

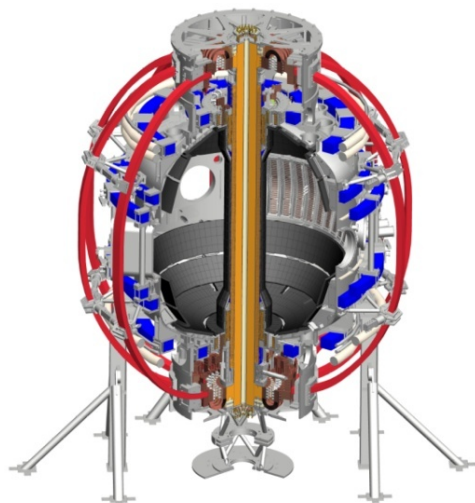
Equilibrium reconstruction including kinetic effects and impact on MHD stability interpretation

Jon Menard (PPPL)

Z. Wang, Y. Liu (CCFE), R.E. Bell, S.M. Kaye, J.-K. Park, K. Tritz
and the NSTX Research Team

56th Annual Meeting of the APS-DPP
October 27-31, 2014
New Orleans, Louisiana

*This work supported by US DoE contract DE-AC02-09CH11466



Coll of Wm & Mary
Columbia U
CompX
General Atomics
FIU
INL
Johns Hopkins U
LANL
LLNL
Lodestar
MIT
Lehigh U
Nova Photonics
Old Dominion
ORNL
PPPL
Princeton U
Purdue U
SNL
Think Tank, Inc.
UC Davis
UC Irvine
UCLA
UCSD
U Colorado
U Illinois
U Maryland
U Rochester
U Tennessee
U Tulsa
U Washington
U Wisconsin
X Science LLC

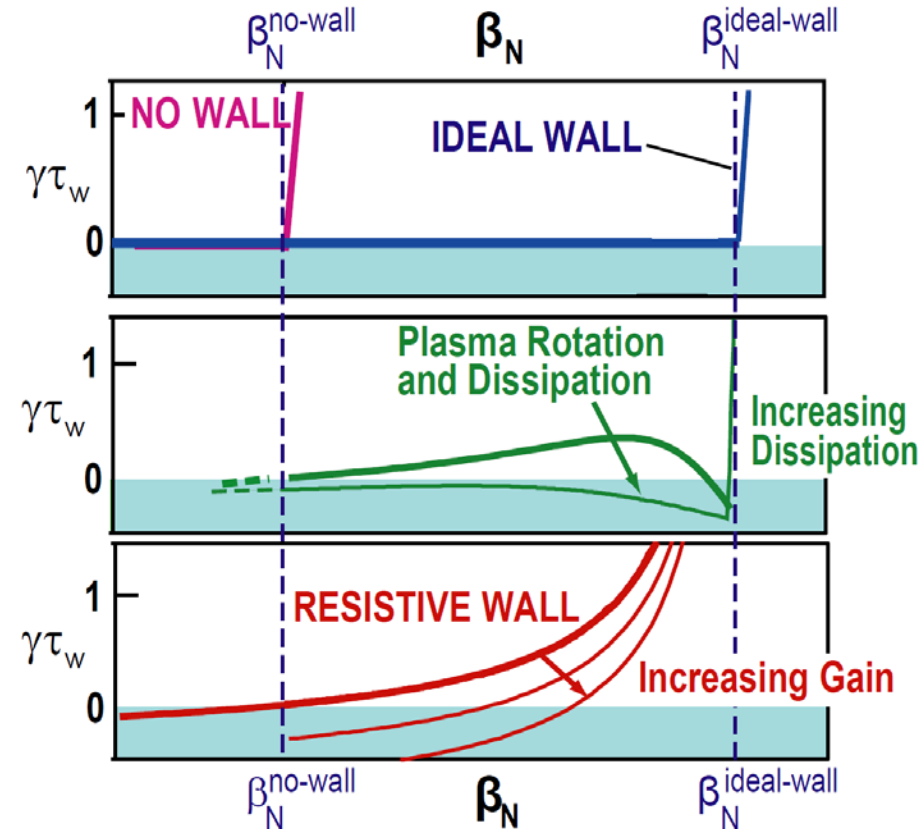
Culham Sci Ctr
York U
Chubu U
Fukui U
Hiroshima U
Hyogo U
Kyoto U
Kyushu U
Kyushu Tokai U
NIFS
Niigata U
U Tokyo
JAEA
Inst for Nucl Res, Kiev
Ioffe Inst
TRINITI
Chonbuk Natl U
NFRI
KAIST
POSTECH
Seoul Natl U
ASIPP
CIEMAT
FOM Inst DIFFER
ENEA, Frascati
CEA, Cadarache
IPP, Jülich
IPP, Garching
ASCR, Czech Rep

ABSTRACT

Non-ideal plasma equilibrium effects such as toroidal rotation and the presence of fast-ions from neutral beam heating can play an important role in MHD stability for both ideal-wall-mode and resistive-wall-mode instabilities. Systematic comparisons between measured and predicted ideal-wall-mode instability characteristics (such as marginal stability threshold and mode real frequency) have been carried out and highlight the sensitivity of the results to the rotation profile and fast-ion density and pressure profiles. A key uncertainty is the potential redistribution of fast-ions by higher frequency Alfvénic instabilities. Analysis indicates that utilizing reconstructed total pressure and rotation profiles as opposed to using modeled/predicted fast-ion pressure and angular momentum profiles from TRANSP in the limit of zero anomalous fast-ion diffusion can yield better agreement between measured and predicted stability characteristics – consistent with apparent redistribution of fast-ions. Reconstruction methodologies and associated stability implications will be discussed.

Pressure-driven kink limit is strong physics constraint on maximum fusion performance

$$P_{\text{fusion}} \propto n^2 \langle \sigma v \rangle \propto p^2 \propto \beta_T^2 B_T^4 \propto \beta_N^4 B_T^4 (1 + \kappa^2)^2 / A f_{BS}^2$$



M. Chu, et al., Plasma Phys. Control. Fusion 52 (2010) 123001

- Modes grow rapidly above kink limit:
 - $\gamma \sim 1\text{-}10\%$ of τ_A^{-1} where $\tau_A \sim 1\mu\text{s}$
- Superconducting “ideal wall” can increase stable β_N up to factor of 2
- Real wall resistive $\rightarrow$ slow-growing “resistive wall mode” (RWM)
 - $\gamma \tau_{\text{wall}} \sim 1 \rightarrow$
 - ms instead of μs time-scales
- RWM can be stabilized with:
 - kinetic effects (rotation, dissipation)
 - active feedback control

Here we focus on ideal-wall mode (IWM)

Background

- Characteristic growth rates and frequencies of RWM and IWM
 - RWM: $\gamma\tau_{\text{wall}} \sim 1$ and $\omega\tau_{\text{wall}} < 1$
 - IWM: $\gamma\tau_A \sim 1\text{-}10\%$ ($\gamma\tau_{\text{wall}} \gg 1$) and $\omega\tau_A \sim \Omega_\phi\tau_A$ (1-30%) ($\omega\tau_{\text{wall}} \gg 1$)
- Kinetic effects important for RWM (see J. Berkery poster PP8.00064)
 - Publications: Berkery, et al. PRL 104 (2010) 035003, Sabbagh, et al., NF 50 (2010) 025020
- Rotation and kinetic effects largely unexplored for IWM
 - Such effects generally higher-order than fluid terms (∇p , $J_\parallel$, $|\delta B|^2$, wall)
- **Calculations for NSTX indicate both rotation and kinetic effects can modify both IWM (and RWM) stability limits**
 - High toroidal rotation generated by co-injected NBI in NSTX
 - Fast core rotation: $\Omega_\phi / \omega_{\text{sound}}$ up to ~ 1 , $\Omega_\phi / \omega_{\text{Alfven}}$ $\sim$ up to 0.1-0.3
 - Fluid/kinetic pressure is dominant instability drive in high- β ST plasmas

MARS-K: self-consistent linear resistive MHD including toroidal rotation and drift-kinetic effects

- Perturbed single-fluid linear MHD:**

Y.Q. Liu, et al., Phys. Plasmas 15, 112503 2008

$$(\gamma + in\Omega)\xi = \mathbf{v} + (\xi \cdot \nabla \Omega) R^2 \nabla \phi$$

$$\rho(\gamma + in\Omega)\mathbf{v} = \mathbf{j} \times \mathbf{B} + \mathbf{J} \times \mathbf{Q} - \nabla \cdot \mathbf{p}$$

$$+ \rho [2\Omega \hat{\mathbf{Z}} \times \mathbf{v} - (\mathbf{v} \cdot \nabla \Omega) R^2 \nabla \phi] - \nabla \cdot (\rho \xi) \Omega \hat{\mathbf{Z}} \times \mathbf{V}_0$$

$$(\gamma + in\Omega)\mathbf{Q} = \nabla \times (\mathbf{v} \times \mathbf{B}) + (\mathbf{Q} \cdot \nabla \Omega) R^2 \nabla \phi - \nabla \times (\eta \mathbf{j})$$

$$(\gamma + in\Omega)p = -\mathbf{v} \cdot \nabla P - \Gamma P \nabla \cdot \mathbf{v} \quad \mathbf{j} = \nabla \times \mathbf{Q}$$

- Rotation and rotation shear effects:**

- Mode-particle resonance operator:**

$$\lambda_{ml} = \frac{n[\omega_{*N} + (\hat{\epsilon}_k - 3/2)\omega_{*T} + \omega_E] - \omega}{n(\underbrace{\langle \omega_d \rangle}_{\text{Precession}} + \underbrace{\omega_E}_{\text{ExB}}) + [\underbrace{\alpha(m + nq)}_{\text{Transit and bounce}} + l]\omega_b - i\underbrace{\nu_{\text{eff}}}_{\text{Collisions}} - \omega}$$

- Fast ions: analytic slowing-down $f(v)$ model – isotropic or anisotropic**

This poster

- Include toroidal flow only: $\mathbf{v}_\phi = R\Omega_\phi(\psi)$ and $\omega_E = \omega_E(\psi)$**

- Drift-kinetic effects in perturbed anisotropic pressure p :**

$$\mathbf{p} = p\mathbf{I} + p_{\parallel}\hat{\mathbf{b}}\hat{\mathbf{b}} + p_{\perp}(\mathbf{I} - \hat{\mathbf{b}}\hat{\mathbf{b}})$$

$$p_{\parallel}e^{-i\omega t + in\phi} = \sum_{e,i} \int d\Gamma M v_{\parallel}^2 f_L^1$$

$$p_{\perp}e^{-i\omega t + in\phi} = \sum_{e,i} \int d\Gamma \frac{1}{2} M v_{\perp}^2 f_L^1$$

$$f_L^1 = -f_{\epsilon}^0 \epsilon_k e^{-i\omega t + in\phi} \sum_{m,l,u} X_m^u H_{ml}^u \lambda_{ml} e^{-in\tilde{\phi}(t) + im\langle \dot{\chi} \rangle t + i l \omega_b t}$$

$$H_L = \frac{1}{\epsilon_k} [M v_{\parallel}^2 \vec{\kappa} \cdot \xi_{\perp} + \mu(Q_{L\parallel} + \nabla B \cdot \xi_{\perp})]$$

Diamagnetic

Real part of complex energy functional provides equation for growth-rate useful for understanding instability sources

Dispersion relation

$$\delta K + \delta W = 0$$

$$\delta K_1 = -\frac{1}{2} \int d^3x \rho |\vec{\xi}_\perp|^2$$

Kinetic energy

$$\delta K = \frac{1}{2} \int d^3x \rho (\gamma + in\Omega)^2 |\vec{\xi}_\perp|^2$$

Potential energy

$$\delta W = -\frac{1}{2} \int d^3x \mathbf{F} \cdot \xi_\perp^*$$

Growth rate equation: mode growth for $\delta W^{\text{re}} < 0$

$$(\gamma^{\text{re}})^2 = (\delta W_K^{\text{re}} + \delta W_F^{\text{re}} + \delta W_{vb} + \delta W_{\text{rot}}^{\text{re}}) / \delta K_1$$

$$\delta W_K = -\frac{1}{2} \int d^3x \mathbf{F}^K \cdot \xi_\perp^* \quad \mathbf{F}^K = -\nabla \cdot \mathbf{p}^{\text{kinetic}}$$

$$\delta W_{\text{rot}} = \delta W_\Omega + \delta W_{d\Omega} + \delta W_{cf} + \delta K_2$$

$$\begin{aligned} \delta W_F^p &= -\frac{1}{2} \int d^3x \mathbf{F}^p \cdot \xi_\perp^* \\ &= \frac{1}{2} \int d^3x \left[(\xi_\perp \cdot \nabla P) \nabla \cdot \xi_\perp^* + \Gamma P |\nabla \cdot \xi|^2 - \Gamma P (\nabla \cdot \xi) (\nabla \cdot \xi_\parallel^*) \right] + S_F^p \end{aligned}$$

$$\delta W_F^j = -\frac{1}{2} \int d^3x \mathbf{F}^j \cdot \xi_\perp^* = \frac{1}{2} \int d^3x |Q|^2 + S_F^j$$

$$\delta W_F^Q = -\frac{1}{2} \int d^3x \mathbf{F}^Q \cdot \xi_\perp^* = \frac{1}{2} \int d^3x \left[J_\parallel \hat{\mathbf{b}} \cdot \xi_\perp^* \times \mathbf{Q}_\perp - \frac{Q_\parallel}{B} (\xi_\perp^* \cdot \nabla P) \right]$$

$$S_F^p = -\frac{1}{2} \int [(\xi_\perp \cdot \nabla P) + \Gamma P \nabla \cdot \xi] \xi_\perp^* \cdot d\mathbf{s}$$

$$S_F^j = \frac{1}{2} \int B Q_\parallel \xi_\perp^* \cdot d\mathbf{s}$$

Coriolis - Ω

$$\delta W_\Omega = \frac{1}{2} \int d^3x \left[-2\rho\Omega(\gamma + in\Omega) \mathbf{Z} \times \vec{\xi}_\perp \cdot \vec{\xi}_\perp^* \right]$$

Coriolis - $d\Omega/d\rho$

$$\delta W_{d\Omega} = \frac{1}{2} \int d^3x R \left(2\rho\Omega (\vec{\xi}_\perp \cdot \nabla\Omega) \vec{\xi}_{\perp R}^* \right)$$

Centrifugal

$$\delta W_{cf} = \frac{1}{2} \int d^3x R \Omega^2 \nabla \cdot (\rho \vec{\xi}_\perp) \vec{\xi}_{\perp R}^*$$

Differential kinetic

$$\delta K_2 = -\frac{1}{2} \int d^3x \rho (\omega + n\Omega)^2 |\vec{\xi}_\perp|^2$$

Note: $n = -1$ in MARS

Equilibrium force balance model including toroidal rotation

Force balance for species s: $\vec{J}_s \times \vec{B} = \nabla p_s + \rho_s \vec{v}_s \cdot \nabla \vec{v}_s + Z_s e n_s \nabla \Phi$

Assume: $T_s = T_s(\psi) \quad v_{\phi s} = R \Omega_{\phi s} \quad \Omega_{\phi s} = \Omega_{\phi s}(\psi)$

B• above → $n_s(\psi, R) = N_s(\psi) \exp \left(\frac{m_s \Omega_{\phi s}^2 (R^2 - R_{\text{axis}}^2)}{2 k_B T_s} - \frac{Z_s e \Phi(\psi, \theta)}{k_B T_s} \right)$

Exact multi-species solution requires iteration to enforce quasi-neutrality → simplify → intrinsically quasi-neutral if all n_s have same exponential dependence. This approximate solution assumes main ions dominate centrifugal potential.

$$\vec{J} \times \vec{B} = \sum_s \nabla (n_s T_s(\psi)) + \sum_s m_s n_s \Omega_{\phi s}^2 \nabla \left(\frac{R^2}{2} \right) \quad 0 = \sum_s N_s(\psi) Z_s$$

$$n_s(\psi, R) = N_s(\psi) \exp \left(U(\psi) \left(\frac{R^2}{R_{\text{axis}}^2} - 1 \right) \right) \quad U(\psi) = \frac{P_{\Omega}(\psi)}{P_K(\psi)}$$

$$P_{\Omega}(\psi) = \frac{\sum_s N_s(\psi) m_s \Omega_{\phi s}^2 R_{\text{axis}}^2}{2} \quad P_K(\psi) = \sum_s N_s(\psi) T_s(\psi)$$

Grad-Shafranov Equation (GSE) including toroidal rotation

Total force balance: $\rho \vec{v} \cdot \nabla \vec{v} \approx -\rho \Omega_\phi^2 \nabla R^2 / 2 = \left(\frac{J_\phi}{R} - \frac{F F'}{\mu_0 R^2} \right) \nabla \psi - \nabla p$

$$\vec{B} = \nabla \psi \times \nabla \phi + F \nabla \phi$$

Rotation-modified GSE: $\frac{J_\phi}{R} = \frac{F F'}{\mu_0 R^2} + \frac{\partial p}{\partial \psi} \Big|_R$ $\rho \Omega_\phi^2 R = \frac{\partial p}{\partial R} \Big|_\psi$

$$p(\psi, R) = P_K(\psi) \exp \left(U(\psi) \left(\frac{R^2}{R_{\text{axis}}^2} - 1 \right) \right)$$

LRDFIT reconstructions with rotation determine 3 flux functions:

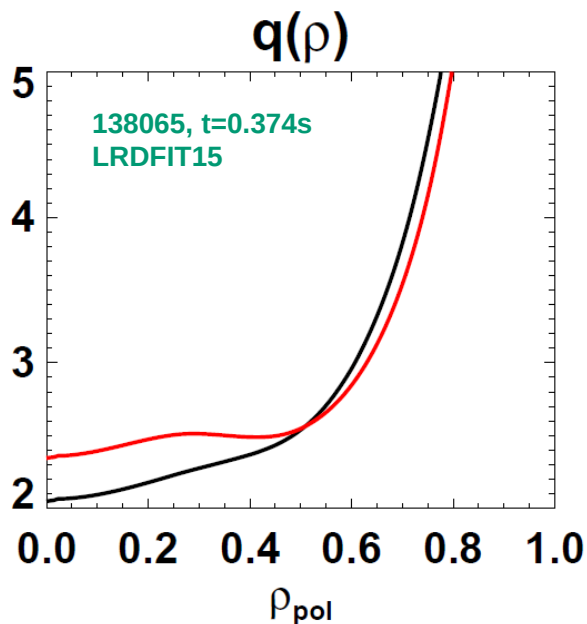
- $U(\psi)$ - based on fitting electron density profile asymmetry (not C^{6+} rotation data)
- $P_K(\psi)$ and $FF'(\psi)$ – full kinetic reconstruction $\rightarrow$ fit to magnetics, iso- T_e , MSE with E_r corrections, thermal pressure between $r/a = 0.6-1$.

Study 2 classes of IWM-unstable plasmas spanning low to high β_N

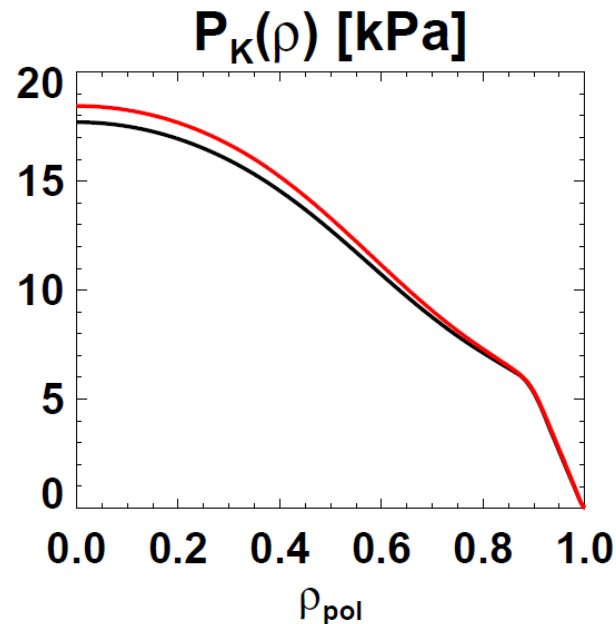
- Low β_N limit ~ 3.5 , often saturated/long-lived mode
 - $q_{\min} \sim 2-3$
 - Common in early phase of current flat-top
 - Higher fraction of beam pressure, momentum (lower n_e)
- Intermediate β_N limit ~ 5
 - $q_{\min} \sim 1.2-1.5$
 - Typical good-performance H-mode, $H_{98} \sim 0.8-1.2$

Impact of including rotation on q , P_K , P_Ω

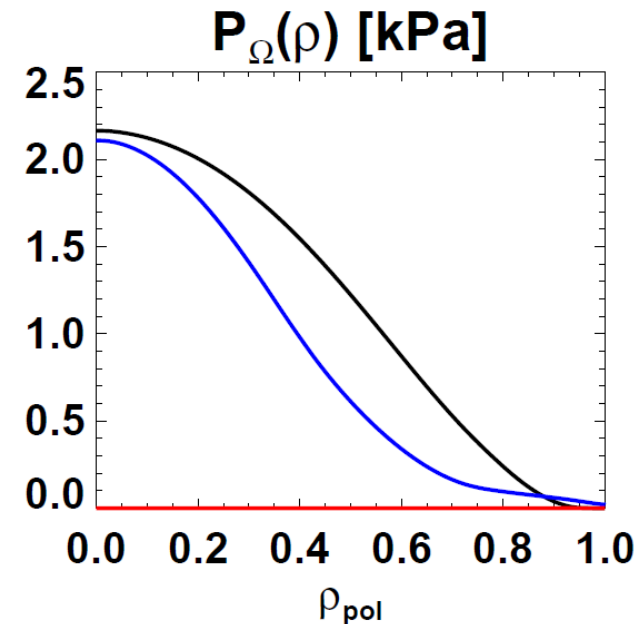
- Black – rotation included (from fit to n_e profile asymmetry)
- Red – rotation set to 0 in reconstruction



Reconstructed core
 $q(\rho)$ lower with
rotation included



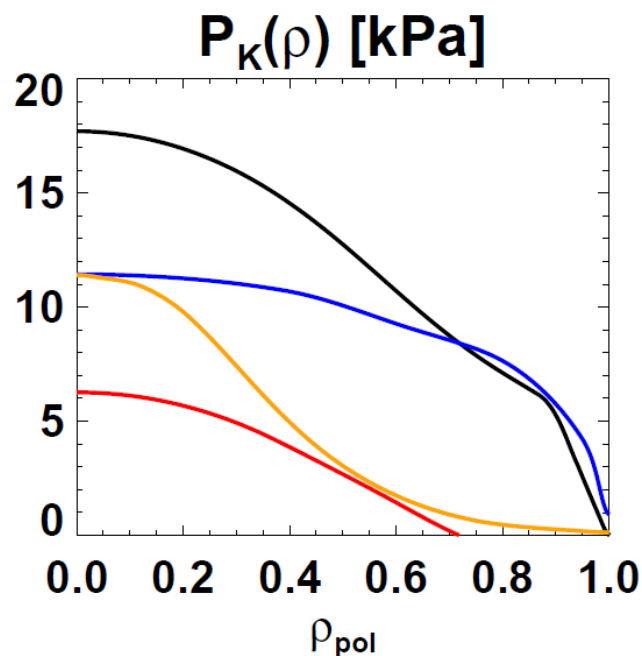
Reconstructed core
 $P_K(\rho)$ slightly lower
w/ rotation included



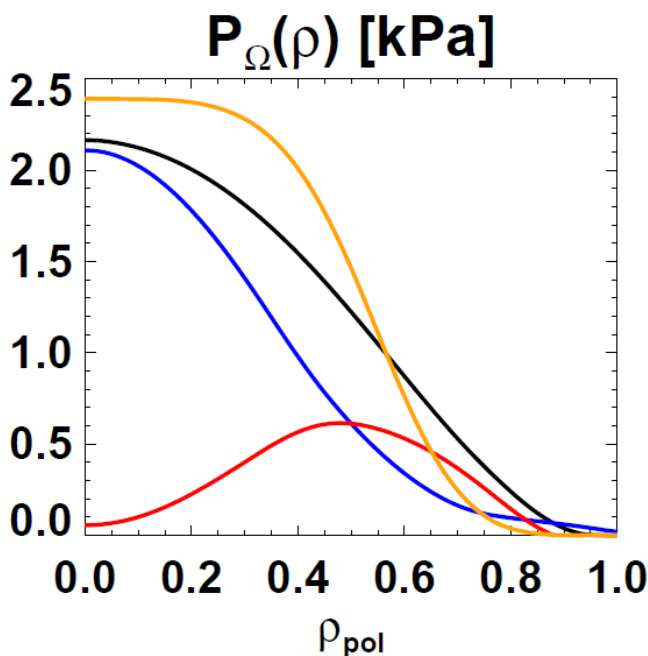
Reconstructed core
 $P_\Omega(\rho)$ comparable to
measured thermal
ion rotation pressure
(they should be similar)

Reconstructions imply significant fast-ion profile broadening

- Black: reconstruction with rotation included (n_e asymmetry)
- Blue: measured thermal
- Red: recons. minus thermal, Orange: TRANSP (no FI diffusion)



Reconstructed core fast ion $P_K(\rho)$ significantly lower than TRANSP calculation



Reconstructed fast ion $P_\Omega(\rho)$ significantly broader, lower than TRANSP calculation

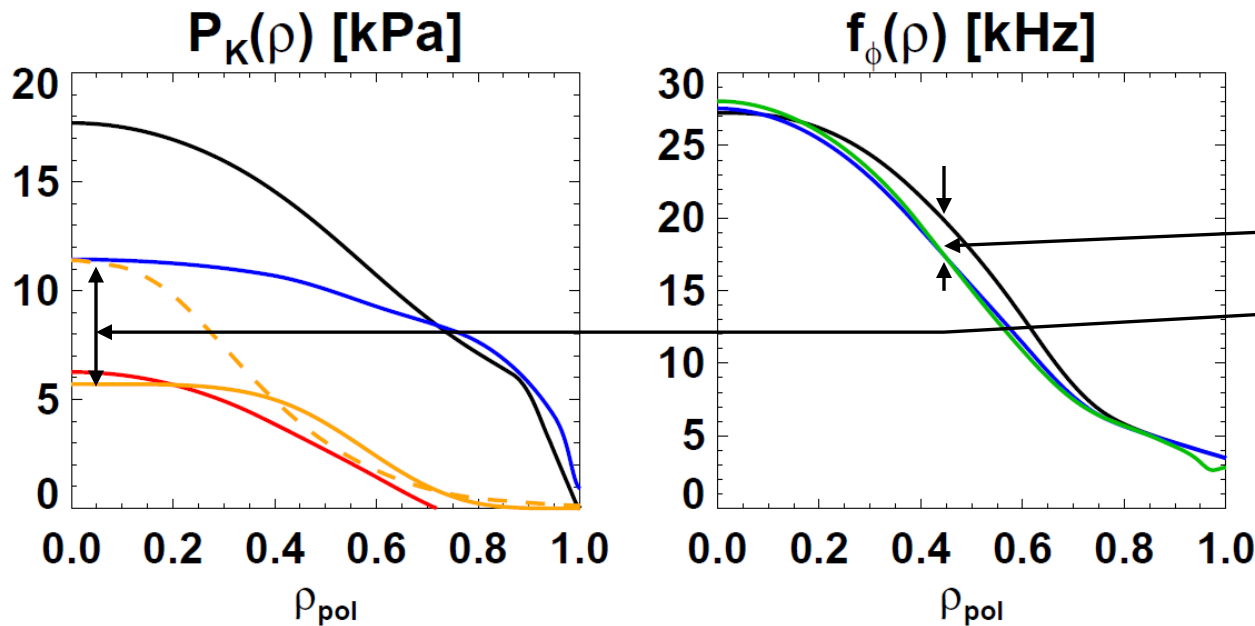
$$U(\psi) = \frac{P_\Omega(\psi)}{P_K(\psi)}$$

$$\exp \left(U(\psi) \left(\frac{R^2}{R_{\text{axis}}^2} - 1 \right) \right)$$

NOTE: there is substantial uncertainty in P_Ω near the magnetic axis since U is indeterminate there, i.e. U could be much larger or smaller w/o impacting the density asymmetry fit

Profiles after fast-ion density profile broadening

- Black: reconstruction with rotation included (n_e asymmetry)
- Blue: measured thermal, Red: recons. minus thermal
- Orange: TRANSP with FI density profile broadening (post-facto)



Dash $\rightarrow$ Solid = fast-ion density broadening: conserve FI stored energy, number, also $T_{fast}(\rho)$

$f_\phi(r)$ including fast ions is broader than $f_{\phi-Carbon}(\rho)$ & f_{ExB}

Because fast ion density is low, the impact of fast ions on total toroidal rotation f_ϕ is weaker than impact on P_Ω

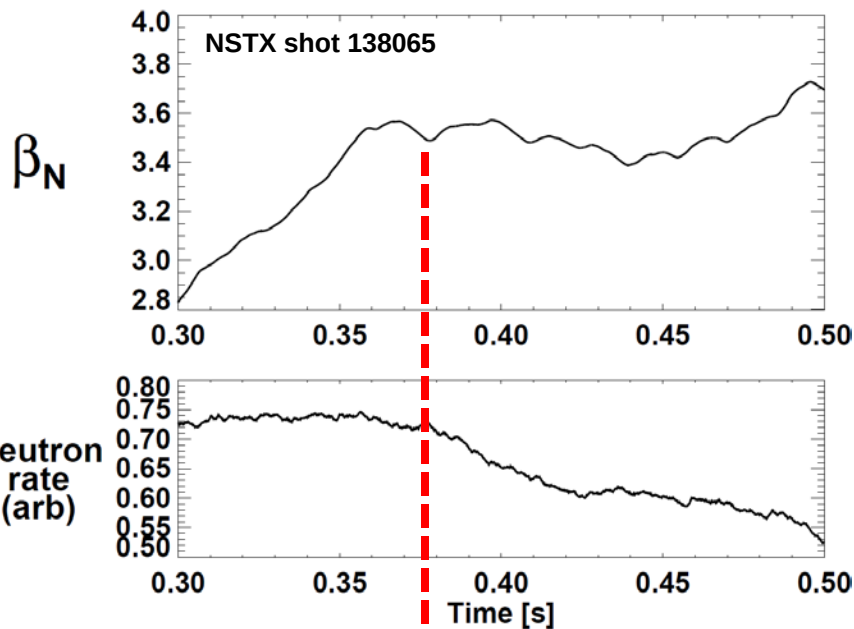
Implication: fast-ion redistribution or loss likely more important for pressure than rotation



MARS-K uses P_K , f_ϕ , f_{ExB}

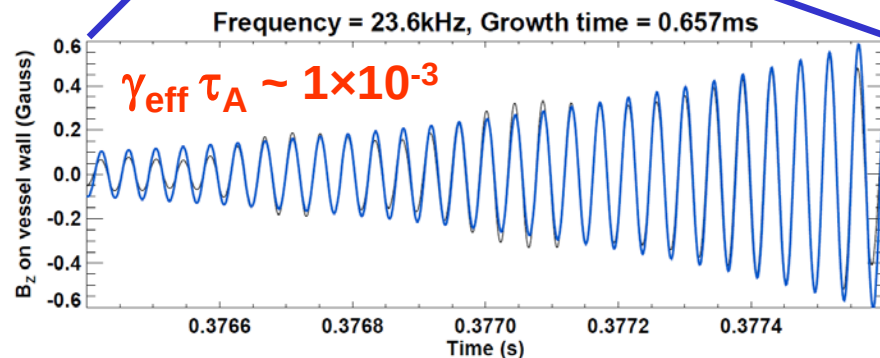
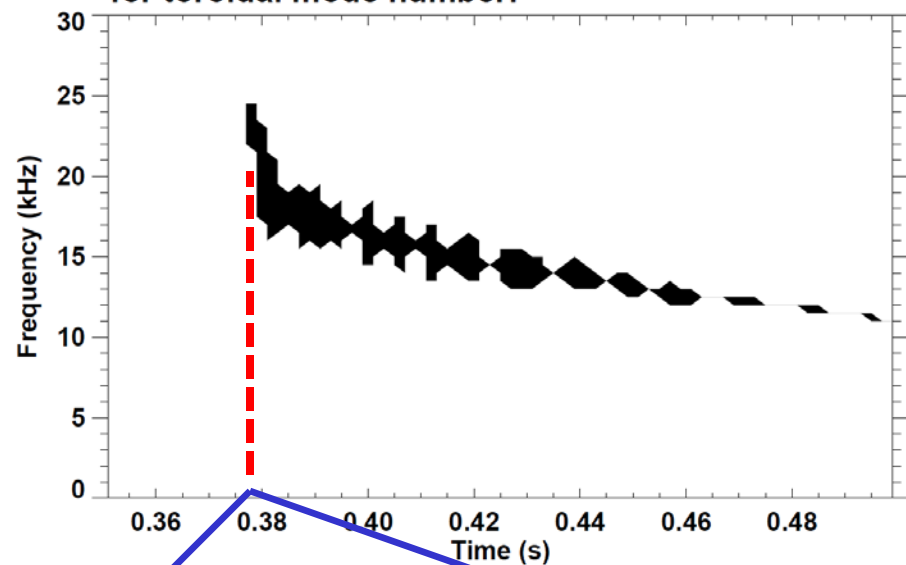
Low β_N limit ~ 3.5 : Saturated $f=15\text{-}30\text{kHz}$ $n=1$ mode common during early I_p flat-top phase

Fixed $P_{\text{NBI}} = 3\text{MW}$, $I_p = 800\text{kA}$, $\beta_T = 10\text{-}15\%$



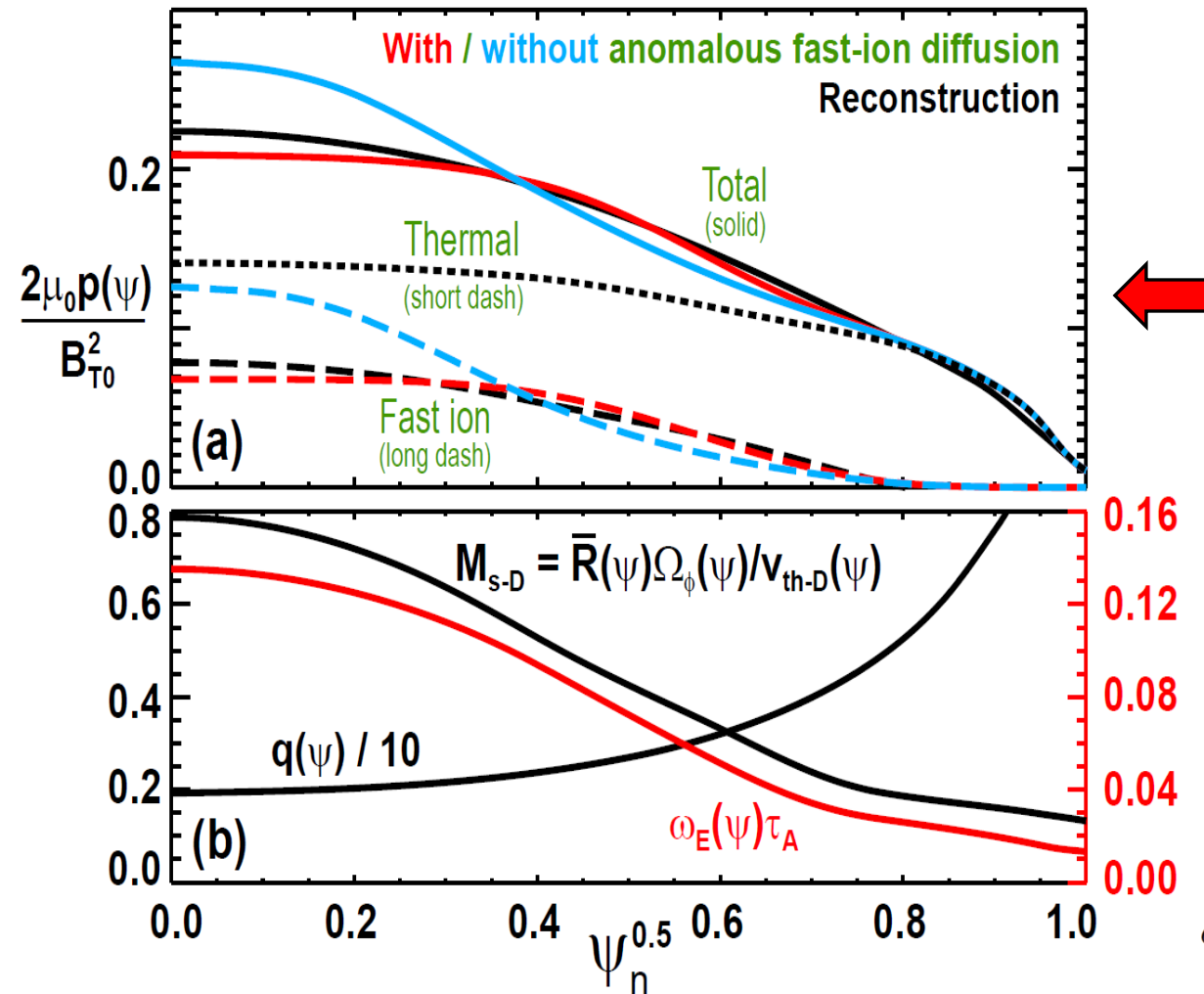
**Mode clamps β_N to ~ 3.5 ,
reduces neutron rate $\sim 20\%$
sometimes slows $\rightarrow$ locks $\rightarrow$ disrupts**

Shot 138065 $\omega B(\omega)$ spectrum for toroidal mode number: $n=1$ $n=2$



Kinetic profiles used in analysis

NSTX shot 138065, $t=0.3740s$, LRDFIT15, TRANSP ID A03



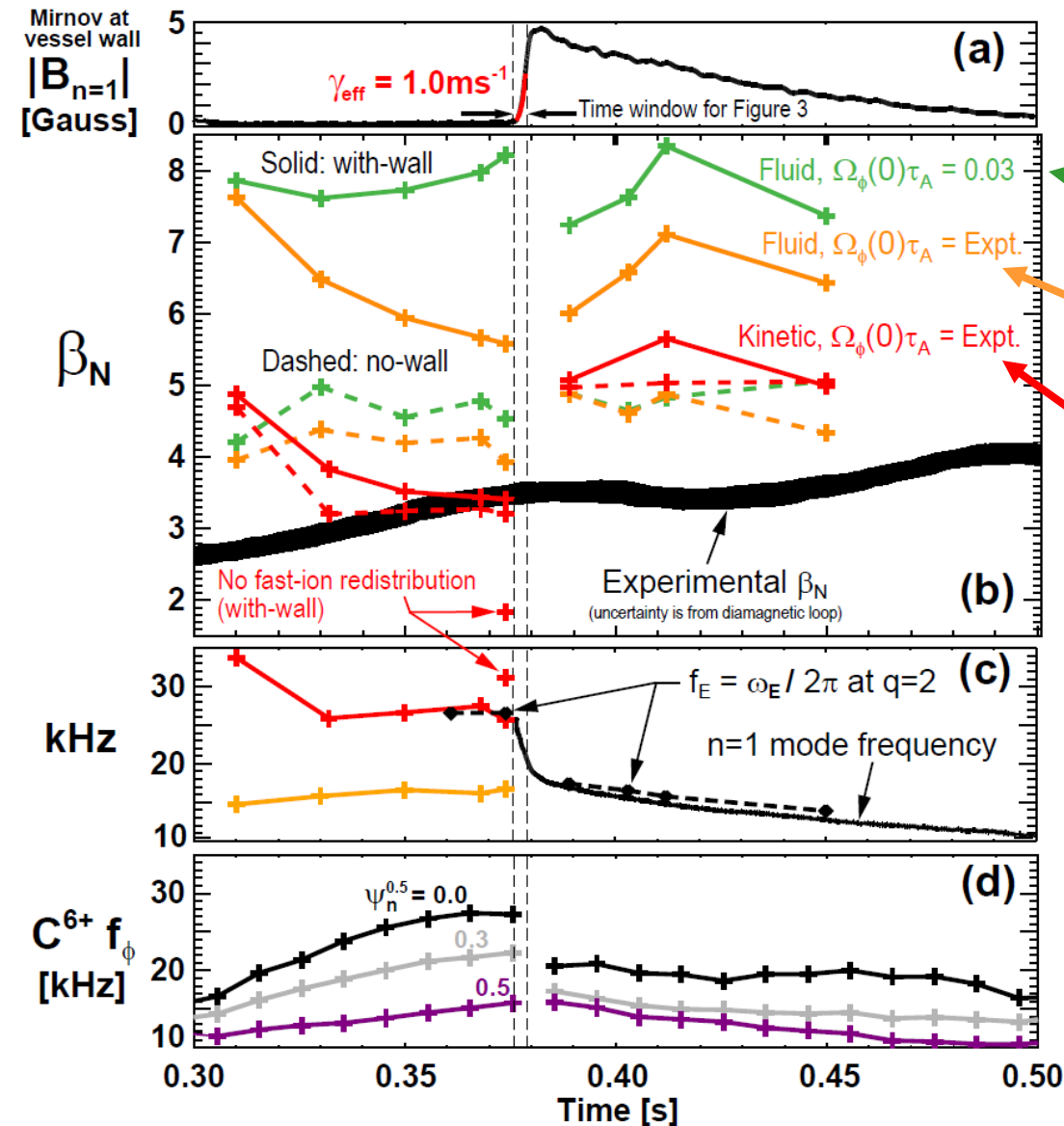
Use broadened fast-ion n, p profiles (red curves) (consistent w/ reconstruction)

- $q \approx 2$ in core
- D sound Mach number $M_{s-D} \rightarrow 0.8$ on-axis $\rightarrow$ significant drive for rotational instability

$$\delta \hat{W}_{rot} \sim \delta W_{\nabla p} \Rightarrow v_\phi \sim v_{th-ion} / \sqrt{q}$$

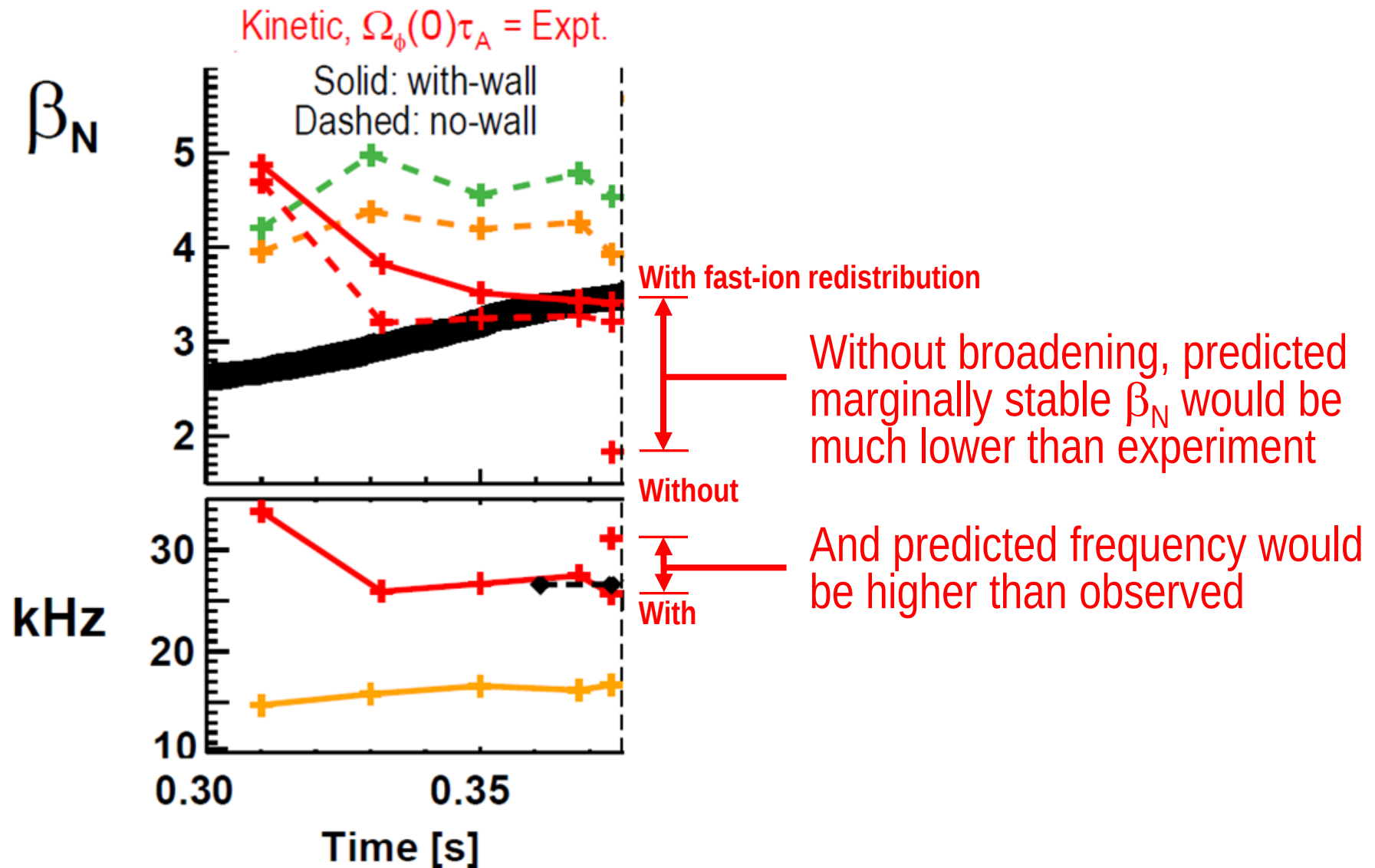
$$\Rightarrow \Omega_\phi \tau_A \sim \sqrt{\beta_{thermal} / 2q}$$

Predicted stability evolution using MARS-K compared to experiment

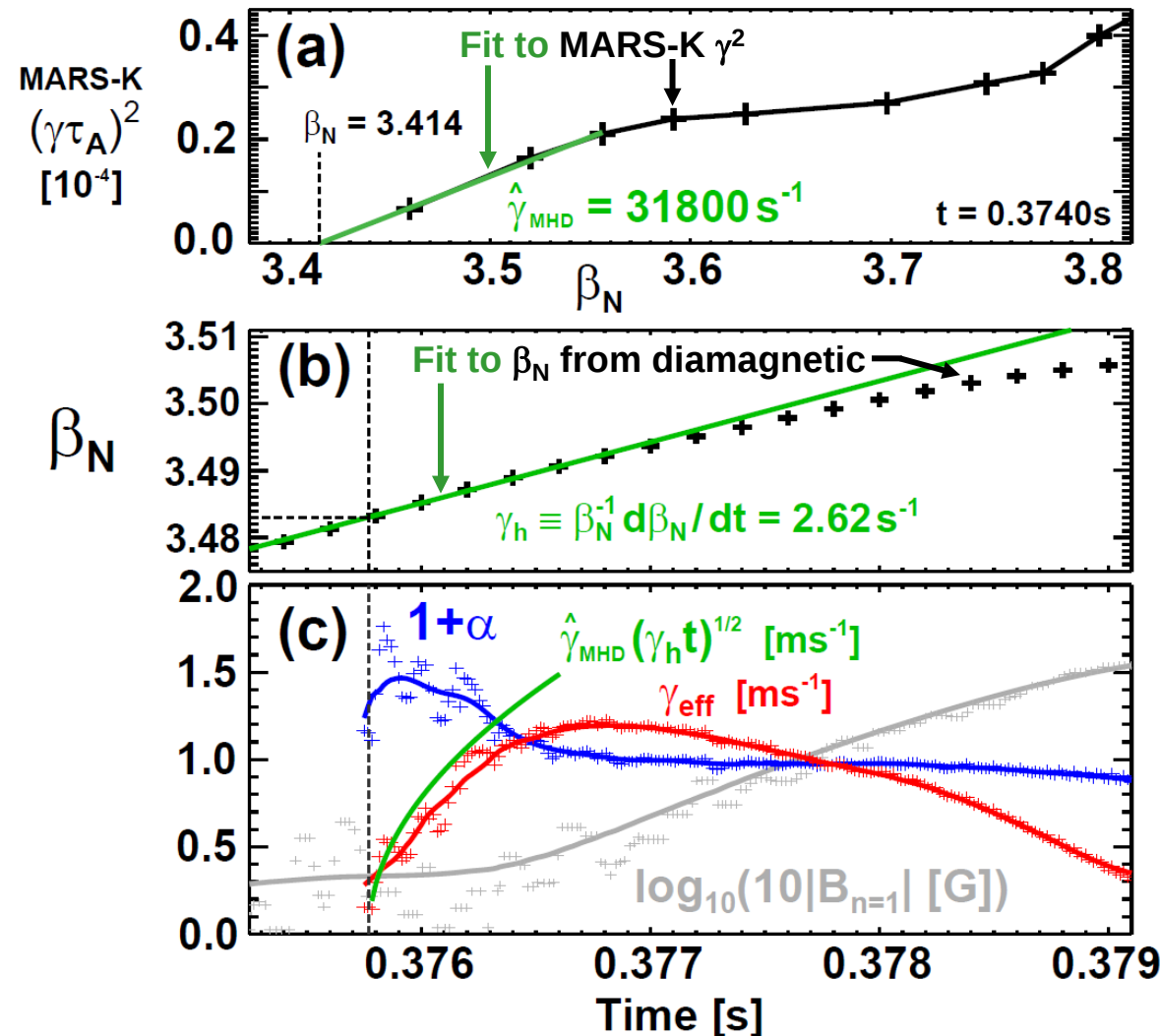


- Mode grows quickly, but decays slowly – kink or tearing mode?
- Low-rotation fluid with-wall limit is very high $\rightarrow$ marginal $\beta_N \sim 7-8$
- Increasing rotation lowers max β_N to ~ 5.5 at mode onset time
- Full kinetic treatment including fast-ions $\rightarrow$ marginal $\beta_N \sim 3.5 \rightarrow$ most consistent with experiment
- Full kinetic: predicted mode f matches measured $f \sim 26 \text{ kHz}$
- Fluid model under-predicts f
- Rotation increasing until mode onset, then drops, then remains lower while mode is present.

Fast ion broadening has significant impact on predicted stability



Observed mode initial γ consistent with kink/MARS-K

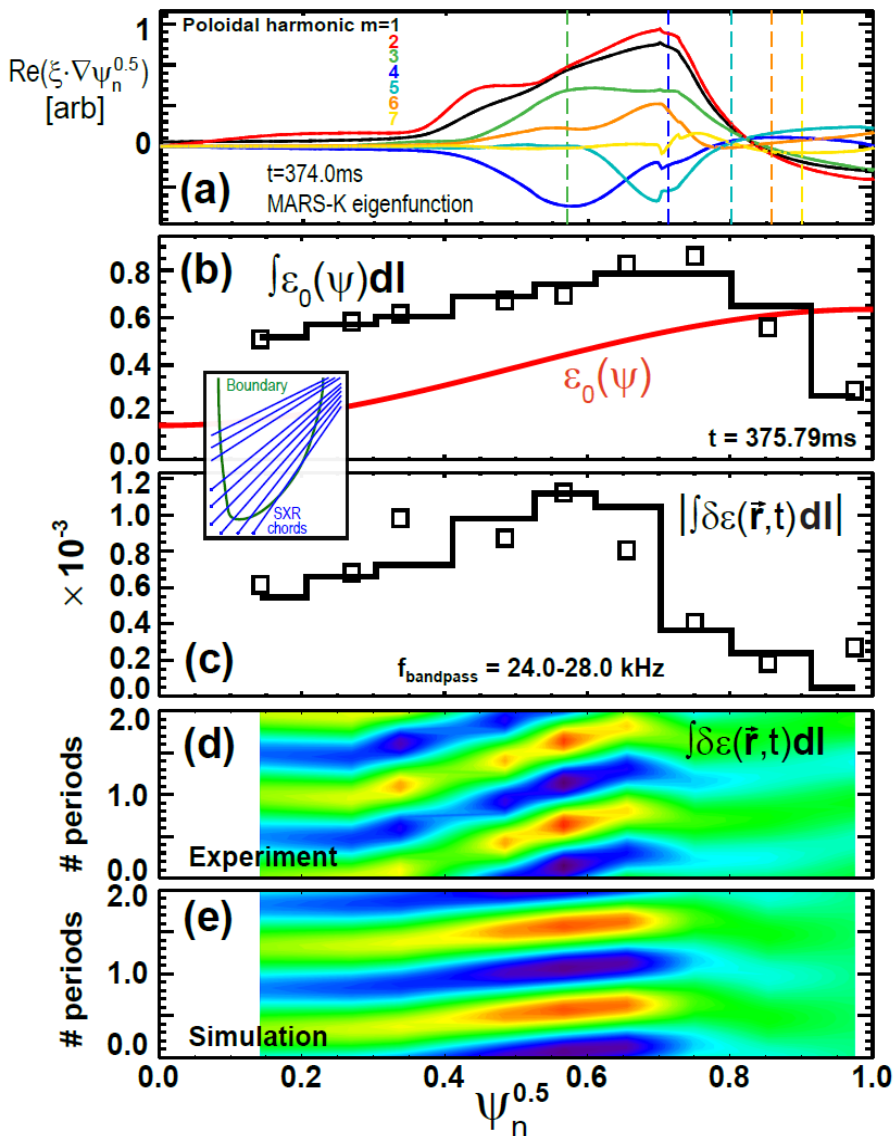


- Use Callen method
J. D. Callen *et al.*, Physics of Plasmas **6**, 2963 (1999)
- MARS-K γ^2 linear in β_N near marg. stability
- Rate of rise of β_N tracked using diamagnetic loop
- For first 0.5ms, growth is consistent with Callen hybrid γ model for ideal instability:

$$\xi = \xi_0 \exp[(\gamma_{eff} t)^{(1+\alpha)}]$$

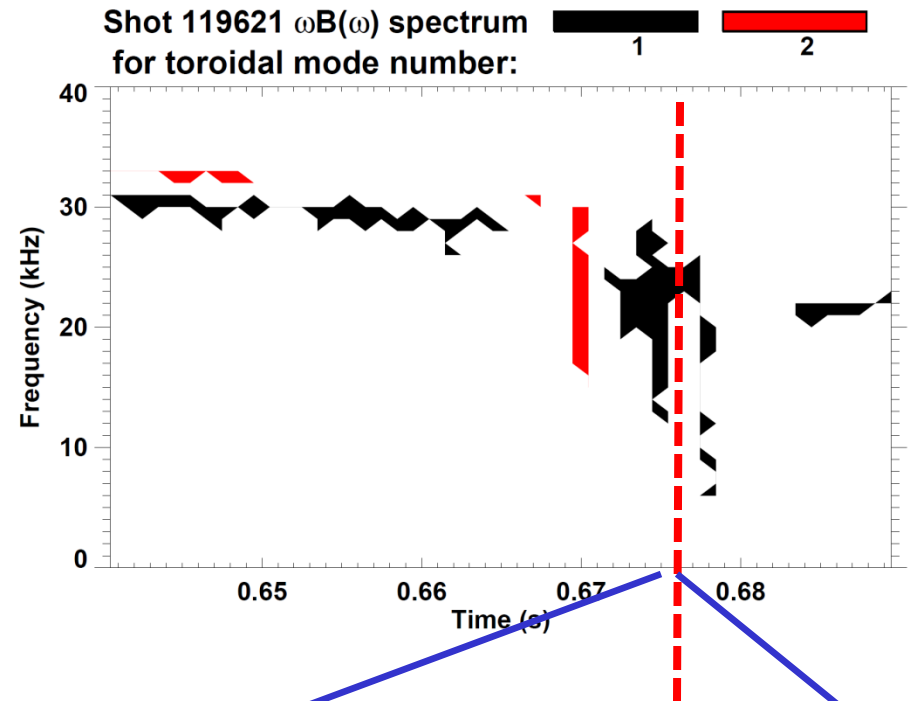
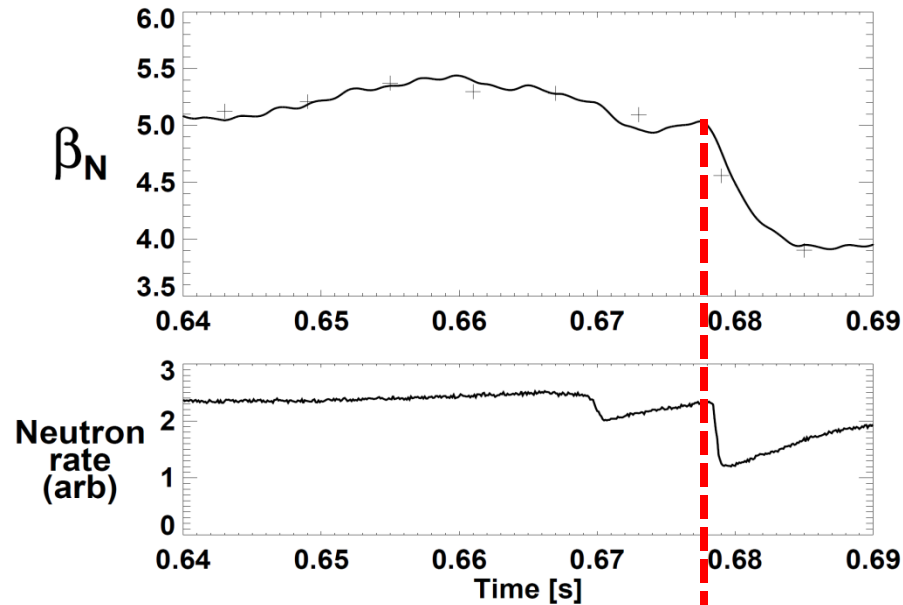
$$\alpha = 0.5 \text{ for ideal mode}$$

SXR data also consistent with kink/MARS-K at onset



- MARS-K IWM kink eigenfunction largest amplitude for $r/a = 0.5\text{--}0.8$
- Simple/smooth emission profile $\varepsilon_0(\psi)$ can reproduce line-integrated SXR
- ...and can reproduce line-integrated SXR fluctuation amplitude profile
- ...and has same kink-like structure vs. time and SXR chord position
 - Although the “slope” of the simulated eigenfunction is shallower than measured... rotation or fast-ion effect?

Intermediate β_N limit ~ 5 : Small $f=30\text{kHz}$ continuous $n=1$ mode precedes larger 20-25kHz $n=1$ bursts

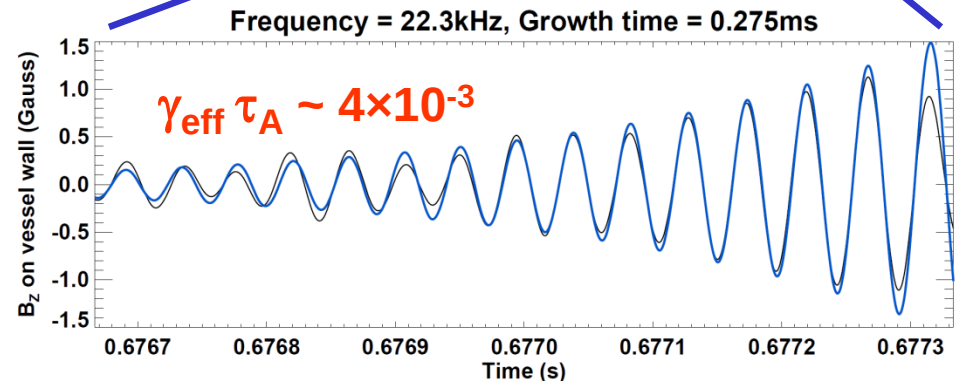


First large $n=1$ burst $\rightarrow$

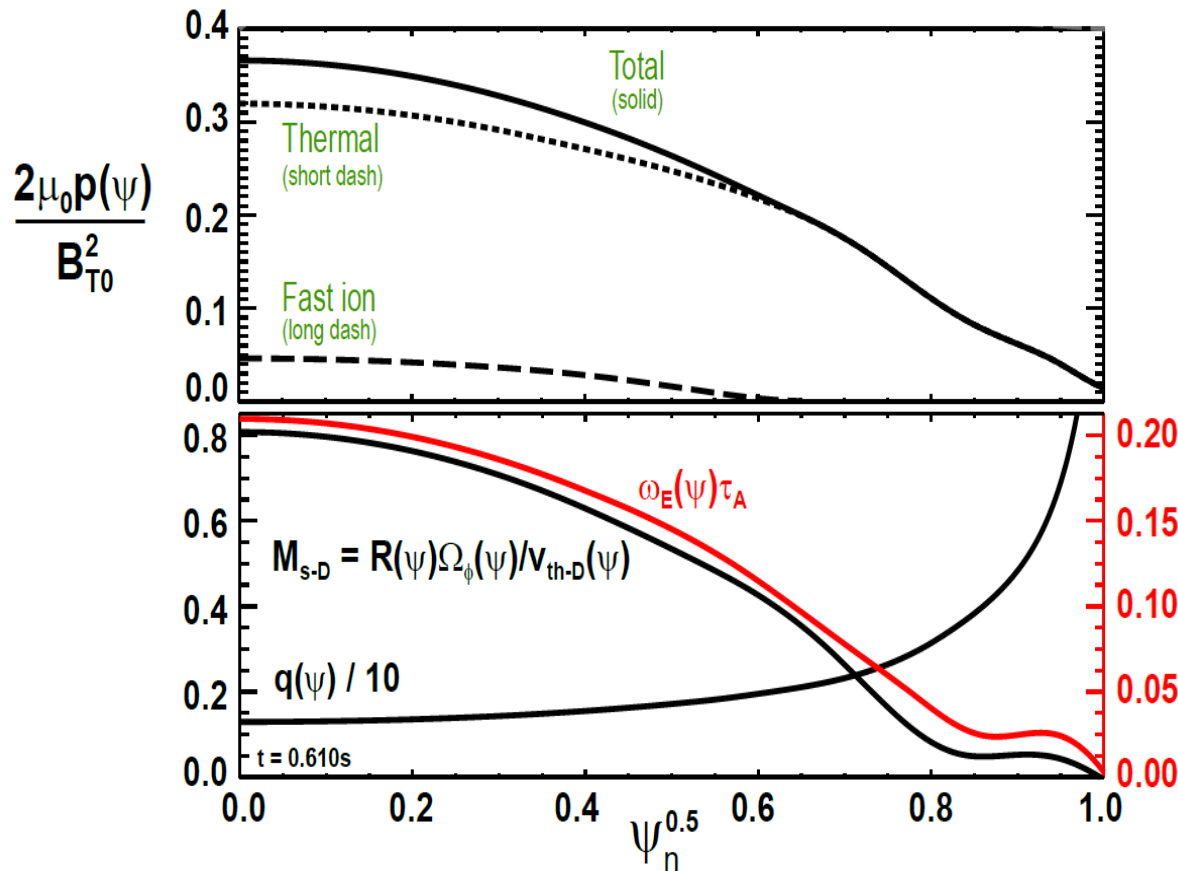
20% drop in β_N

50% neutron rate drop

Later $n=1$ modes $\rightarrow$ full disruption



Kinetic profiles used in analysis



Fast ion pressure lower in this shot due to higher n_e
 Compute fast-ion from reconstructed total - thermal

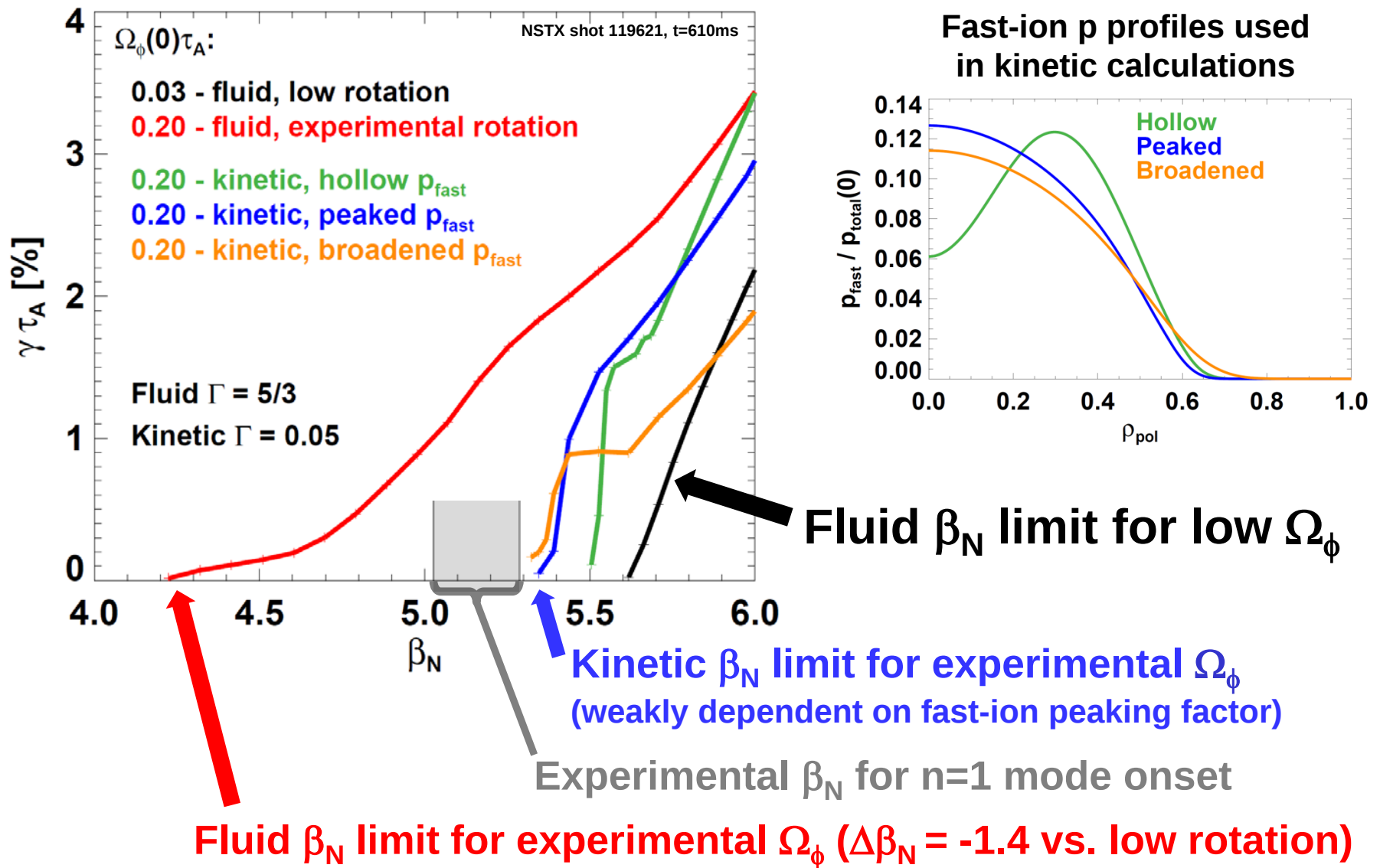
- $q \approx 1.3$ in core
- D sound Mach number $M_{s-D} \rightarrow 0.8$ on-axis $\rightarrow$ significant drive for rotational instability

- But, expect weaker rotational destabilization since M_{s-D} similar, q lower



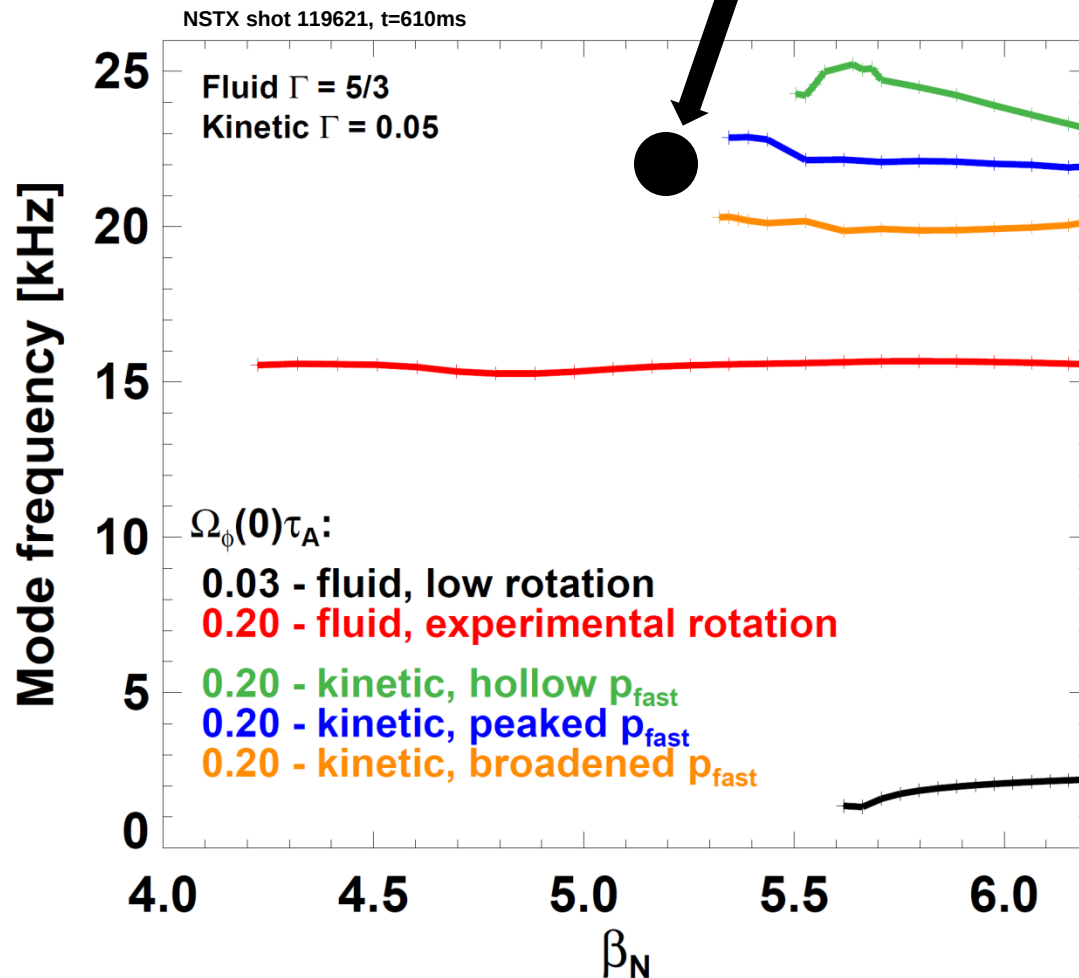
$$\delta \hat{W}_{rot} \sim \delta W_{\nabla p} \Rightarrow v_\phi \sim v_{th-ion} / \sqrt{q}$$

Kinetic IWM β_N limit consistent with experiment, fluid calculation under-predicts experimental limit

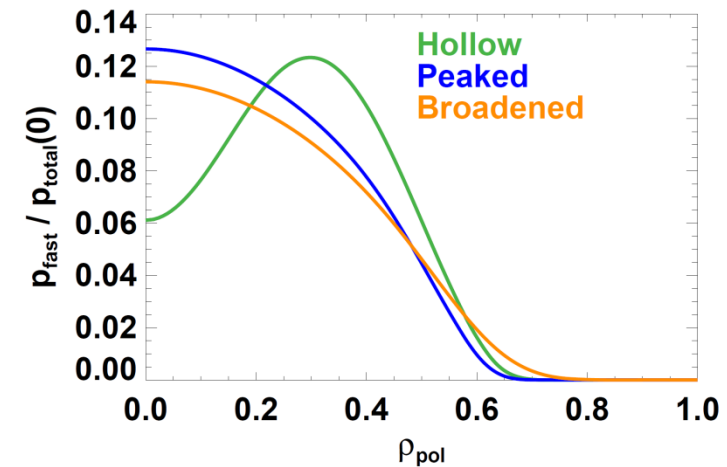


Measured IWM real frequency more consistent with kinetic model than fluid model

Measured mode frequency

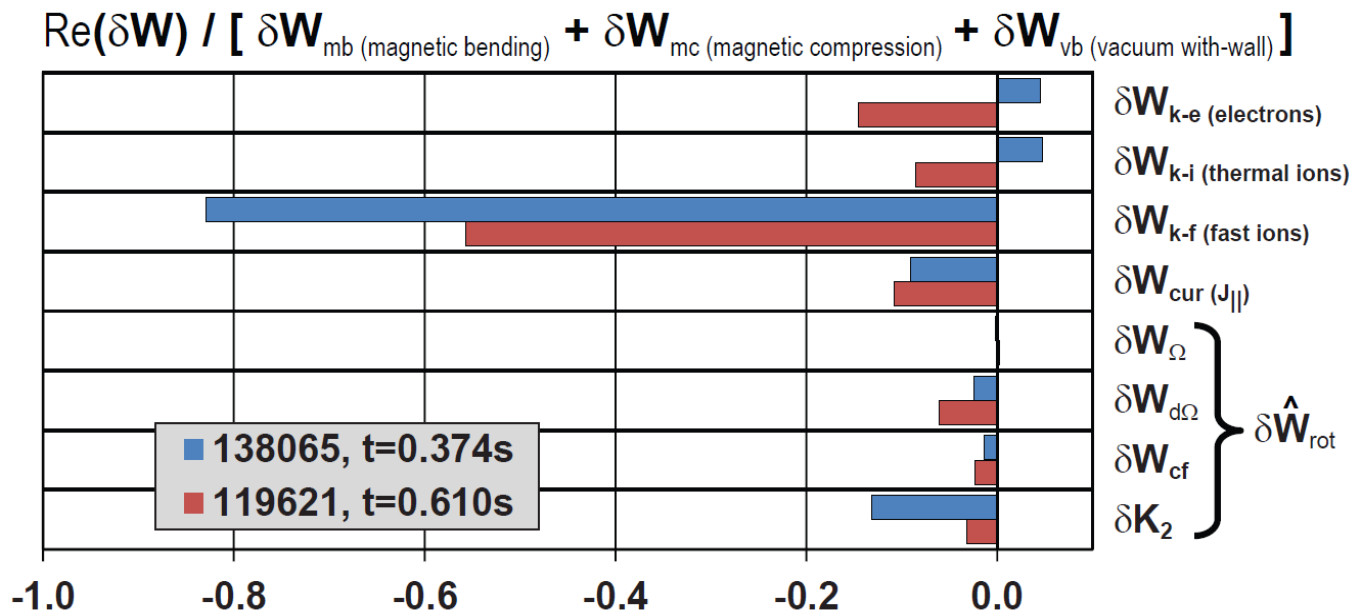


Fast-ion p profiles used in kinetic calculations



IWM energy analysis near marginal stability elucidates trends from growth-rate scans

- Fast-ions in $\text{Re}(\delta W_k)$ = dominant destabilization in both shots
 - Balanced against field-line bending+compression + vacuum stabilization
- Shot 138065 has larger destabilization from fast-ions & rotation
 - Consistent w/ larger 55% reduction in $\beta_N = 7-8 \rightarrow 3.5$ (vs. $5.5 \rightarrow 4.2$ or 25%)
- Kelvin-Helmholtz-like $\delta W_{d\Omega}$ and δK_2 are dominant δW_{rot} terms
 - Rotational Coriolis and centrifugal effects weaker



Summary

- Accurate q profile requires inclusion of rotation in reconstruction
- Significant fast-ion redistribution apparent in many shots in reconstructed kinetic and rotational pressure profiles (P_K , P_Ω)
- Rotation, fast-ion/kinetic effects can strongly modify IWM
 - Rotation near sonic $\rightarrow$ potentially large reduction in with-wall marginal β_N
 - High fast-ion pressure fraction further reduces marginal β_N
- Initial calculations show good agreement between MARS-K predicted and measured mode characteristics: $\beta_{N\text{-crit}}$, ω , γ , ξ
 - Kinetic values/limits closer to experiment than fluid treatment
- Inclusion of wall stabilization, rotation, fast-ions (w/ broadening or loss) in MARS-K necessary to achieve good agreement between measured & predicted stability characteristics