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### **Electron gyro-scale fluctuations in NSTX plasmas**

**David R. Smith** 

UW-Madison

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with E. Mazzucato<sup>1</sup>, S. M. Kaye<sup>1</sup>, W. Lee<sup>2</sup>, H. K. Park<sup>2</sup>, C. W. Domier<sup>3</sup>, N. C. Luhmann, Jr.<sup>3</sup>, and the NSTX Research Team

<sup>1</sup>PPPL, <sup>2</sup>POSTECH, <sup>3</sup>UC-Davis

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# Outline

- Turbulence and transport in NSTX
- The NSTX collective scattering system
- Analysis tools
  - Ray tracing calculations
  - Linear gyrokinetic calculations
- Fluctuation measurements and analysis
  - Enhanced fluctuations and the ETG critical gradient
  - Reduced fluctuations, ETG growth rates, and E×B flow shear
  - Fluctuations and transport
  - Fluctuation magnitudes and k-spectra
- Summary





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# NSTX is well-suited to investigate the connection between ETG turbulence and electron thermal transport

- Turbulence & transport in NSTX
  - Large E×B flow shear with NBI → inferred ITG/TEM suppression (no direct evidence) → ion thermal transport is near neoclassical in H-mode (Kaye et al, NF, 2007 & PRL, 2007)
  - Electron thermal transport remains anomalous  $\rightarrow$  what is the mechanism?
- Electron temperature gradient (ETG) turbulence
  - ETG modes can be linearly unstable with growth rates exceeding E×B flow shear rates
  - NL GK simulations predict experimentallyrelevant electron thermal transport for \$ > 0.4 for typical tokamak parameters (Nevins et al, PoP 2006)
  - Electron gyro-scale fluctuations  $\rightarrow k_{\perp} \rho_e \leq 1$
  - Propagate in electron diamagnetic direction







#### ETG turbulence can generate greater normalized transport than ITG turbulence due to a weak secondary instability



ETG and ITG modes are isomorphic for linear, electrostatic dynamics with adiabatic background species

$$\gamma_{etg} \sim \frac{v_{te}}{\sqrt{RL_{Te}}} \qquad \chi_e^{gB} \equiv \frac{\rho_e^2 v_{te}}{L_{Te}}$$

For nonlinear ETG dynamics, the ion response weakens the secondary Kelvin-Helmholtz instability and  $\rho_e$ -scale zonal flows

Consequently, ETG turbulence can saturate at higher normalized amplitudes and generate greater normalized transport than ITG turbulence for typical tokamak parameters

$$\frac{\chi_i^{itg}}{\chi_i^{gB}} \le 2 \qquad \qquad \frac{\chi_e^{etg}}{\chi_e^{gB}} \le 1$$

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F. Jenko et al, PoP 2001 W. Nevins et al, PoP, 2006



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### Collective scattering measures density fluctuations with spatial and k-space localization



3-wave coupling among 2 high-frequency EM waves and 1 low-frequency plasma fluctuation



k-matching:  $\vec{k_s} = \vec{k_i} + \vec{k}$ Bragg condition:  $k = 2k_i \sin(\theta_s/2)$ k-space resolution:  $\Delta k = 2/a$ frequency matching:  $\omega_s = \omega_i + \omega$ high-freq EM waves:  $\omega_i, \omega_s >> \omega$ 



# The NSTX collective scattering system measures fluctuations up to $k_{\perp}\rho_e < 0.6$

- 280 GHz collective scattering system
- Five detection channels
  - $k_{\perp}$  spectrum for up to five discrete  $k_{\perp}$ 
    - $k_{\perp}\rho_e < 0.6$  and  $k_{\perp} < 20$  cm<sup>-1</sup>
  - $-\omega$  spectrum from time-domain sampling
    - 7.5 MS/s  $\rightarrow$  f  $\leq$  3.25 MHz
  - Heterodyne detection
- Tangential scattering
  - Beams nearly on equatorial midplane
    - Sensitive to radial fluctuations
  - Toroidal curvature enhances spatial localization along probe beam,
     ΔL ≈ 10 cm
  - Radial localization, ΔR ≈ ±2.5 cm
- Steerable optics
  - Scattering volume can be positioned throughout the outer half-plasma





## Steerable optics enable good radial coverage; toroidal curvature enhances spatial localization



E. Mazzucato, POP, 2003 E. Mazzucato, PPCF, 2006



# **Scattering system hardware**



- BWO source
  - ~200 mW at 280 GHz
- Overmoded, corrugated waveguide
  - low-loss transmission
  - delivers ~100 mW for PB
- Probe & receiving beams
  - quasi-optically coupled with
    5 cm dia. waist
- Heterodyne receiver
  - five channels
  - two mixing stages
  - quadrature detection with
     7.5 MHz bandwidth
  - reference signal from BWO

D. R. Smith et al, RSI, 2008



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### Ray tracing calculations optimize configurations and provide measurement parameters



#### Measurement parameters

	Ch. 2	Ch. 3	Ch. 4	Ch. 5
r/a	0.27	0.28	0.29	0.30
d <sub>min</sub> (cm)	0.1	0.1	0.1	0.1
k <sub>∥</sub> (cm⁻¹)	0.1	0.0	0.2	0.0
k <sub>r</sub> (cm⁻¹)	6.9	11.0	14.6	17.8
k <sub>θ</sub> (cm⁻¹)	-1.6	-3.4	-4.4	-5.5
$k_{\perp}$ (cm <sup>-1</sup> )	7.1	11.5	15.2	18.6
k <sub>θ</sub> /k <sub>r</sub>	0.23	0.30	0.30	0.31
$k_{\perp} \rho_{\rm e}$	0.23	0.38	0.51	0.62
$k_{\perp} \rho_s$	14	22	30	37
k <sub>T</sub> (cm <sup>-1</sup> )	-0.4	-0.7	-1.2	-1.3
f <sub>D</sub> (MHz)	-1.0	-1.8	-3.0	-3.3

Alignment

# Ion/electron drift direction

ETG scale

Doppler shift



## Linear GS2 calculations provide ETG growth rates and critical gradients

GS2 is an initial value, flux tube code that evolves the gyrokinetic Vlasov-Maxwell equations



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#### Toroidal rotation from NBI (co-I<sub>p</sub>) produces a Doppler shift in fluctuation spectra toward the ion diamagnetic direction





🔘 NSTX

## Enhanced fluctuations observed in core region of high-Te L-mode plasma

5.5 kG, 600 kA, 1.2 MW HHFW, R=119±2 cm, r/a≈0.28





# Enhanced fluctuations occur when Te gradient is comparable to the ETG critical gradient





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### Enhanced fluctuations observed at mid-radii in NBI-heated H-mode plasma

4.5 kG, 700 kA, 4 MW NBI, R=133±2 cm, r/a≈0.55





#### Near ETG marginal stability, fluctuation amplitudes decrease when the E×B shear rate exceeds the ETG growth rate



D. R. Smith et al, PRL, 2009



### **E×B** shear rate is larger at higher B<sub>T</sub>, yet enhanced fluctuations are still observed

#### 5.5 kG, 700 kA, 4 MW NBI, R=133±2 cm, r/a≈0.55





# Near ETG marginal stability, fluctuation amplitudes decrease when the ETG growth rate drops below the E×B shear rate



D. R. Smith et al, PRL, 2009



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# Fluctuation amplitudes decrease at higher $B_T$ with similar E×B shear rates, ETG growth rates, and $\nabla$ Te



Note that the 4.5 kG case exhibits the largest difference between E×B shear rates and ETG growth rates.

D. R. Smith et al, PoP, 2009



# Observation suggests no simple relation between measured fluctuation amplitude and transport



D. R. Smith et al, PoP, 2009



# Again, electron thermal diffusivity decreases when fluctuation amplitudes increase



D. R. Smith et al, PoP, 2009



# Fluctuation magnitudes and wavenumber spectral exponents

5.5 kG discharges 124885 @ R=111-115 cm 124889 @ R=131-135 cm



 $|\delta n_e(k_r)/n_e|^2 \propto k_r^{-\alpha}$ k - spectrum :



#### Fluctuation magnitudes are in order-of-magnitude agreement with NL GK simulations for typical tokamak parameters



- Spectral exponent is α = 4.6 near magnetic axis and α = 2.8 at midradius (Δα ≈ 0.5–0.6)
- ETG simulations with GYRO predict  $|\delta n_e(k_r)/n_e|^2 \sim 10^{-10} 10^{-11}$  for  $k_r \rho_s \sim 10 20$ , but spectral resolution is about 10× greater; synthetic diagnostics needed (R. Waltz et al, PoP 2007) D. R. Smith et al, PoP, 2009

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### Similar magnitudes and exponents at lower TF

4.5 kG discharges 124887 @ R=111-115 cm 124888 @ R=131-135 cm





#### Ideas to extend high-k measurements and analysis

- Nonlinear gyrokinetic simulations
  - Saturation amplitudes
  - Turbulence spreading into core
  - Synthetic diagnostics
- ETG isotropy in  $k_r k_{\theta}$  plane
  - Adjust vertical position of scattering volume to vary  $k_r/k_{\theta}$
  - Radial streamers
  - Unique capability for NSTX
- Mode coupling coefficients
  - Mode coupling is necessary for turbulence
  - Calculate mode coupling coefficients with bicoherence analysis (see Itoh et al, PoP, 2005)
  - Unique capability for NSTX
- Low-k fluctuation measurements with BES
  - Coupling between low-k ITG and high-k ETG
  - ETG saturation via ion-scale zonal flows

## **Summary**

- In L-mode plasmas, enhanced fluctuation amplitudes occur when  $\nabla T_e$  is comparable to or exceeds the ETG critical gradient
- At mid-radii in H-mode plasmas with large toroidal rotation, fluctuation amplitudes decrease when the E×B shear rate exceeds the ETG growth rate
- For similar ∇T<sub>e</sub>, ETG growth rates, and E×B shear rates, fluctuation amplitudes decrease at higher B<sub>T</sub> at mid-radii in H-mode plasmas
- No simple relation between transport and measured fluctuation amplitudes
- Fluctuation magnitudes, |δn<sub>e</sub>(k<sub>r</sub>)/n<sub>e</sub>|<sup>2</sup> ~ 10<sup>-9</sup> 10<sup>-8</sup>, are within order-of magnitude agreement with NL GK simulations for typical tokamak parameters
- Wavenumber spectral exponents are in the range  $\alpha = 2.8 4.6$  in H-mode plasmas



### For more information...

- Instrument papers:
  - D. R. Smith et al, RSI 79, 123501 (2008)
  - D. R. Smith et al, RSI 75, 3840 (2004)
- Physics results:
  - D. R. Smith et al, PoP 16, 112507 (2009)
  - E. Mazzucato et al, NF 49, 055001 (2009)
  - D. R. Smith et al, PRL 102, 225005 (2009)
  - E. Mazzucato et al, PRL 101, 075001 (2008)
- Dissertation and additional information at website:
  - http://homepages.cae.wisc.edu/~drsmith

