



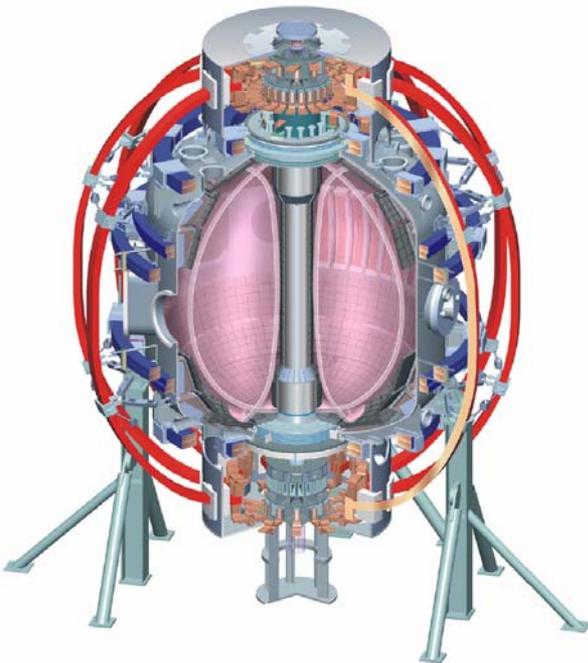
Spherical Tokamak Plasma Science & Fusion Energy Development

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Oak Ridge National Laboratory
@ Princeton Plasma Physics Laboratory

**Joint Spherical Torus Workshop and
US-Japan Exchange Meetings (STW2004)**

29th September – 1st October, 2004
Kyoto University
Yoshida-Honmachi, Kyoto, Japan

Columbia U
Comp-X
General Atomics
INEL
Johns Hopkins U
LANL
LLNL
Lodestar
MIT
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NYU
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PPPL
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Kyushu Tokai U
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ENEA, Frascati
CEA, Cadarache
IPP, Jülich
IPP, Garching
U Quebec



Spherical Tokamak (ST) Offers Rich Plasma Science Opportunities and High Fusion Energy Potential



- **What is ST and why?**
- **Scientific opportunities of ST**
 - How does shape (κ , δ , A ...) determine pressure?
 - How does turbulence enhance transport?
 - How do plasma particles and waves interact?
 - How do hot plasmas interact with walls?
 - How to supply magnetic flux without solenoid?
- **Contributions to burning plasmas and ITER**
- **Cost-effective steps to fusion energy**
- **Collaboration**

Tokamak Theory in Early 1980's Showed Maximum Stable β_T Increased with Lowered Aspect Ratio (A)



- A. Sykes et al. (1983); F. Troyon et al. (1984) on maximum stable toroidal beta β_T :

$$\beta_{T\max} = C I_p / a \langle B \rangle \approx 5 C \kappa / A q_j; \quad \langle B \rangle \approx B_T \text{ at standard } A$$

$C \approx \text{constant } (\sim 3 \% \text{m}\cdot\text{T}/\text{MA}) \Rightarrow \beta_N$

$\langle B \rangle = \text{volume average } B \Rightarrow B_T$

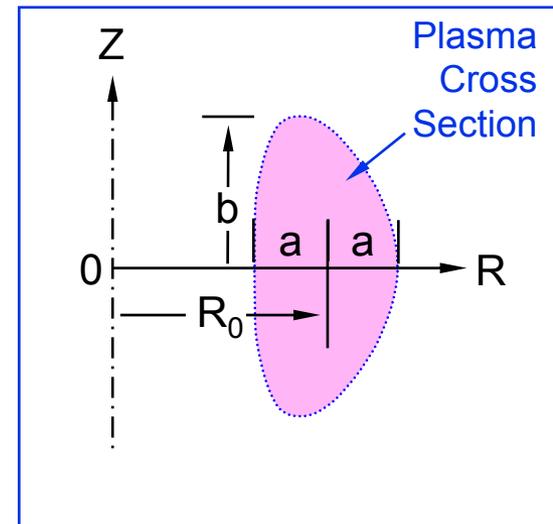
$\kappa = b/a = \text{elongation}$

$A = R_0/a = \text{aspect ratio}$

$q_j \approx \text{average safety factor}$

$I_p = \text{toroidal plasma current}$

$B_T \approx \text{applied toroidal field at } R_0$

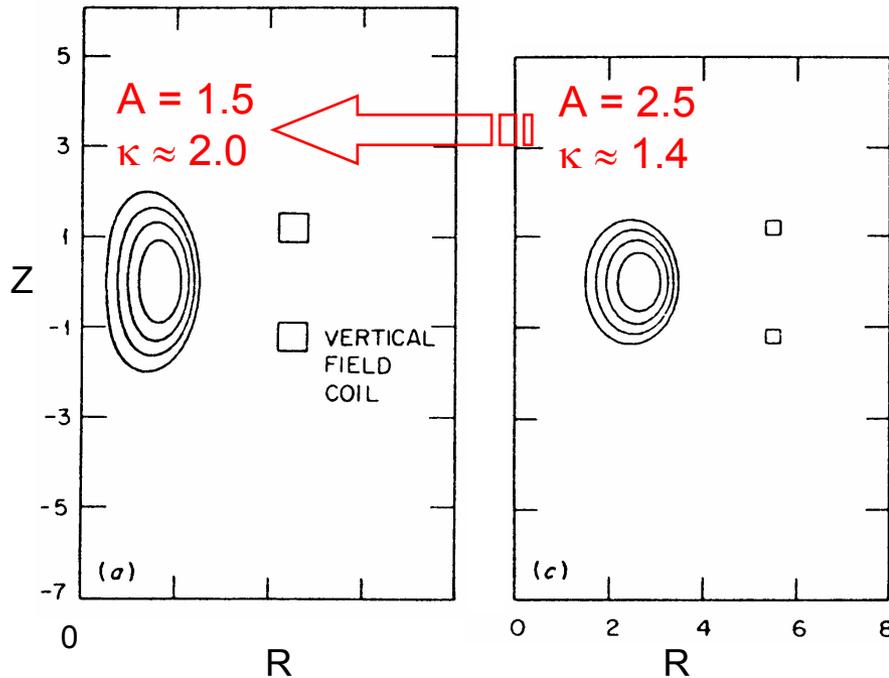


- Peng & Strickler (1986): **What would happen to tokamak as $A \rightarrow 1$?**
 - How would β_N , κ , q_j , change as functions of A ?

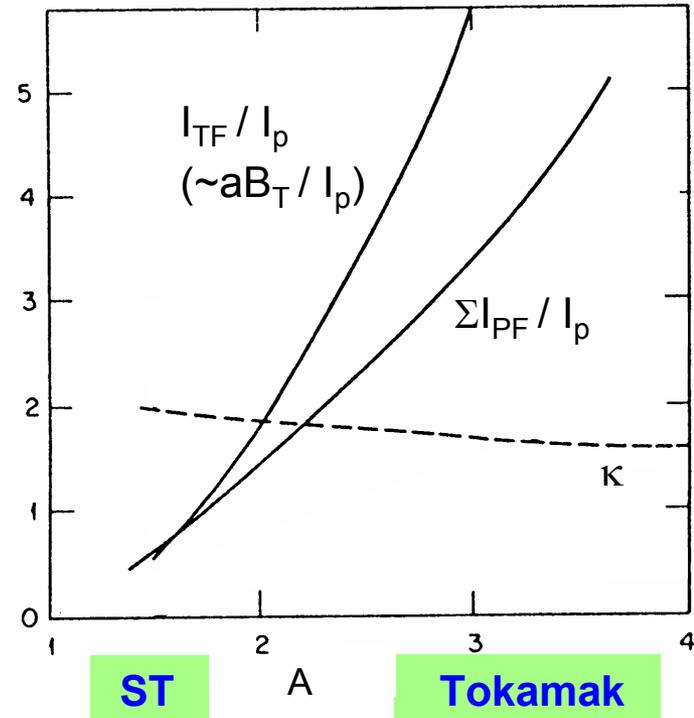
ST Plasma Elongates Naturally, Needs Less TF & PF Coil Currents, Increases $I_p/aB_T \Rightarrow$ Higher β_{Tmax}



Natural Elongation, κ



Small Coil Currents/ I_p ($q_{edge} \sim 2.5$)



- Naturally increased $\kappa \sim 2$; $I_{TF} < I_p$, $I_{PF} < I_p \Rightarrow$ higher I_p ; lower device cost
- Increased $I_p/aB_T \sim 7 \text{ MA/m}\cdot\text{T} \Rightarrow \beta_{Tmax} \sim 20\%$, if $\beta_N \sim 3$
- Increased $I_p q_{edge}/aB_T \sim 20 \text{ MA/m}\cdot\text{T} \Rightarrow$ improved confinement?

Very Low Aspect Ratio (A) Introduces New Opportunities to Broaden Toroidal Plasma Science

ST Plasmas Extends Toroidal Parameters

A = R/a can be ≥ 1.1



START – UKAEA Fusion

How does shape determine pressure?

- Strong plasma shaping & self fields (vertical elongation ≤ 3 , $B_p/B_t \sim 1$)
- Very high β_T ($\sim 40\%$), β_N & $f_{\text{Bootstrap}}$

How does turbulence enhance transport?

- Small plasma size relative to gyro-radius ($a/\rho_i \sim 30-50$)
- Large plasma flow ($M_A = V_{\text{rotation}}/V_A \leq 0.3$)
- Large flow shearing rate ($\gamma_{\text{ExB}} \leq 10^6/\text{s}$)

How do plasma particles and waves interact?

- Supra-Alfvénic fast ions ($V_{\text{fast}}/V_A \sim 4-5$)
- High dielectric constant ($\epsilon = \omega_{pe}^2/\omega_{ce}^2 \sim 50$)

How do plasmas interact with walls?

- Large mirror ratio in edge B field ($f_T \rightarrow 1$)
- Strong field line expansion

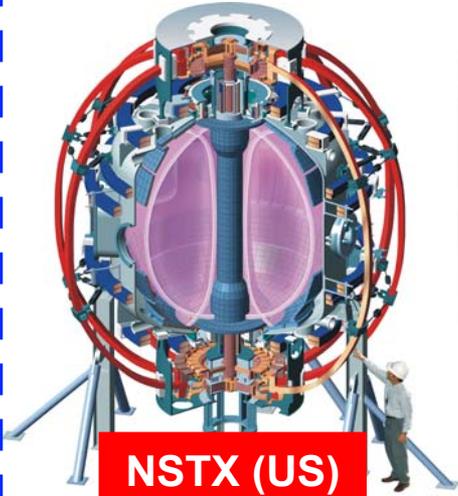
How to supply mag flux without solenoid?

- Small magnetic flux content ($\sim \ell_i R_0 I_p$)

ST Research Is Growing Worldwide

① Concept Exploration (~0.3 MA)

② Proof of Principle (~MA)



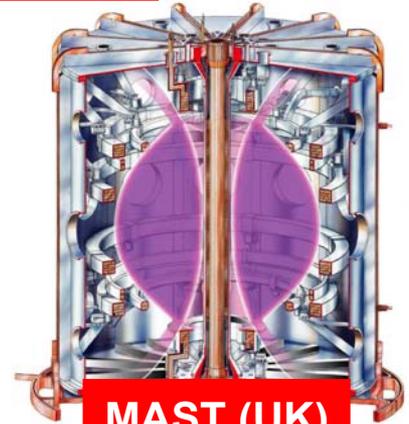
NSTX (US)



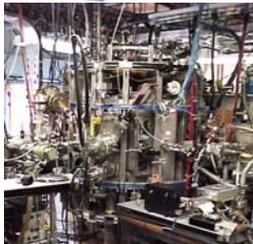
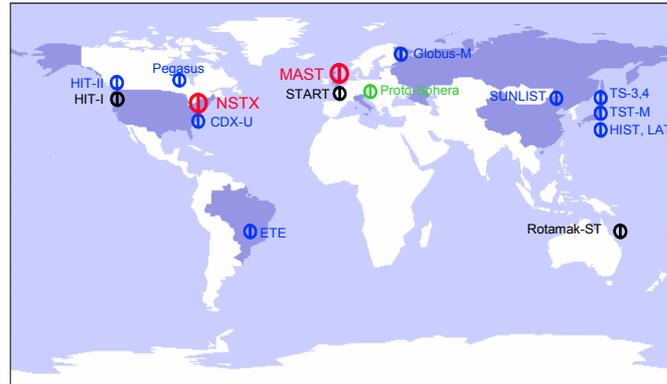
HIT-II (US)



Pegasus (US)



MAST (UK)



CDX-U (US)



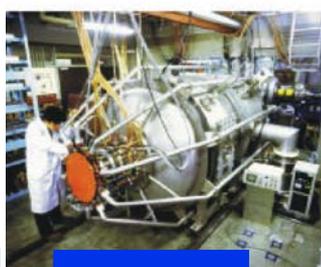
Globus-M (RF)



ETE (B)



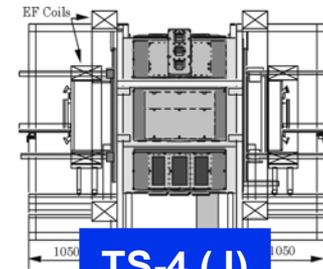
SUNIST (PRC)



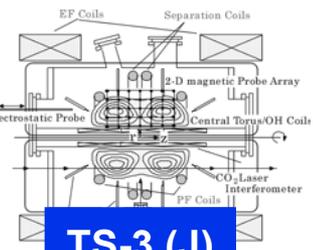
HIST (J)



TST-2 (J)



TS-4 (J)

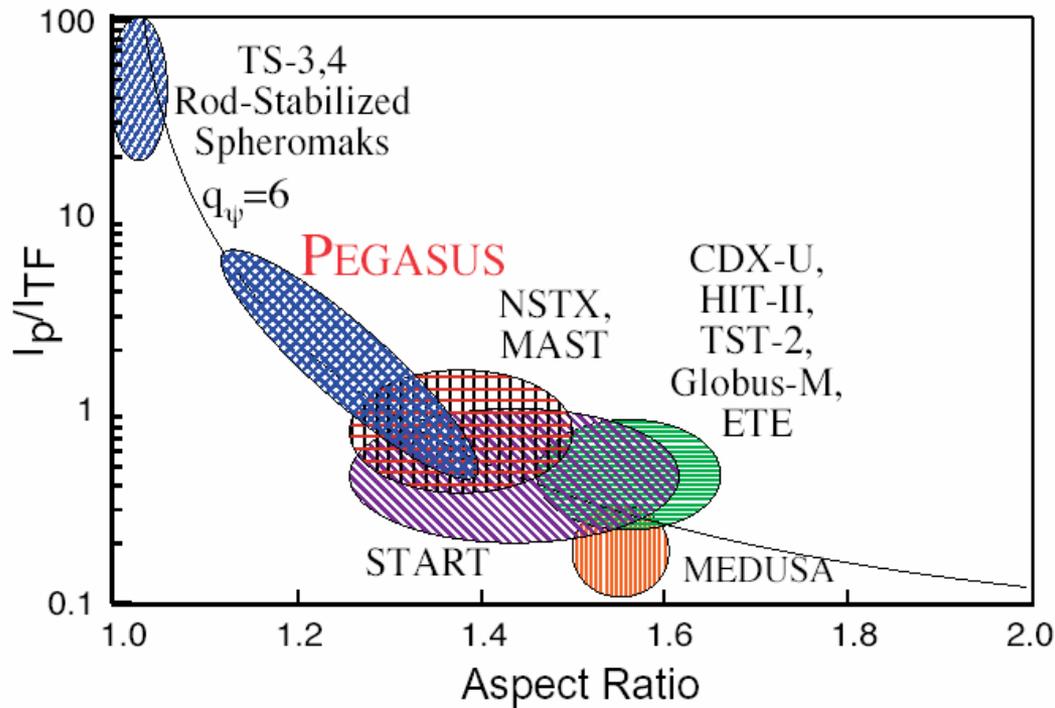


TS-3 (J)



Pegasus Explores ST Regimes As Aspect Ratio $\rightarrow 1$

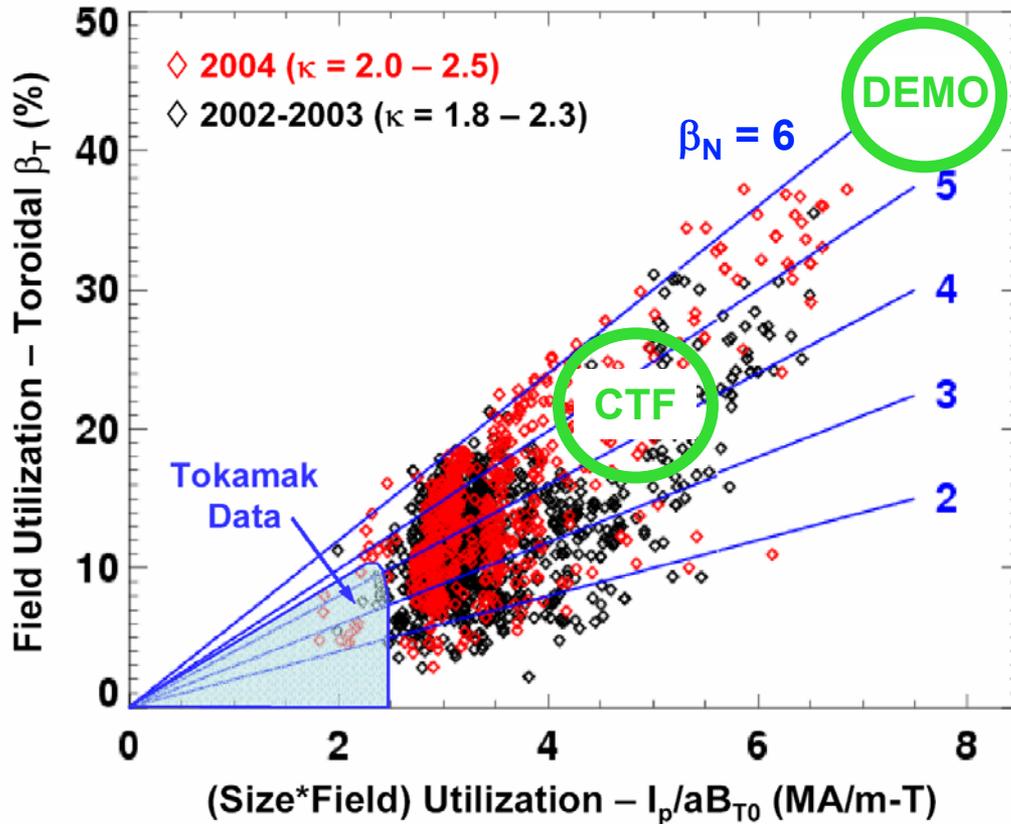
- Stability and confinement at high I_p/I_{TF}
- Limits on β_t and I_p/I_{TF} as $A \rightarrow 1$



NSTX Exceeded Standard Scaling & Reached Higher I_p/aB_T , Indicating Better Field and Size Utilization



CTF β requirement well within stability Limits, without using active control



- **Verified very high beta prediction** \Rightarrow new physics:

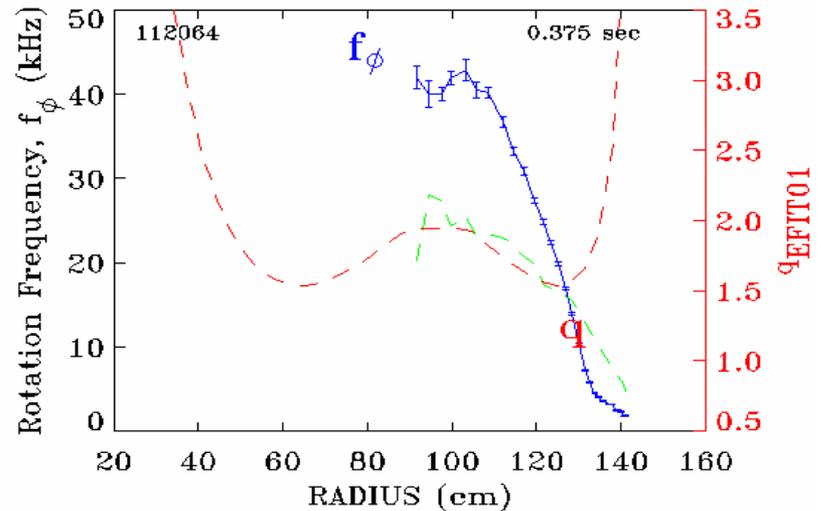
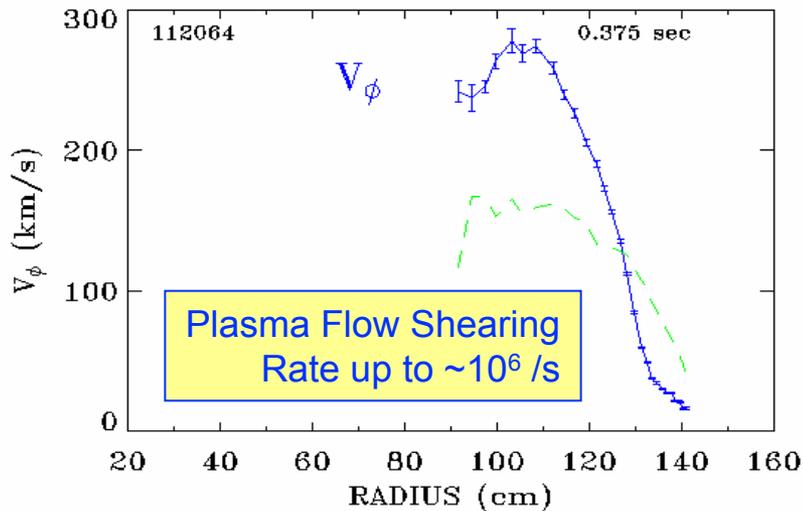
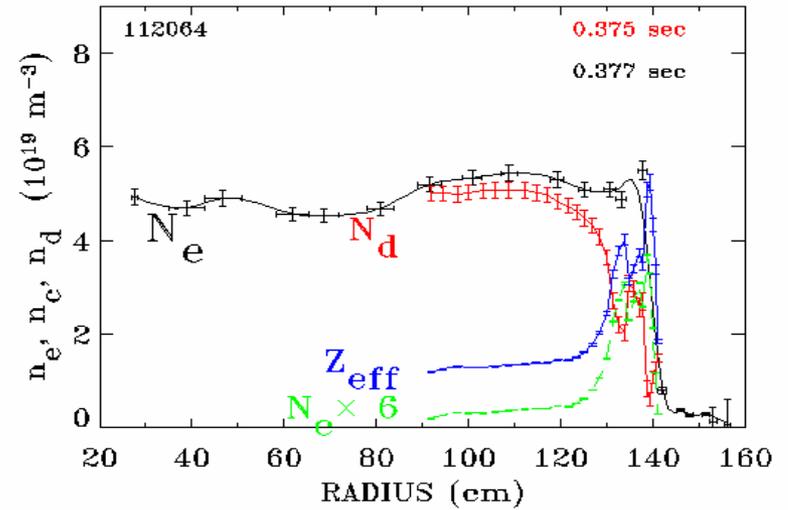
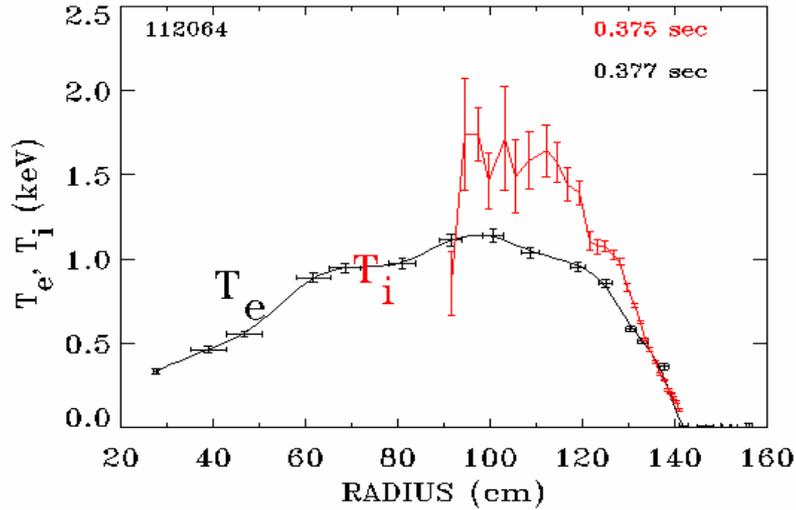
$$\beta_T = 2\mu_0 \langle p \rangle / B_{T0}^2 \leq 38\%$$

$$\beta_N = \beta_T / (I_p/aB_{T0}) \leq 6.4$$

$$\langle \beta \rangle = 2\mu_0 \langle p \rangle / \langle B^2 \rangle \leq 20\%$$

- **Obtained nearly sustained plasmas with neutral beam and bootstrap current alone**
 - Basis for neutral beam sustained ST **CTF** at $Q \sim 2$
 - Relevant to **ITER** hybrid mode optimization
- **To produce and study full non-inductive sustained plasmas**
 - Relevant to **DEMO**

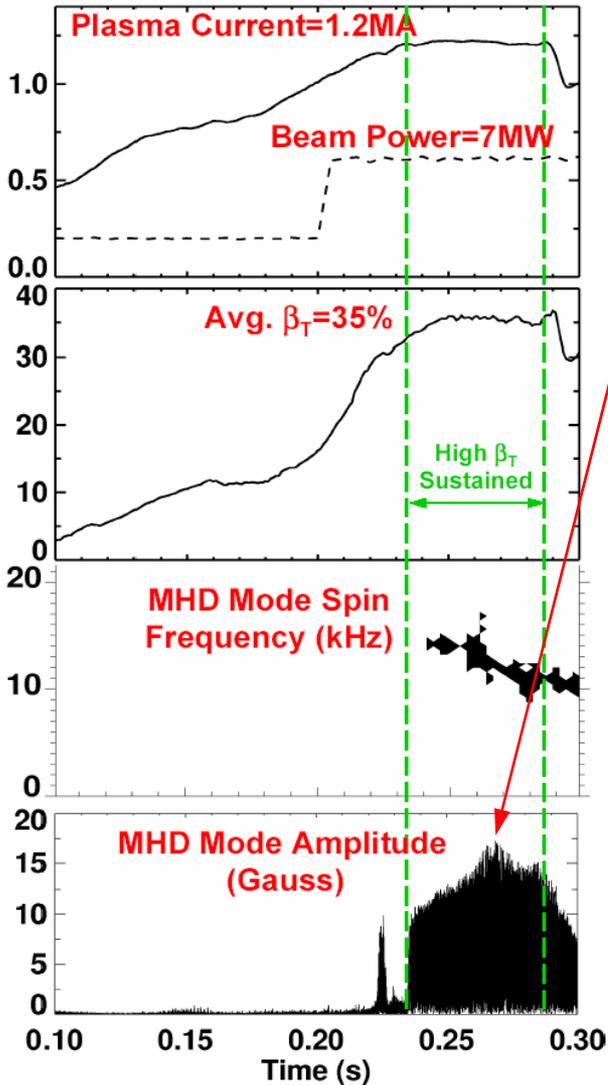
Detailed Measurements of Plasma Profiles Allows Physics Analysis and Interpretations



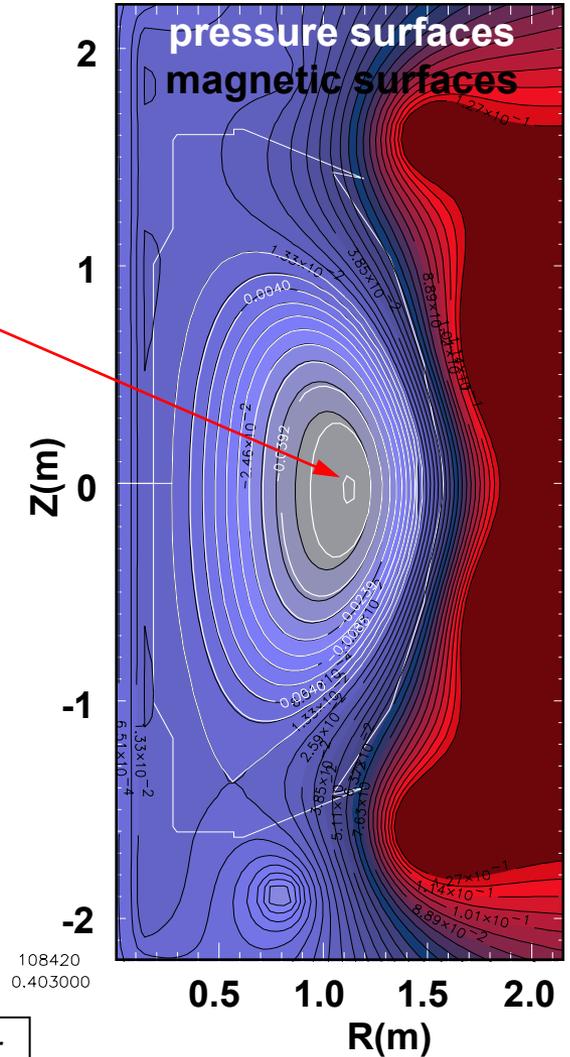
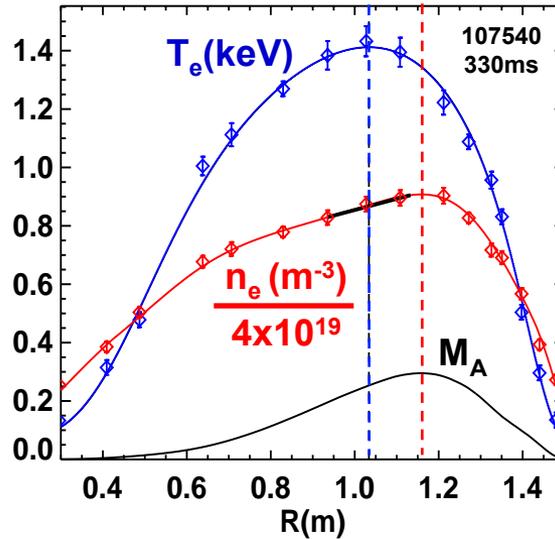
Strong Plasma Flow ($M_A = V_\phi / V_{\text{Alfvén}} \sim 0.3$) Has Large Effects on Equilibrium and Stability



Equilibrium Reconstruction with Flow



- Internal MHD modes stops growing
- Pressure axis shifts out by $\sim 10\%$ of outer minor radius
- Density axis shifts by $\sim 20\%$



Columbia U, GA, PPPL, U Rochester

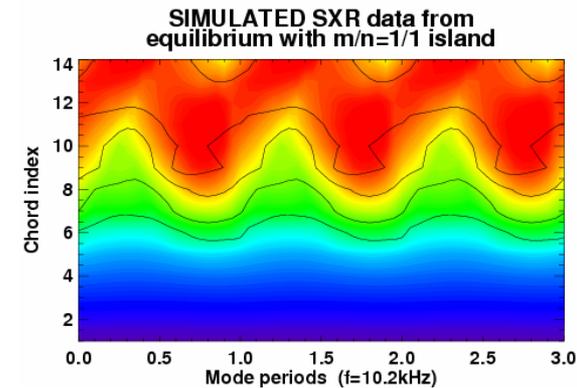
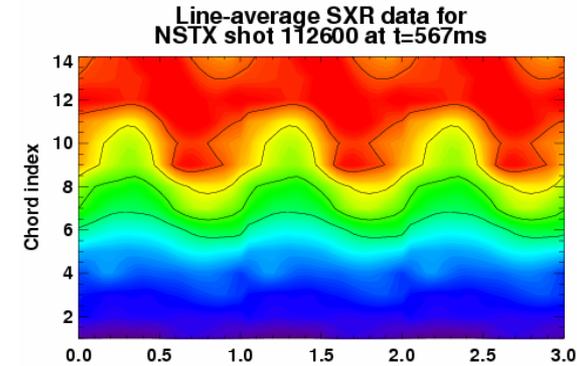
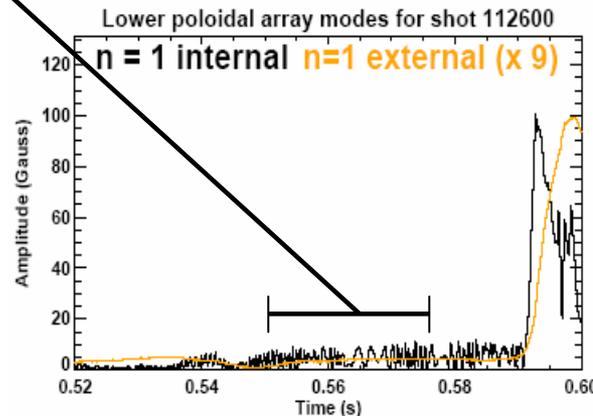
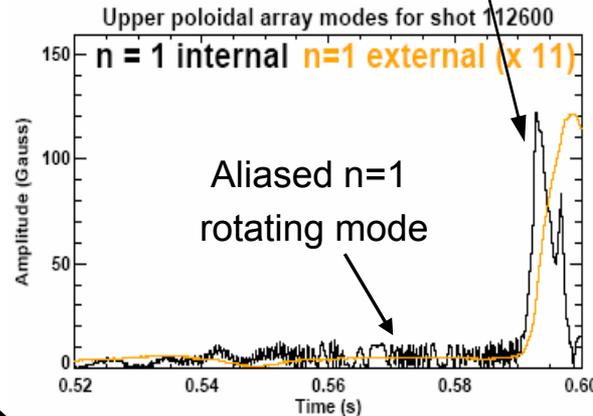
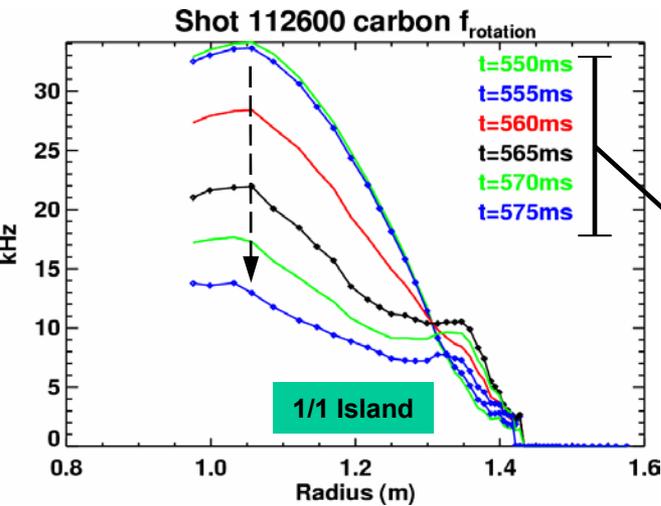
High-Resolution CHERS, SXR, and In-Vessel B_R and B_p Sensors Reveal Strong Mode-Rotation Interaction



CHarge-Exchange Recombination Spectroscopy (CHERS) shows v_ϕ collapse preceding β collapse

In-vessel sensors measure rotating mode as v_ϕ decays before mode locking

SXR shows rotating 1/1 mode during v_ϕ decay



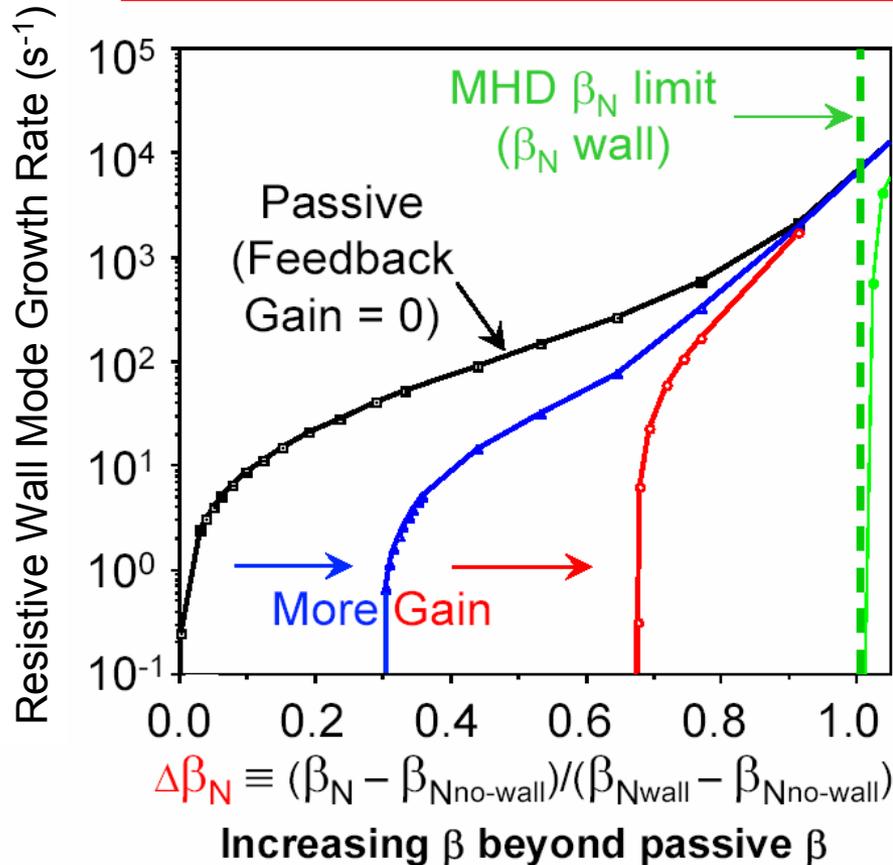
Sabbagh, Bell, Menard, Stutman

RWM, NTM, 1/1 modes, and rotation physics of high interest to ITER

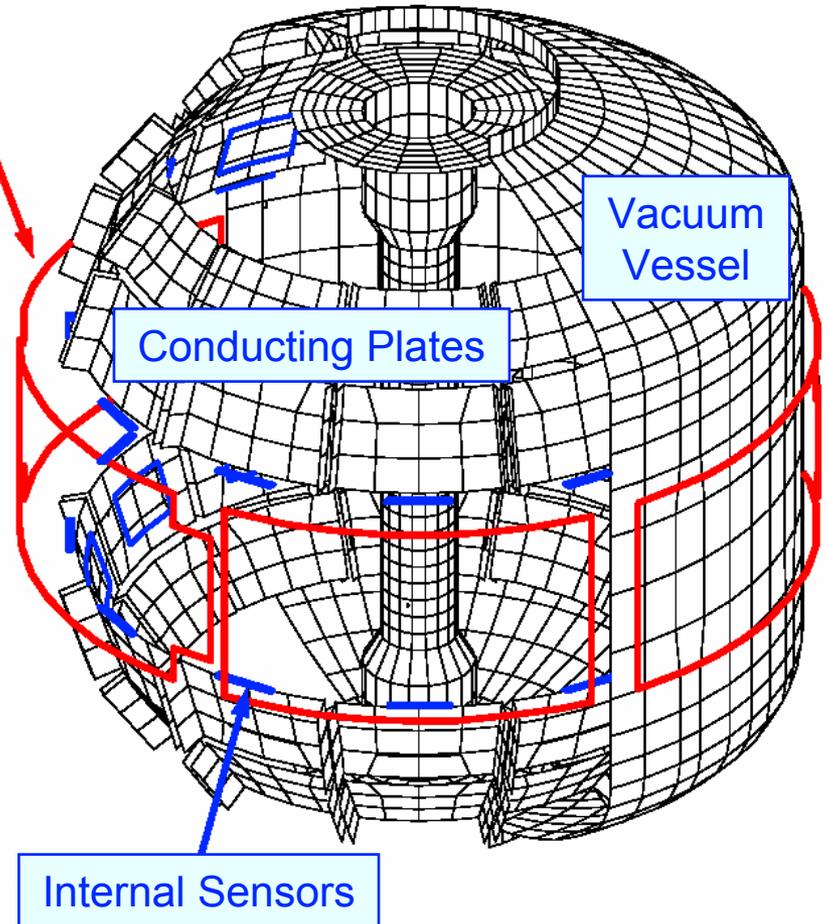
Active Control Will Enable Study of Wall Mode Interactions with Error Fields & Rotation at High β_T



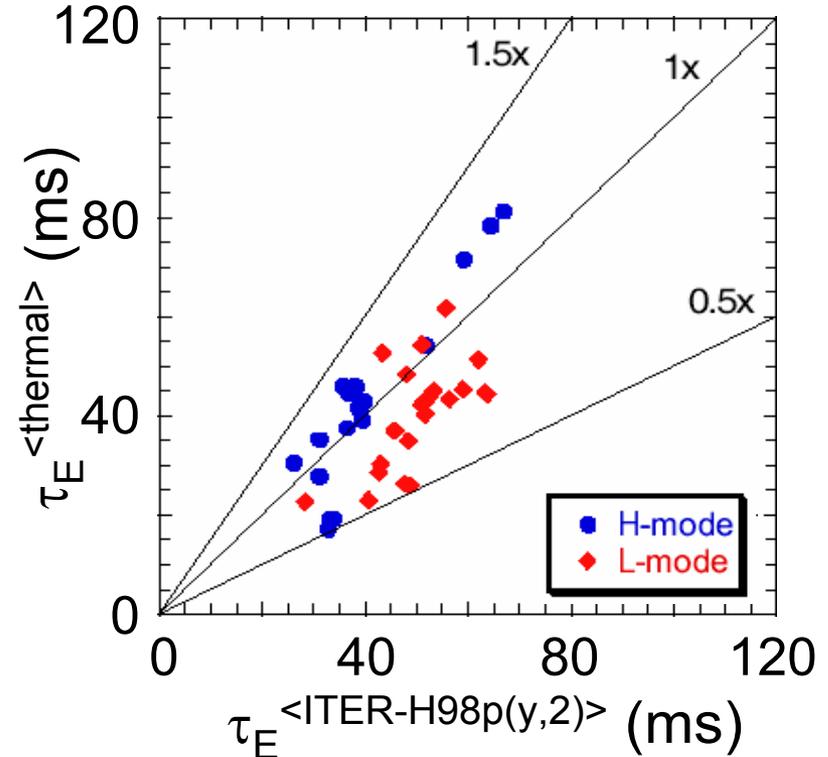
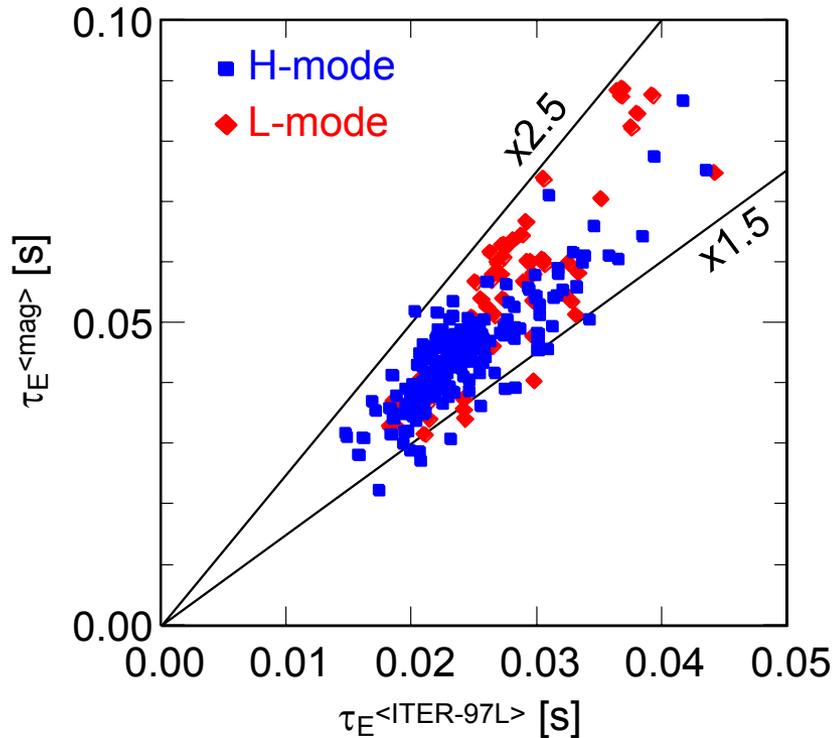
Ex-Vessel Feedback Control
Coils are being built



VALEN model of NSTX
(cutaway view)



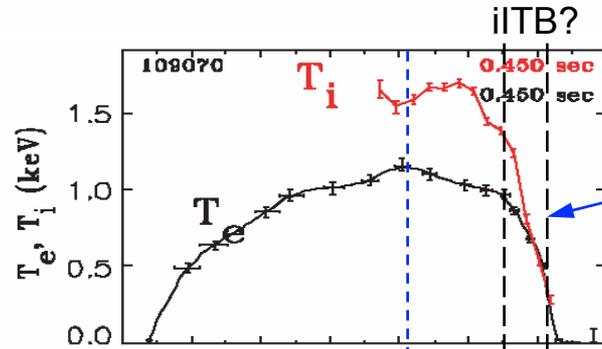
Global and Thermal τ_E 's Compare Favorably with Higher A Database



- Compare with ITER scaling for total confinement, including fast ions
- TRANSP analysis for thermal confinement

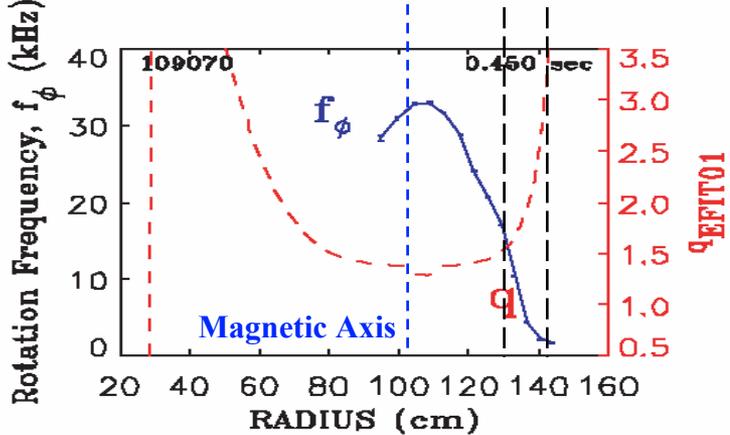
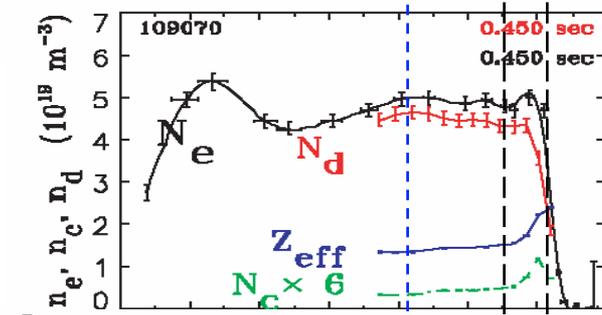
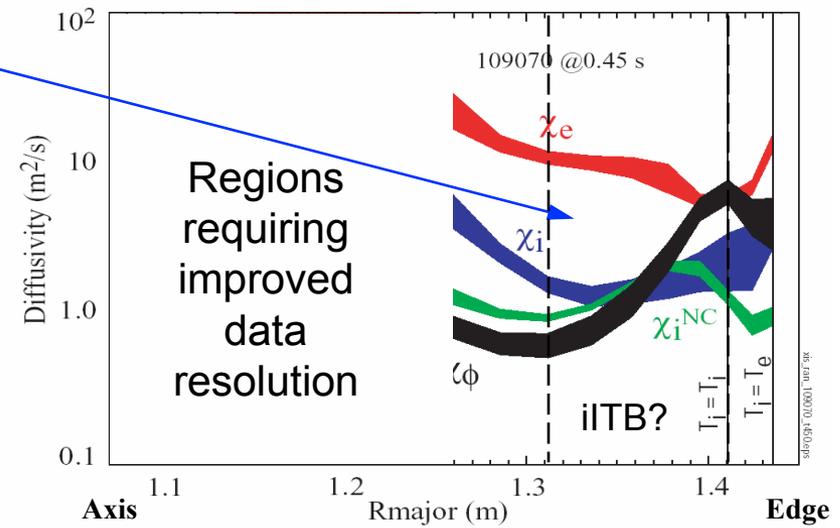
L-modes have higher non-thermal component and comparable τ_E ! Why?

Ion Internal Transport Barrier in Beam-Heated H-Mode Contrasts Improved Electron Confinement in L-Mode

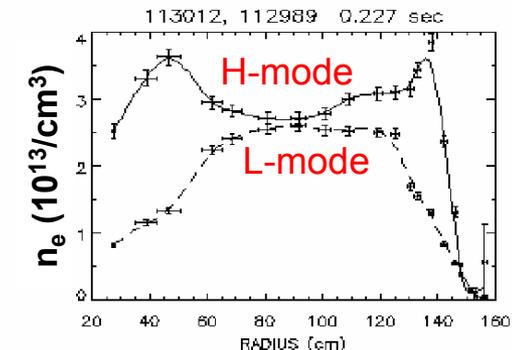
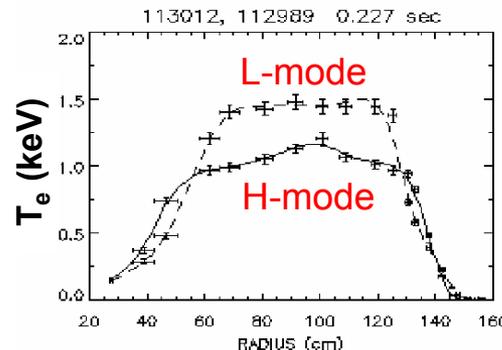


Transport Barrier region where $\chi_i \sim \chi_i^{NC}$ and $\chi_e \gg \chi_i$

Kinetic Profile Local Error Sampling



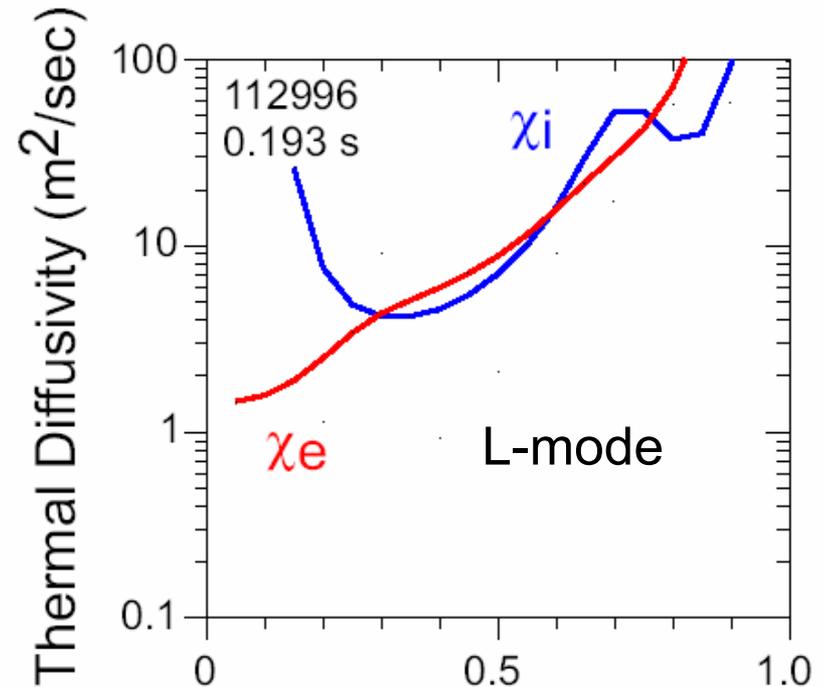
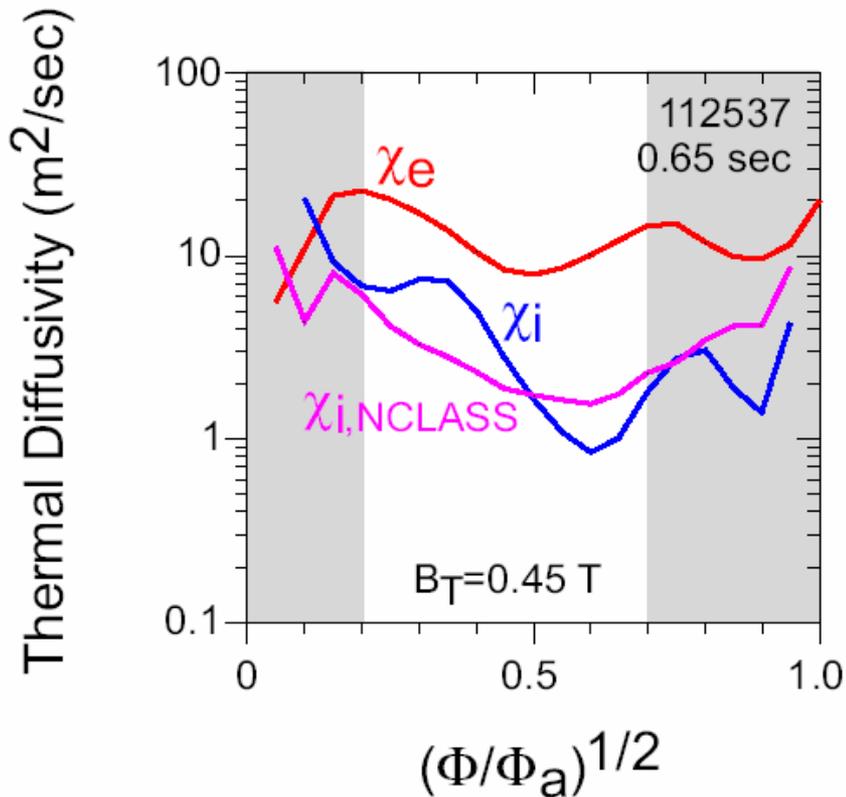
But L-mode plasmas show improved electron confinement! Why?



Transport Analysis of NSTX Plasmas Using TRANSP Confirms This Contrast



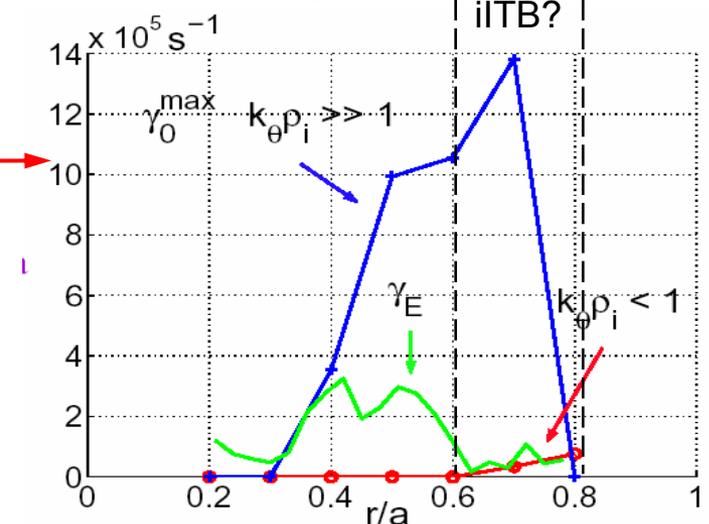
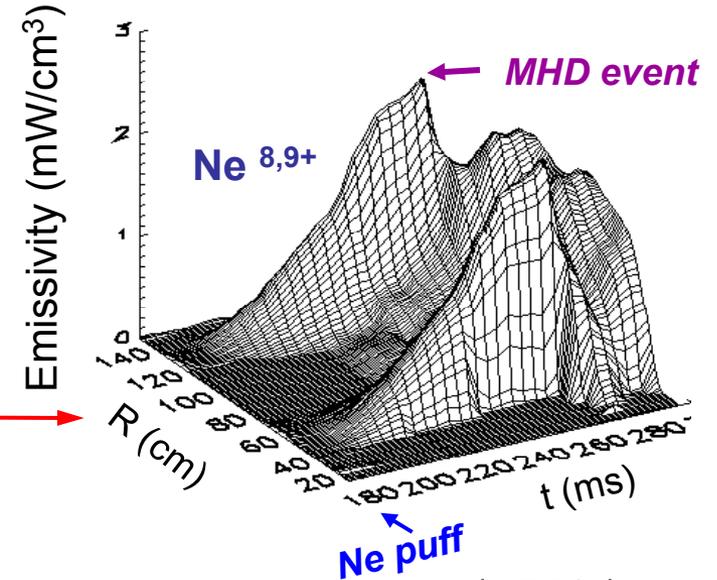
- $\chi_e \gg \chi_i \sim \chi_{NCLASS}$ in most H-mode
- $\chi_e \sim \chi_i$ in L-mode
- Diagnostic Resolution improvements continue



Analysis Shows Stability to Modes at Ion Gyro-Scale & Strong Instability at Electron Gyro-Scale (H-Mode)



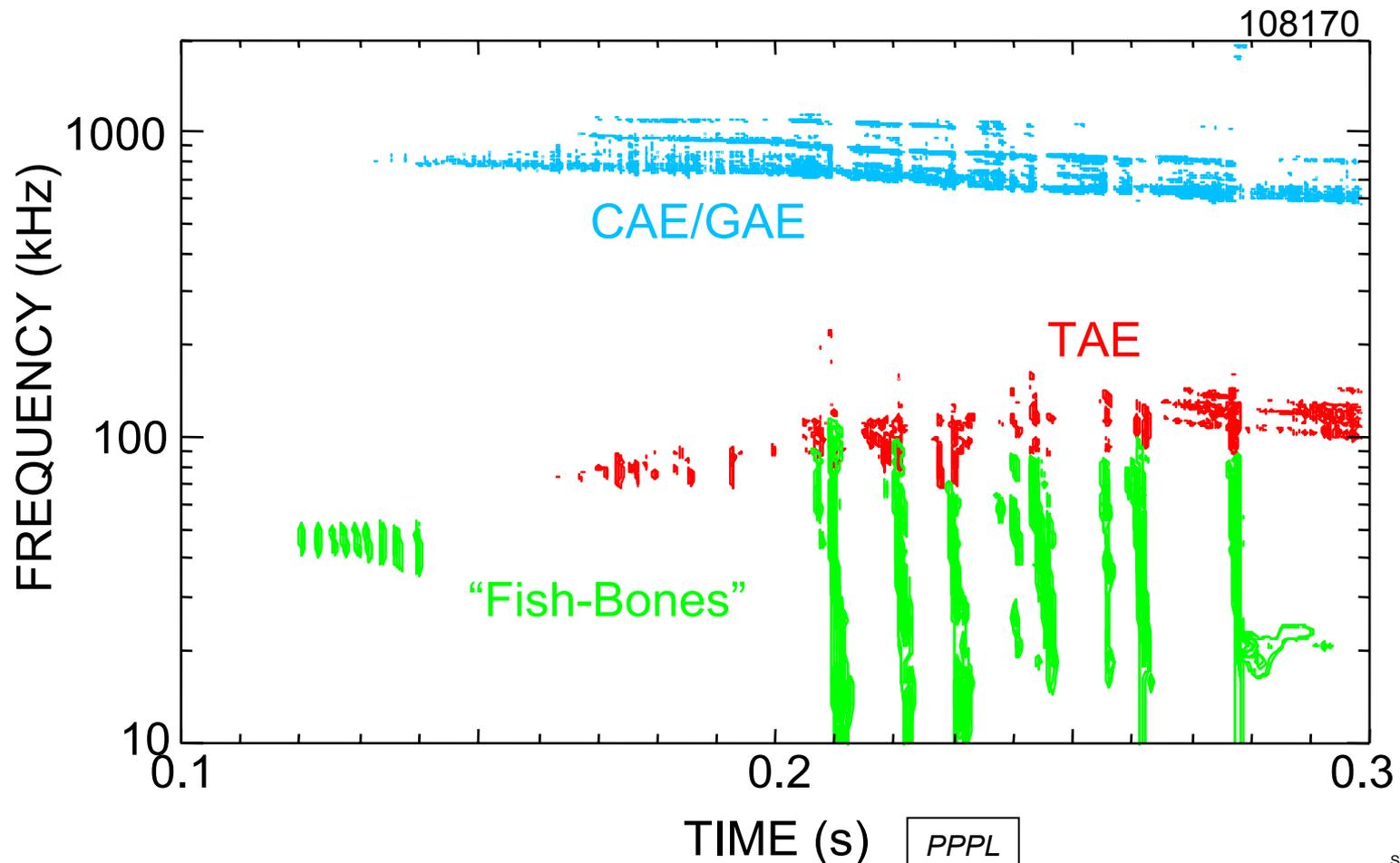
Core Transport Physics	In ion confinement zone
Thermal Conductivity	<ul style="list-style-type: none"> $\chi_{ion} \sim \chi_{neoclassical}$ $\chi_{elec} \gg \chi_{ion}$
Impurity Diffusivity	<ul style="list-style-type: none"> $D_{imp} \sim D_{neoclassical}$
Micro-instability calculations	<ul style="list-style-type: none"> Driven by T and n gradients $k_{\theta} \rho_i < 1$ (ion gyro-scale) stable or suppressed by V_{ϕ} shear $k_{\theta} \rho_i \gg 1$ (electron gyro-scale) strongly unstable



A Broad Spectrum of Energetic Particle Driven Modes is Seen on NSTX



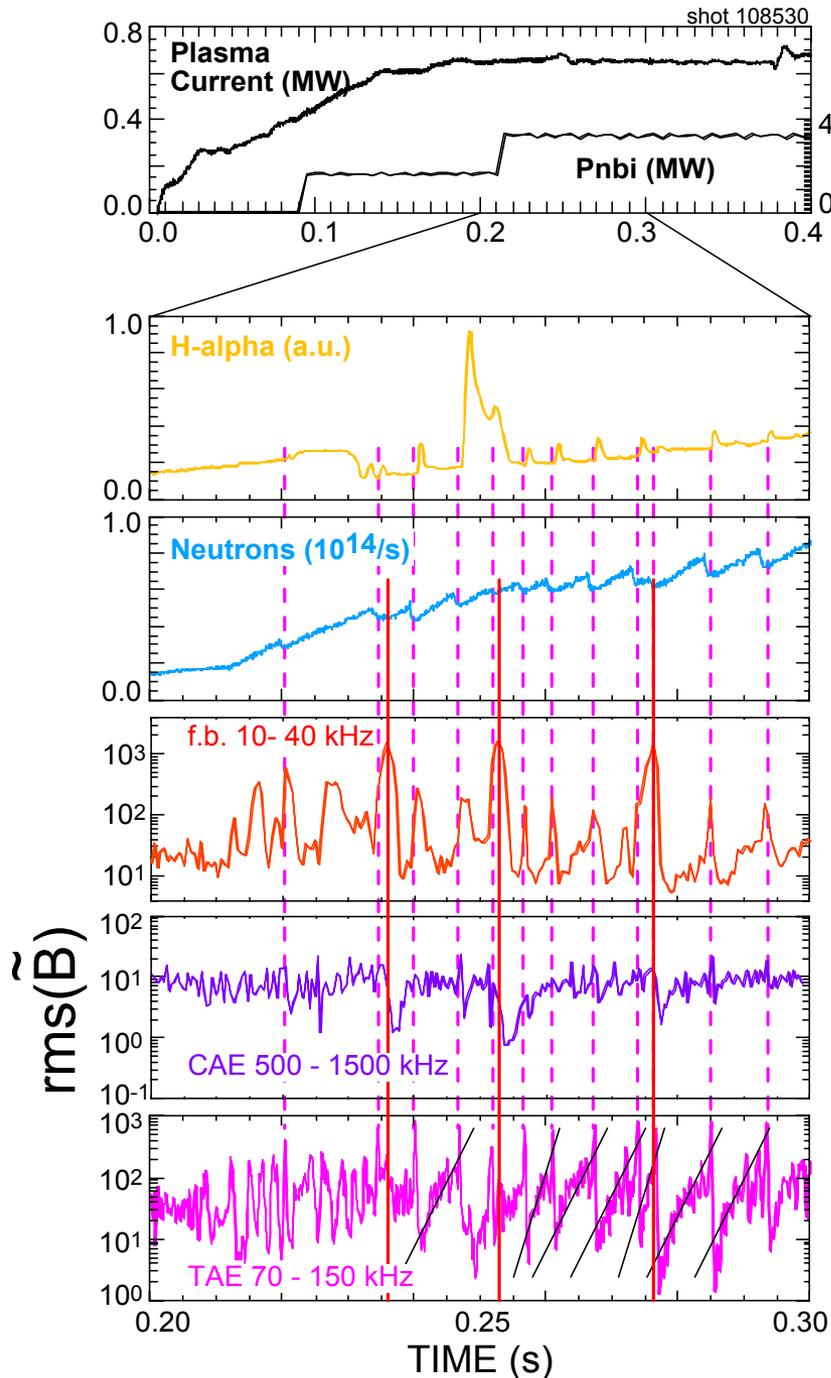
Do these Alfvén Eigenmodes (AEs) and fish-bones (f.b.s) interact to expel energetic particles?



TAE's, "Fish-Bones," and CAE/GAE's Can Interact to Expel Energetic Particles



($I_p = 0.65$ MA, $P_b = 3.6$ MW, $\beta_T = 10\%$)



Synchronous sudden activities of

- Edge $D\alpha$ rises; D-D neutron drops
- Fish-bone modes rises
- TAE mode crashes
- Separately, asynchronous drops of f.b. and CAE modes
- So far observed for $\beta_T \leq 10\%$ and $I_p \leq 700$ kA \Rightarrow high- β effects?
- NPA measured depletion for 50-80 kV at higher β_T – MHD ($m/n=4/2$) induced?
- Nonlinear effects relevant to lower β burning plasmas (ITER)

NSTX RF Research Explores High Dielectric ($\epsilon \sim 100$) Effects for Efficient Heating & Current Drive



M. Ono (1995): High Harmonic Fast Wave (HHFW) decay (absorption) rate:

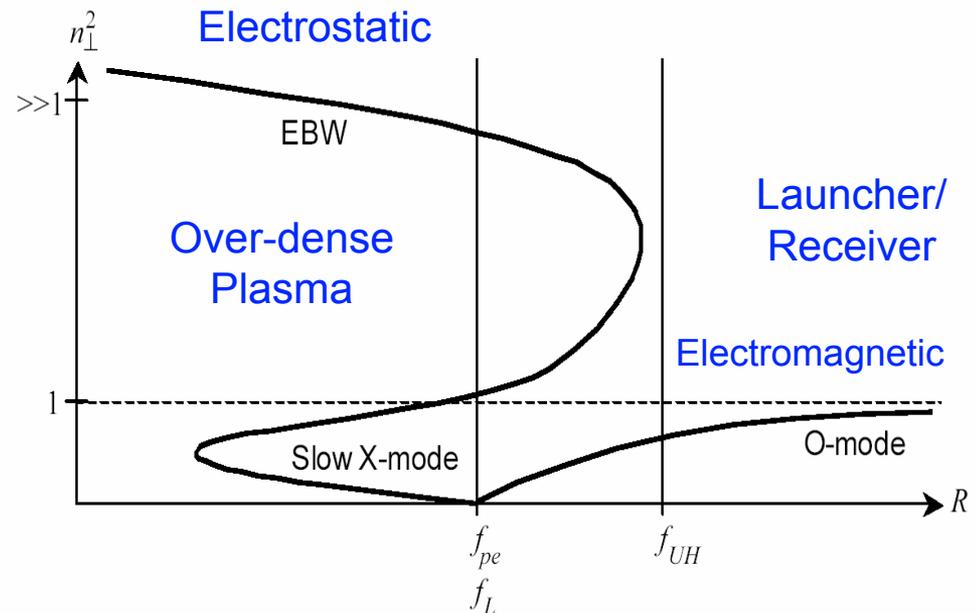
$$k_{\perp im} \sim n_e / B^3 \sim \epsilon / B,$$

$$\epsilon = \omega_{pe}^2 / \omega_{ce}^2 \sim 10^2$$

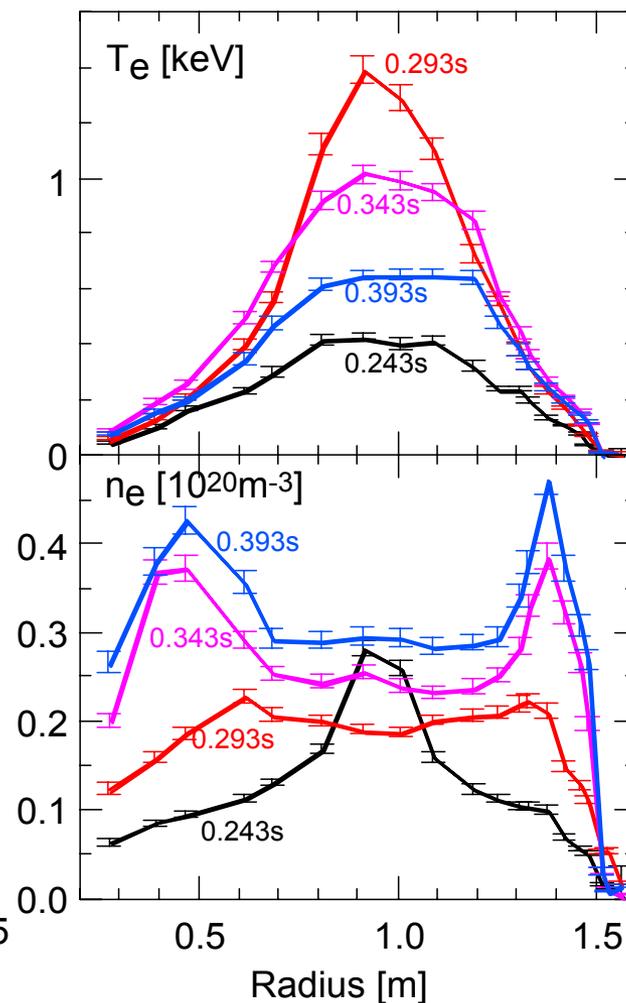
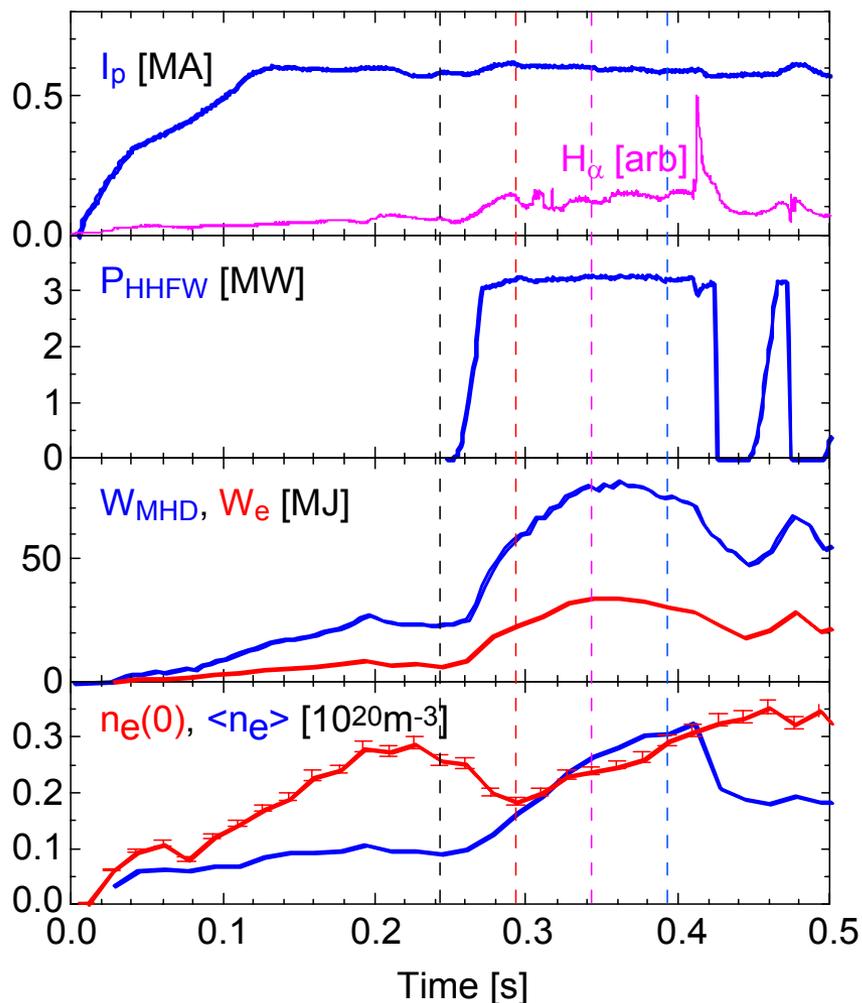
Laqua et al (1997): Conversion of oblique O-mode to slow X-mode to Electron Bernstein Wave (EBW):



12 HHFW ANTENNA



HHFW: Heat Electrons and Trigger H-Modes, Relevant to Slowly Rotating ITER Plasmas



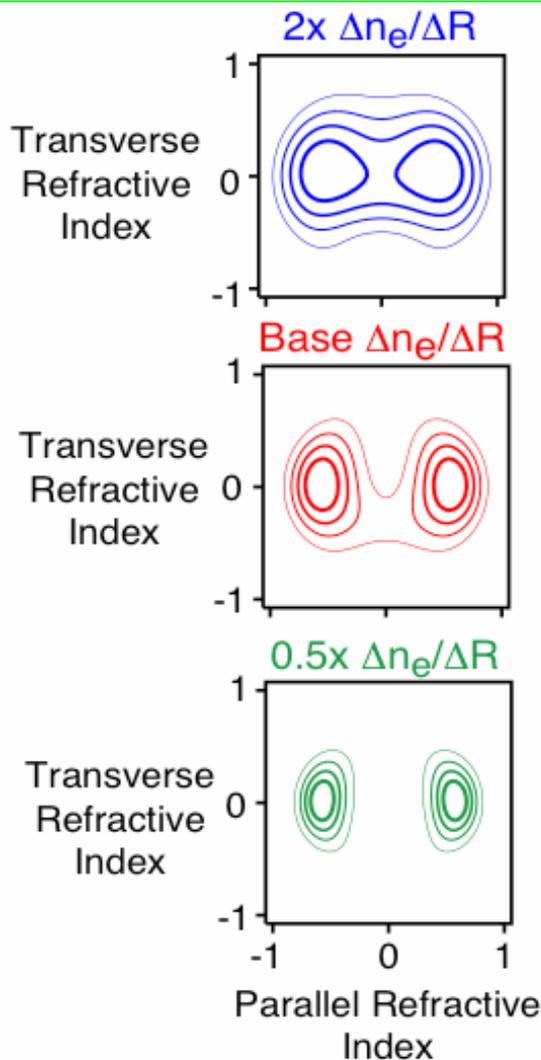
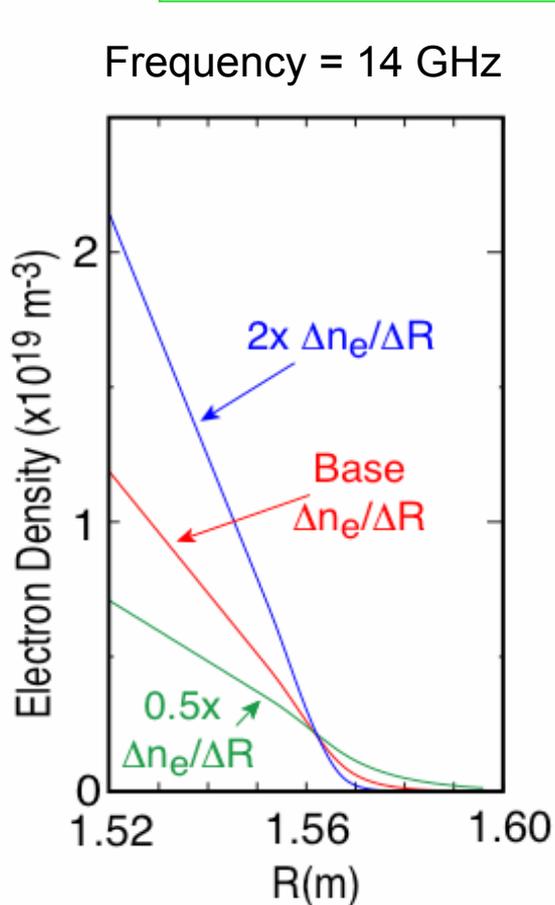
LeBlanc

- Antenna operated in $6 \times (0-\pi)$ phasing for slow wave: $k_T \approx 14m^{-1}$

Electron Bernstein Wave: Oblique "O-X-B" Launch Is Resilient to Changes in Edge Density Gradient

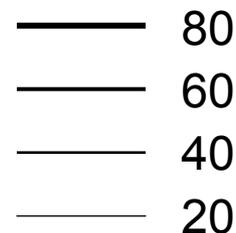


Efficient conversion between ECW and EBW predicted.



- Optimum $n_{//} = 0.55$; toroidal angle $\sim 34^\circ$ from normal to \mathbf{B}
- $> 75\%$ coupling for O-X-B antenna with ± 5 degree beam spread

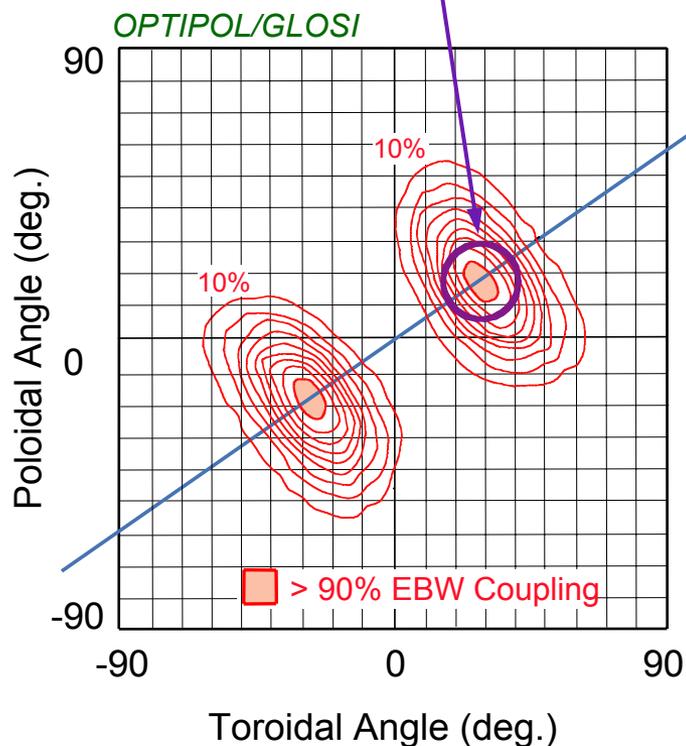
EBW Coupling (%)



EBW Emission Shows Near-Circular Polarization and $T_{\text{rad}}/T_e \sim 70\%$, Consistent with Modeling

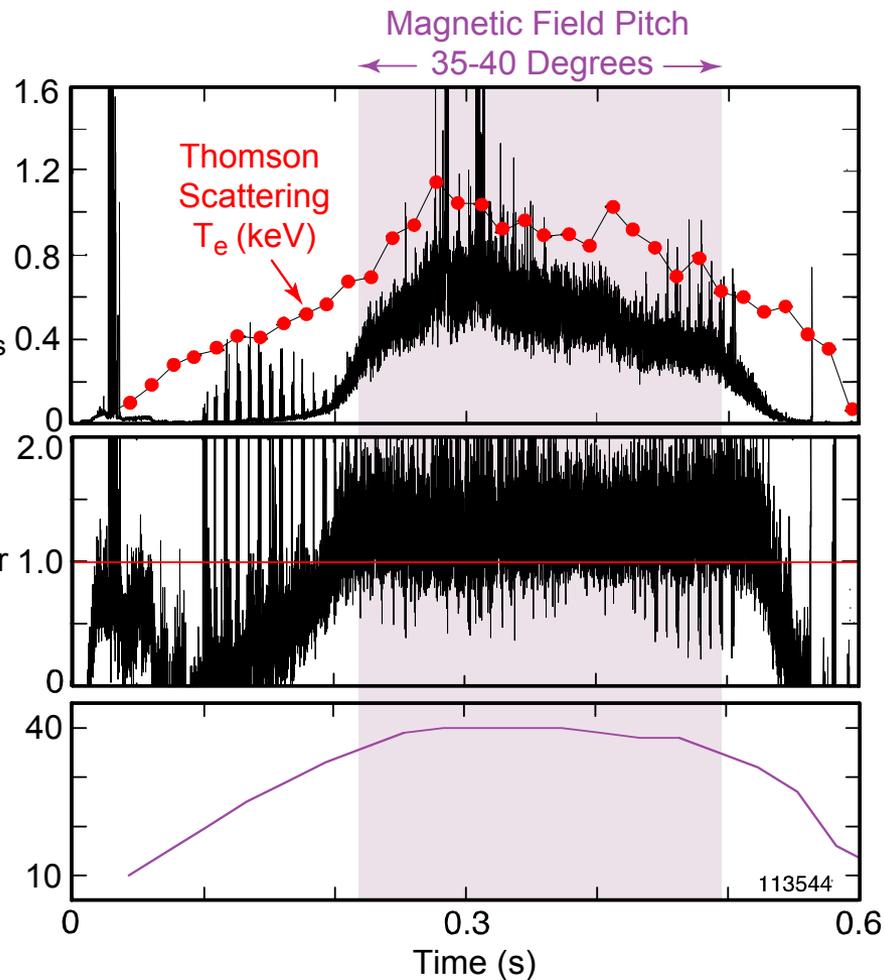


Approx. Antenna Acceptance Angle
Average Coupling $\sim 70\%$

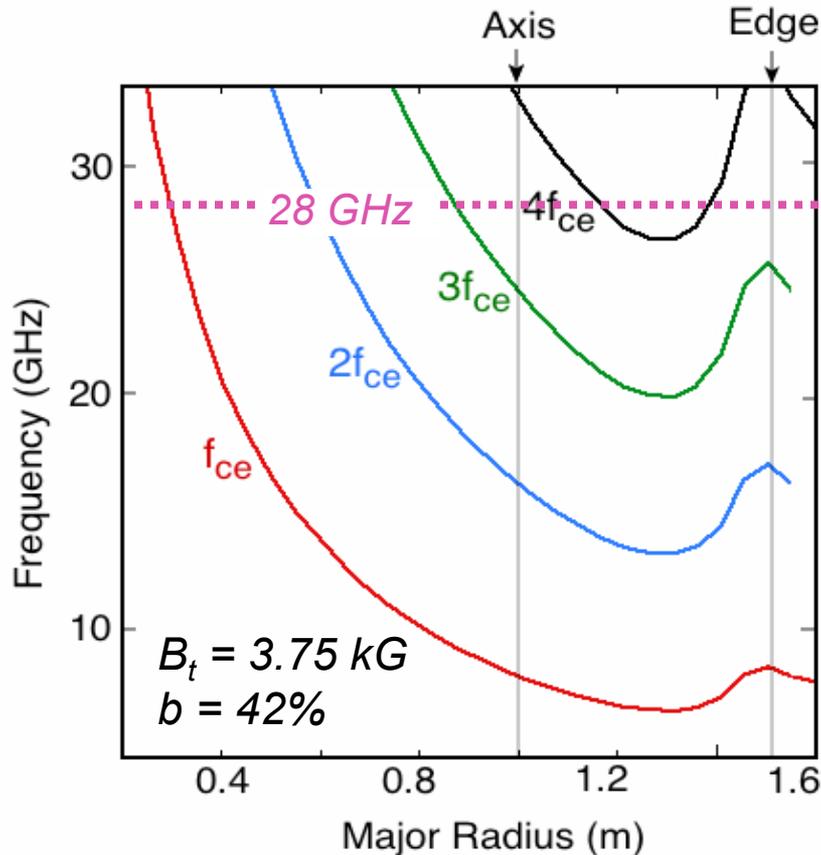


Frequency = 16.5 GHz

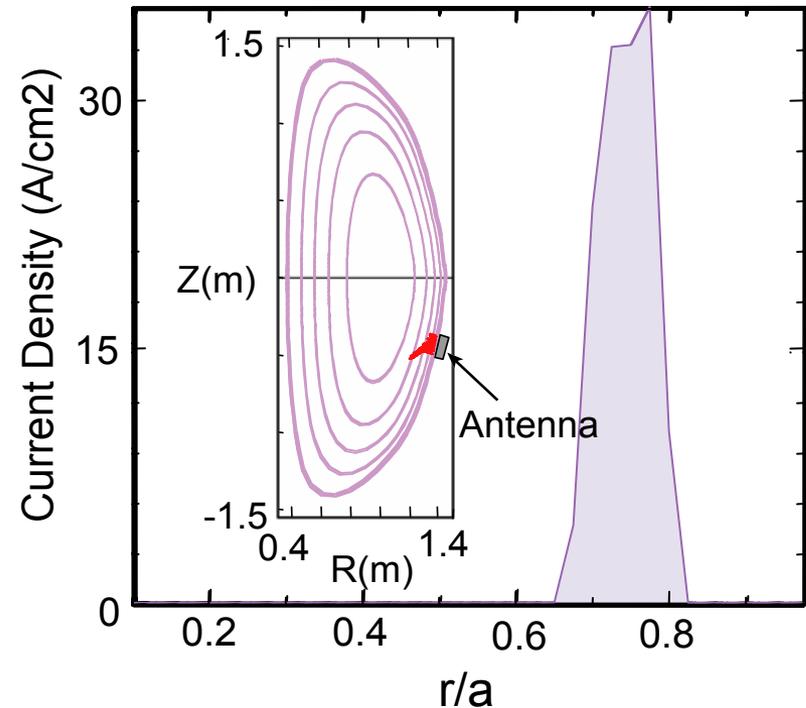
Total EBW
 T_{rad} (keV)
(Including Window/Lens Loss)



Modeling Predicts that 28 GHz EBW Can Drive Efficient Off-Axis Current at Plasma $\beta \sim 40\%$

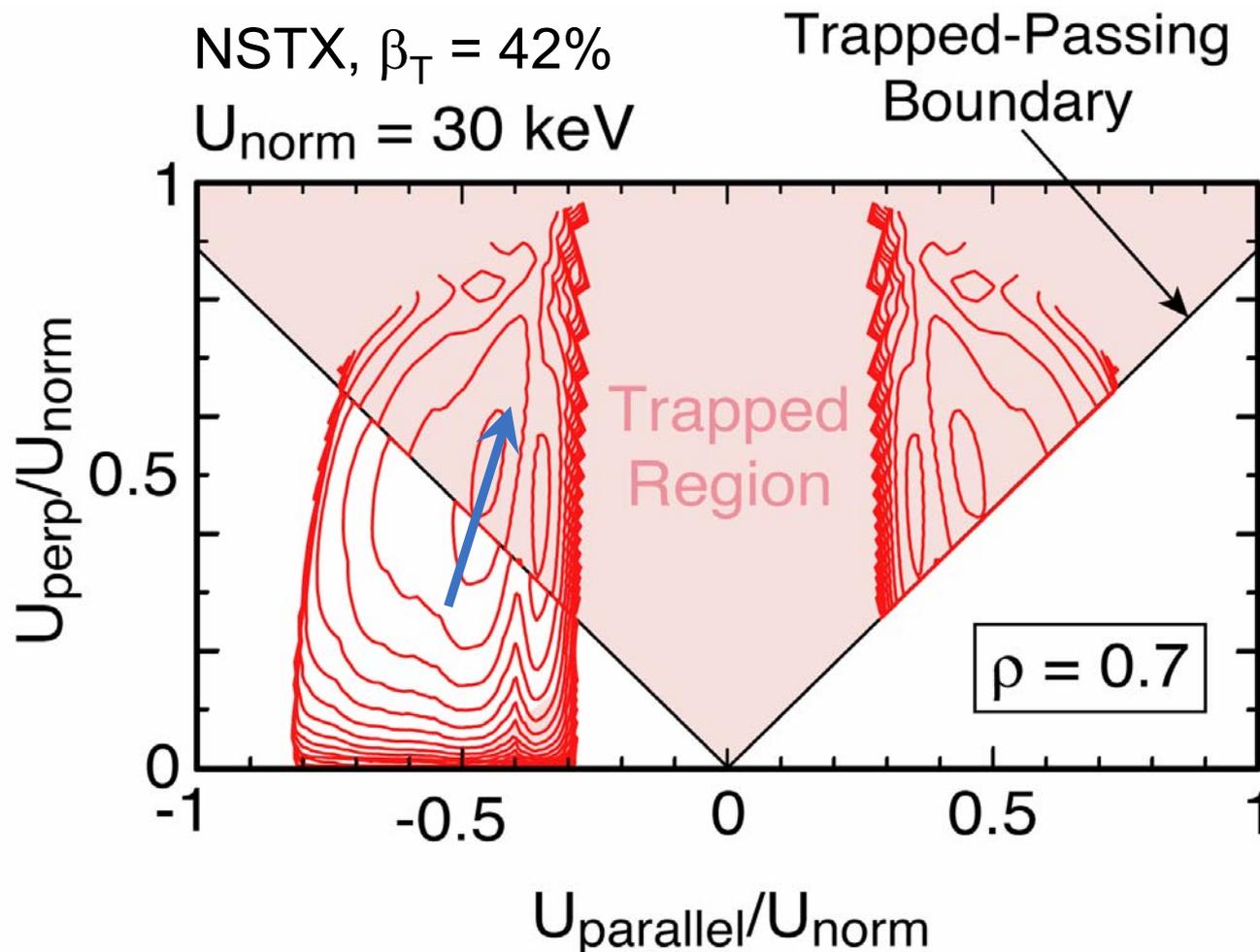


Frequency = 28 GHz
EBW Power = 3 MW
Total Driven Current = 135 kA



- EBW ray tracing, deposition and CD efficiency being studied with GENRAY & CQL3D for frequencies between 14 to 28 GHz

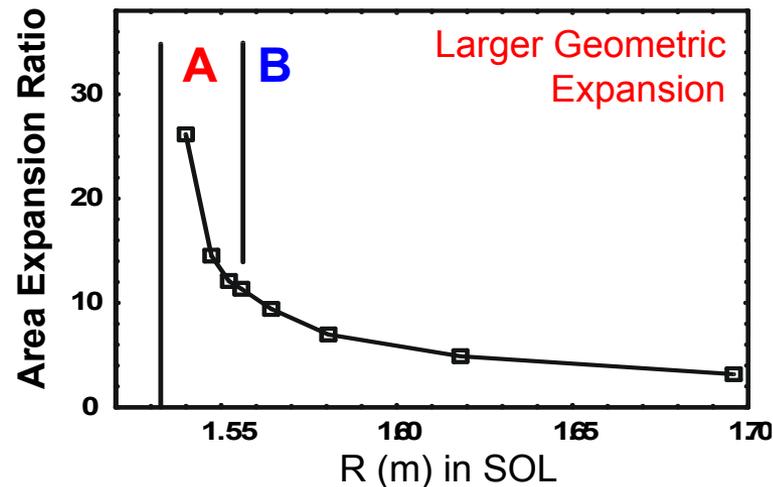
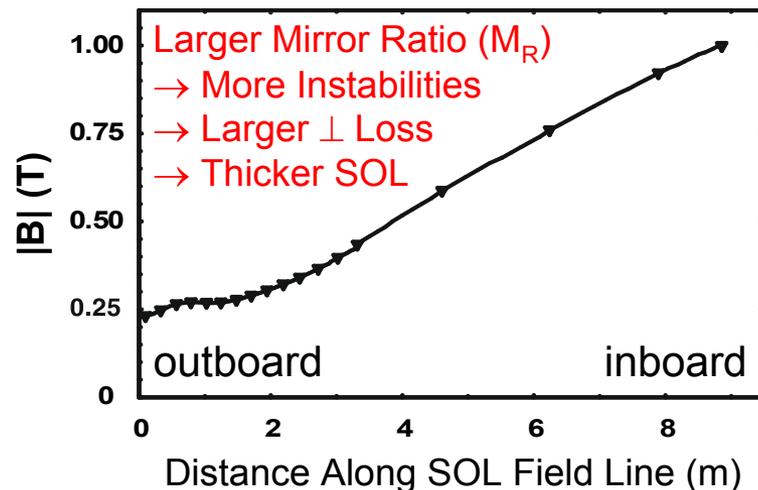
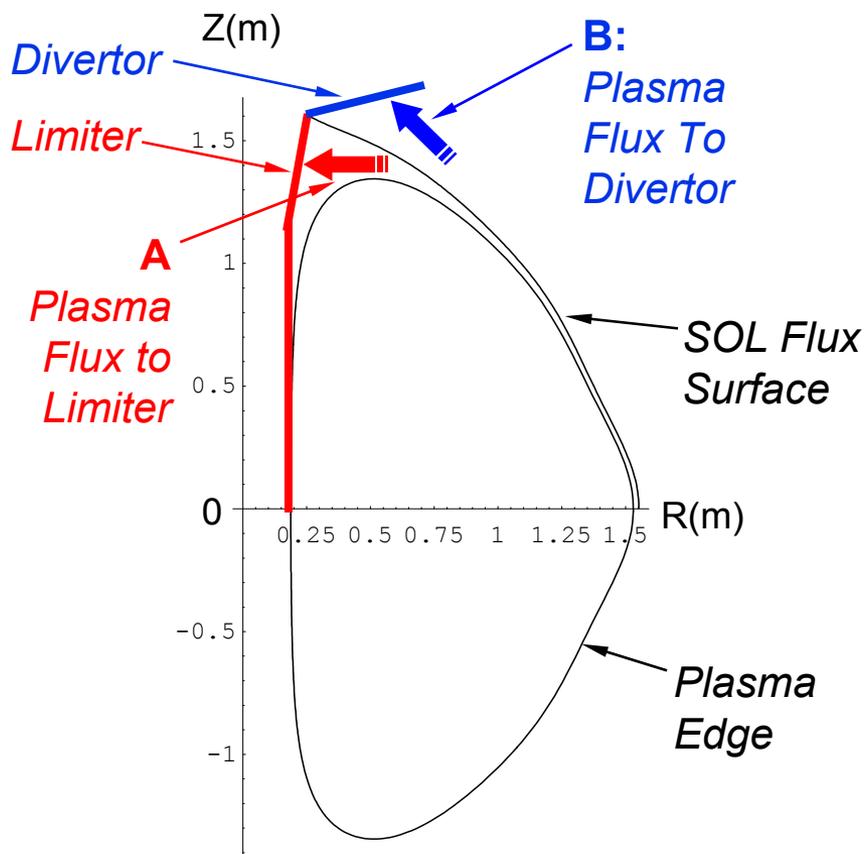
Strong Diffusion Near Trapped-Passing Boundary Enables Efficient Ohkawa Current Drive



ST Plasma Edge Possesses Large Mirror Ratio & Geometric Expansion of Scrape-Off Layer (SOL)



Scrape-Off Layer Geometry of Inboard Limited ST Plasma



Increased SOL Mirror Ratio (M_R) \Rightarrow Increased Footprint & Decreased Peak of Divertor Heat Flux



Factor of ~ 2 in R_{div} and M_R

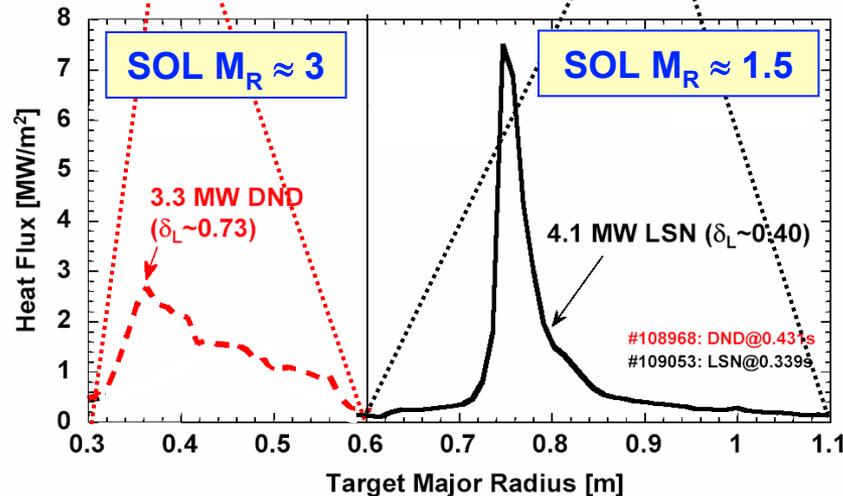
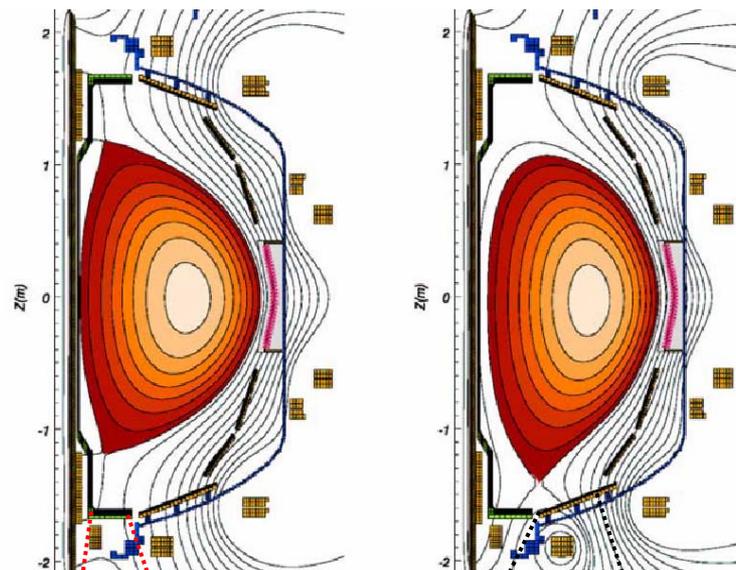


Factor of ~ 3 in Δ_{div}

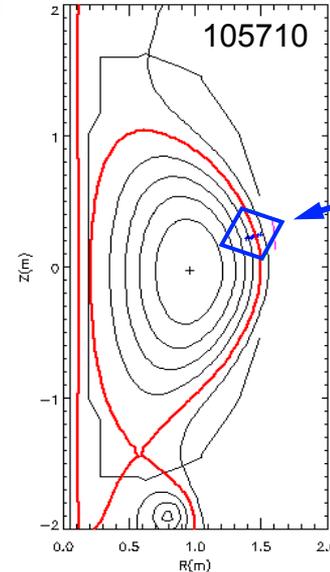
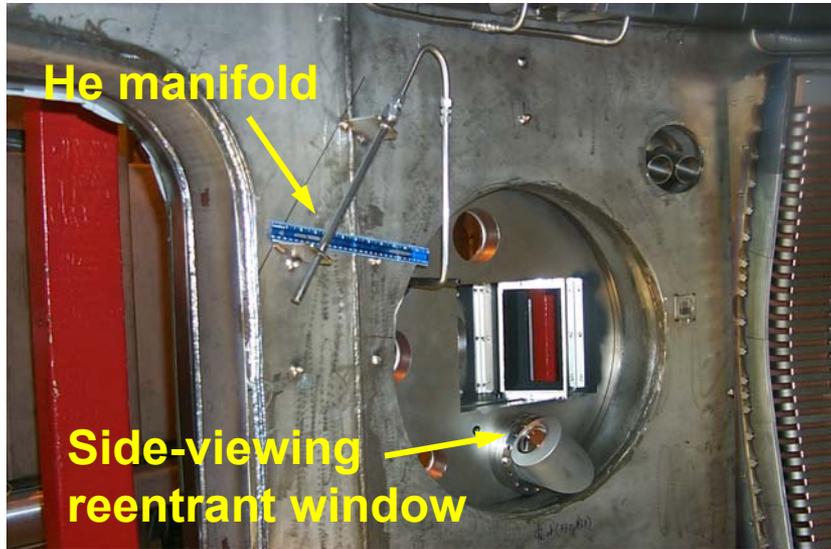
Why?

High & Low δ Divertor Bolometer Measurements

R_{div} (m)	0.36	0.75
SOL M_R	~ 3	~ 1.5
Δ_{div} (m)	~ 0.3	~ 0.12



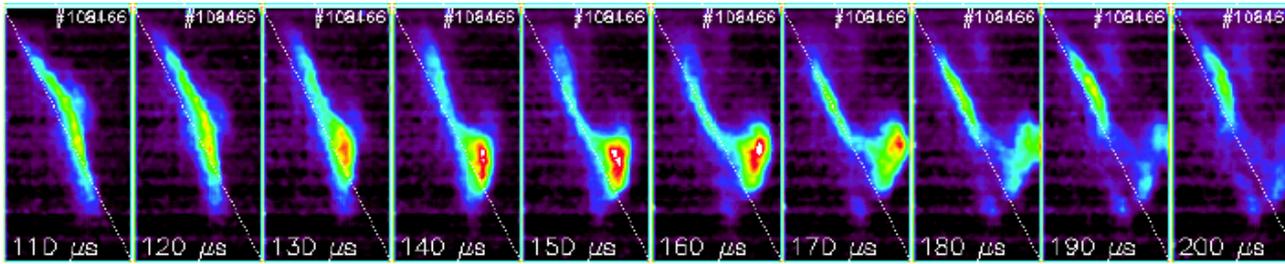
Plasma Edge Studies Reveal Turbulence and “Blobs” Important to Divertor Flux Scaling Studies



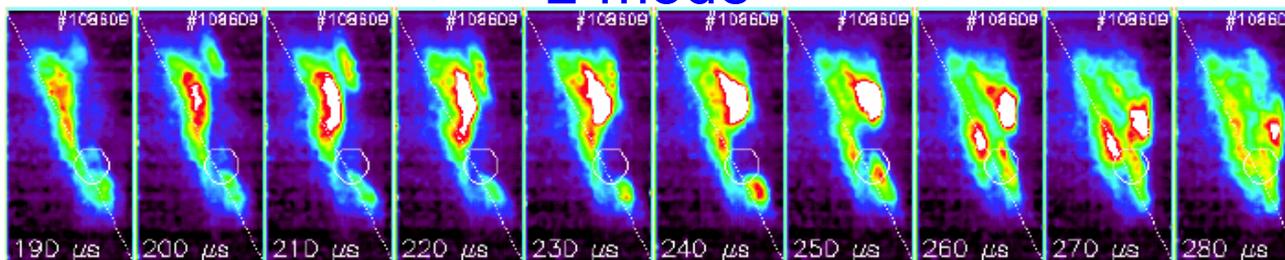
Broadly Based Study:

- **Gas Puff Imaging** views along field lines (PPPL, LANL)
- **Very fast camera**, $10^5/s$ (PSI)
- **Reflectometers and edge** (UCLA, ORNL)
- **Reciprocating probe** (UCSD)
- **Divertor fast camera** (Hiroshima U)
- **IR Cameras** (ORNL), **Filterscope** (PPPL)
- **Modeling** (PPPL, UCSD, LLNL, Lodestar)

H-mode

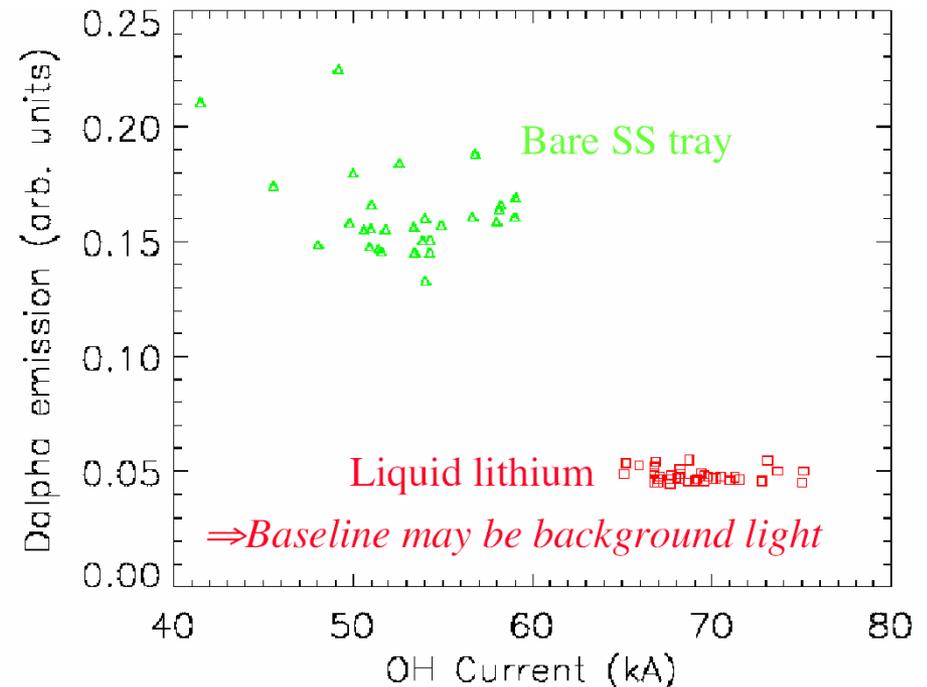
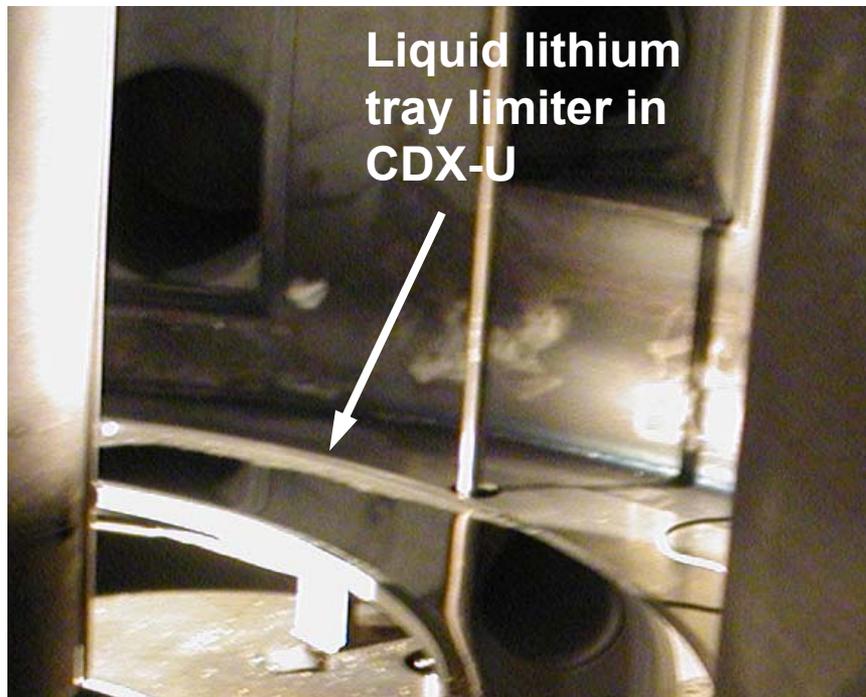


L-mode



CDX-U Is Testing Innovative Lithium Plasma Facing Component Effects, to Control Recycling

- First successful test of toroidal liquid lithium tray limiter
- Dramatic reduction in plasma edge fuel recycling, lowering impurity influx and loop voltage
- NSTX tests of lithium pellets and lithium wall coating in 2004



Tray after ~40 discharges.

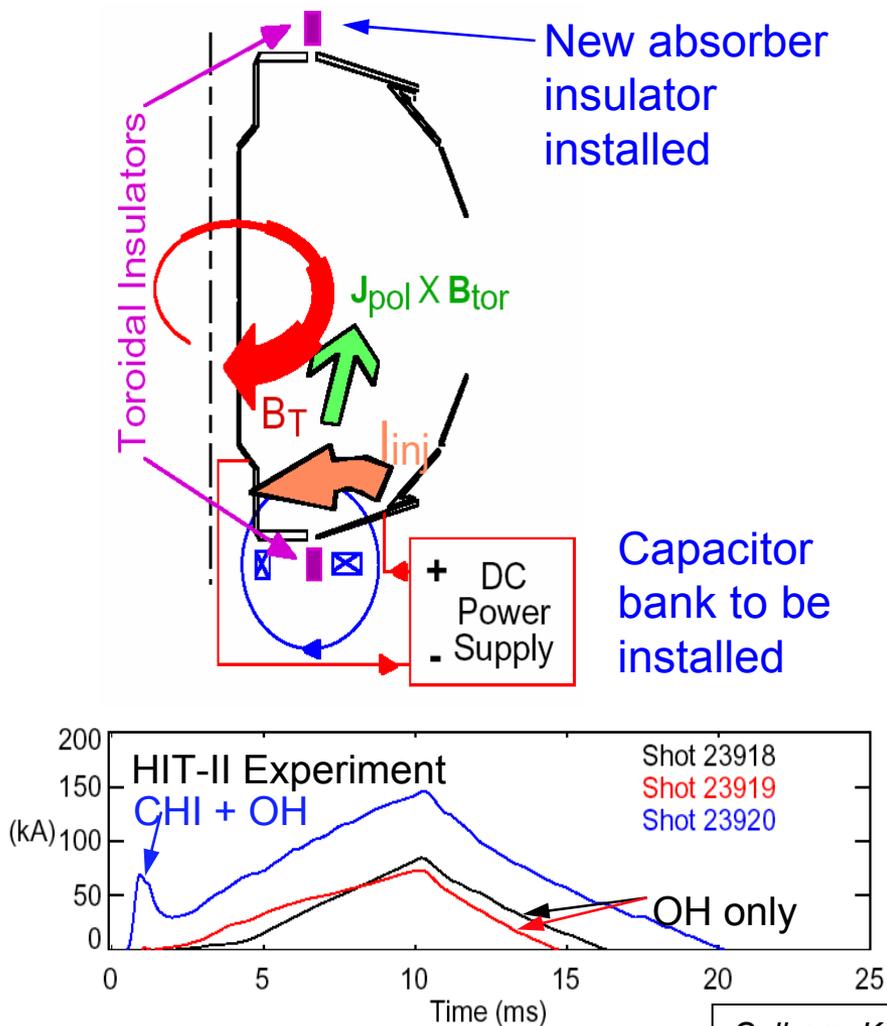


PPPL, UCSD, ORNL, SNL

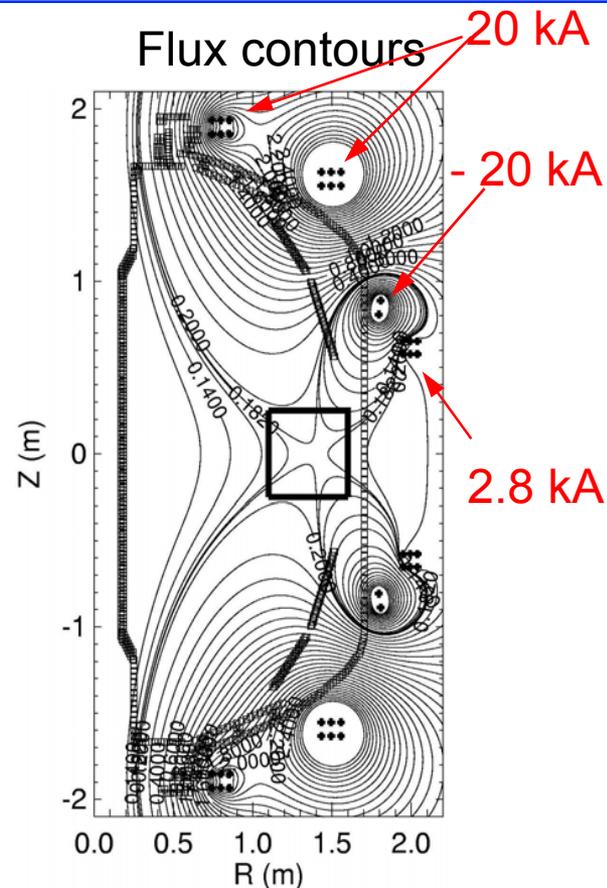
Solenoid Free Start-Up via Coaxial Helicity Injection & Outer Poloidal Field Coil Are Being Tested



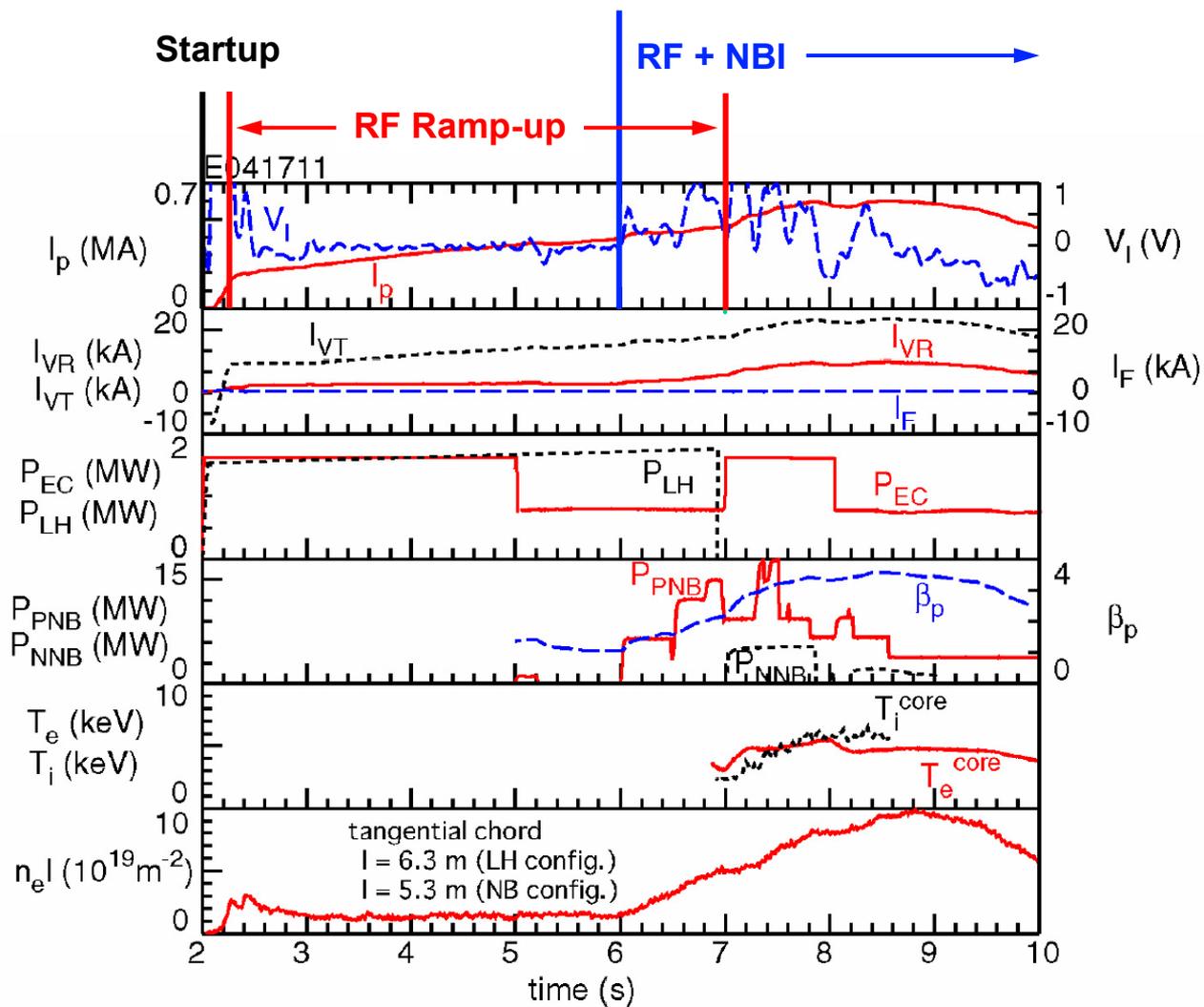
Coaxial Helicity Injection Tests



Three Outer Poloidal Field Startup Scenarios, e.g.: Outboard Field Null



JT-60U Tests on Solenoid-Free Start-Up via RF and NBI Offers Additional Exciting Opportunities

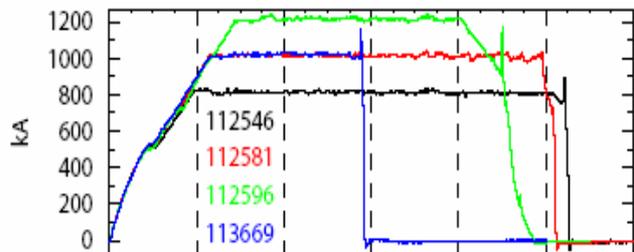


- **JT-60U**: from 200 kA to 700 kA with LHW + NBI (2002)
- PLT: 100 kA with LHW (1980s)
- CDX-U, TST-2: up to 4 kA with ECH
- MAST: 1-MW ECH
- NSTX: to develop and test up to 4-MW EBW in 5 years
- Utilize outer PF coil induction with simple ramp

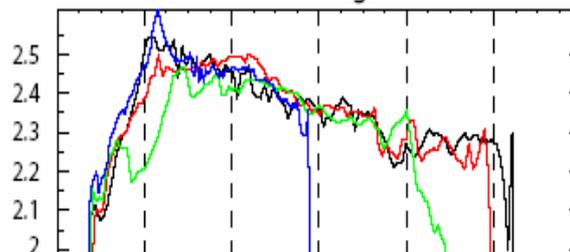
Nearly Sustained Plasmas with Broader Values of κ , l_i , I_p , and β_T Can Contribute to ITER Hybrid Scenario



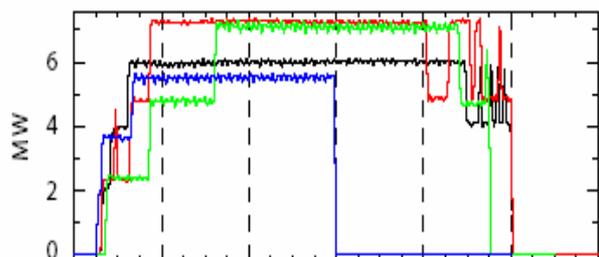
Plasma current



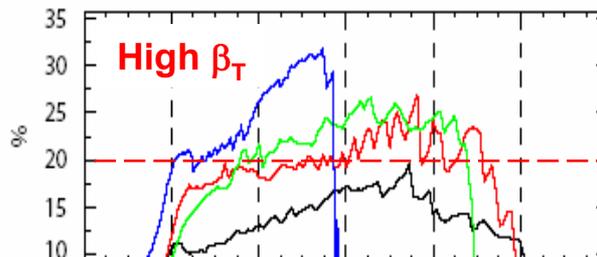
Plasma elongation



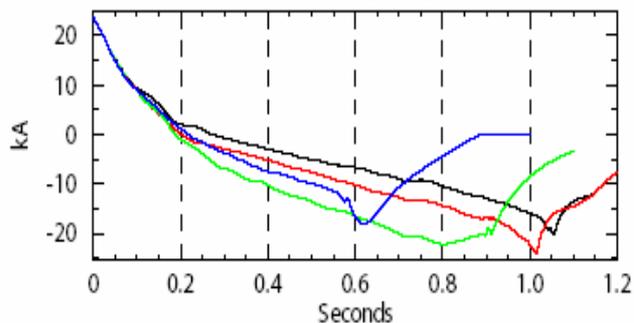
Neutral Beam Power



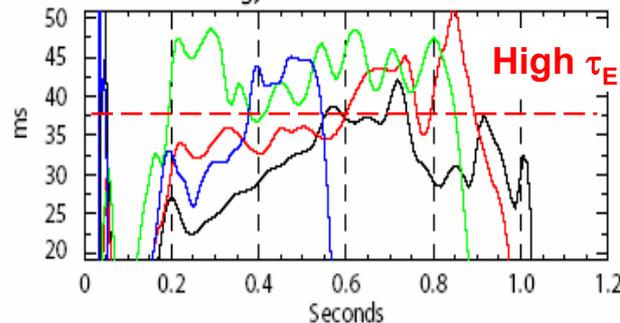
Toroidal beta



OH Coil Current



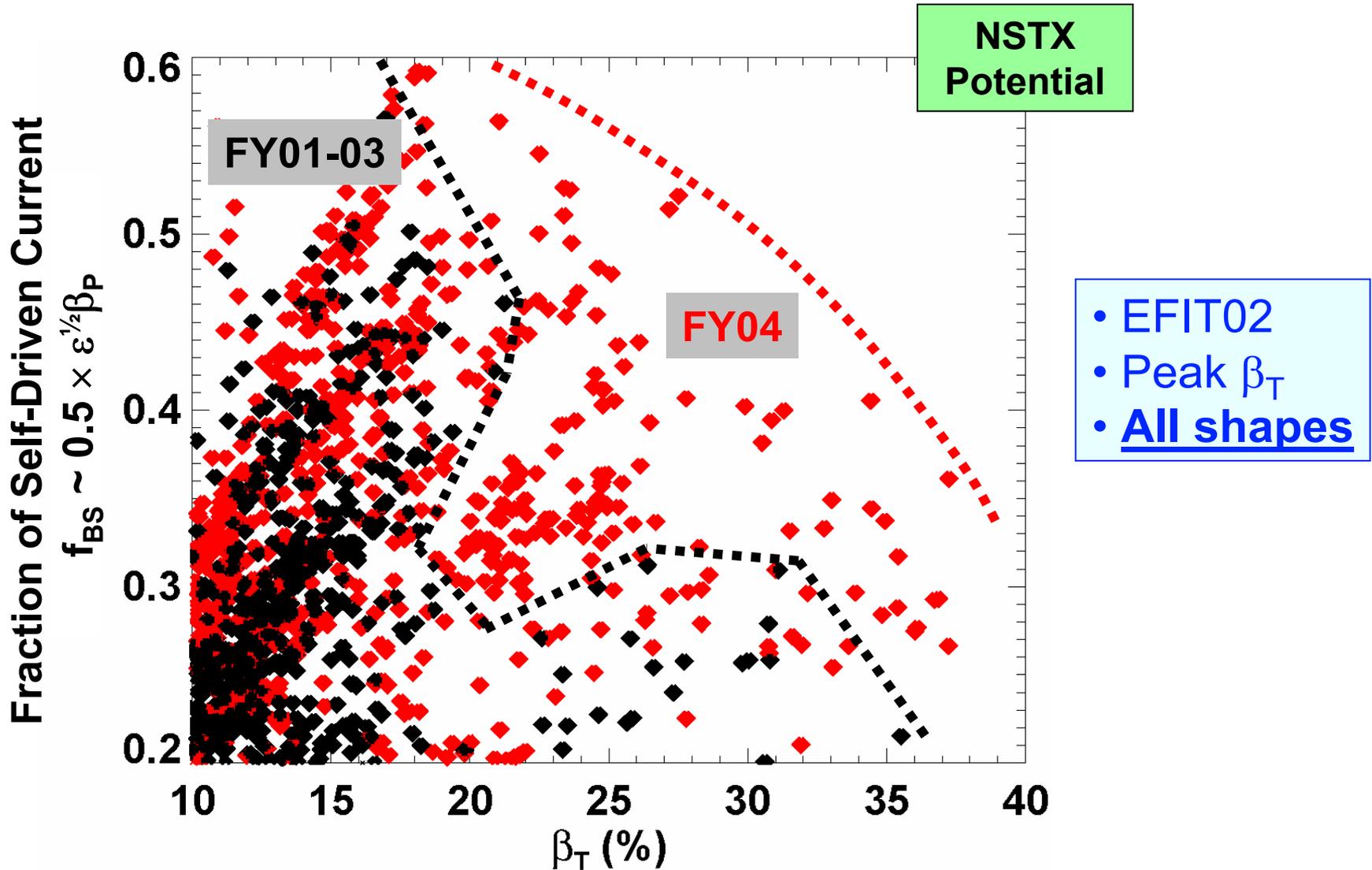
Energy Confinement Time



Co-NBI plasmas:

- Improved vertical control \Rightarrow higher κ
- $\beta_p \gtrsim 1$ and $I_{BS}/I_p \lesssim 0.5$
- $\beta_N \sim 6$ and $\beta_T > 20\%$
- Reduced V_L
- Help developing **ITER** hybrid scenario
- Driven steady state ST plasmas (**CTF**).
- Need to reduce ELM size

NSTX Made Large Progress in Producing and Studying the Science of Attractive Sustained Plasmas

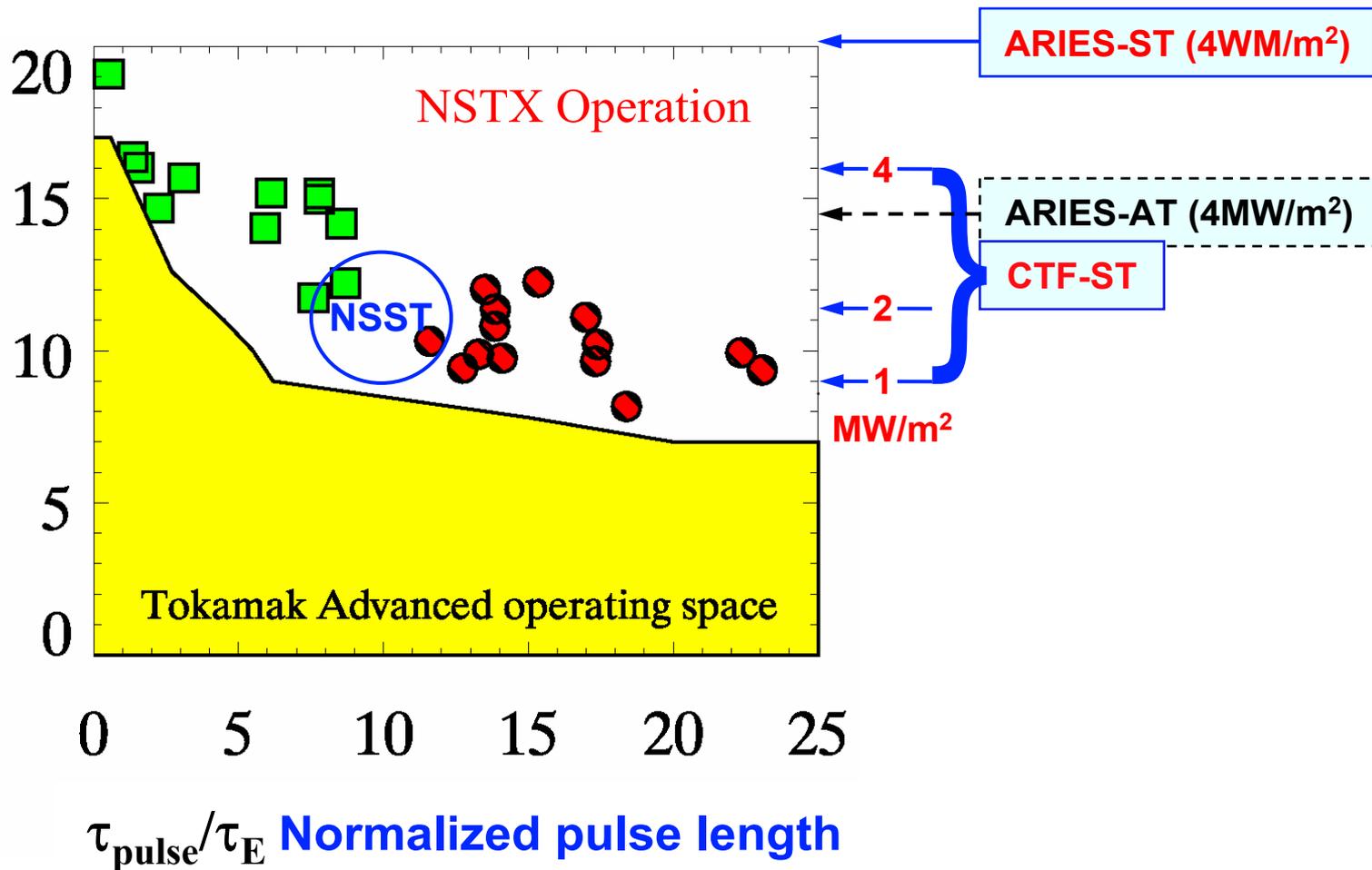


Long-Pulse H-Mode Plasmas Made Large Progress in Physics Basis for Next-Term ST Science Facilities



Normalized beta x
normalized confinement

$$\beta_N * H_{89P}$$

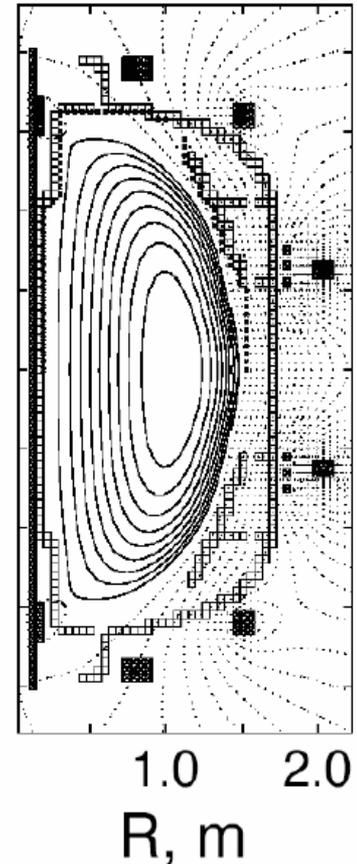
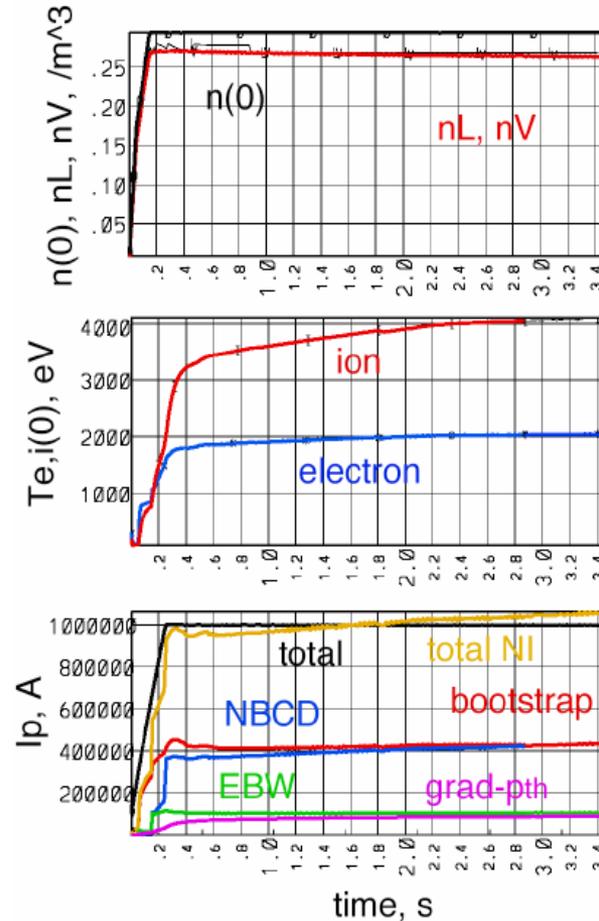


Well positioned to address the science of sustained high-performance plasmas.

Research Topics to Achieve Long-Pulse, High Performance Plasmas Are Identified



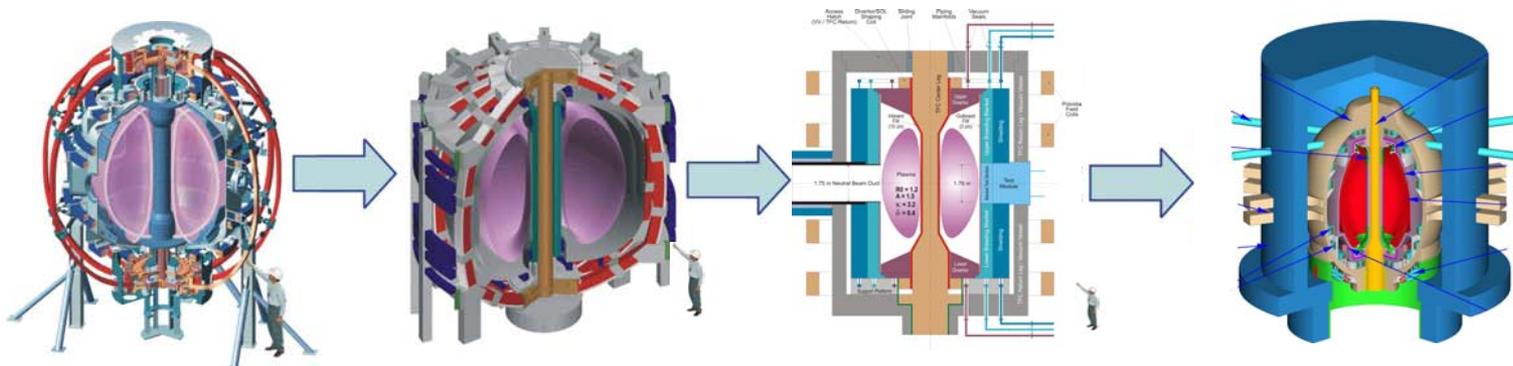
- **Enhanced shaping** improves ballooning stability
- **Mode, rotation, and error field control** ensures high beta
- **NBI and bootstrap** sustain most of current
- **HHFW heating** may contribute to bootstrap
- **EBW** provides off-axis current & stabilizes tearing modes
- **Particle and wall control** maintains proper density



Answering the Plasma Science Questions Also Enable Cost-Effective Steps toward Fusion Energy

Plasma Science Questions in Extended ST Parameter Space	⇒	Optimize Fusion DEMO & Development Steps
How does shape determine pressure?	⇒	Lowered magnetic field and device costs
How does turbulence enhance transport?	⇒	Smaller unit size for sustained fusion burn
How does plasma particles and waves interact?	⇒	Efficient fusion α particle, neutral beam, & RF heating
How do hot plasmas interact with wall?	⇒	Survivable plasma facing components
How to supply magnetic flux without solenoid?	⇒	Simplified smaller design, reduced operating cost

Future ST Steps Are Estimated to Require Moderate Sizes to Make **Key Advances** toward DEMO



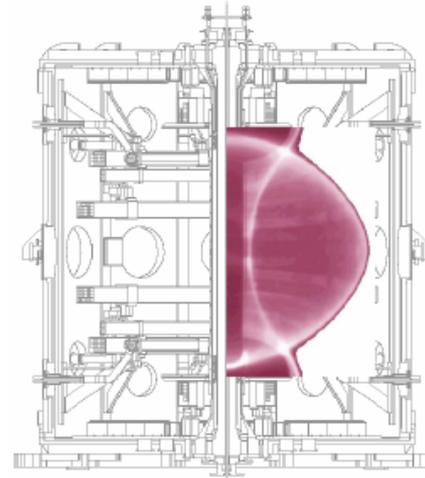
Device	NSTX		NSST		CTF		DEMO
Mission	Proof of Principle		Performance Extension		Energy Development, Component Testing		Practicality of Fusion Electricity
R (m)	0.85		~1.5		~1.2		~3
a (m)	0.65		~0.9		~0.8		~2
κ, δ	2.5, 0.8		~2.7, ~0.7		~3, ~0.5		~3.2, ~0.5
I_p (MA)	1.5	1	~5	~10	~9	~12	~25
B_T (T)	0.6	0.3	~1.1	~2.6	~2.1		~1.8
Pulse (s)	1	5	~50	~5	Steady state		Steady state
P_{fusion} (MW)	-		~10	~50	~77	~300	~3100
W_L (MW/m ²)	-		-		~1	~4	~4
Duty factor (%)	~0.01		~0.01		~15	30	60
TFC; Solenoid	Multi-turn; Solenoid		Multi-turn; Solenoid		Single-turn; No-solen.		Single-turn; No-solen.

ST Research Has Broad and Growing Opportunities for Collaborations



- **Exploratory ST's in Japan**
 - TST-2: ECW-EBW initiation
 - TS-3,4: FRC-like $\beta \sim 1$ ST plasmas
 - HIST: helicity injection physics
 - LATE: solenoid-free physics
- **Active participation in ITPA (ITER)**
 - A and β effects on confinement, ITB, ELM's, pedestal, SOL, RWM, and NTM; scenarios, window coating, etc.
- **ST Database with MAST, U.K.**
 - NBI H-mode, transport, τ_E
 - EBW H&CD (1 MW, 60 GHz), FY03
 - Divertor heat flux studies, FY03-04
 - NTM, ELM characterization
- **DIII-D & C-Mod collaboration**
 - Joint experiments: RWM, Fast ion MHD, pedestal, confinement, edge turbulence, X-ray crystal spectrometer
- **MST:** electromagnetic turbulence, EBW

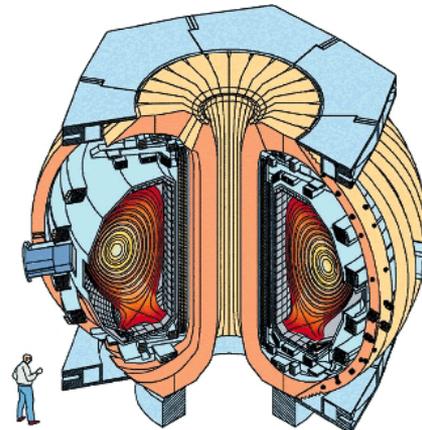
MAST (U.K.)



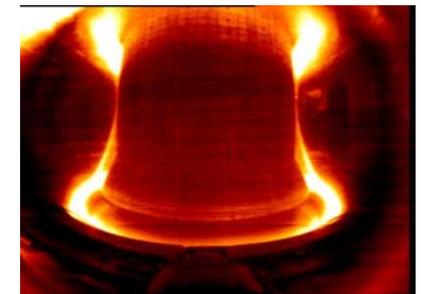
MST (U.S.)



DIII-D (U.S.)



C-Mod (U.S.)



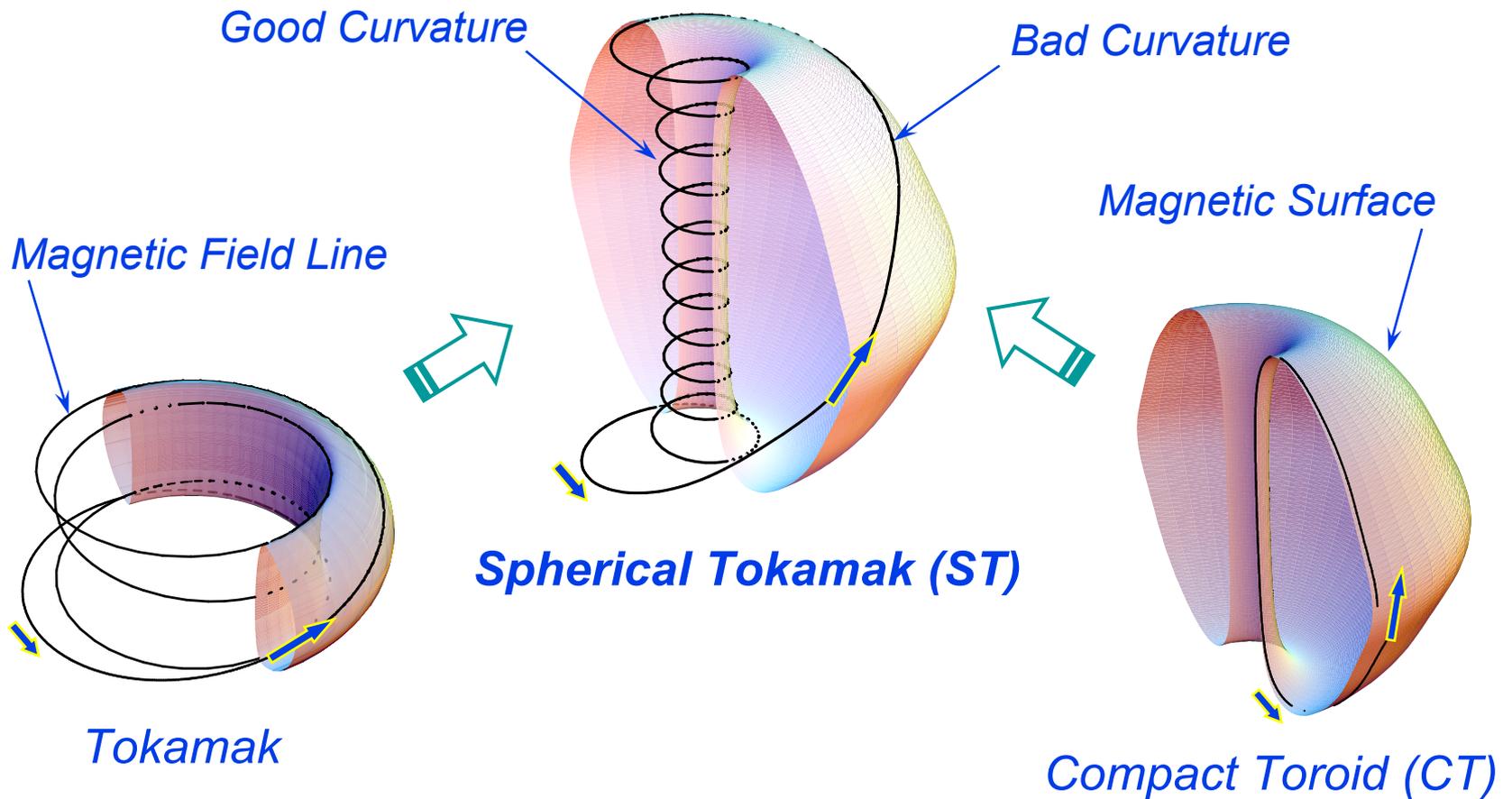
Spherical Tokamak (ST) Offers Rich Plasma Science Opportunities and High Fusion Energy Potential



- **Early MHD theory suggested ST could permit high β , confirmed recently by experiments**
- **Recent research identified new opportunities for addressing key plasma science issues using ST**
 - **Results have been very encouraging in many scientific topical areas**
- **ST research contributes to burning plasma physics optimization for ITER**
- **ST enables cost-effective steps toward practical fusion energy**
- **ST research is highly collaborative worldwide**

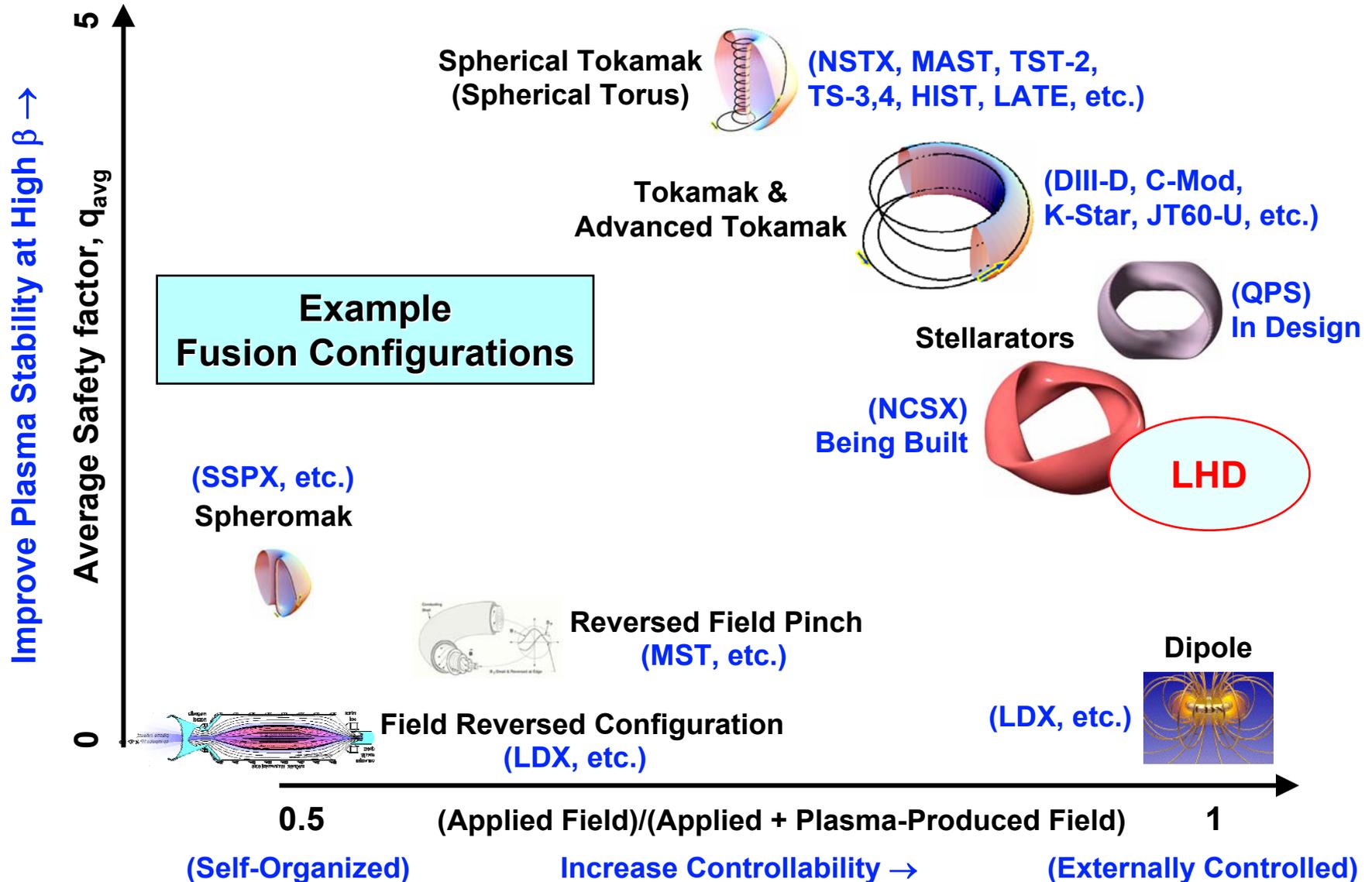
Backup VUs

Minimizing Tokamak Aspect Ratio Maximizes Field Line Length in Good Curvature \Rightarrow High β Stability

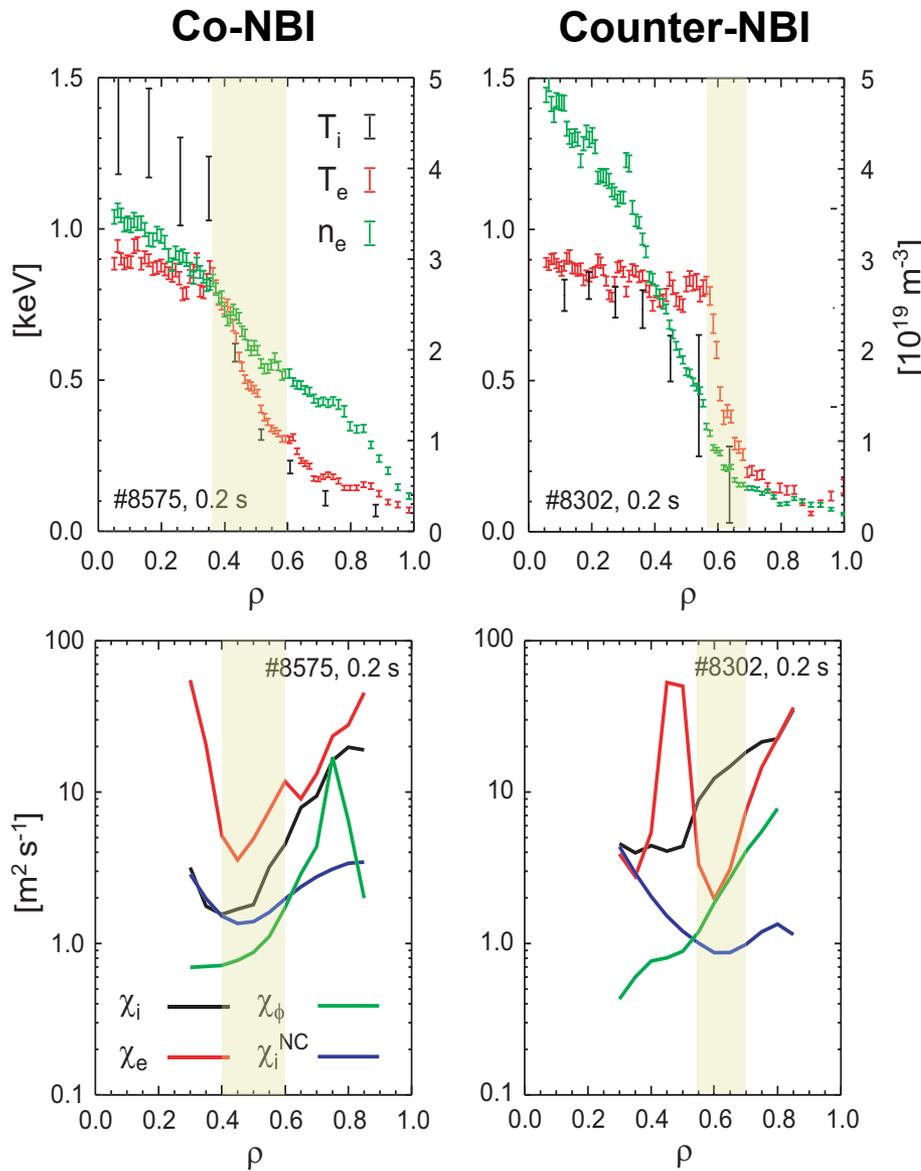
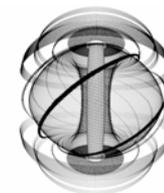


Small-R close to Tokamak & large-R close to CT.

ST Is Closest to Tokamak; Operates with High Safety Factor and More Comparable Self & Applied Fields



In MAST, However, Counter NBI Reduces Electron Energy Loss

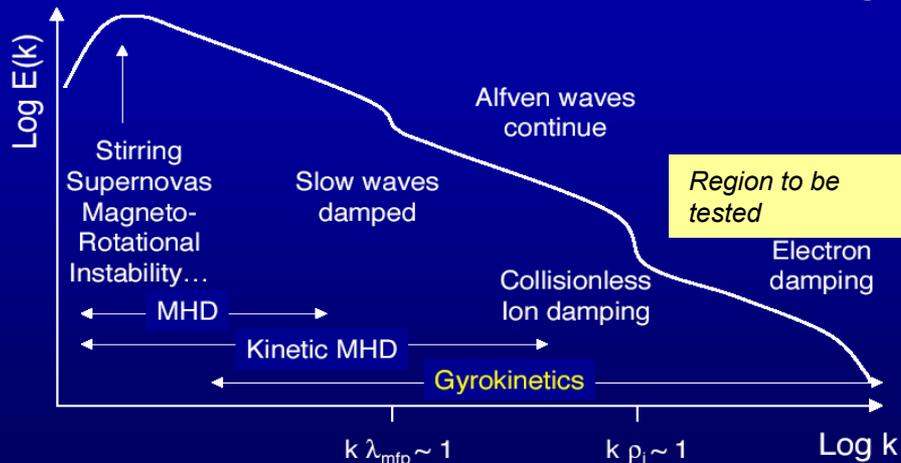


- High flow shear scenario on MAST (Co- & Counter-NBI)**
- Counter-NBI produces stronger $\omega_{SE} \sim 10^6 \text{ s}^{-1}$ and strong local reduction in χ_e at broader radius
 - Pressure gradient contribution to E_r reinforces that due to V_ϕ with ctr-NBI
 - Strong ExB flow shear and weak magnetic shear $s \sim 0$ produced by NBI heating during current ramp
 - With co-NBI ion thermal transport reduced to N.C. level $\chi_i \sim \chi_i^{NC}$ with weaker reduction in χ_e
 - Strong ExB flow shear $\omega_{SE} > \gamma_m^{ITG}$ and $s \sim 0$ at minimum of $\chi_{i,e}$



Detailed Diagnosis and Gyrokinetic Analysis of $\beta \sim 1$ Turbulence Has Broad Scientific Importance

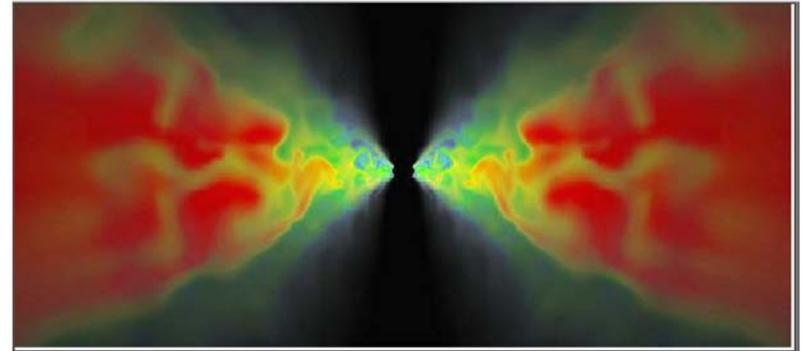
Idealized Problem: What happens to tail of Alfvén wave turbulent cascade: e vs i heating?



Answer requires more than MHD: collisionless kinetics, finite gyroradius. This is the regime of nonlinear gyrokinetic equations and codes developed in fusion energy research in 1980's and 1990's.

Can $k_{\perp} \rho_i \geq 1$ turbulence at $\beta \sim 1$ be understood?

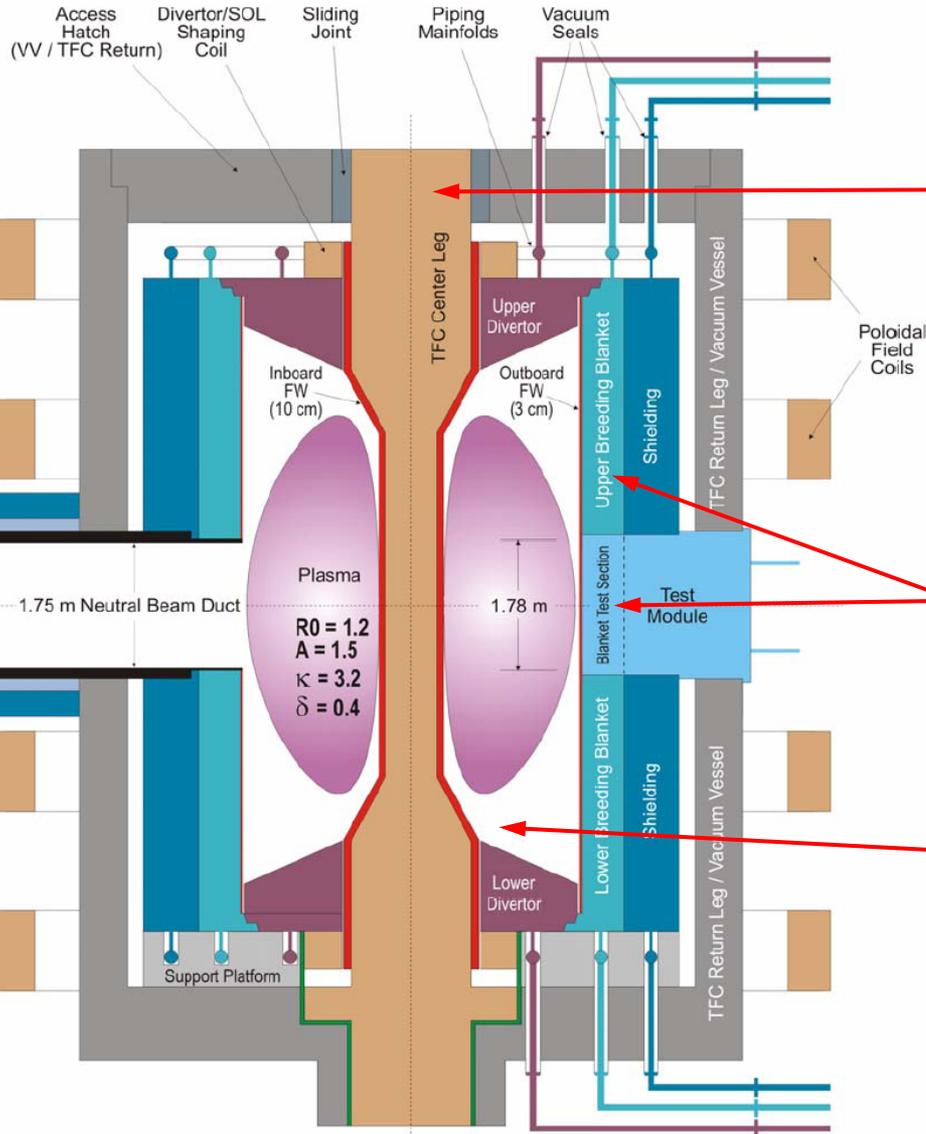
Armitage (U. Colorado)



Gyrokinetic turbulence simulation in accretion disk of supermassive black hole at galactic center, assuming damping of turbulence by plasma ions vs. electrons

- Astrophysics turbulence dynamics: cascading of MHD turbulence to ion scales is of fundamental importance at $\beta > 1$
- Fusion's gyrokinetic formalism apply to astrophysical turbulence, covering shocks, solar wind, accretion disks
- Laboratory ST plasmas provide validation of formalism

Optimized Device Configuration Features of ST Can Fulfill the CTF Mission Effectively



Features Required by High Duty Factor & Neutron Fluence

- ◆ **Single-turn demountable center leg** for toroidal field coil **required** to achieve small size and simplified design.
- ◆ **Fast remote replacement** of all fusion nuclear test components (blanket, FW, PFC) & center post **required** to permit high duty factor & neutron fluence.
- ◆ **Large blanket test areas** $\propto (R+a)\kappa a$.
- ◆ Adequate **tritium breeding ratio** & **small fusion power** from low A **required** for long term fuel sufficiency.
- ◆ **High heat fluxes** on PFC.
- ◆ Initial core components could use **DEMO-relevant technologies** (such as from ITER and long-pulse tokamaks).
- ◆ **12-MA power supply** – Single-turn TF.