



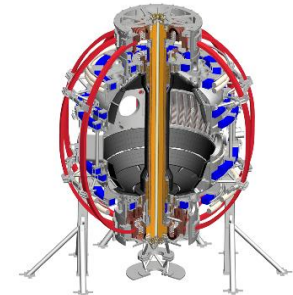
Initial transport validation studies using NSTX-U L-mode plasmas

W. Guttenfelder¹, S.M. Kaye¹, M. Kriete², D. Battaglia¹, R.E. Bell¹,
M.D. Boyer¹, N. Crocker³, A. Diallo¹, N. Ferraro¹, S.P. Gerhardt¹, B.P. LeBlanc¹,
D. Liu¹, G.R. McKee², J.E. Menard¹, D. Mueller¹, C. Myer¹, M. Podesta¹,
R. Raman⁴, Y. Ren¹, S.A. Sabbagh⁵, D. Smith²

¹PPPL, ²U. Wisconsin-Madison, ³UCLA, ⁴U. Washington, ⁵Columbia

APS-DPP, San Jose CA

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Spherical tokamaks (STs) provide extended range of β , R/a and ρ_* over which to validate theory and simulation

- Previous NSTX L-mode analysis using local and non-local gyrokinetic codes predict different results from relatively large $\rho_* = \rho_i/a \sim 1/120$
 - Local GYRO ion-scale ($k_\perp \rho_s < 1$) simulations predict wide variation of fluxes with radius compared to exp. (*Ren, Nucl. Fusion 2013*)
 - Global GTS ion-scale simulations get close to predicting $Q_{i,sim} \approx Q_{i,exp}$ but $Q_{e,sim}$ remains far too small (*Wang, Phys. Plasmas 2015; Ren, IAEA FEC 2016, EX/P4-35*)
 - Limited fluctuation data available
- **GOAL**: develop NSTX-U L-mode discharges for code benchmarking & validating finite- $\rho_*/$ non-local effects at low $A=R/a$ using global gyrokinetic simulations (GTS, GENE, XGC, GYRO...)
 - Ultimately want to do this for high- β H-modes but electromagnetic simulations are very challenging
 - ⇒ Start with low- β L-mode for electrostatic simulations

Using stationary, sawtoothing L-modes established during NSTX-U commissioning for validation study

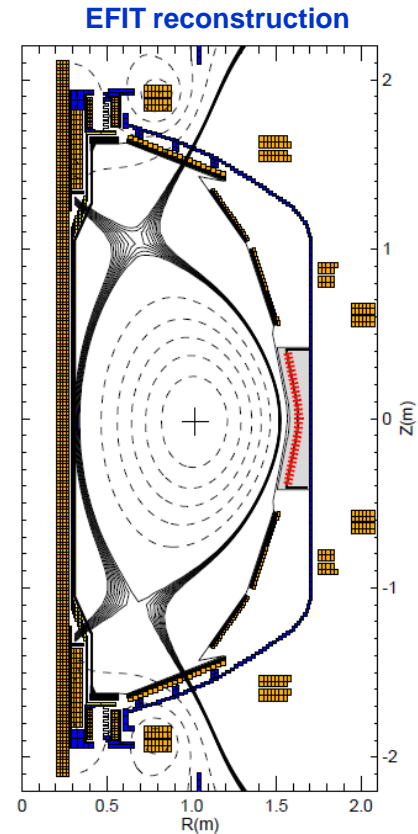
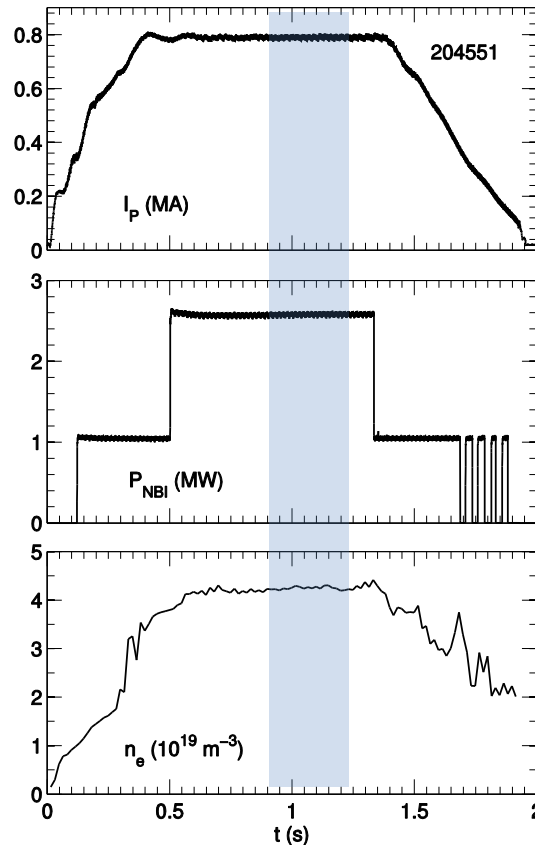
- Focus here is on $I_p=0.8$ MA, $4 \times 10^{19} \text{ m}^{-3}$, $P_{\text{NBI}}=2.6$ MW discharge
 - Used HFS fueling to raise density and avoid L-H transition

- EFIT reconstruction gives

$$\kappa = 1.7, \ell_i = 1.3, q_{95} = 4.8$$

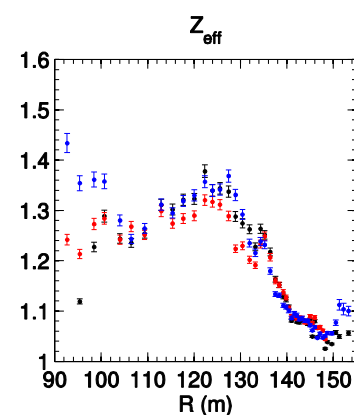
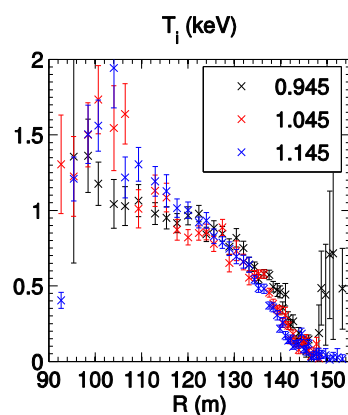
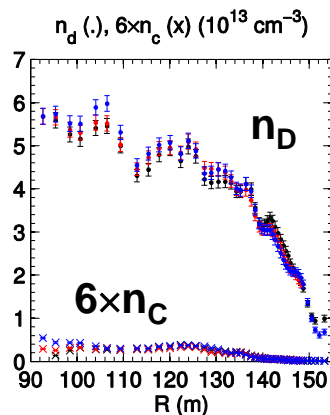
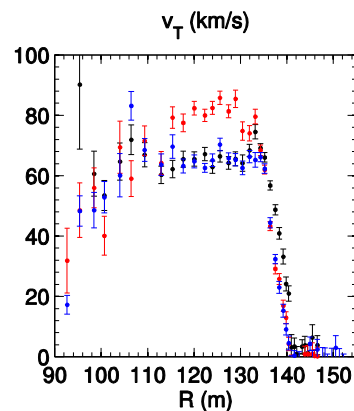
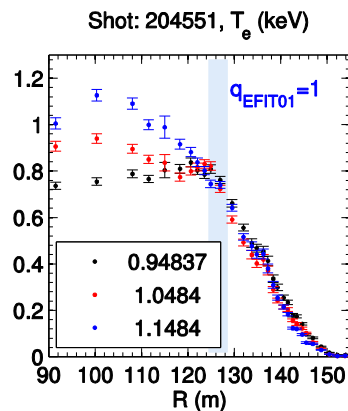
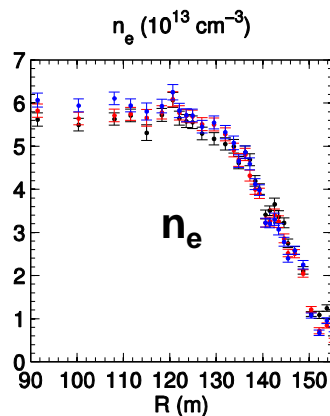
$$\beta_N = 2.0, \beta_T = 4.1\%$$

- Using time-average between 0.9-1.2 s for transport analysis and simulations



Kinetic profiles illustrate sawteeth, low carbon impurity, and strong local flow shear in region of interest

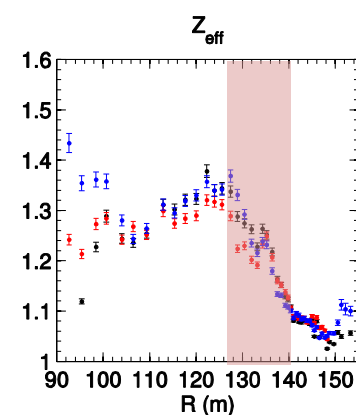
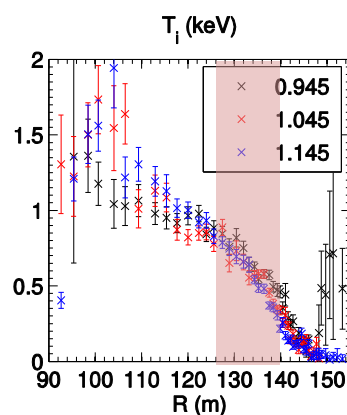
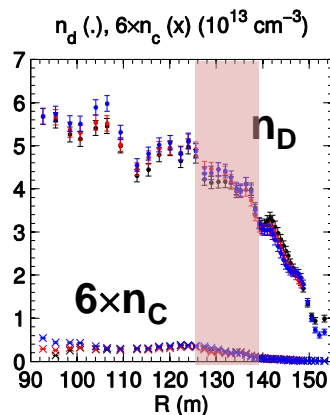
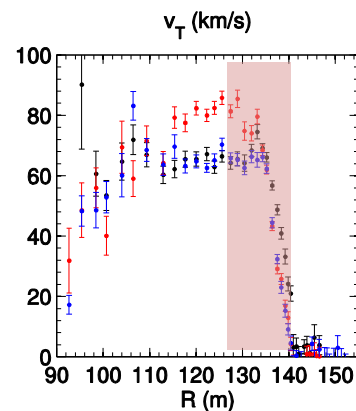
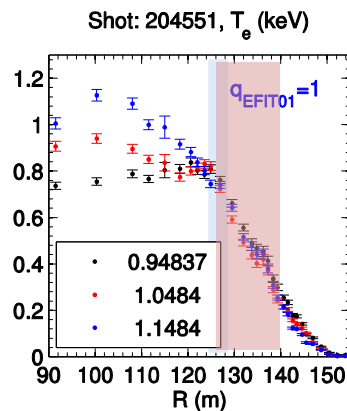
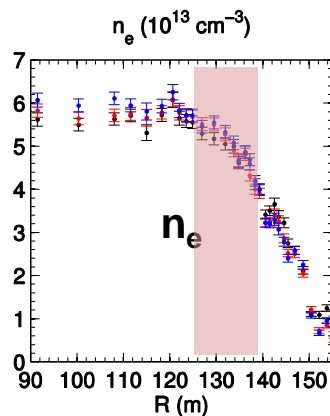
- Sawtooth inversion radius $R \approx 125$ cm, $\psi_N \approx 0.27$ consistent with EFIT (no MSE measurements)
- Rotation locked outside 140 cm (likely from 2/1 mode)



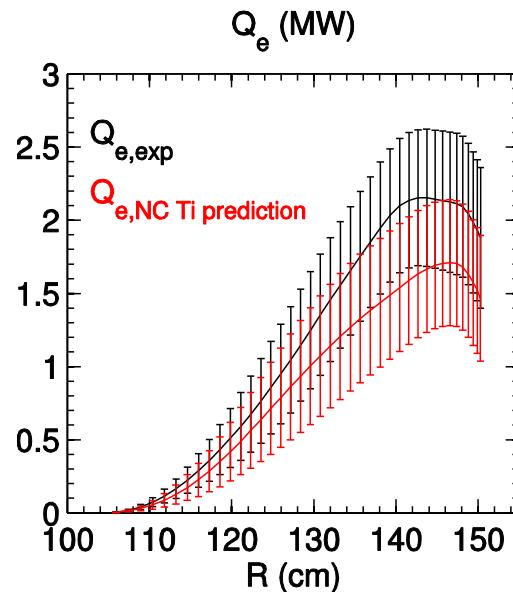
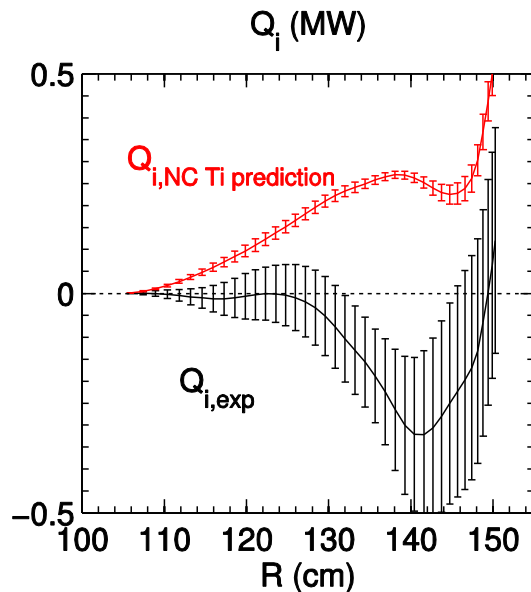
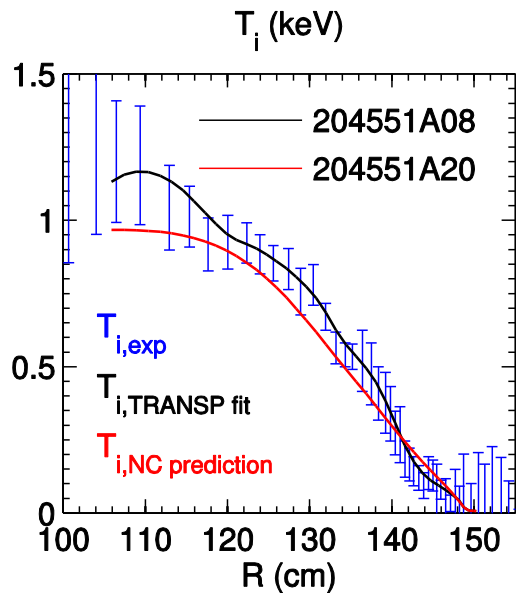
Kinetic profiles illustrate sawteeth, low carbon impurity, and strong local flow shear in region of interest

- Sawtooth inversion radius $R \approx 125$ cm, $\psi_N \approx 0.27$ consistent with EFIT (no MSE measurements)
- Rotation locked outside 140 cm (likely from 2/1 mode)

⇒ Very strong local flow shear in region of interest ($R=125-140$ cm, $\psi_N=0.27-0.73$, $\rho=0.37-0.68$)

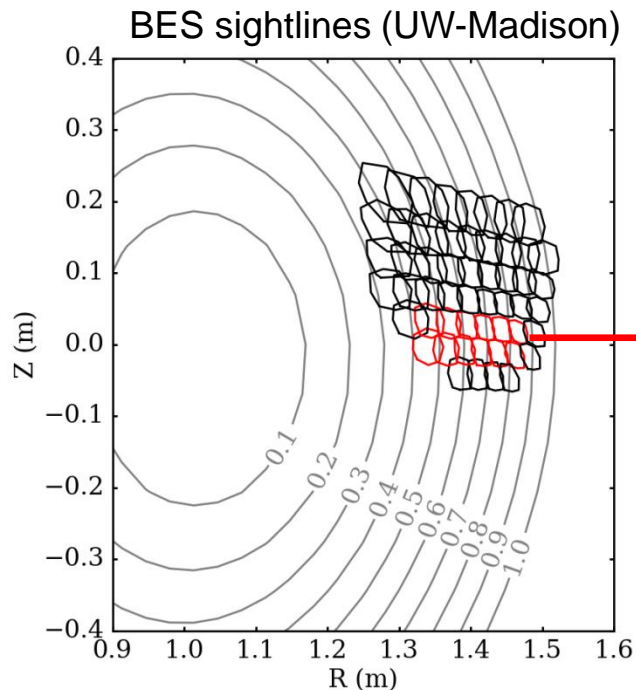


Ion transport ~ neoclassical, ~0.5 MW uncertainty in heat fluxes from collisional coupling

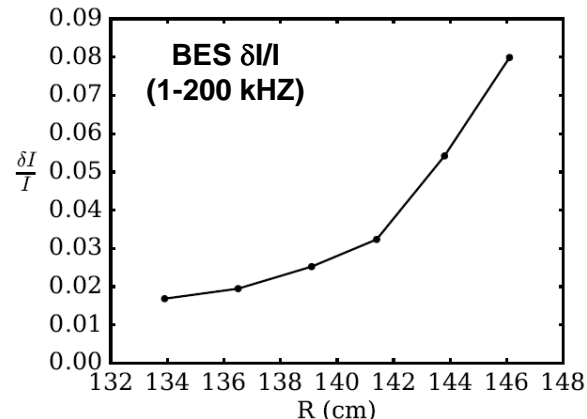
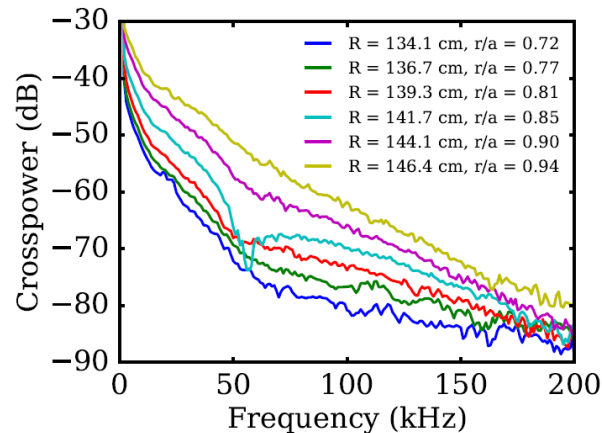


S.M. Kaye, NP10.01 (Wed AM)

48 channel BES system shows broadband ($f < 200$ kHz), large amplitude (2-8%) ion-scale density fluctuations

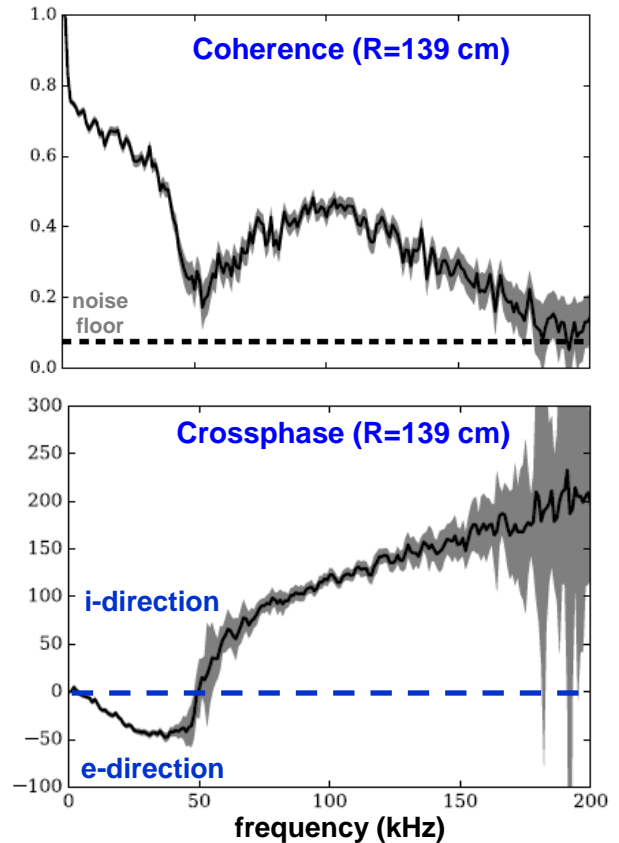


D.M. Kriete, NP10.14
(Wed AM)



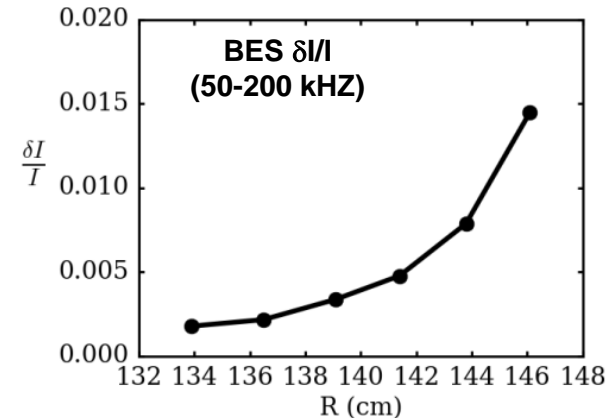
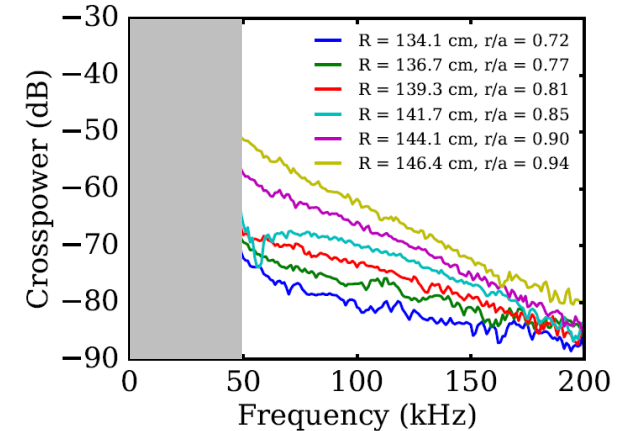
BES poloidal cross-phase shows dual-mode propagation

- Ion mode found $R=134-144$ cm
- Low frequency ($f < 50$ kHz) electron mode found at all radii ($R=134-146$ cm)
 - Outer most radii has strong electron mode only
- Concern that electron mode could be due to shadowing from large amplitude edge fluctuations (see D.M Kriete poster NP10.14)



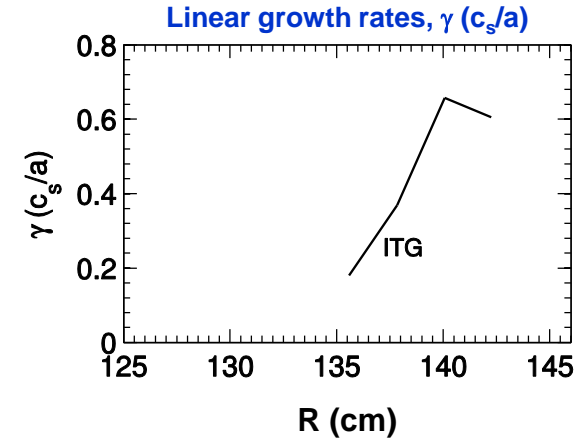
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⇒ **Fluctuation amplitude of only ion-directed modes (50-200 kHz) much smaller (0.1-1.5%)**
 - Analysis of UCLA 16 channel reflectometer ongoing



At ion scales ($k_\theta \rho_s < 1$), linear GYRO* simulations predict unstable ion temperature gradient (ITG)

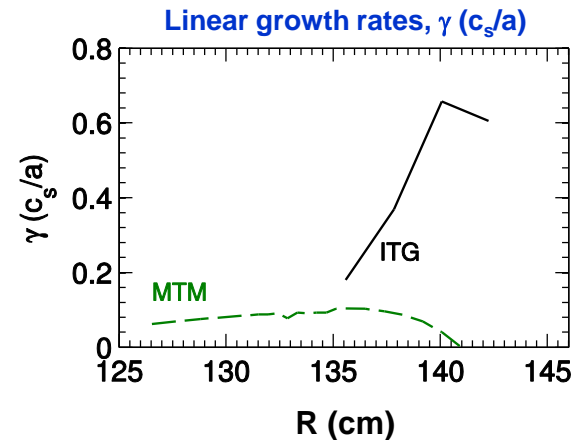
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 - Propagation direction consistent with BES ion mode (need to consider Doppler shift, although also in ion direction)



*GYRO (Candy, Waltz, 2003)

At ion scales ($k_{\theta}\rho_s < 1$), linear GYRO* simulations predict unstable ion temperature gradient (ITG) and microtearing modes (MTM)

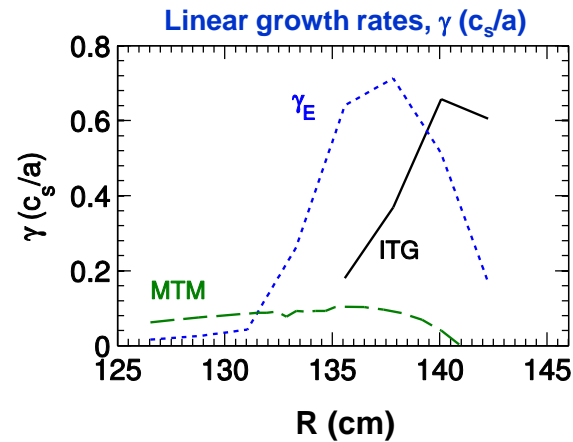
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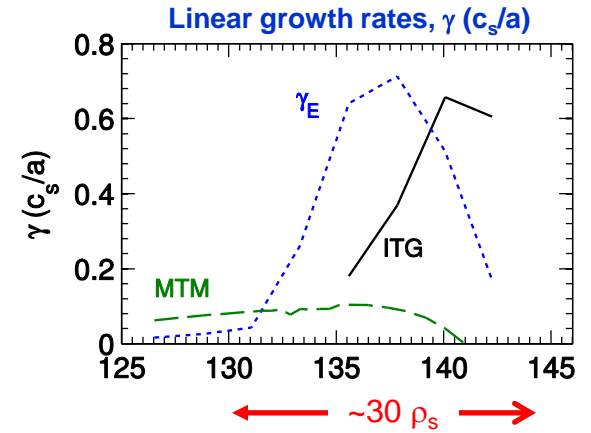
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- Strong *local* $E \times B$ shearing rates ($\gamma_E > \gamma_{ITG}, \gamma_{MTM}$ at $R=135$ cm)
 - BES amplitudes increasing where $\gamma_{ITG} > \gamma_E$



*GYRO (Candy, Waltz, 2003)

Strong variation in turbulence, stability and $E \times B$ shear over $\leq 30 \rho_s$ \Rightarrow motivates the need for global simulations

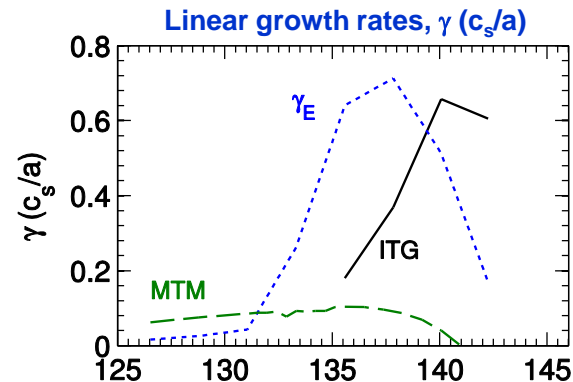
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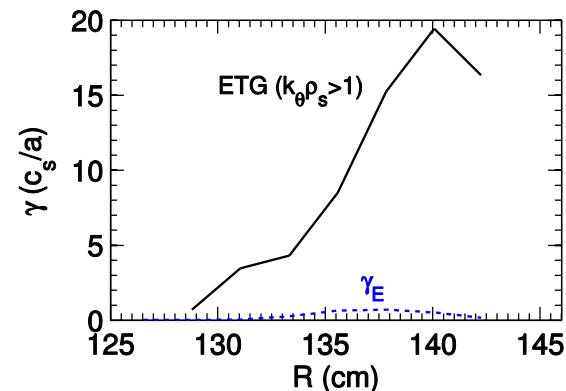
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Electron scale ($k_{\perp}\rho_s \gg 1$) ETG also linearly unstable ($\gamma_{\text{ETG}} \gg \gamma_E$) in region of strong $E \times B$ shear

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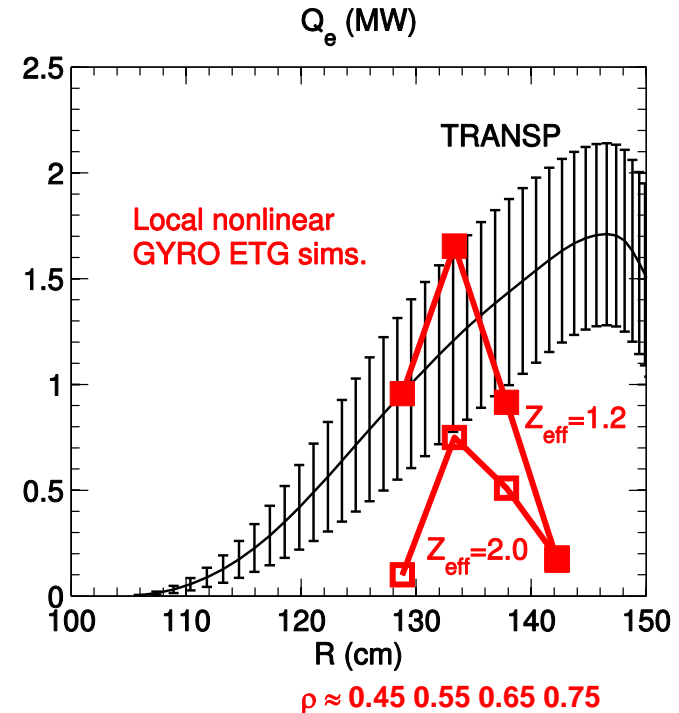
$\leftarrow \sim 30 \rho_s \rightarrow$



*GYRO (Candy, Waltz, 2003)

Nonlinear ETG simulations give significant transport around mid-radius ($R=129-140$ cm, $\rho=0.47-0.67$)

- $Q_{e,etg}$ large enough to account for $Q_{e,exp}$ if $Z_{eff}=Z_{eff,c}\approx 1.2$
 - Larger Z_{eff} (VB $Z_{eff}\leq 2$) would lower $Q_{e,etg}$
- New high-k microwave scattering diagnostic will be ideal for probing region of ETG turbulence
- *May require multiscale simulations for validation*



Summary: L-modes established during NSTX-U commissioning being used for transport validation

Experiment

- Electrons carry majority of heat flux, ion transport ~ neoclassical
- BES measures strong, broadband ($f \leq 200$ kHz) ion-scale turbulence with bi-modal propagation (caveat of possible edge shadowing)

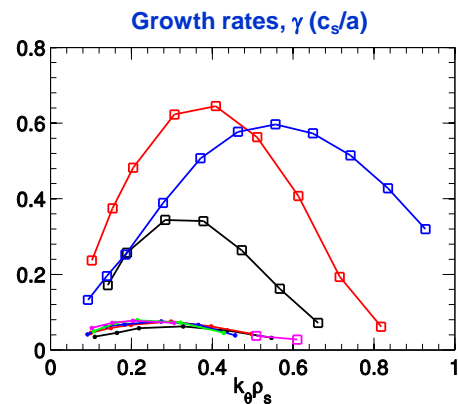
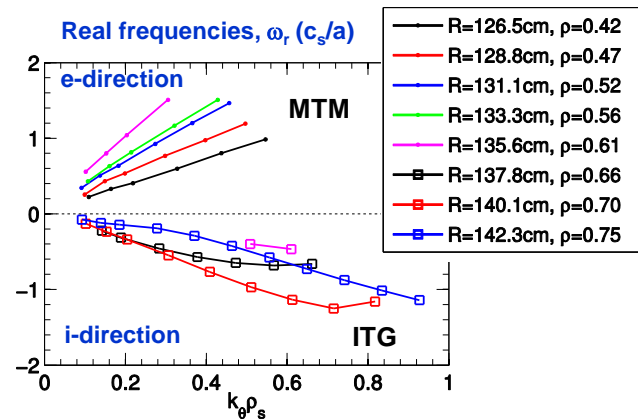
Simulation

- ITG & MTM are unstable at ion scales ($k_{\theta} \rho_s < 1$), $E \times B$ shearing is strong with significant radial variation \rightarrow motivates global GK benchmarking and validation
- Significant electron-scale ($k_{\theta} \rho_s > 1$) ETG transport predicted at midradius where $\gamma_E > (\gamma_{ITG}, \gamma_{MTM}) \rightarrow$ may also require multiscale simulations
- *Acknowledgements: This research used resources of the National Energy Research Scientific Computing Center, a DOE Office of Science User Facility supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231.*

At ion scales ($k_{\theta}\rho_s < 1$), linear GYRO* simulations predict unstable spectra of ITG and microtearing modes (MTM)

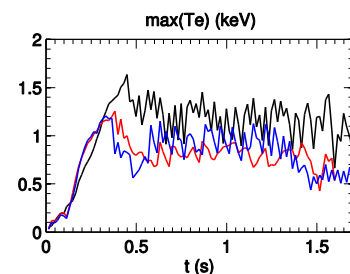
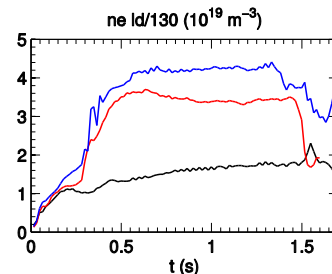
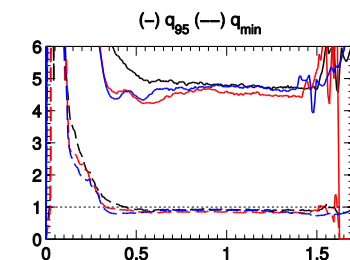
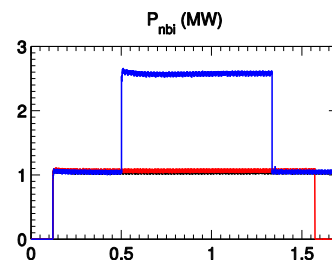
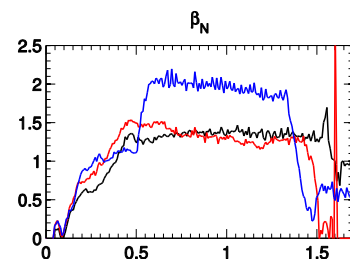
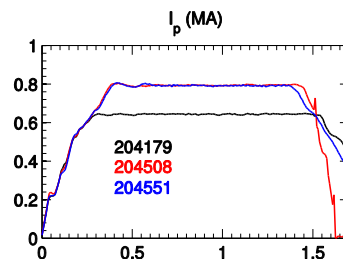
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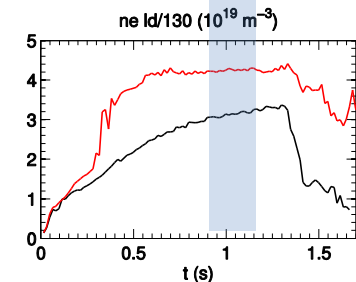
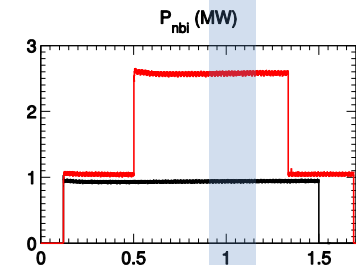
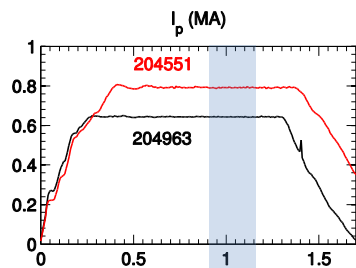


NSTX-U L-modes established over range of density, plasma current, neutral beam power & tangency radii

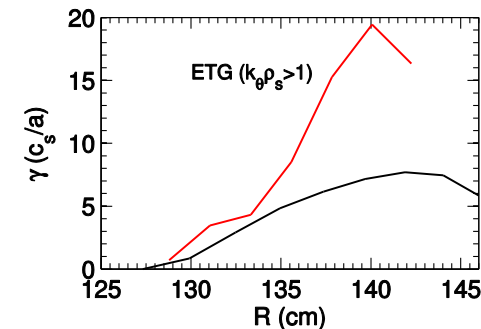
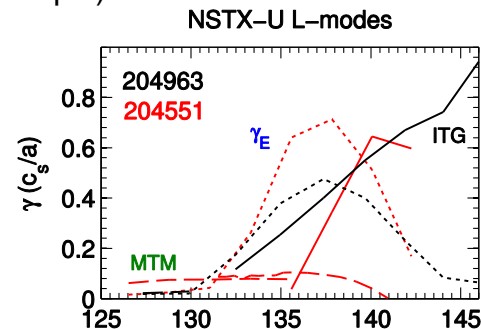
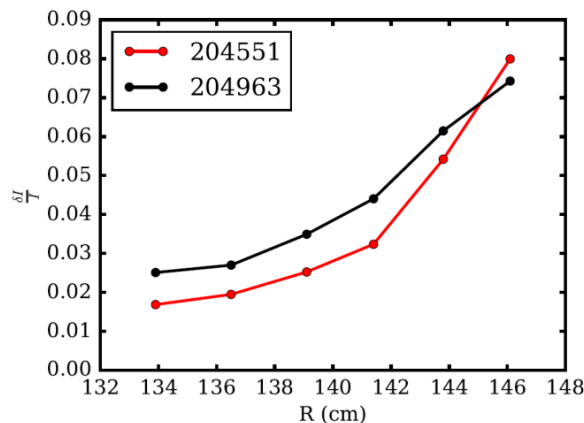
- Established stationary, stable L-modes during commissioning (spring 2016)
 - $\langle n_e \rangle = 1-4 \times 10^{19} \text{ m}^{-3}$
 - $I_p = 0.65-1.0 \text{ MA}$ ($B_T = 0.65 \text{ T}$)
 - $P_{\text{NBI}} = 1-3 \text{ MW}$
 - 2nd NBI sources (bigger R_{tan}) had noticeable effect on rotation, tearing stability, locked modes
- Sustained shots up to 1.5 sec with 2.5-2.9 MW, $\beta_N \leq 2$ with different combination of beam sources
 - Used HFS fueling to raise density and avoid L-H transition
 - Tried up to 4.3 MW but H-mode or disruptions occur ($\beta_N \sim 2.5$)
- All shots sawtoothed ($R_{\text{inv}} \sim 125 \text{ cm}$, $\Delta t \sim 25-35 \text{ ms}$ depending on NBI source and plasma density)



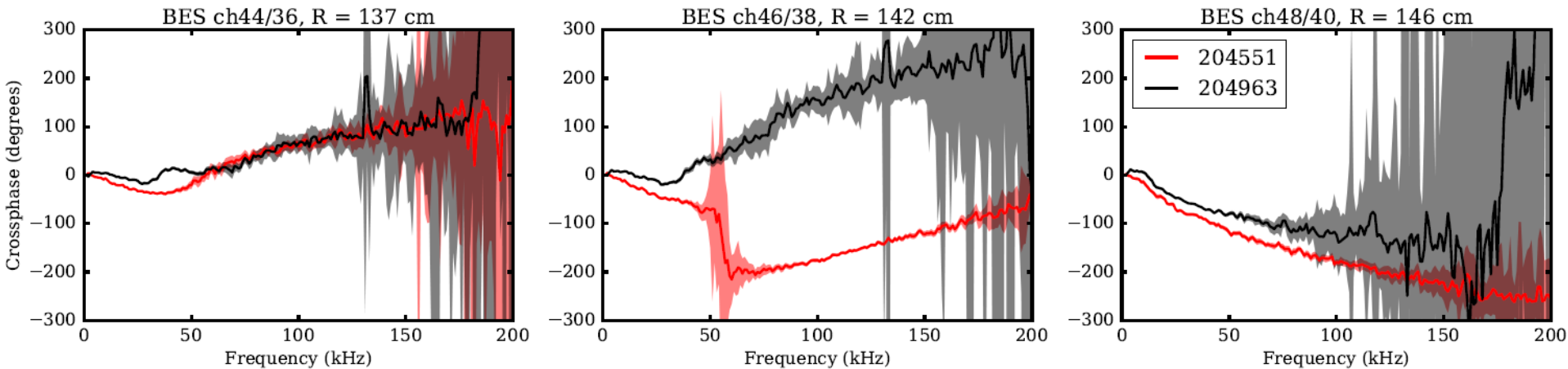
Have repeated analysis on lower I_p , n_e , P_{nbi} discharge 204963, Qualitative similarity ion scale fluctuations and microstability



- Larger low-k fluctuation amplitudes in 204963, cross-phases similar to 204551
 - 16 channel UCLA reflectometer data also available for this shot
- γ_{ITG} larger due to reduced T_i/T_e & γ_E reduced (less beam torque)
- γ_{MTM} weaker (lower β_e)
- γ_{ETG} weaker (reduced T_i/T_e , R/L_{Te})



Evidence for dual-modes found in multiple discharges



Shot parameters

Shot	Time (s)	B_T (T)	I_p (MA)	P_{NBI} (MW)	n_e	W_{MHD} (kJ)	q_{95}	β_N	β_T (%)	κ	I_i
204551	0.9-1.2	0.63	0.79 (0.8)	2.6 (1A+1B)	4.3	95 (105)	4.8 (4.5)	2.0 (2.1)	4.1 (4.4)	1.7 (1.8)	1.3 (1.1)
204651	0.9-1.1	0.63	0.64 (0.65)	1.0 (1C)	3.1	42 (63)	5.1 (5.2)	1.1 (1.6)	1.9 (2.8)	1.7 (1.7)	1.5 (1.2)
204963	0.9-1.1	0.63	0.64 (0.65)	0.94 (1B)	3.1	62 (80)	5.5 (5.5)	1.7 (2.1)	2.8 (3.6)	1.7 (1.7)	1.3 (1.1)

- EFIT01 (EFIT02)