* values?
 - Does the favorable ν^* dependence of confinement extend to lower ν^*
 - Which micro-instabilities are dominant for electron thermal transport at high- β + low v*?
 - What are implications for ST-FNSF required device size and heating power?

- With no additional upgrades to NSTX-U, facility should be able to access H_{98y2} ≥ 1.2-1.3 for many τ_E using lithium coatings, and possibly higher H_{98y2} using upward evaporation
 - Enhanced pedestal H-mode (EPH) combined with lithium accessed H_{_{98y2}} ~ 1.7 at high β_{N} ~ 6
- Access to reduced collisionality is key. New CS (higher B_T , I_P) + 2nd NBI are foundational.
- Simultaneous deuterium and impurity control for n_e and Z_{eff} control are critical
 - − $f_{GW} \sim 0.5 \rightarrow 3$ -5x lower v^* , $f_{GW} \sim 0.3$ -0.4 would provide ~order of magnitude v^* reduction
 - Li evaporation + granule injection for ELM triggering appear promising (EAST collaboration)
- MAST-U may get cryos sooner, NSTX-U will access higher B_T , I_P , P_{NBI} sooner
- NSTX-U+MAST-U will have sufficient turbulence/FI diagnostics, codes to ID e-transport cause
- 5YP base funding is sufficient, lower funding could delay/eliminate cryo from 5YP

Key physics + operational questions to be resolved to assess ST suitability for FNSF (4)

• Can FNSF β_T and non-inductive operation be sustained with low disruptivity?

- NSTX-U operation near and above no-wall limit well supported by existing 3D coils and feedback control system
- Plan will implement control algorithms for boundary, n_e, rotation, q, divertor radiation
- Will implement rt-disruption warning system see Kaye/Gerhardt talks for frame-work
- <u>Sustaining</u> high performance will require improved density and impurity control
- Stability concerns at reduced density/collisionality:
 - Reduced error-field thresholds, potential for increased early mode locking
 - NTV rotation damping should increase at higher T_i (lower v^*), RWM stability modified
 - Overall, plasma stability may be more sensitive to intrinsic and applied 3D fields
- Partial or full NCC will provide greatly increased 3D control (EFC, RWM, rotation, RMP)
- 5YP base funding is sufficient, lower funding could delay/eliminate NCC, cryo in 5YP

Key physics + operational questions to be resolved to assess ST suitability for FNSF (5)

• Can divertor heat-fluxes be reduced below engineering limits, and do such heat-flux mitigation solutions extrapolate to FNSF?

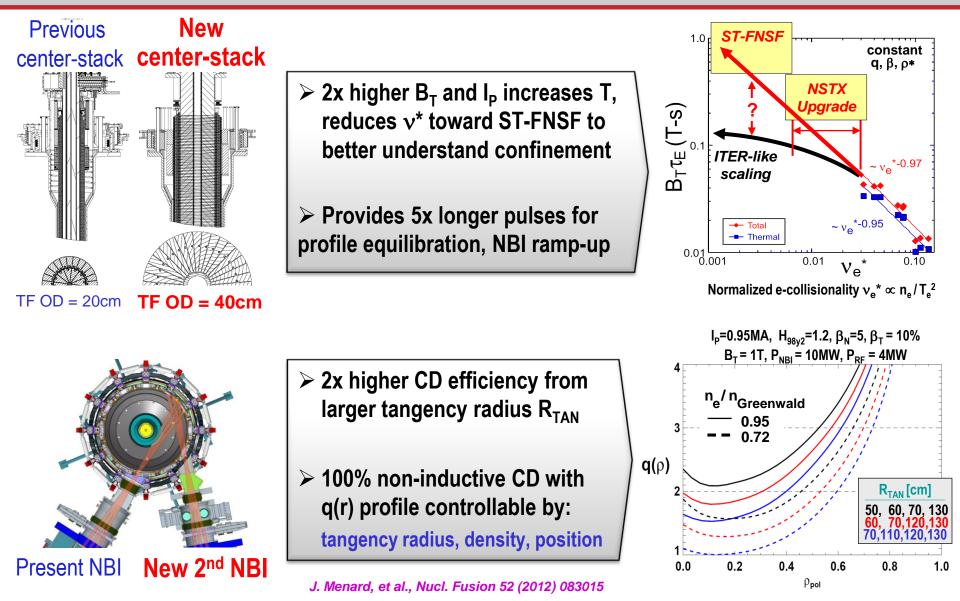
- With no additional upgrades, NSTX-U will be a leader in evaluation of snowflake + detachment
 - Collaboration with DIII-D on snowflake physics and control
- MAST-U will be world-leading in evaluating Super-X divertor (+ detachment), test snowflakes
- NSTX-U will be world-leader in evaluation of liquid metals for power handling (vapor-shielding) and be ST leader in transitioning to high-Z tiles
- 5YP base funding is sufficient, lower funding could delay/eliminate NSTX-U cryo
- Divertor Thomson, acceleration of high-Z implementation, tests of flowing liquid metal module would be very valuable - would require 5YP incremental



High-level goals for NSTX-U 5 year plan directly address the key physics + operational questions for ST-FNSF

- Demonstrate stationary 100% non-inductive at performance that extrapolates to ≥ 1MW/m² neutron wall loading in FNSF
 - Note: Non-inductive goal also supports ST-based PMI facility application
- 2. Access reduced v^* and high- β combined with ability to vary q & rotation to dramatically extend ST plasma understanding
- Develop and understand non-inductive start-up/ramp-up to project to ST-FNSF operation with small or no solenoid Note: ST-based PMI facility could have solenoid for start-up/ramp-up
- 4. Develop and utilize high-flux-expansion "snowflake" divertor and radiative detachment for mitigating very high heat fluxes
- 5. Begin to assess high-Z PFCs + liquid lithium to develop highduty-factor integrated PMI solution for SS-PMI, FNSF, beyond

NSTX Upgrade will address critical plasma confinement and sustainment questions by exploiting 2 new capabilities



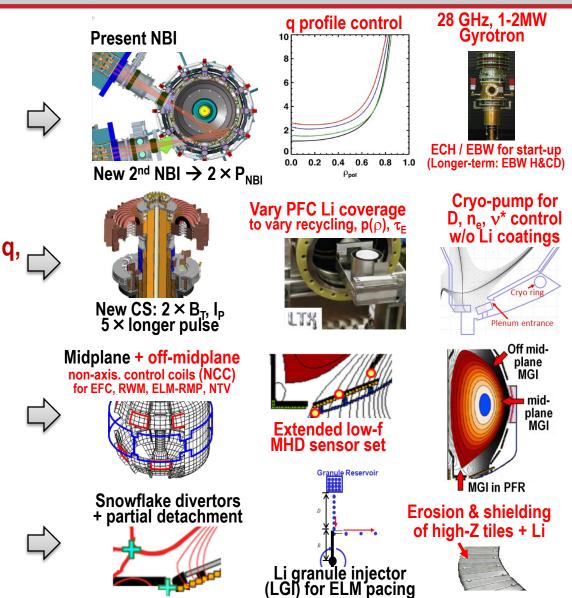
🔘 NSTX-U

NSTX-U plan will address key issues using cross-cutting set of existing/early tools + additional facility enhancements

Key issues to resolve:

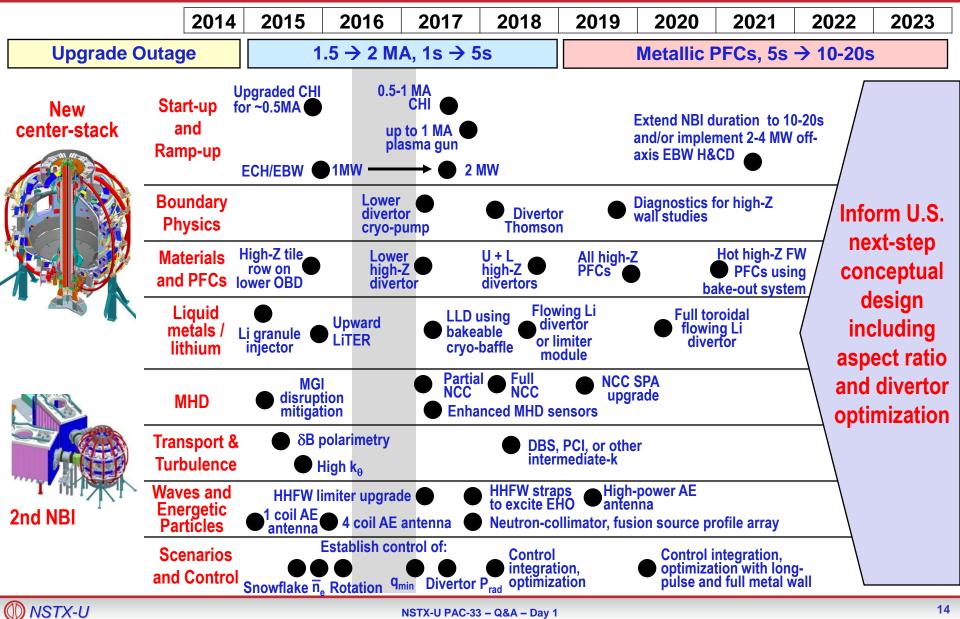
- Non-inductive current profile consistency and control, avoidance of Alfvenic modes
- Confinement dependence on: ν^* , q, β , Ω_{ϕ} , means to control, increase

- Sustainable β w/ passive and active control, disruption prediction, avoidance, mitigation
- Reduced power/particle fluxes, low net erosion, resilience to off-normal events/disruptions





10 year plan tools with 5YP incremental funding 1.1 × (FY2012 + 2.5% inflation)



NSTX-U PAC-33 - Q&A - Day 1

PAC Question #2: Give 2 or 3 of your best examples of how the NSTX program is advancing model validation and predictive capability, including a description of the connections between experimentalists, theorists, modelers, both here at PPPL and the community at large

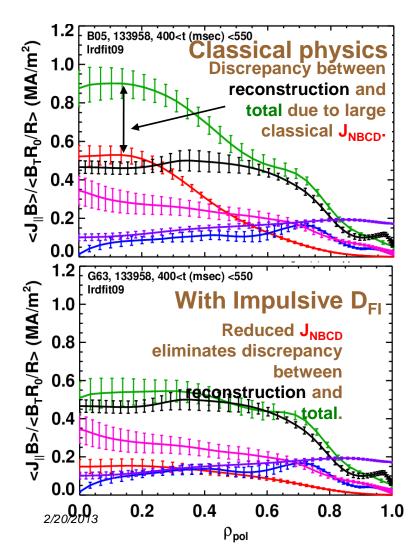
Model validation/prediction development support the major research goals of NSTX-U

- Alfven modes and related fast ion transport
- Prediction of electron temperature in plasma core
- Kinetic RWM stability
- Non-inductive startup with CHI
- Non-ambipolar transport and neoclassical toroidal viscosity
- Convective ELM heat transport in the Snowflake X-point region
- Pedestal and ELM stability with the snowflake configuration
- Peeling-ballooning stability of ST pedestal

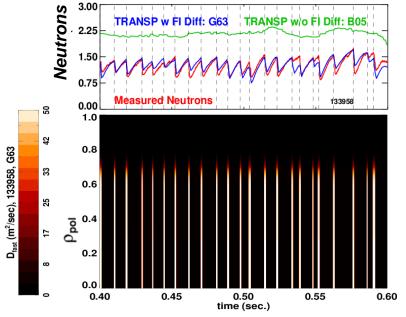
2/20/2013

Demonstrate stationary 100% non-inductive at performance that extrapolates to \geq 1MW/m² neutron wall loading in FNSF

 TAE Avalanches Lead to Major Modifications of the Beam Driven Current Profile 700 kA High-β_P with F



700 kA High- β_P with Rapid TAE Avalanches



- Modeled TAE avalanches using spatially and temporally localized fastion diffusivity D_{FI}(ψ,t)
 - Use S_n drops to determine $D_{FI}(\psi,t)$ details
 - Reinforces need for predictive modeling of avalanche transport

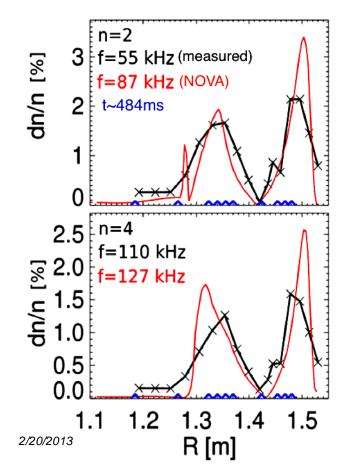
NSTX-U EP Research

"advancing model validation and predictive capability through connections between experimentalists, theorists, modelers"

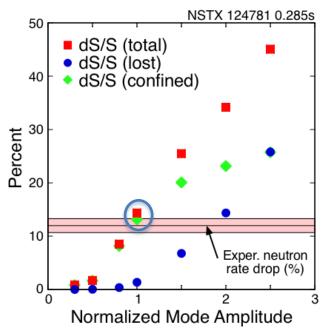


Theory/experiment collaboration is advancing V&V of linear/nonlinear codes for prediction of TAE mode structure, stability

First step to predict *AE-induced fast ion transport: <u>Identify unstable modes &</u> <u>their properties</u> (spectrum, structure, stability) – BES, reflectometer, NOVA-K (D. Smith, S. Kubota, G. Kramer, N. Gorelenkov)



ORBIT simulations find threshold for fast-ion transport in agreement with experimental observations

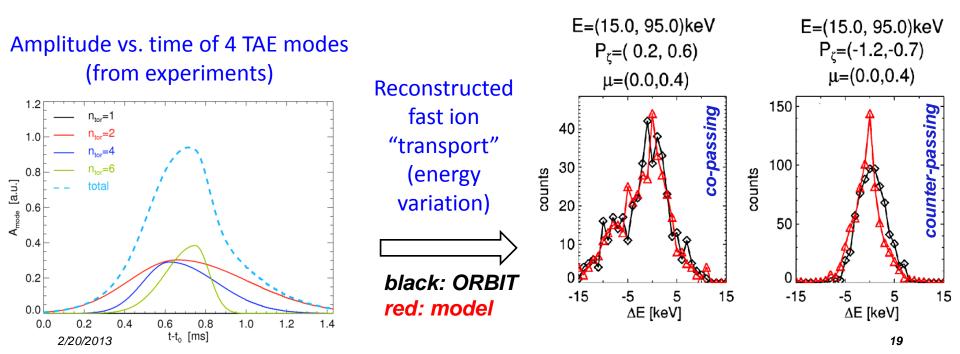


D. Darrow, R. White, G. Kramer studying nature of threshold for transport with ORBIT, SPIRAL (full orbit code)

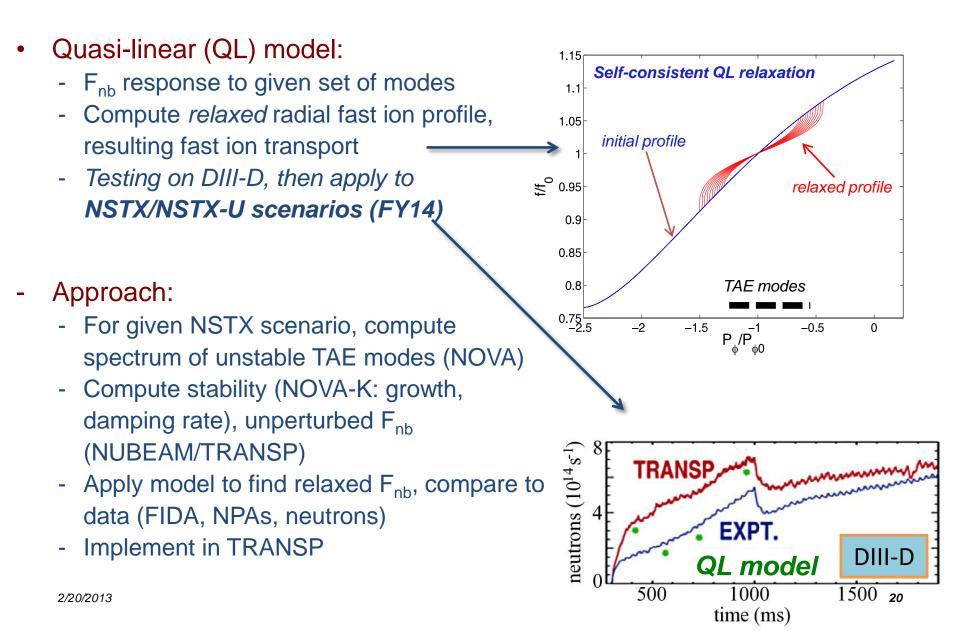
Development of fully non-linear models (e.g., M3D-K) is focus for future work (G.Y. Fu) 18

Resonant fast ion transport model is being implemented in NUBEAM to mimic F_{nb} modifications by <u>resonant</u> *AEs

- Resonant/stochastic fast ion transport modeled through "probability function" for kicks in energy, canonical angular momentum $p(\Delta E, \Delta P_{\zeta}|P_{\zeta}, E, \mu, A)$
 - Probability calculated from models (e.g. ORBIT code), but based on experimental quantities (e.g. neutron rate, mode amplitude)
- Reduced model being tested against full ORBIT simulations
 - Good agreement, temporal evolution recovered
 - Will be implemented in TRANSP

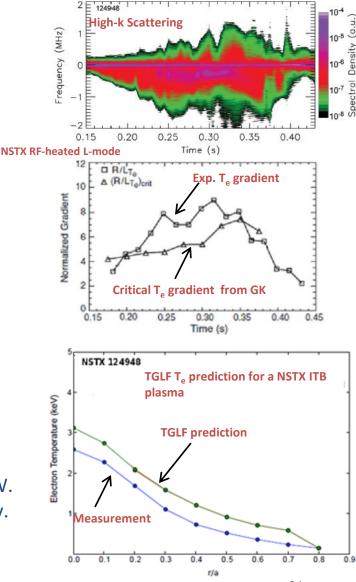


Quasi-linear relaxation model being tested, validated (DIII-D) – will compare to NSTX data (FY14) for V&V at low aspect ratio



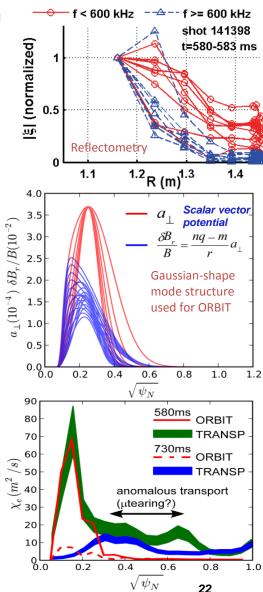
NSTX-U is making progress in validating ETG electron thermal transport model

- ETG mode seen in a variety of NSTX scenarios
 - Using a unique high-k_r scattering system
 - NBI-heated H-mode; RF-heated L-mode; ITB plasmas
 - Supported by linear and nonlinear GK simulations
 - Initial TGLF model T_e prediction in a NSTX ITB plasmas shows over-prediction at edge (carried through to core)
- A FIR high- k_{θ} scattering system on NSTX-U to substantially improve our understanding of ETG
 - Identify streamers from 2D k spectrum measurement
- Further validation of TGLF/MMM08 against GK and GK against experiments in NSTX/NSTX-U
- A collaborative effort with multiple institutions
 - Experiments (E. Mazzucato/S. Kaye/Y. Ren/D. Smith, PPPL)
 - High-k (both k_r and k_{θ}) scattering (C. Domier et al., UC-Davis)
 - Theory/modeling /GK codes [W. Guttenfelder/G. Hammett/W. Wang (GTS code)/L. Peterson, PPPL;A. Kritz et al., Lehigh Univ. (MMM08); A. Pankin (Tech-X), J. Candy/G. Staebler, GA (GYRO/TGLF code)]

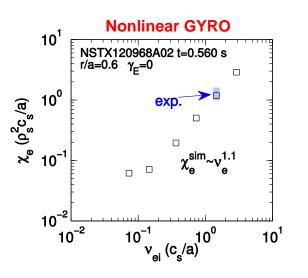


NSTX-U T&T TSG is making progress in validation of CAE/GAE driven electron thermal transport model

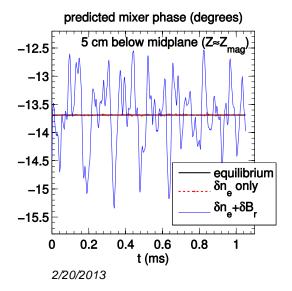
- ORBIT code used for CAE/GAE driven stochastic electron thermal transport
 - Mode peak location, width, frequencies and mode numbers from measurements and dispersion equations
 - A strong scaling of transport with mode amplitude (~ a^{3-4})
 - Predicted \hat{A}_e matching experiment
- Moving toward predictive calculation for NSTX-U with ORBIT and HYM CAE/GAE calculations
 - First attempt planned for FY14
- A multi-institution collaborative effort
 - Experimental investigation (K. Tritz, JHU)
 - BES diagnostic (D. Smith, UW-Madison)
 - Reflectometry/polarimetry (N. Crocker, UCLA)
 - ORBIT code (R. White, PPPL)
 - Stochastic electron thermal transport theory (N. Gorelenkov, PPPL)
 - HYM nonlinear code (E. Belova, PPPL)



NSTX-U is making progress toward validating microtearing turbulence and transport modeling



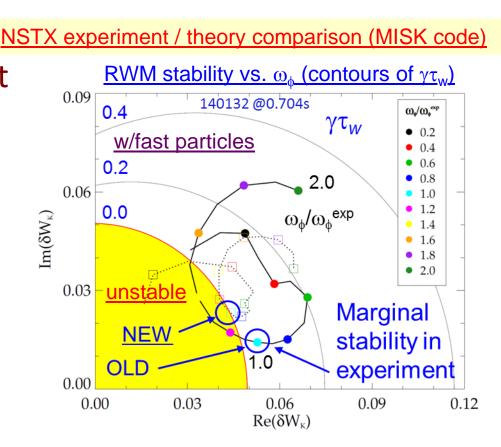
Synthetic polarimetry prediction



- Predicting scaling from nonlinear gyrokinetic simulations (w/ GA - J. Candy, PPPL- W. Wang, S. Ethier)
 - GYRO high beta simulations
 - Will verify with GTS when e-m implemented (~1 year)
- Predicting sensitivity of planned polarimetry diagnostic using simulations + synthetic diagnostic; (w/ UCLA, J. Zhang)
 - Important for validating magnetic turbulence
- Predicting sensitivity of BES using simulations + synthetic diagnostic (w/ CCFE, A. Field, Y.-C. Kim; U.W., D. Smith)
 - May provide possibility to distinguish MT from ITG, TEM
- Testing TGLF EM linear predictions of microtearing (w/ GA, G. Staebler)
 - First step in validating theory-based transport model for MT
- Improve K.L. Wong analytic to $\chi_{\mu t}$ with better δ B/B estimates 23

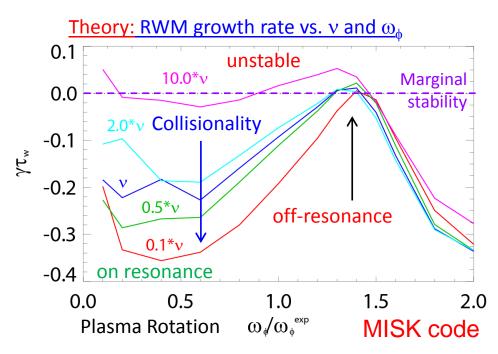
Access reduced ν^* and high- β combined with ability to vary q & rotation to dramatically extend ST plasma understanding - Kinetic RWM Mode Theory -

- Quantitative agreement
 between theory/experiment
 - MISK, MARS-K, HAGIS codes being benchmarked (ITPA)
 - MISK calculation of ω_D improved
 - Agreement between theory/experiment improved
 - Best agreement with fast particle effects included
- MISK/MARS-K/HAGIS
 - B. Hu, R. Betti (U.Rochester), J.
 Manickam (PPPL), Y. Liu (Culham Lab), I. Chapman (Culham Lab)

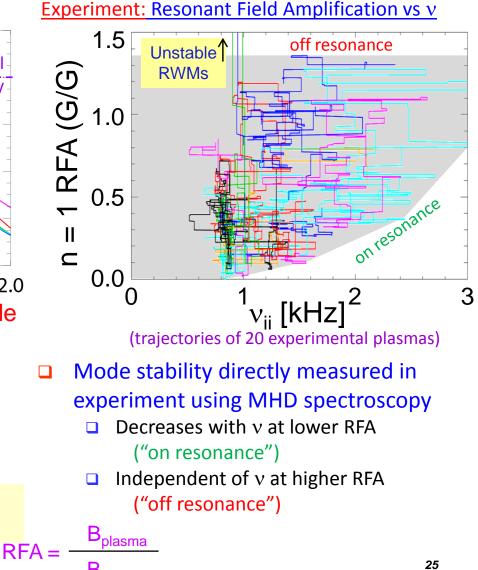


- J.W. Berkery, et al., PRL **104** (2010) 035003
- S.A. Sabbagh, et al., NF **50** (2010) 025020
- J.W. Berkery, et al., Phys. Plasmas 17, 082504 (2010)
- S.A. Sabbagh, et al., IAEA FEC 2010, Paper EX8/5-5

Experiments measuring global stability vs. v further support kinetic RWM stability theory, provide guidance for NSTX-U

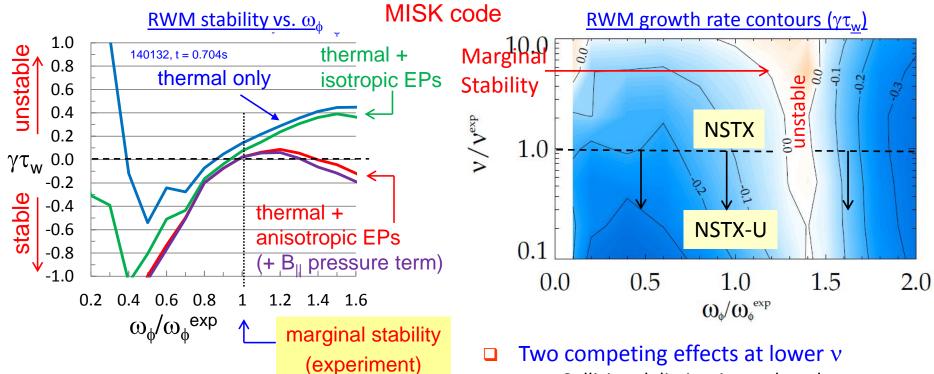


- $\hfill\square$ Two competing effects at lower ν
 - Collisional dissipation reduced
 - Stabilizing resonant kinetic effects enhanced (contrasts early theory)
- Expectations at lower v
 - More stabilization near ω_φ resonances;
 almost no effect off-resonance



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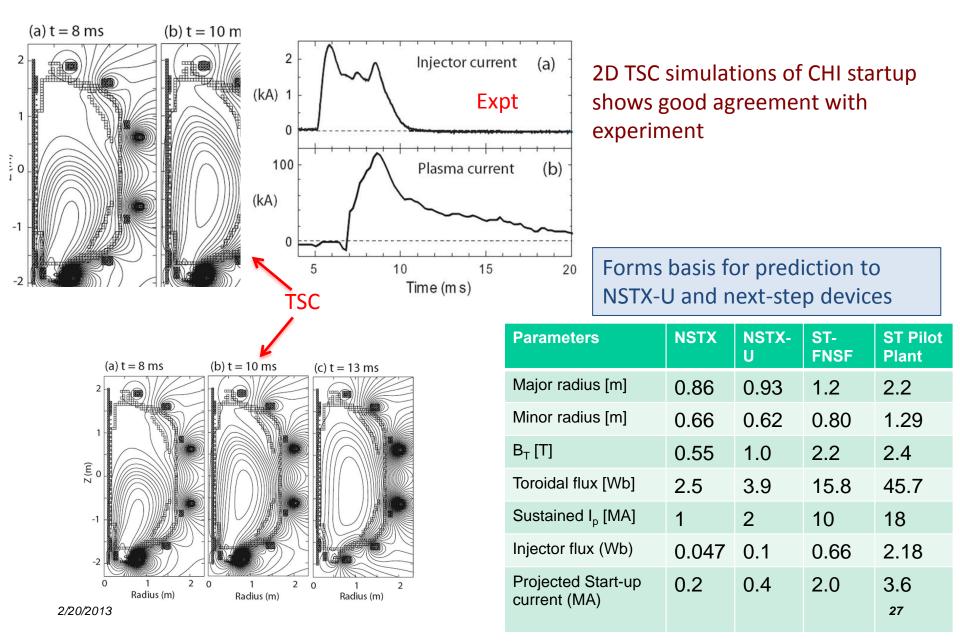
Experiments measuring global stability vs. v further support kinetic RWM stability theory, provide guidance for NSTX-U



- Improvements to physics model
 - Anisotropy effects
 - Testing terms thought small
 - Already good agreement between theory and experiment of marginal stability point improved
 - J. Berkery et al., PRL 106, 075004 (2011)

- - Collisional dissipation reduced
 - Stabilizing resonant kinetic effects enhanced (contrasts early theory)
- Expectations at lower v
 - More stabilization near ω_{ϕ} resonances; almost no effect off-resonance
 - Active RWM control important

Develop and understand non-inductive start-up/ramp-up to project to ST-FNSF operation with small or no solenoid

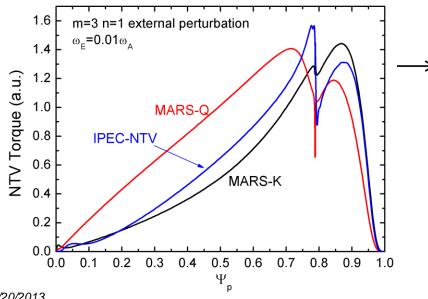


Theory and code verifications on non-ambipolar transport and neoclassical toroidal viscosity

Theory unification: Kinetic MHD theory is equivalent to NTV theory

[Boozer, Mynick, Shaing, Cole, Park] $T_{\alpha} = 2in\delta W_{\kappa}$ [Rostocker, Rosenbluth, Porcelli, Hu, Betti]

- Code verifications
 - IPEC-NTV [Park]: Bounce-averaged, *ɛ-expansion*, Krook, regime-combined [Park]
 - MARS-K [Y. Liu]: Bounce-averaged., Krook, regime-combined [Porcelli]
 - MISK [Berkery]: Bounce-averaged, Krook, regime-combined [Hu, Betti] _
 - MARS-Q [Y. Liu]: Bounce-averaged, ε-expansion, Pitch-angle, Pade approx. for regime [Shaing] _
 - POCA [Kim]: Exact drift orbit, Pitch-angle [Boozer]
 - FORTEC-3D [Satake] : Exact drift orbit, Fokker-Planck [Boozer]



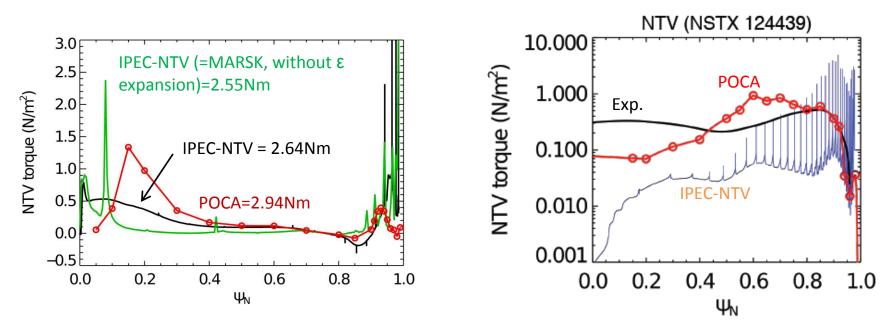
* MARS-Q implemented Shaing's connection formula for NTV to MARS-K, but MARS-K already has NTV from δW_{k} . So essentially these are comparisons between Park, Porcelli, Shaing

* IPEC-NTV, POCA, FORTEC-3D have been successfully compared for tokamak geometry [Satake et al., PPCF 53 (2011) 054018] [Satake et al., PRL 107 (2011) 055001] [Kim et al., POP 19 (2012), 082503]

IPEC-NTV is being used for NCC analysis for NSTX-U, in parallel with cross verification & validation

• Validation for DIII-D:

• Validation for NSTX:



• Predictive capability for NTV can be increased along with computational expenses

- 1. IPEC-NTV (or MARSK) is a good approximation, but not precise for low $\epsilon,$ or low ν^*
- 2. MARSQ is also a good approximation for low ν^* , but not precise for low $\epsilon,$ or high ω
- 3. POCA or FORTEC-3D should be used for low $\epsilon,$ low $\nu^*,$ and high ω
- Existing issues to enhance predictability
 - 1. δB calculation is presently perturbative \rightarrow self-consistent (MARSK and GPEC)

2. Torque prediction \rightarrow rotation prediction (should be combined with momentum transport) 2/20/2013

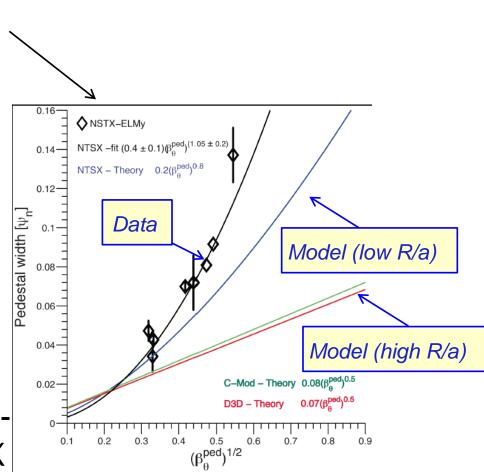
Validation activities in the boundary physics area

- Pedestal width and structure: compare with kinetic ballooning calculations at low R/a, neoclassical predictions, and paleoclassical calculations
- Snowflake configuration: enhanced magnetic shear altering pedestal and ELM stability
- Snowflake configuration: predictions of enhanced X-point turbulence with analytic and 3-D fluid calculations
- Neutral transport: DEGAS-2 validation with gas-puff imaging



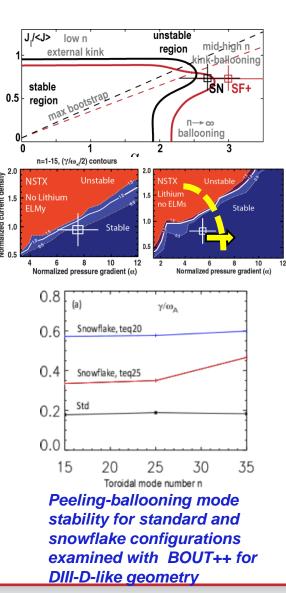
Several models of pedestal width being validated

- Kinetic ballooning calculation shows stronger dependence of pedestal width on pedestal β_{pol} at low R/a than high R/a
 - Reasonable agreement with NSTX data
- XGC0 calculation shows that pedestal width broader than neoclassical
 - XGC1 with turbulence to be examined
- Paleoclassical transport semiquantitatively agrees w/NSTX pedestal gradients with and without lithium conditioning



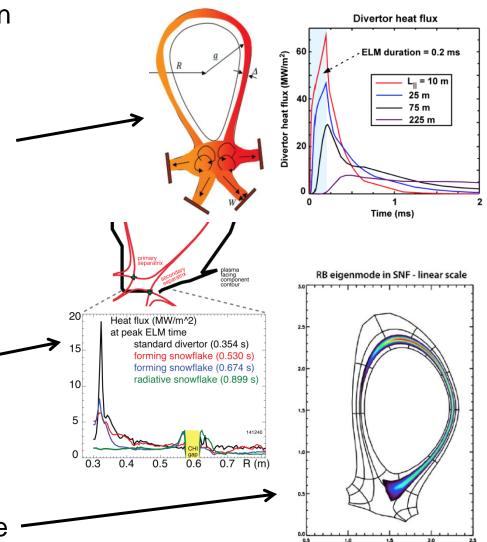
Validate peeling-ballooning ELM stability model for the snowflake divertor configuration

- Theory: increased magnetic shear inside separatrix provided by snowflake may affect pedestal stability
- TCV tokamak with snowflake
 - Consistent with improved kink-ballooning stability
 - Type I ELM frequency increased, size decreased
- NSTX snowflake
 - Destabilized ELMs otherwise stabilized by lithium
 - Peeling/ballooning stable operating window reduced access to second stability lost?
- DIII-D tokamak with snowflake
 - Overall stability did not appear to change
 - Slightly steeper and higher $\rm n_{e},$ lower and flatter $\rm T_{e}$
 - Pedestal energy did not change
 - Magnetic shear and $q_{\rm 95}$ increased by up to 50 %
 - Change in stored energy lost per ELM (ΔW_{ELM}) is reduced



Validate snowflake null-point convective heat transport theory and null-point instability predictions

- Heat convection in null-point region with β_p>>1 (D. Ryutov, IAEA FEC 2012)
 - Heat partitioning between add' I strike point
 - Predicted ELM heat flux reduction by up to 10
 - Add' I energy loss is due to ELM energy pulse time dilution by increased L_x
 - In qualitative agreement with TCV and NSTX
- Role of X-point ballooning modes, electrostatic flute instabilities, and resistive-ballooning modes in the snowflake configuration examined with fluid turbulence BOUT++ code
 - Assess with divertor GPI



Validation of DEGAS 2 Neutral Transport Code Against NSTX GPI Data

- •3-D steady state simulations with synthetic diagnostic for GPI camera.
- •Thomson n_e , T_e & EFIT equilibrium \Rightarrow DEGAS 2 background plasma.
- •GPI data averaged over 10 ms between ELMs.
- Also have absolute calibration of camera & gas puff ⇒ compare photons / injected D atom:

•GPI: 1/89 ± 34%, DEGAS 2: 1/75 ± 18%.

[B. Cao, D.P. Stotler, S.J. Zweben, M. Bell, A. Diallo, B. LeBlanc, Fusion Sci. Tech. (in press).]

