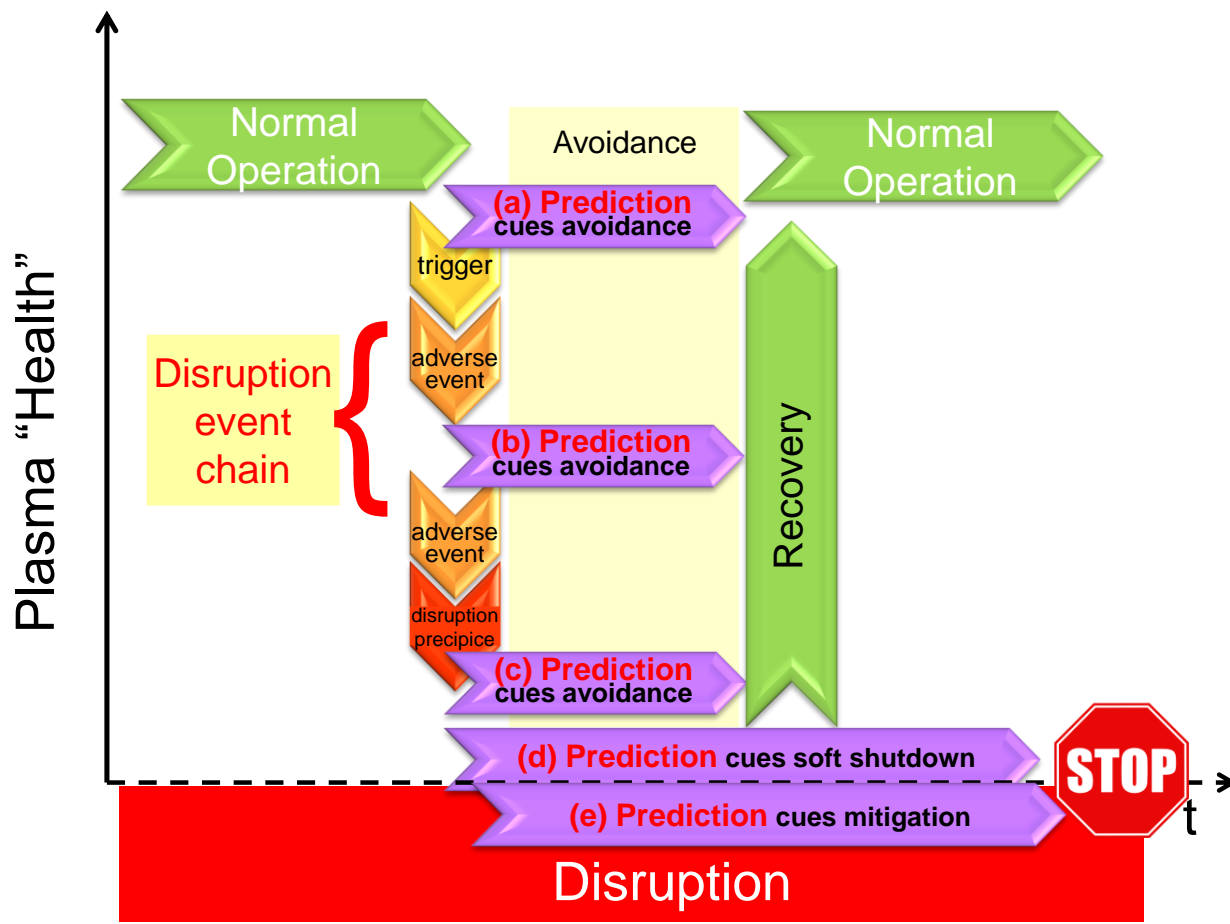


Disruption event chain characterization capability started as next step in disruption avoidance plan

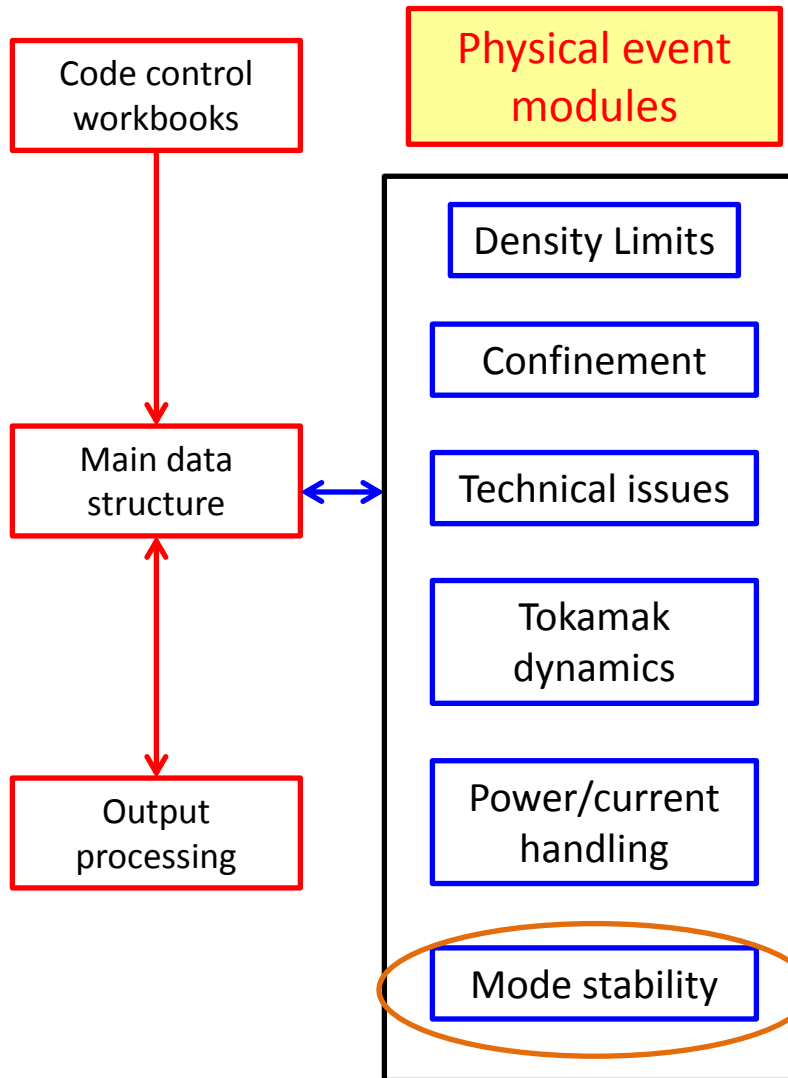
Disruption prediction/avoidance framework



[DOE report on Transient events (2015)]

- Approach to disruption prevention
 - Identify disruption event chains and elements
 - Predict events in disruption chains
 - Cues disruption avoidance systems to break event chains
 - Attack events at several places with active control
 - Builds upon both physics and control successes of NSTX

Disruption Event Characterization And Forecasting (DECAF) code is structured to ease parallel development



- Physical event modules

- Present grouping follows work of deVries [P.C. de Vries et al., Nucl. Fusion 51, 053018 (2011)]

- BUT, easily appended or altered

- Warning algorithms

- Present approach follows [S.P. Gerhardt et al., Nucl. Fusion 53, 063021 (2013)]

- More flexible: arbitrary number of tests, thresholds, and user-defined levels and warning points

Kinetic RWM analysis used as a reduced stability model in DECAF

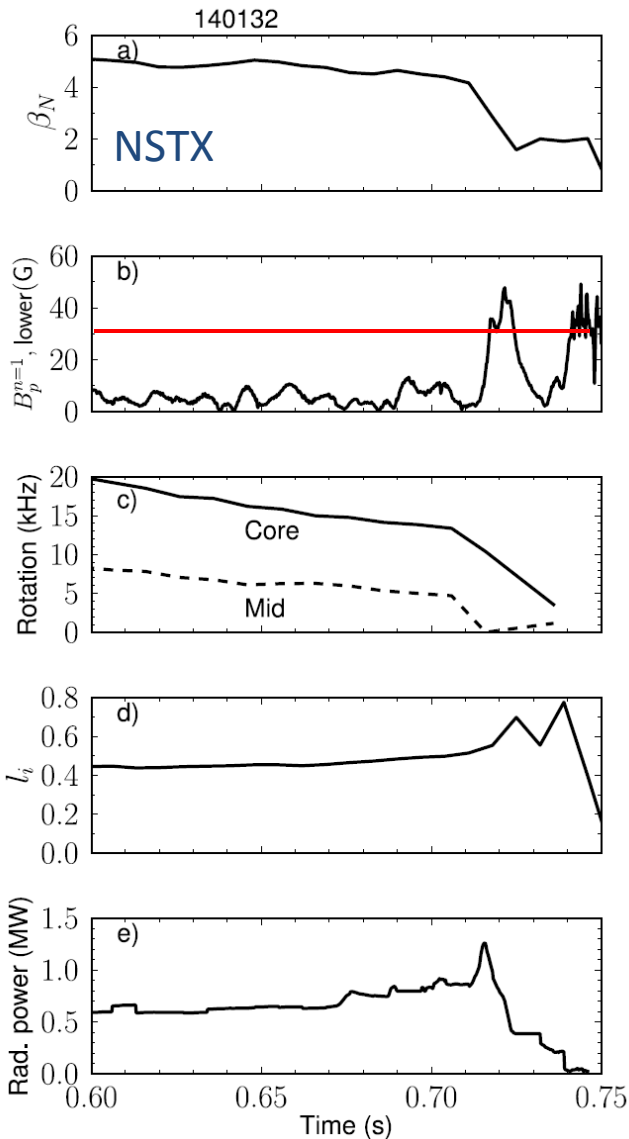
Examples of some threshold tests currently included in DECAF

Group	Disruption chain event		Points	Test Criteria	Test Thresholds	Points
NL	Greenwald limit	GWL	3	Greenwald density limit	[0.90,0.95,0.99]	[1,2,3]
	Low density (error field)	LON	3	Decrease in line density (10^{14} cm ³ /s) too large	[-10.0,-20.0,-30.0]	[1,2,3]
Line density (10^{14} cm ³) too low				[0.3,0.2,0.1]	[1,2,3]	
MS	Vertical stability control	VSC	5	Axis position (m)	[0.05,0.075,0.10]	[1,2,3]
				Axis velocity (m/s)	[3.93,6.54,9.01]	[1,2,3]
				Excessive ZdZdt (m/s ²)	[0.20,0.41,0.84]	[1,2,3]
	Resistive wall mode	RWM	3	$B_p^{n=1}$ lower component (G) too large	[10,20,30]	[1,2,3]
	Low edge q	LOQ	3	Safety factor q^* too low	[3.0,2.5,2.0]	[1,2,3]
				Safety factor q_{95} too low	[3.0,2.5,2.0]	[1,2,3]
	Sawtooth	SAW	3	Safety factor q_0 too low	[1.05,1.00,0.95]	[1,2,3]
High pressure peaking	PRP	3	Excessive $p_0/\langle p \rangle$	[3.5,4.0,4.5]	[1,2,3]	
TD	Plasma current request	IPR	3	$ I_p^{req} - I_p /I_p^{req} >$	[0.05,0.10,0.15]	[1,2,3]
	Wall proximity control	WPC	3	Inner gap (m) too small	[0.03,0.02,0.01]	[1,2,3]
				Outer gap (m) too small	[0.03,0.02,0.01]	[1,2,3]
				Upper gap (m) too small	[0.03,0.02,0.01]	[1,2,3]
Bottom gap (m) too small				[0.03,0.02,0.01]	[1,2,3]	
PC	High heat/radiation load	HHL	3	Radiated power fraction too high	[0.2,0.3,0.4]	[1,2,3]

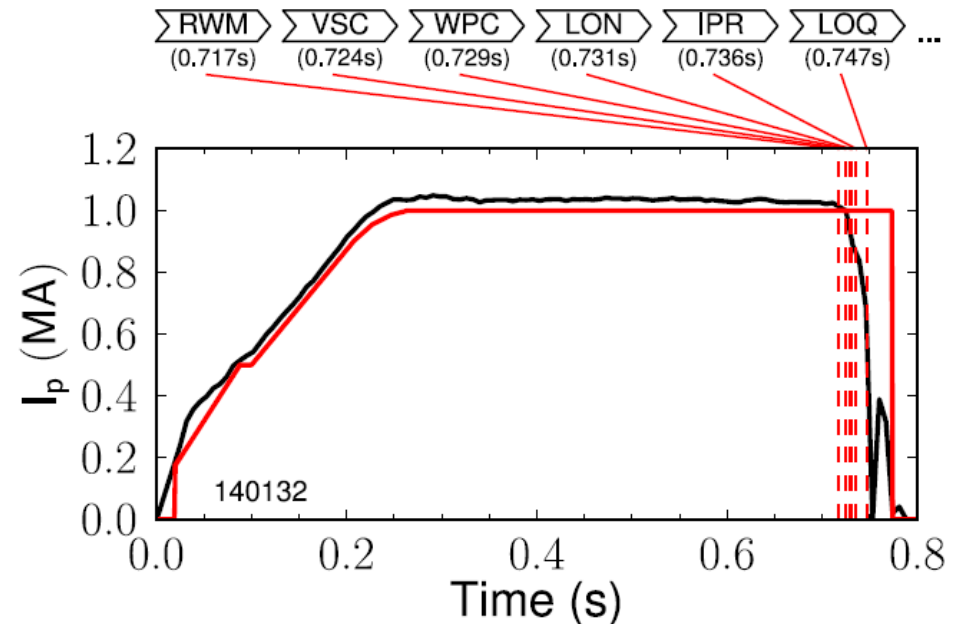
DECAF uses threshold tests and more sophisticated models to declare events and event chains

- Example DECAF analysis on single NSTX discharge

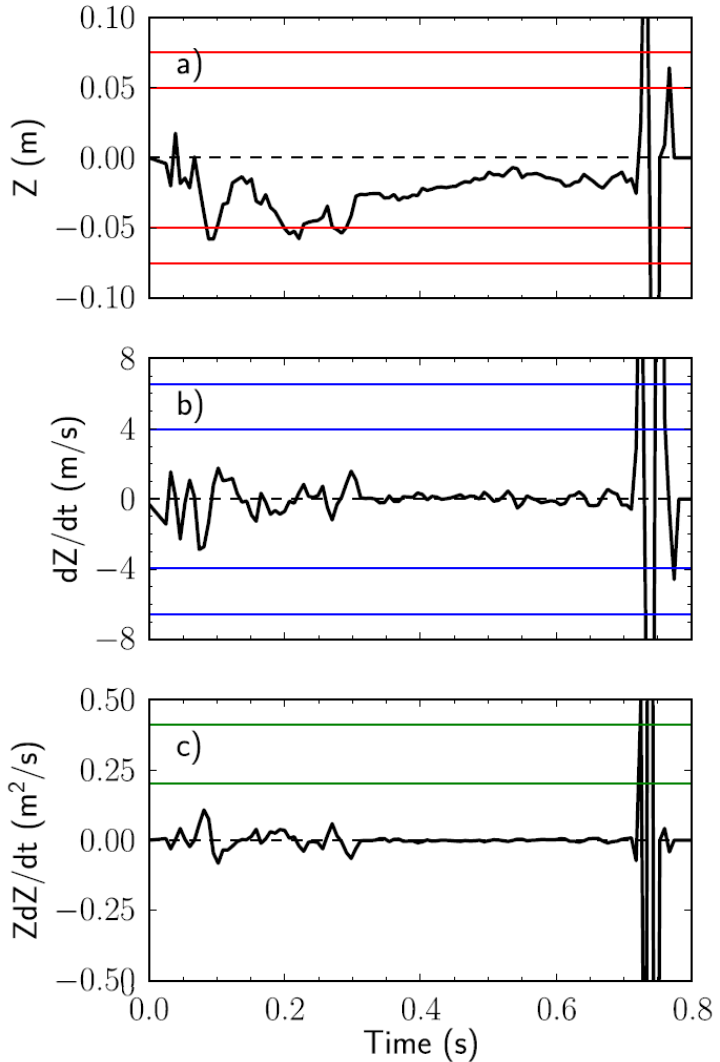
– Ex: RWM $B_p^{n=1}$ threshold 30G ($\delta B/B_0 \sim 0.67\%$)



Disruption Events and Chain

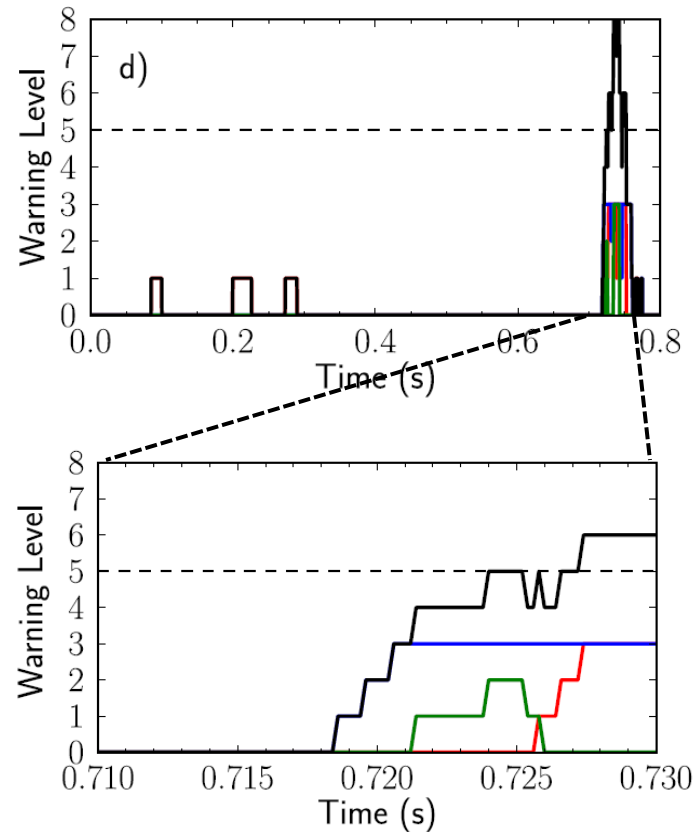


Tests can be combined with “warning points”



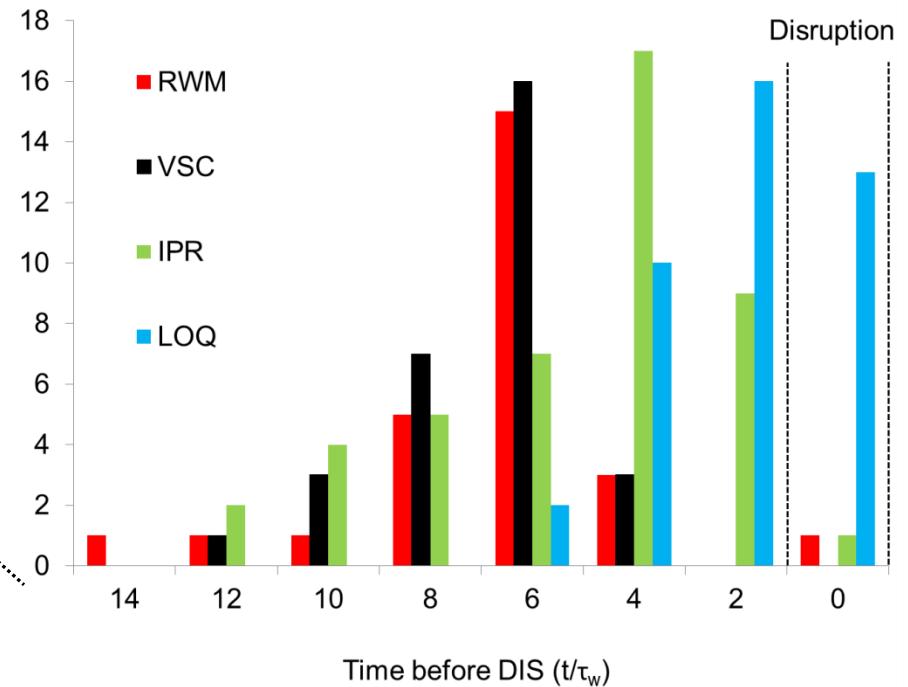
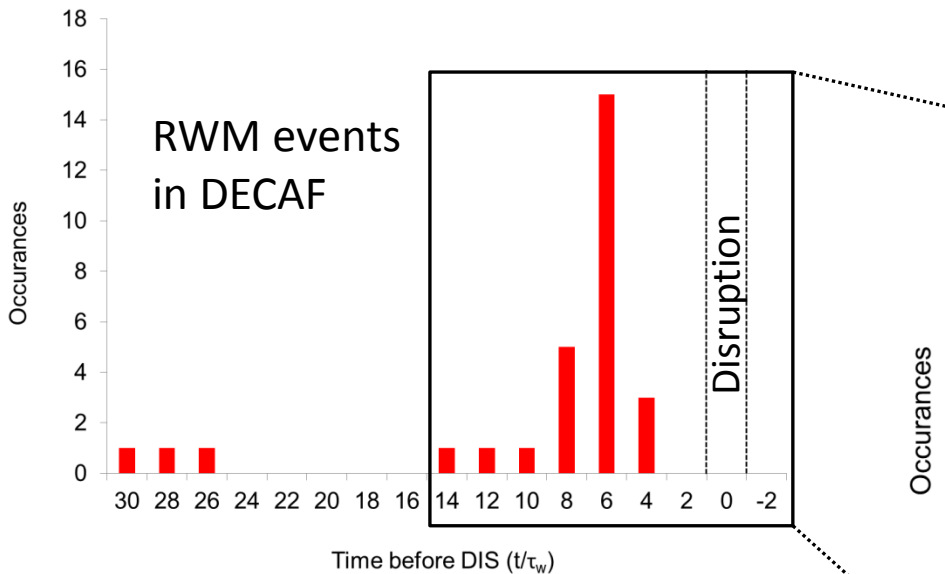
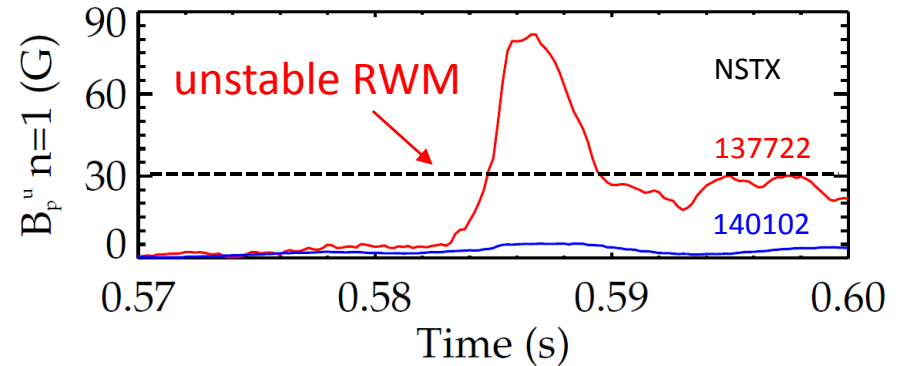
Example DECAF analysis on single NSTX discharge

– Ex: VSC uses Z , dZ/dt , and ZdZ/dt



Initial DECAF results detects disruption chain events when applied to dedicated 45 shot NSTX RWM disruption database

- RWM $B_p^{n=1}$ threshold 30G ($\delta B/B_0 \sim 0.67\%$)
- $\sim 58\%$ within $20 \tau_w$ of disruption time ($\tau_w = 5$ ms)



RWM: RWM event warning

VSC: Vertical stability control

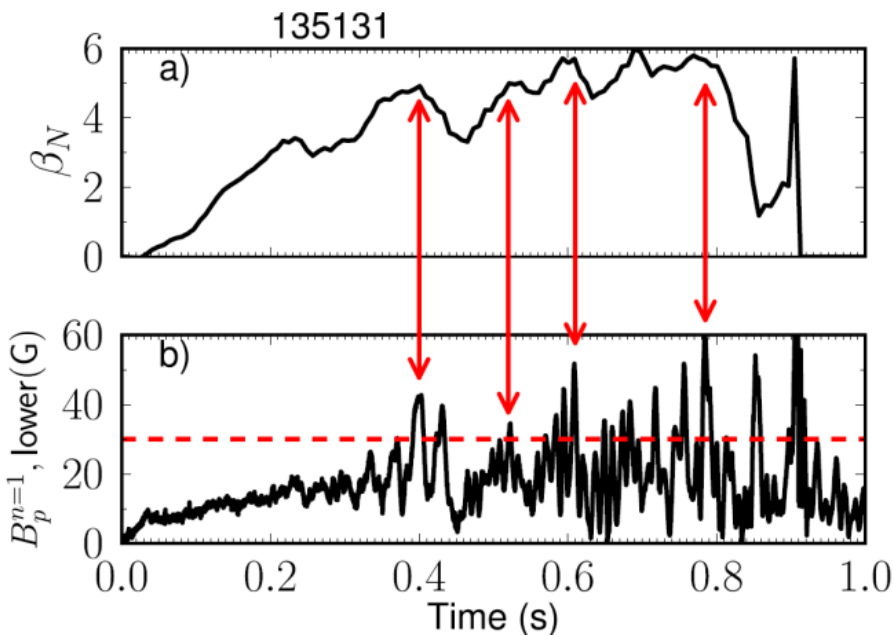
IPR: Plasma current request not met

LOQ: Low edge q warning

Initial DECAF analysis finding common disruption event chains, giving new insight

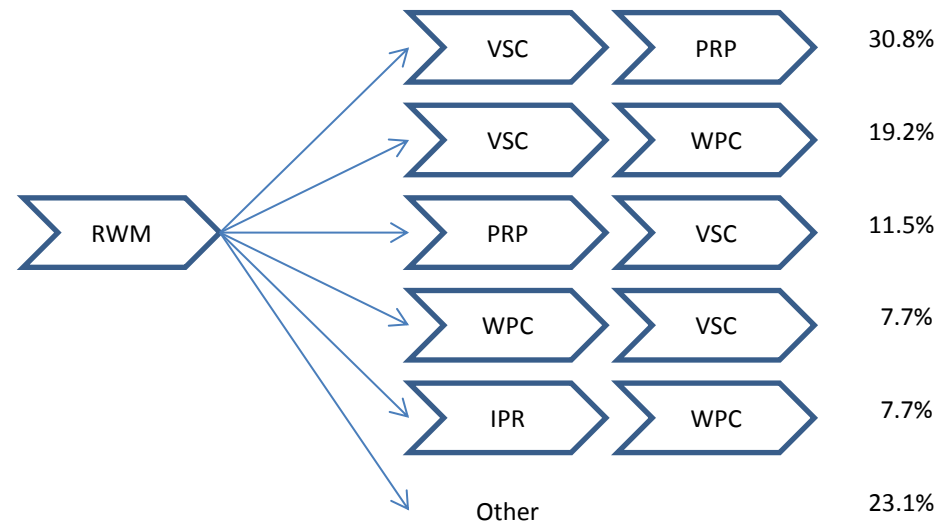
- Earlier RWM events *not* false positives

- cause large decreases in β_N and stored energy with subsequent recovery (minor disruptions)

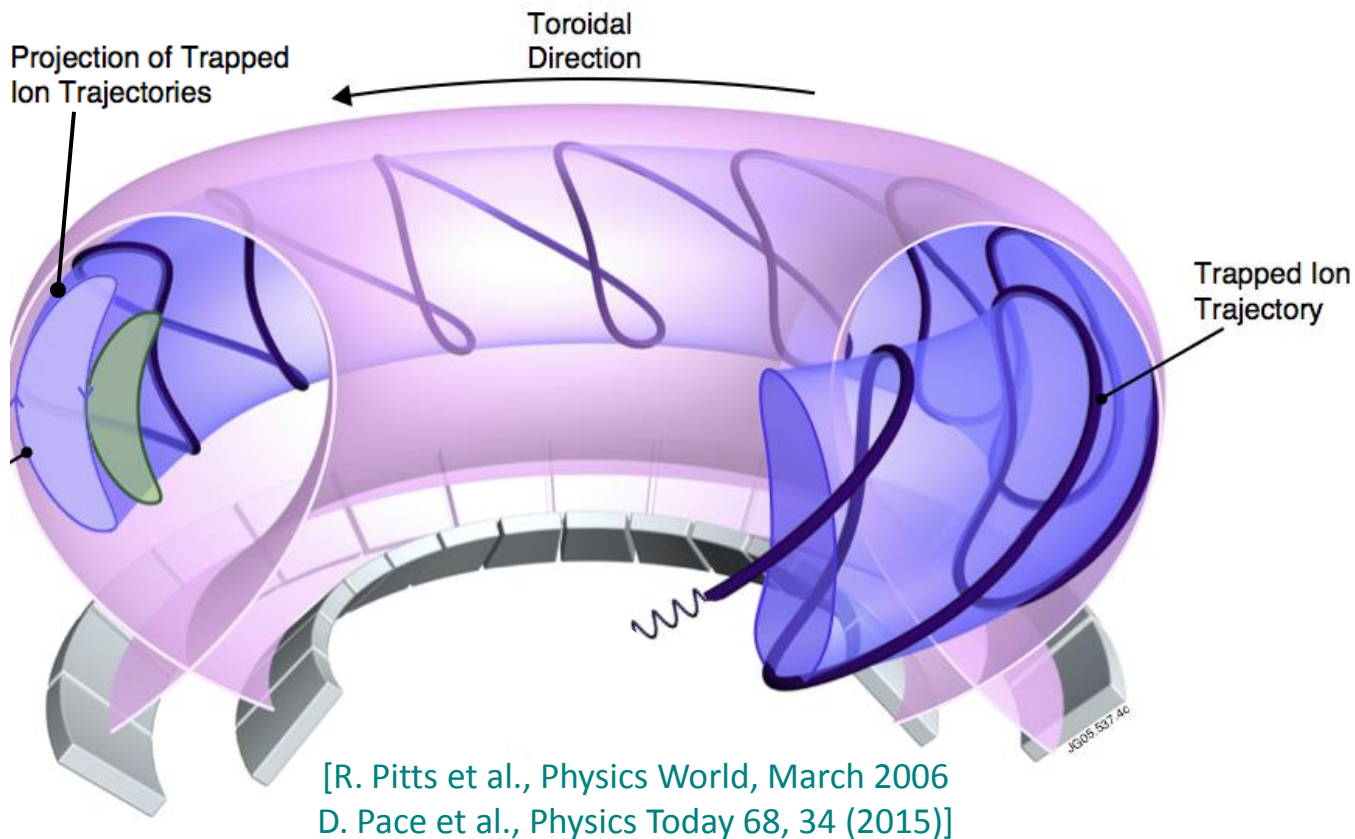


- Identifying common chains of events can provide insight into how to cue avoidance systems

- 5 (out of theoretically 56) two-event combinations followed 77% of RWM cases (that occurred within $20\tau_w$ of DIS)



DECAF now incorporates a reduced kinetic MHD stability model for global MHD



MISK code

- Solves for RWM growth rate
- δW_K is solved by using \tilde{f} from the drift kinetic equation

Precession Drift

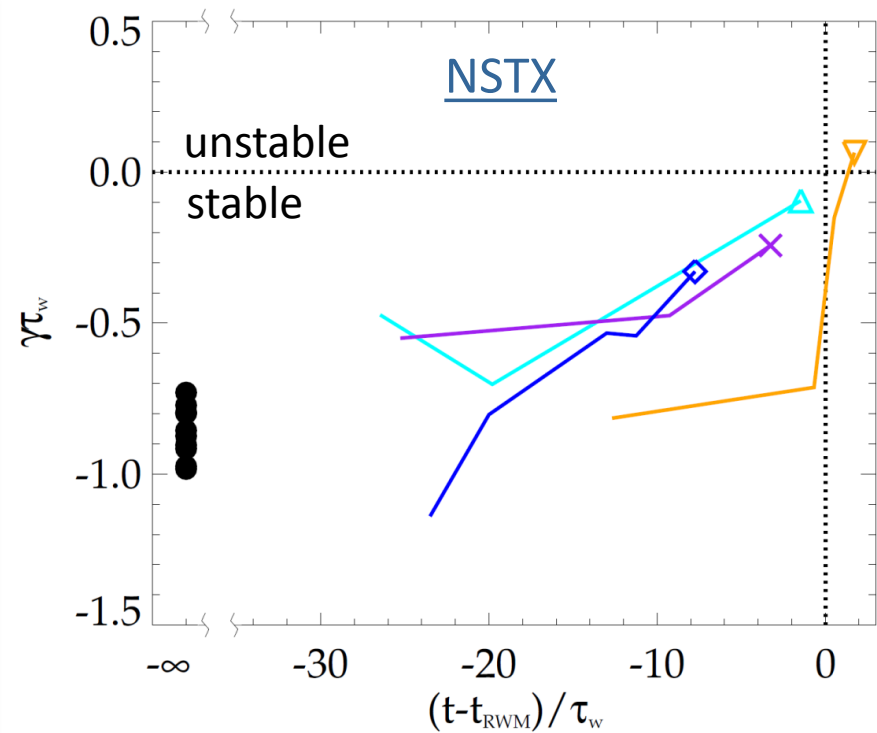
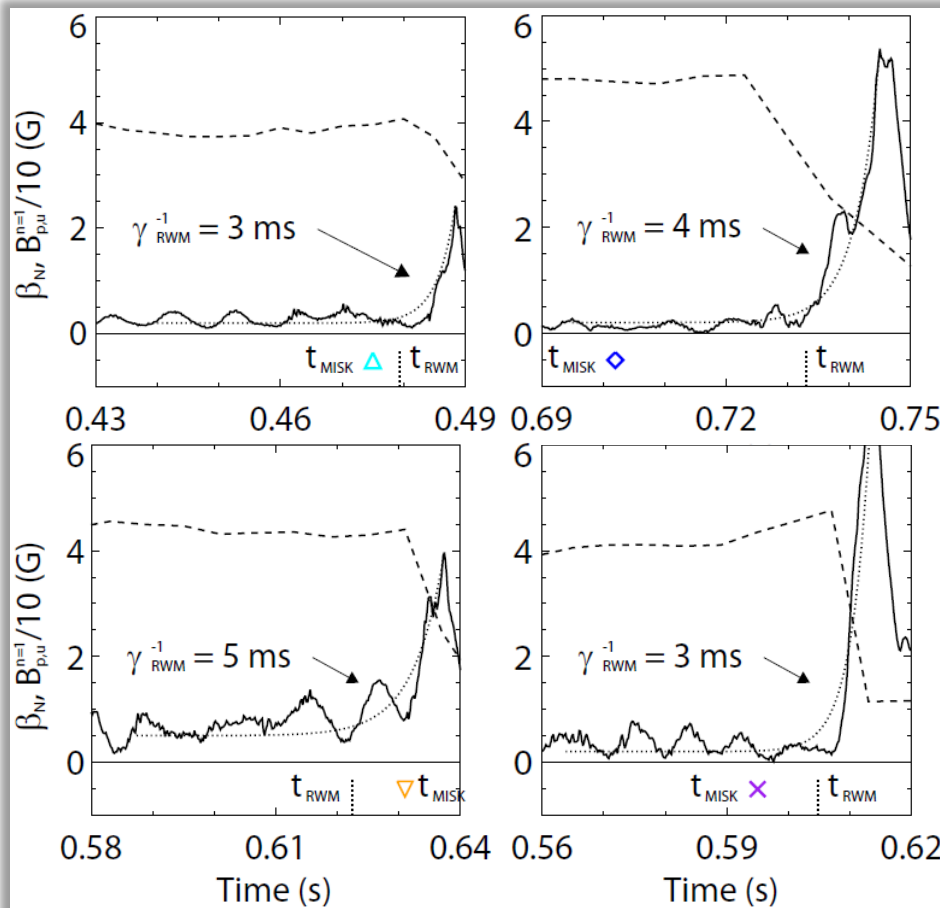
\sim Plasma Rotation

Rotational resonance effect

$$\delta W_K \sim \frac{1}{\langle \omega_D \rangle + \omega_E - i\nu}$$

[J. Berkery et al., Phys. Rev. Lett. 104, 035003 (2010)]

MISK calculations validated against unstable experimental plasmas; reproduce approach towards marginal stability



[J. Berkery et al., Nucl. Fusion 55, 123007 (2015)]

- MISK calculations including kinetic effects have been tested against many marginally stable NSTX experimental cases

Physics understanding from previous research using full model, used to construct a reduced kinetic model

- Goal is to forecast γ in real-time using parameterized reduced models for δW terms
- Need δW_K as a function of the most important, real-time measurable quantities

$$(\gamma - i\omega_r) \tau_w = - \frac{\delta W_\infty + \delta W_K}{\delta W_b + \delta W_K}$$

$$\delta W_K \sim \frac{1}{\langle \omega_D \rangle + l\omega_b - i\nu_{\text{eff}} + \omega_E}$$

MISK² Calculations

Benchmarked⁸
Compared to
Experiments^{3,4,6,7,9}

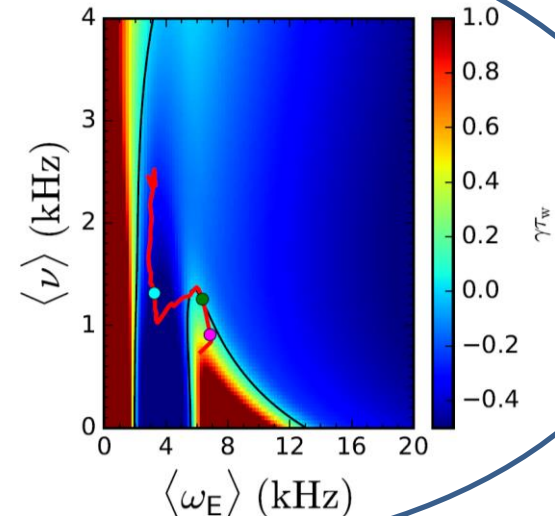
Fluid terms¹⁰

Kinetic effects¹: Collisionality⁵

Rotation³

+ Energetic
Particles⁴

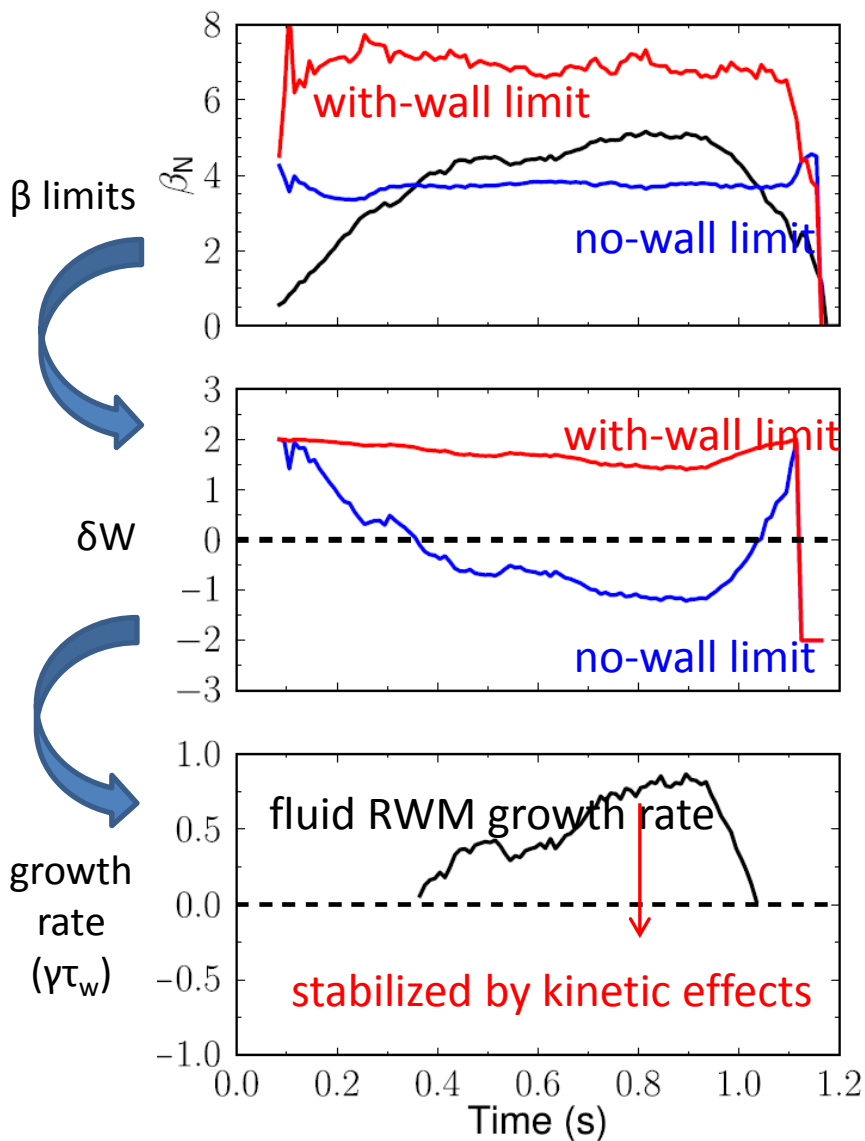
Reduced
Kinetic
Model



References

- [1] B. Hu et al., Phys. Rev. Lett. 93, 105002 (2004)
 - [2] B. Hu et al., Phys. Plasmas 12, 057301 (2005)
 - [3] J. Berkery et al., Phys. Rev. Lett. 104, 035003 (2010)
 - [4] J. Berkery et al., Phys. Plasmas 17, 082504 (2010)
 - [5] J. Berkery et al., Phys. Rev. Lett. 106, 075004 (2011)
 - [6] H. Reimerdes et al., Phys. Rev. Lett. 106, 215002 (2011)
 - [7] J. Berkery et al., Phys. Plasmas 21, 056112 (2014)
 - [8] J. Berkery et al., Phys. Plasmas 21, 052505 (2014)
 - [9] S. Sabbagh APS invited (2014)
 - [10] J. Berkery et al., Nucl. Fusion 55, 123007 (2015)
- ... more

Goal is to forecast mode growth rate in real-time using parameterized reduced models for δW terms



RWM dispersion relation

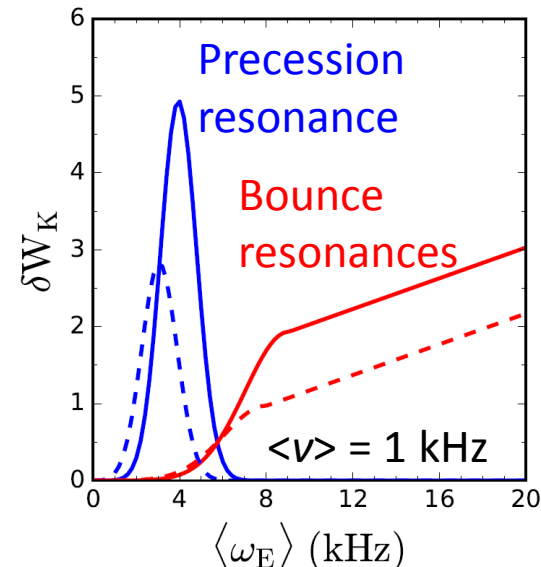
Growth rate Fluid terms Kinetic effects

$$(\gamma - i\omega_r) \tau_w = - \frac{\delta W_\infty + \delta W_K}{\delta W_b + \delta W_K}$$

[B. Hu et al., Phys. Rev. Lett. 93, 105002 (2004)]

- Gaussian functions are used for resonances

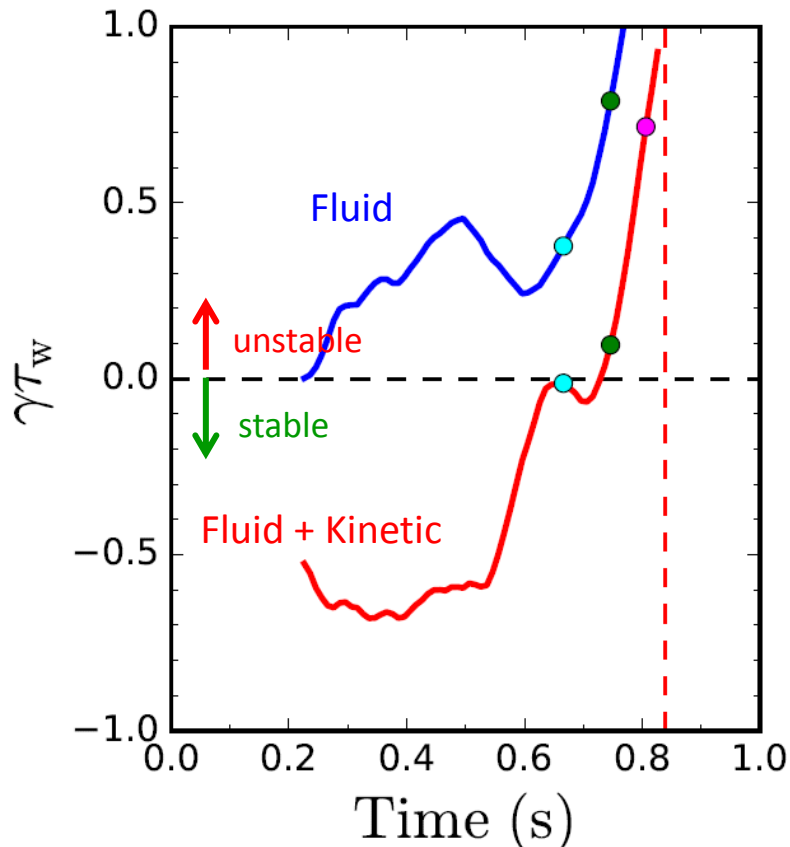
- Coefficients selected to reflect NSTX experience



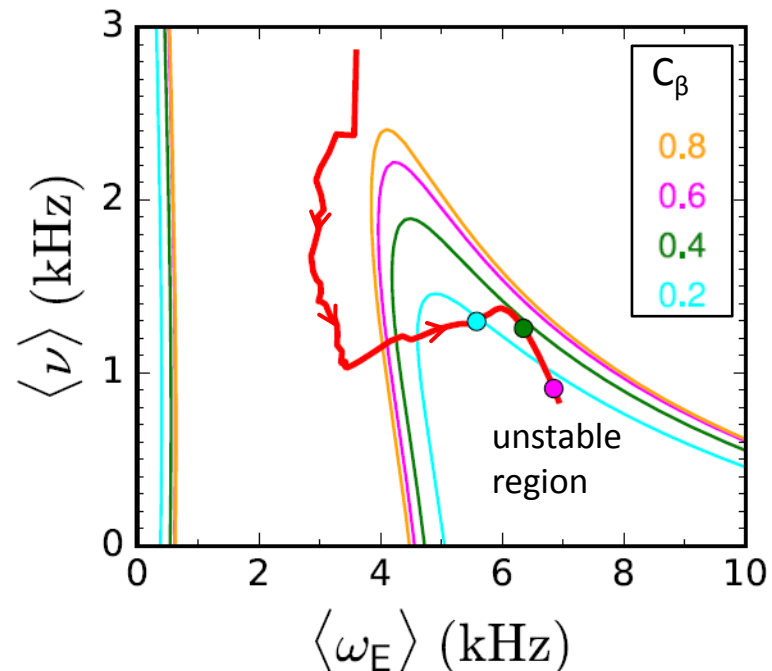
DECAF contains modeled kinetic quantities for generation of stability maps

- Stability diagram shows trajectory of a discharge towards unstable regions

Normalized growth rate vs. time

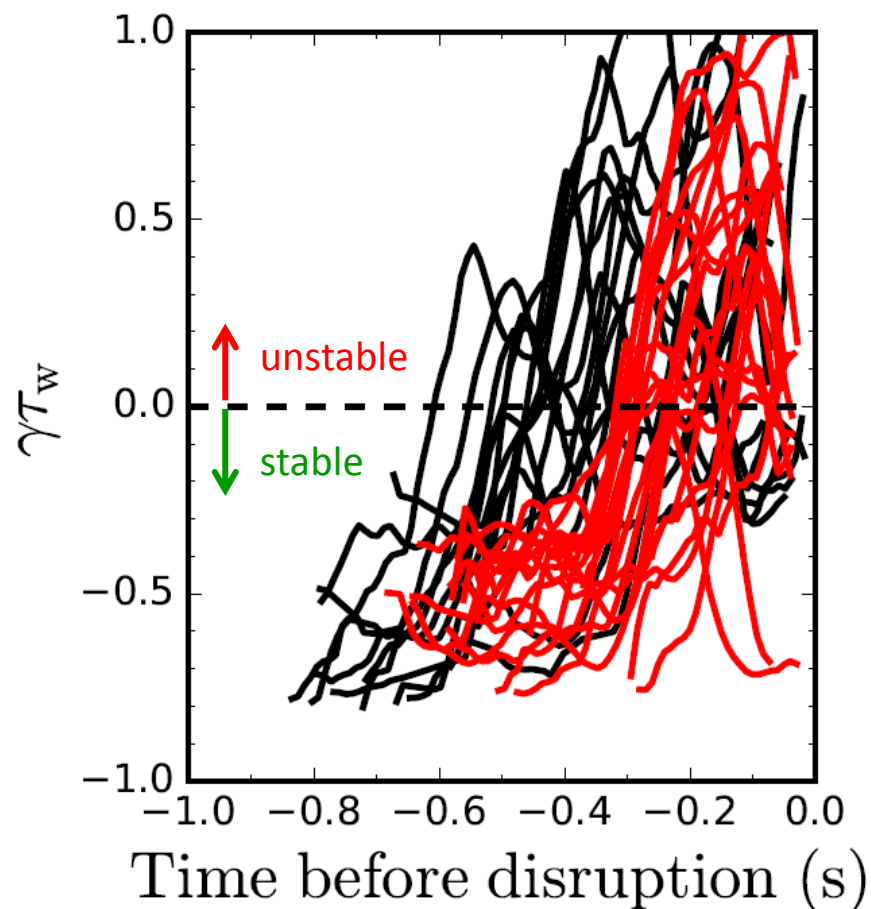


$$C_\beta = \frac{(\beta_N - \beta_N^{\text{no-wall}})}{(\beta_N^{\text{with-wall}} - \beta_N^{\text{no-wall}})}$$

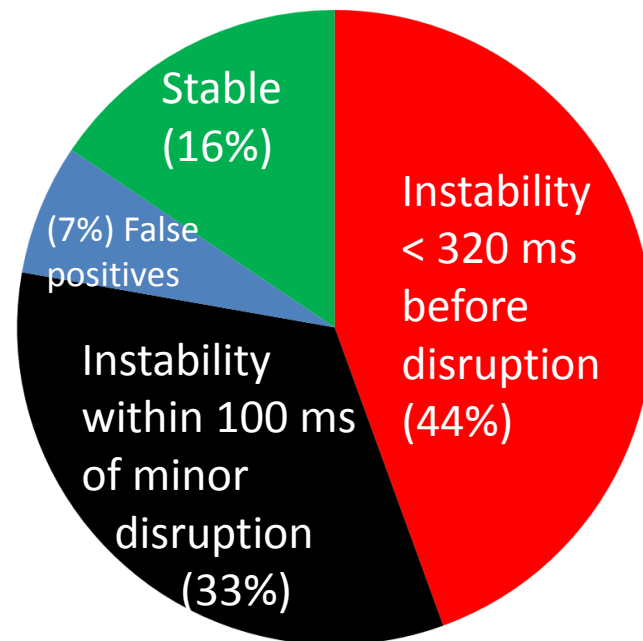


DECAF reduced kinetic model results initially tested on a database of NSTX discharges with unstable RWMs

Normalized growth rate vs. time

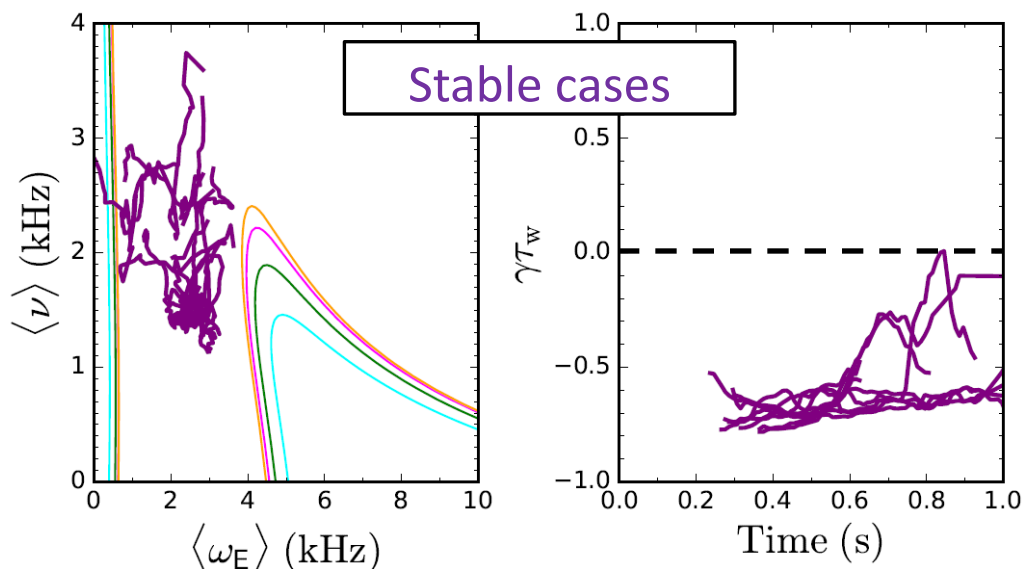
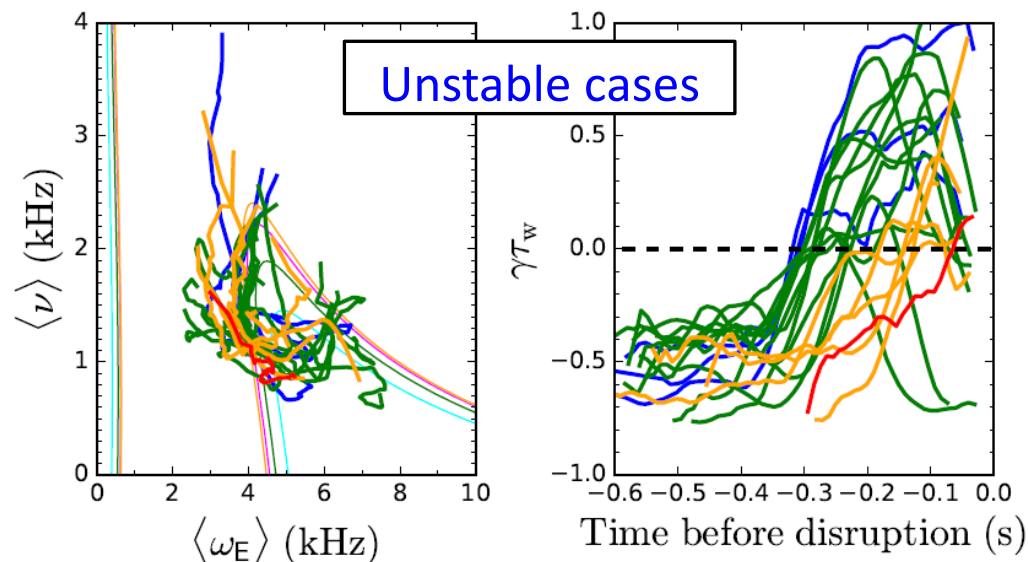


Predicted instability statistics (45 shots)



- 84% of shots are predicted unstable
- 44% predicted unstable < 320 ms (approx. $60\tau_w$) before current quench
- 33% predicted unstable within 100 ms of a minor disruption

Reduced kinetic model distinguishes between stable and unstable NSTX discharges



- If $\langle \omega_E \rangle \sim 0$ warnings are eliminated, 10/13, or 77%, of stable cases are stable in the model
- Model is successful in first incarnation - development continues to improve forecasting performance

Tradeoff: missed vs. early warnings

Essential new step for DECAF analysis of general tokamak data: Identification of rotating MHD (e.g. NTMs)

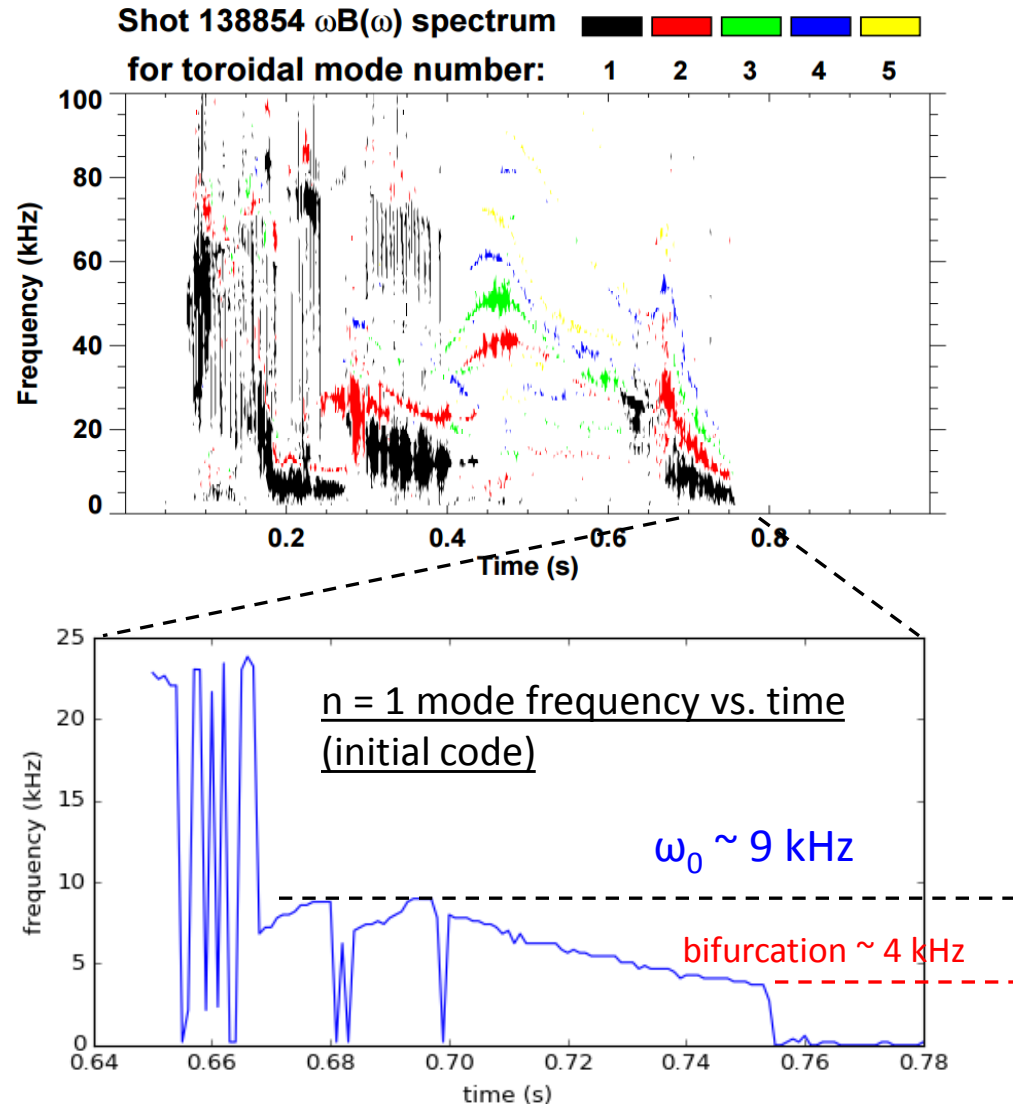
- Initial goals

- Create portable code to identify existence of rotating MHD modes
- Track characteristics that lead to disruption
 - e.g. rotation bifurcation, mode lock

- Approach

- Apply FFT analysis to determine mode frequency, bandwidth evolution
- Determine bifurcation and mode locking

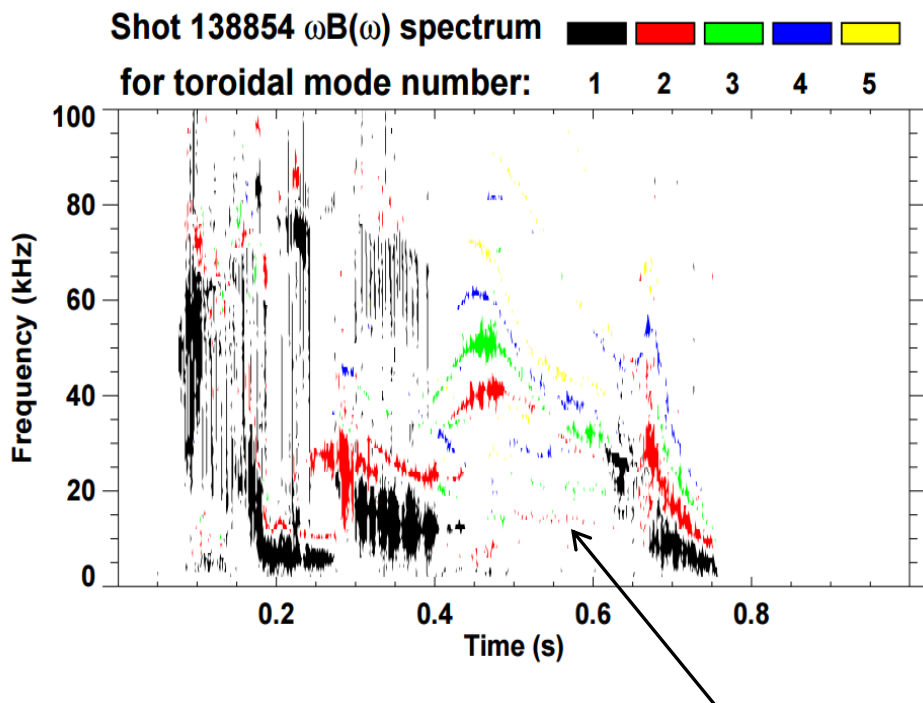
Magnetic spectrogram of rotating MHD in NSTX



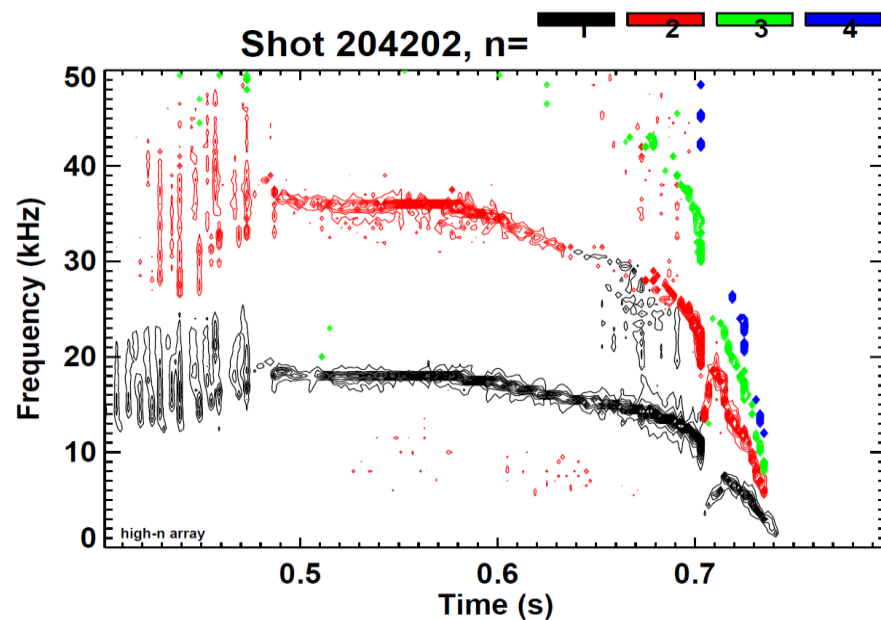
Many shots with rotating MHD (e.g. NTMs) examined for NSTX and NSTX-U – two illustrated here

Magnetic spectrogram of rotating MHD mode locking termination

NSTX 138854



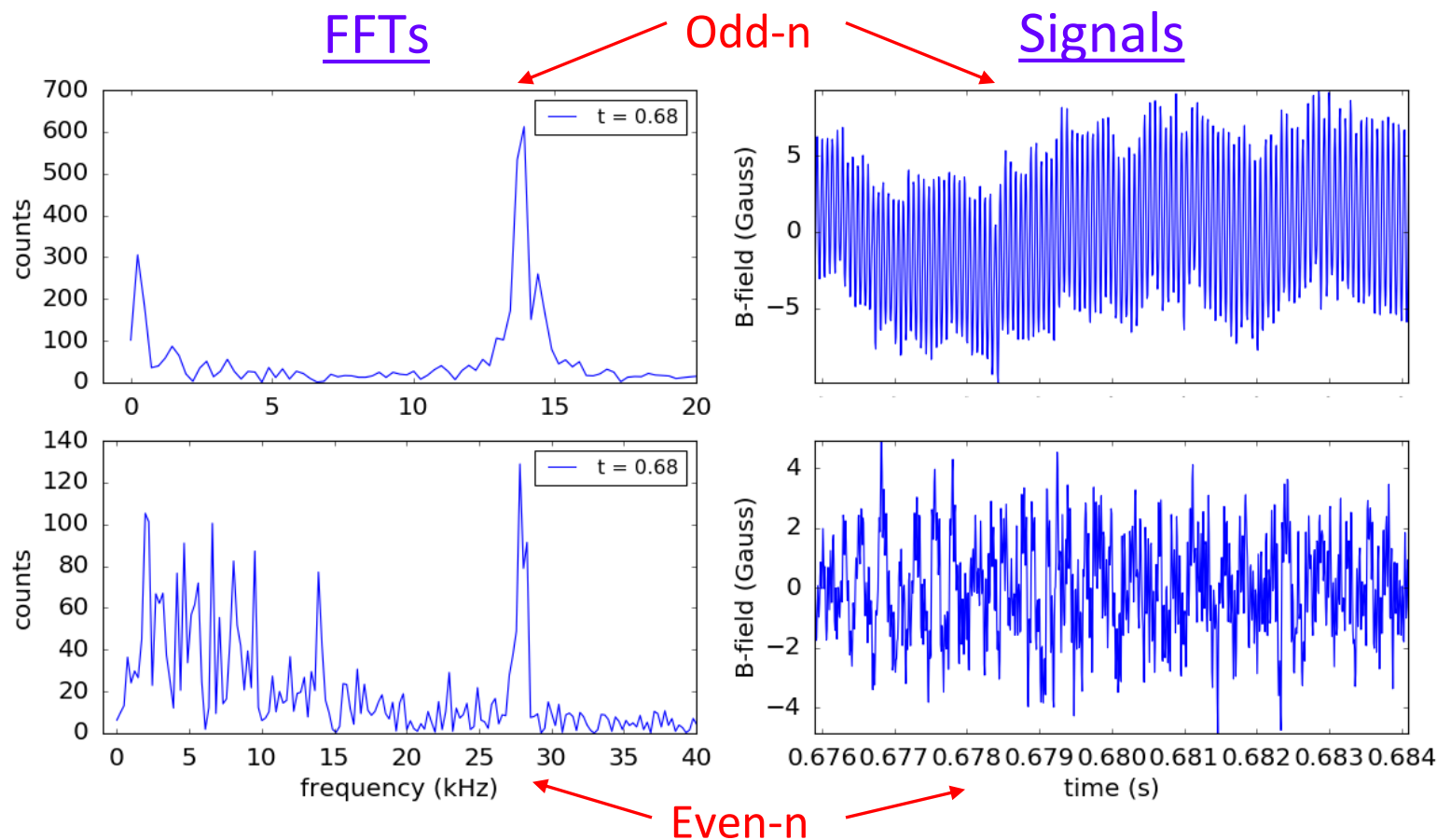
NSTX-U 204202



□ NSTX “stable periods” – enhanced by high elongation ($\kappa \sim 2.7$), lithium wall conditioning

□ NSTX-U: rotating MHD more common (so far, lower ($\kappa \sim 2.3$), no Li wall conditioning)

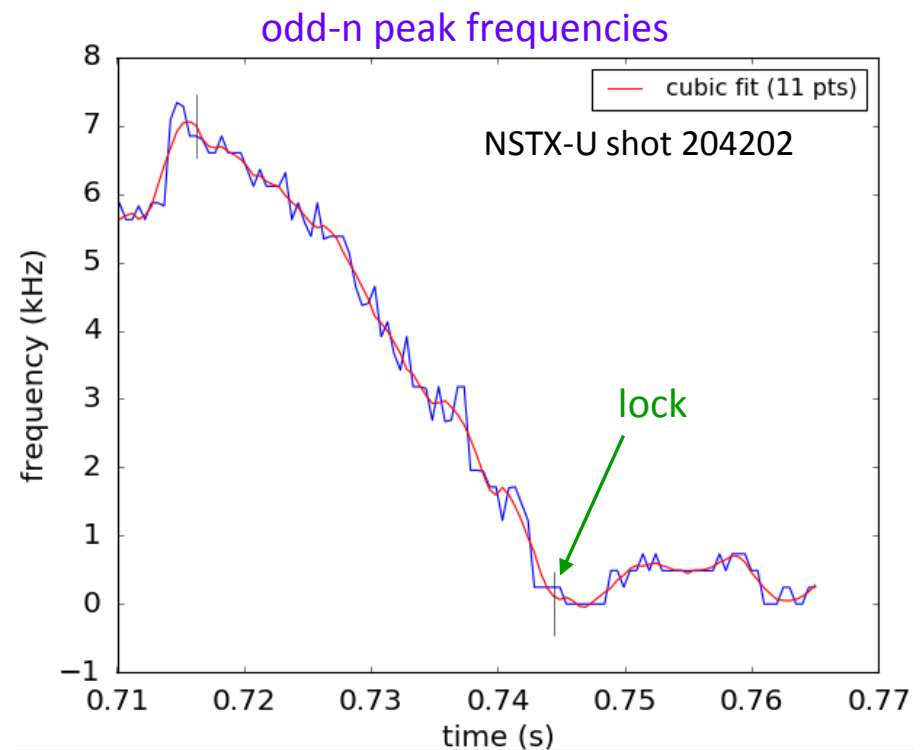
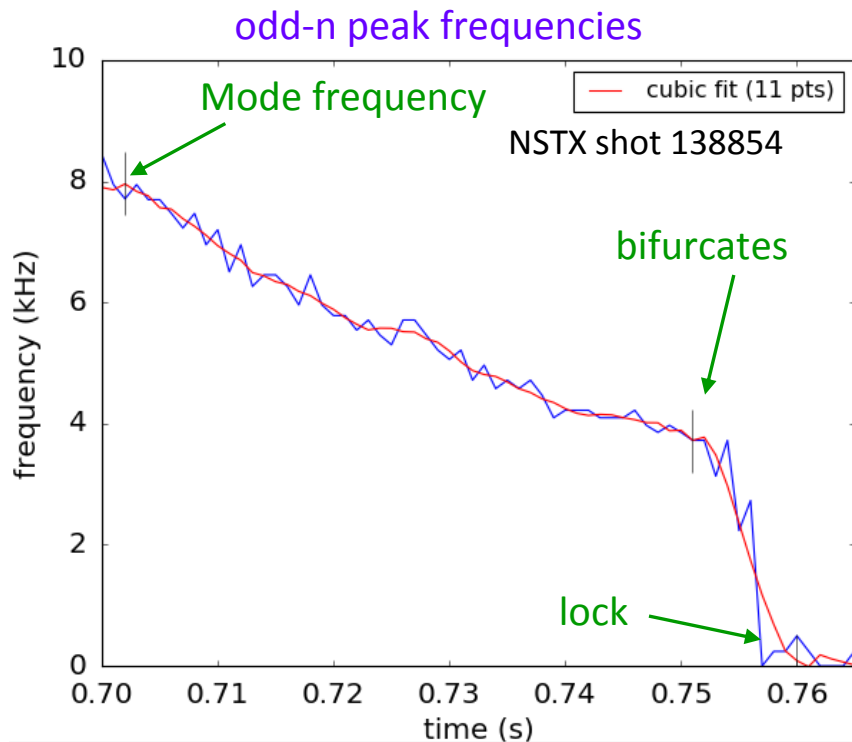
Fast Fourier transforms used to find mode peak frequency within a time interval



- Reveals potential issues handling multiple frequency peaks
- Now adding processing of toroidal array / n number discrimination

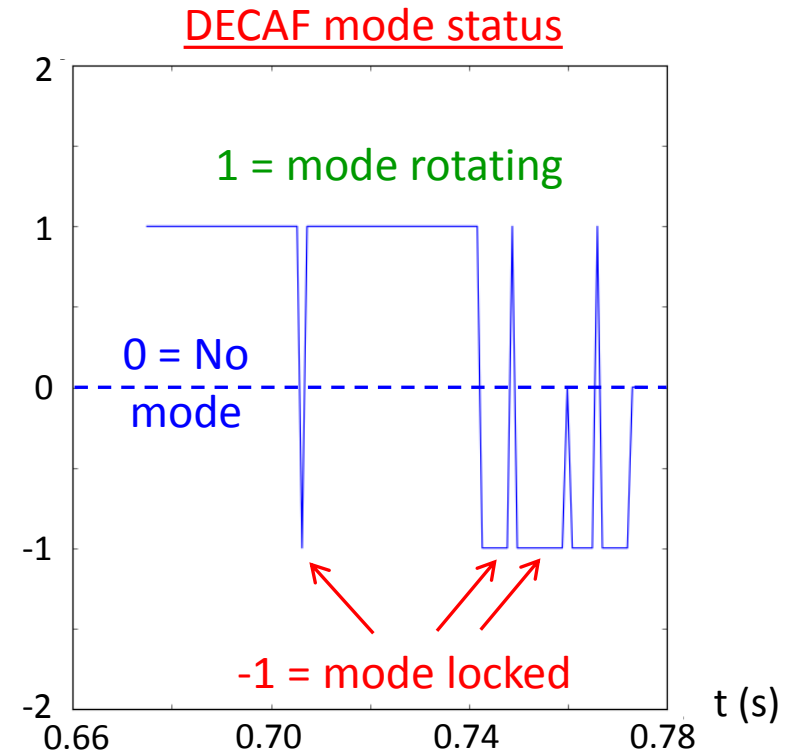
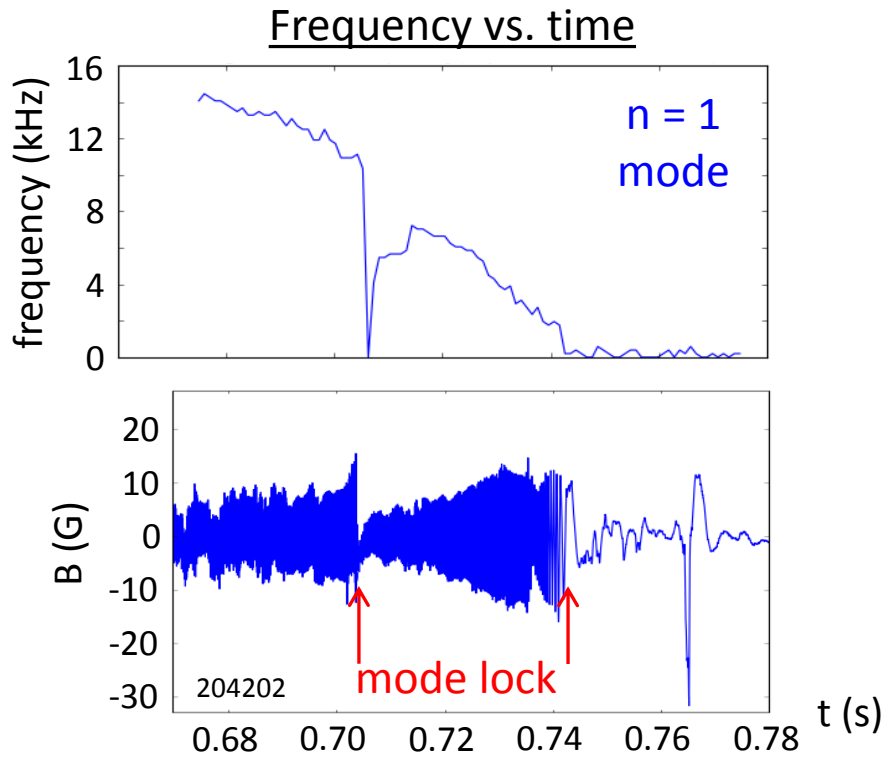
The characterization algorithm shows that the expected bifurcation and locking events can be found

- Algorithm written looks for a “quasi-steady state” period, a potential bifurcation, and possible mode locking



DECAF rotating MHD analysis identifies the state of the modes found ($n = 1$)

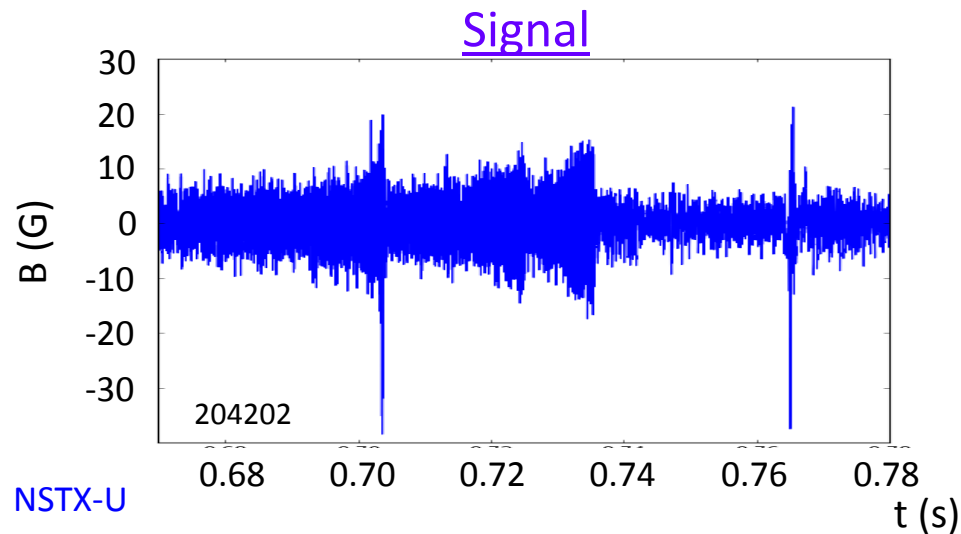
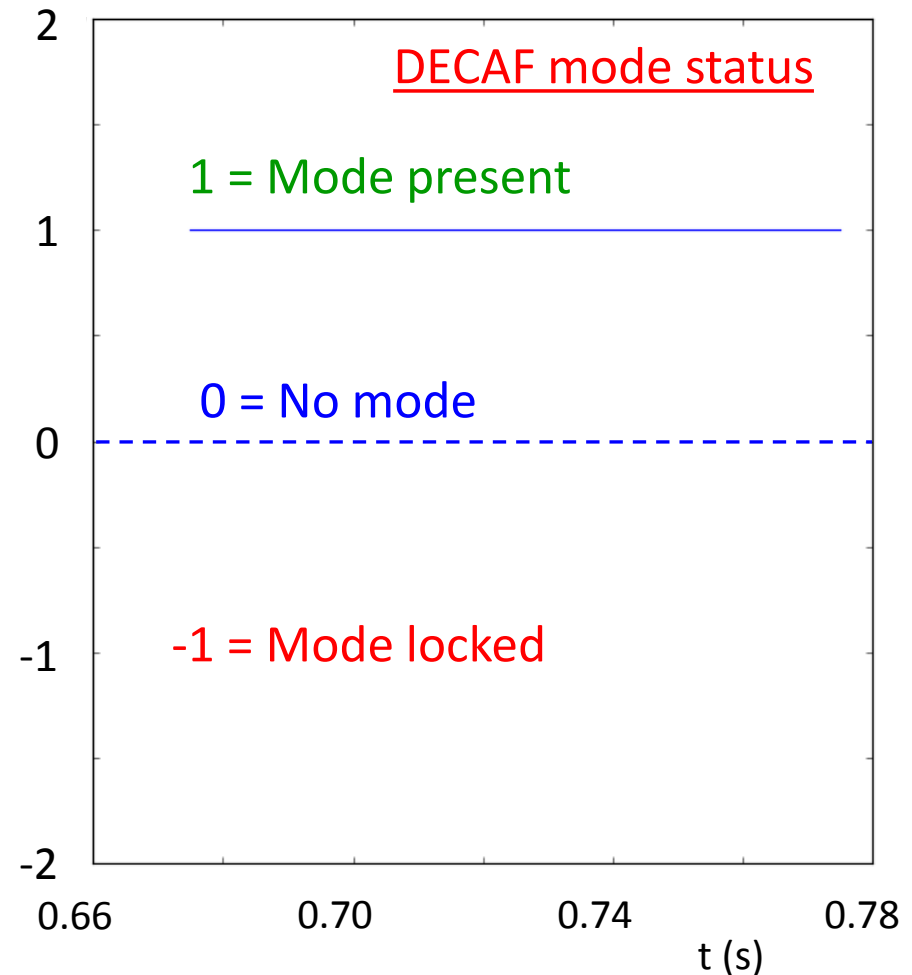
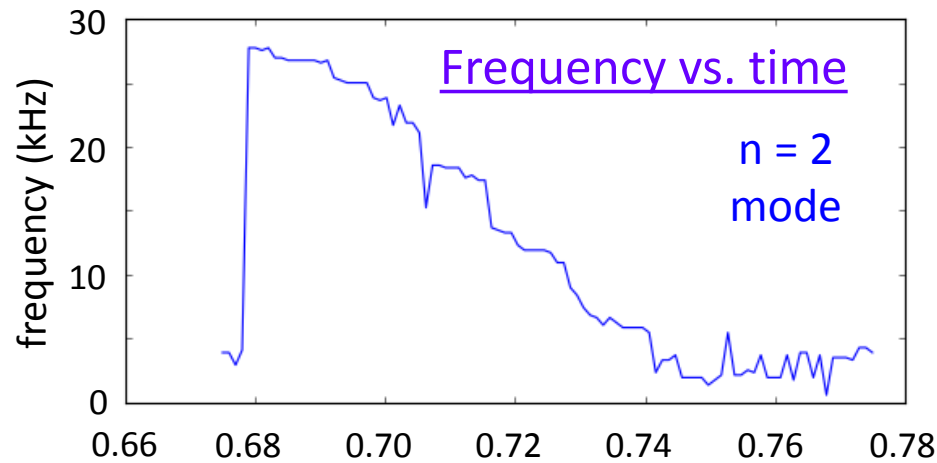
Magnetic signal / analysis (mode locking / unlocking)



NSTX-U

DECAF rotating MHD analysis identifies the state of the modes found ($n = 2$)

Magnetic signal / analysis (mode present, not locked)



NSTX-U

Summary and next steps

- The Disruption Event Characterization and Forecasting code (DECAF)
 - Focuses on quantitative statistical characterization of the chains of events which most often lead to disruption of plasmas
 - The ultimate goal is to provide forecasts which integrate with a disruption avoidance system and are utilized in real-time during a device's operation
- Reduced kinetic model for disruption avoidance is implemented
 - Success rate is surprisingly high given its initial state and relative simplicity
- Rotating MHD is ubiquitous; Identification is essential
 - Characterization algorithm utilizes FFT, finds expected bifurcation and locking
- Next steps to the development and usage of DECAF include:
 - Continued improvement of accuracy of event determination
 - Significant expansion of events and event chains
 - Expansion of the dataset to multiple devices (including DIII-D., KSTAR)

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Characterization and Forecasting of Global and Tearing Mode Stability for Tokamak Disruption Avoidance

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Belfast, UK, June 26-30, 2017

