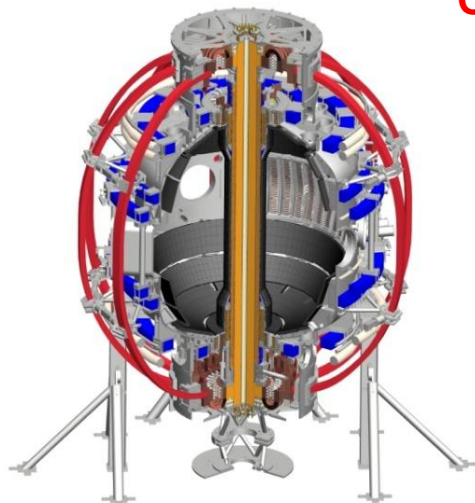


(TH/6-1) Progress in simulating turbulent electron thermal transport in NSTX

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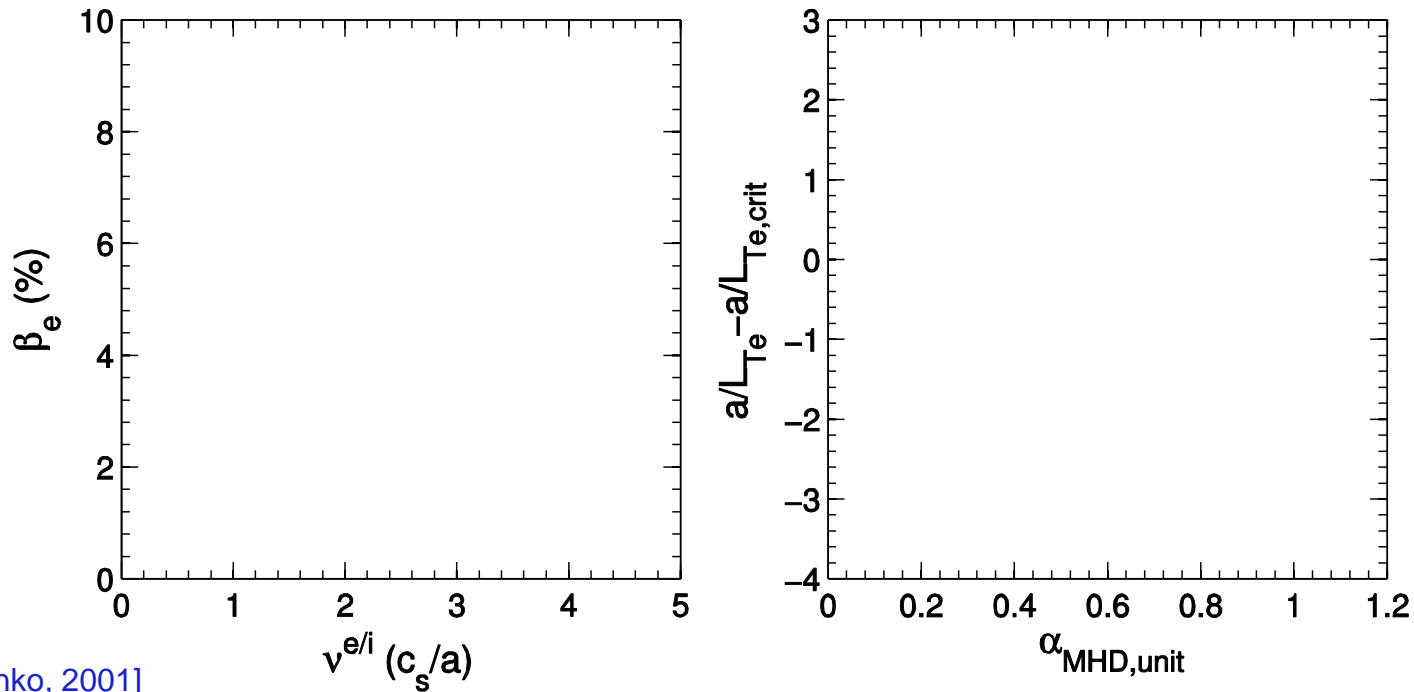
Motivation: Understand mechanism(s) responsible for electron thermal transport over broad range of parameters

- Wide range of parameters accessible by spherical tokamaks (ST)
- H-mode ion thermal transport often near neoclassical in STs
- Observed confinement scaling $\Omega\tau_E \sim v_*^{-0.8}$ [Kaye EX/7-1, previous talk]
⇒ does it extrapolate to future devices at lower v_* (NSTX-U, ST-FNSF, ...)?

- Considering core thermal gradient micro-instabilities ($r/a \sim 0.4-0.8$)
 - Local GYRO simulations based on experimental profiles & equilibrium reconstructions

- Although important, not addressing:
 - Pedestal [Canik (EX/P7-16), Diallo (EX/P4-04), Kubota (EX/P7-21), Maingi (EX/11-2), Smith (EX/P7-18)]
 - Energetic particle driven instabilities [Belova, TH/P6-16; Crocker, EX/P6-2]

Broad range of parameters requires consideration of many micro-instabilities



[Jenko, 2001]

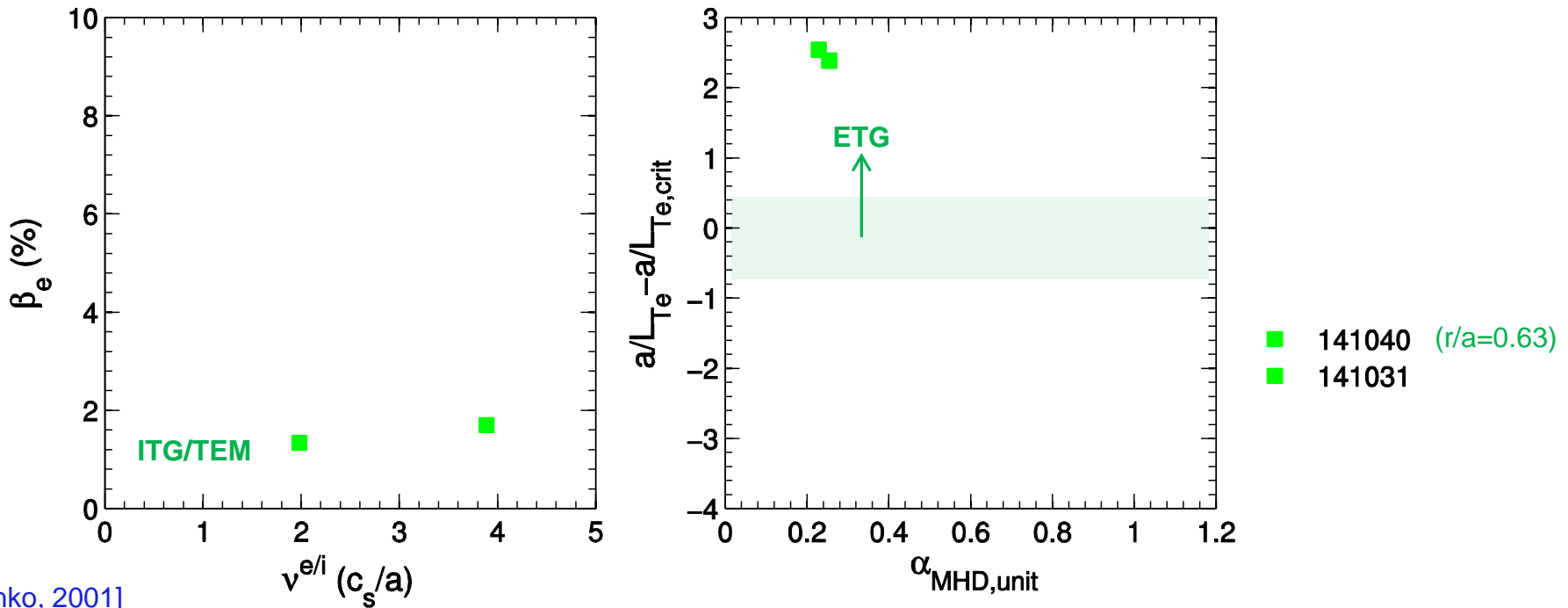
$$\left(\frac{R}{L_{Te}} \right)_{crit}^{etg} = \max \left\{ \frac{(1 + Z_{eff} T_e / T_i) \cdot (1.3 + 1.9s/q) \cdot (\dots)}{0.8R/L_{ne}} \right\}$$

$$\alpha_{mhd,unit} = -q^2 R \nabla \beta$$

$$\nabla \beta = \sum_s \nabla (n_s T_s) \cdot 2\mu_0 / B_{unit}^2$$

Broad range of parameters requires consideration of many micro-instabilities

- “Electrostatic” **ITG/TEM** can be found at lower beta, often with $\gamma_E \sim \gamma_{lin}$
- **ETG** found for $a/L_{Te} > a/L_{Te,crit}$



[Jenko, 2001]

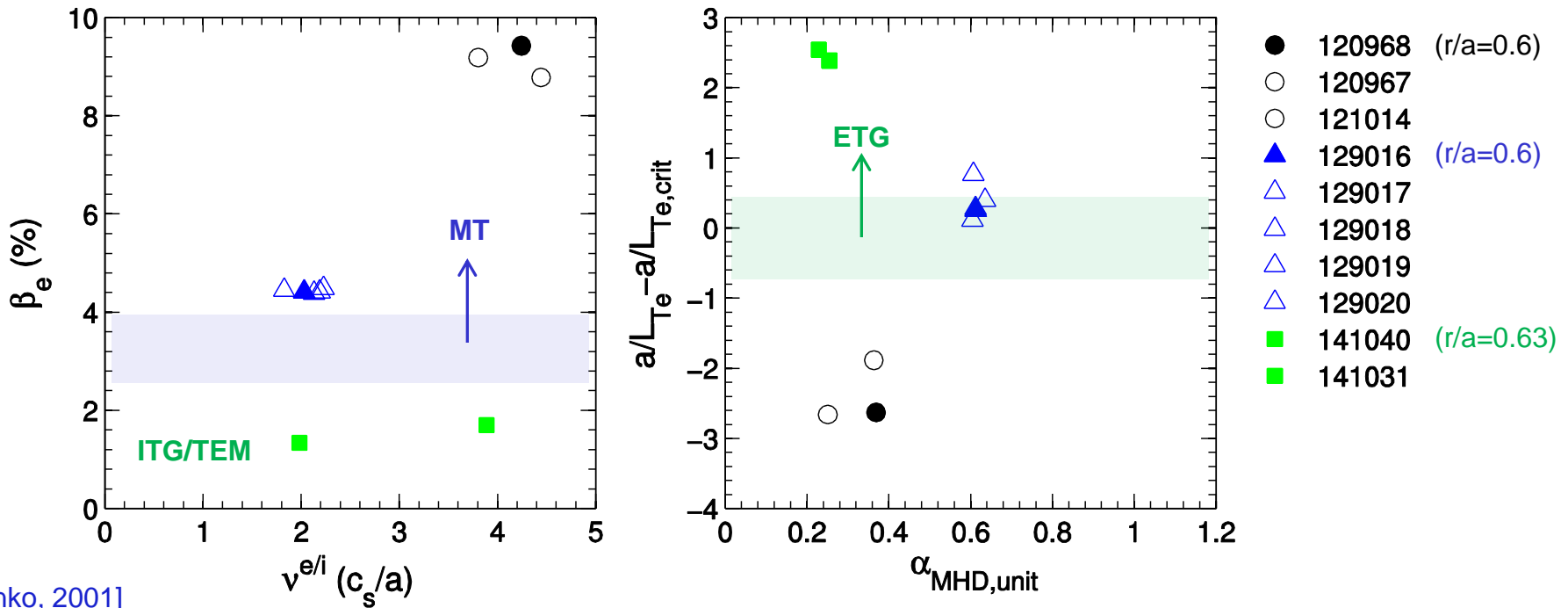
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- Microtearing tearing (**MT**) found at sufficiently high β_e and v_{ei}



[Jenko, 2001]

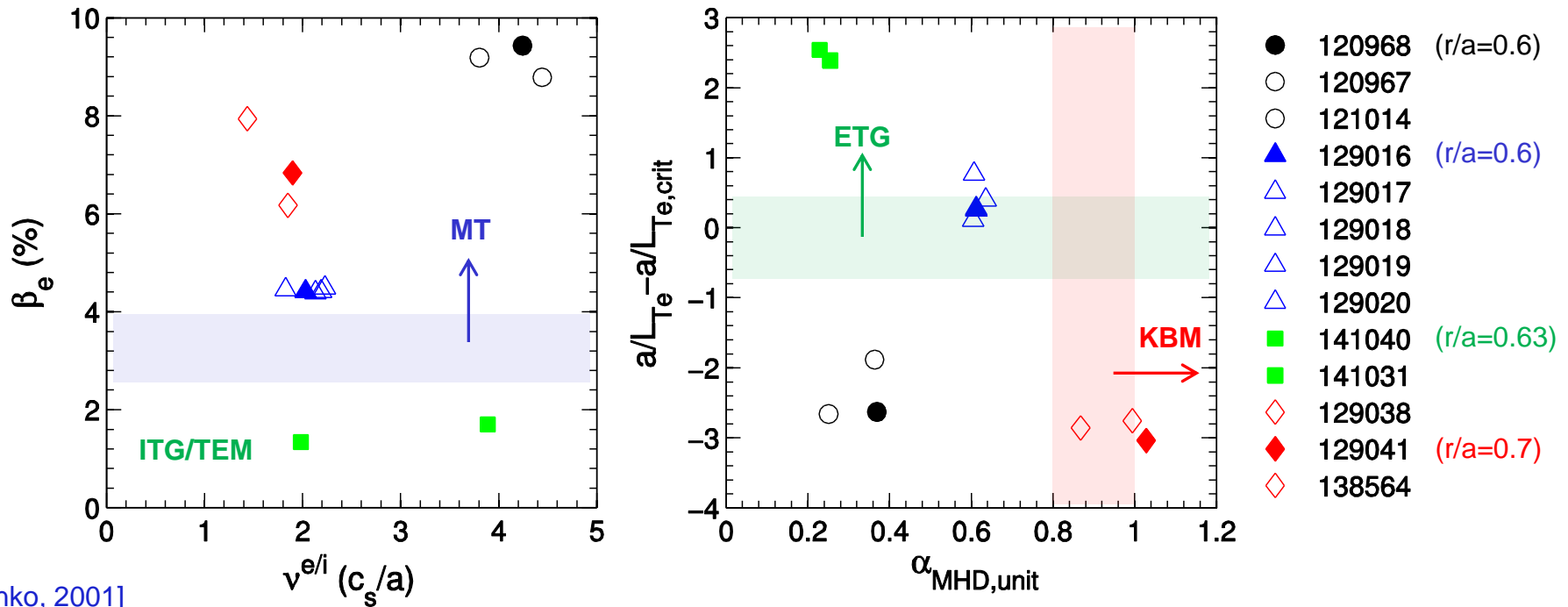
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- **ETG** found for $a/L_{Te} > a/L_{Te,crit}$ (high and low β_e)
- Microtearing tearing (**MT**) found at sufficiently high β_e and v_{ei}
- **KBM** unstable at high $\alpha_{mhd} \sim \nabla\beta$



[Jenko, 2001]

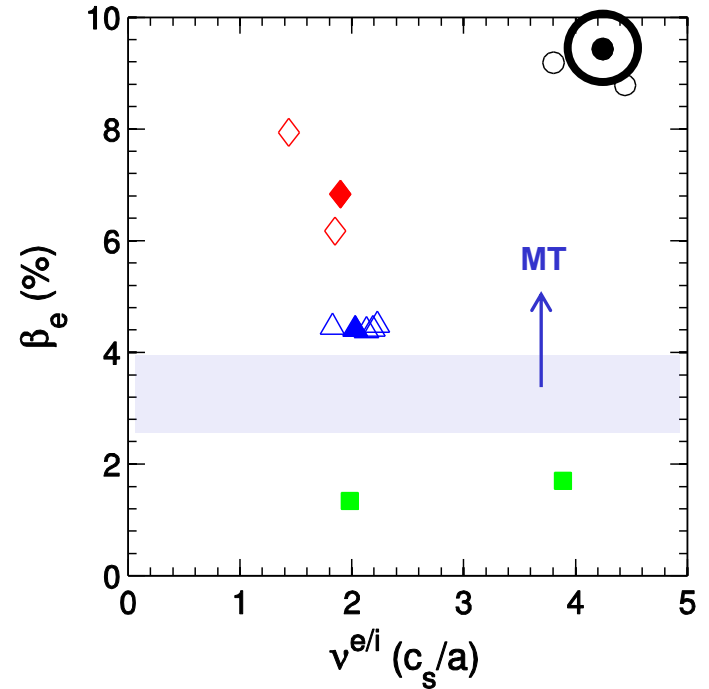
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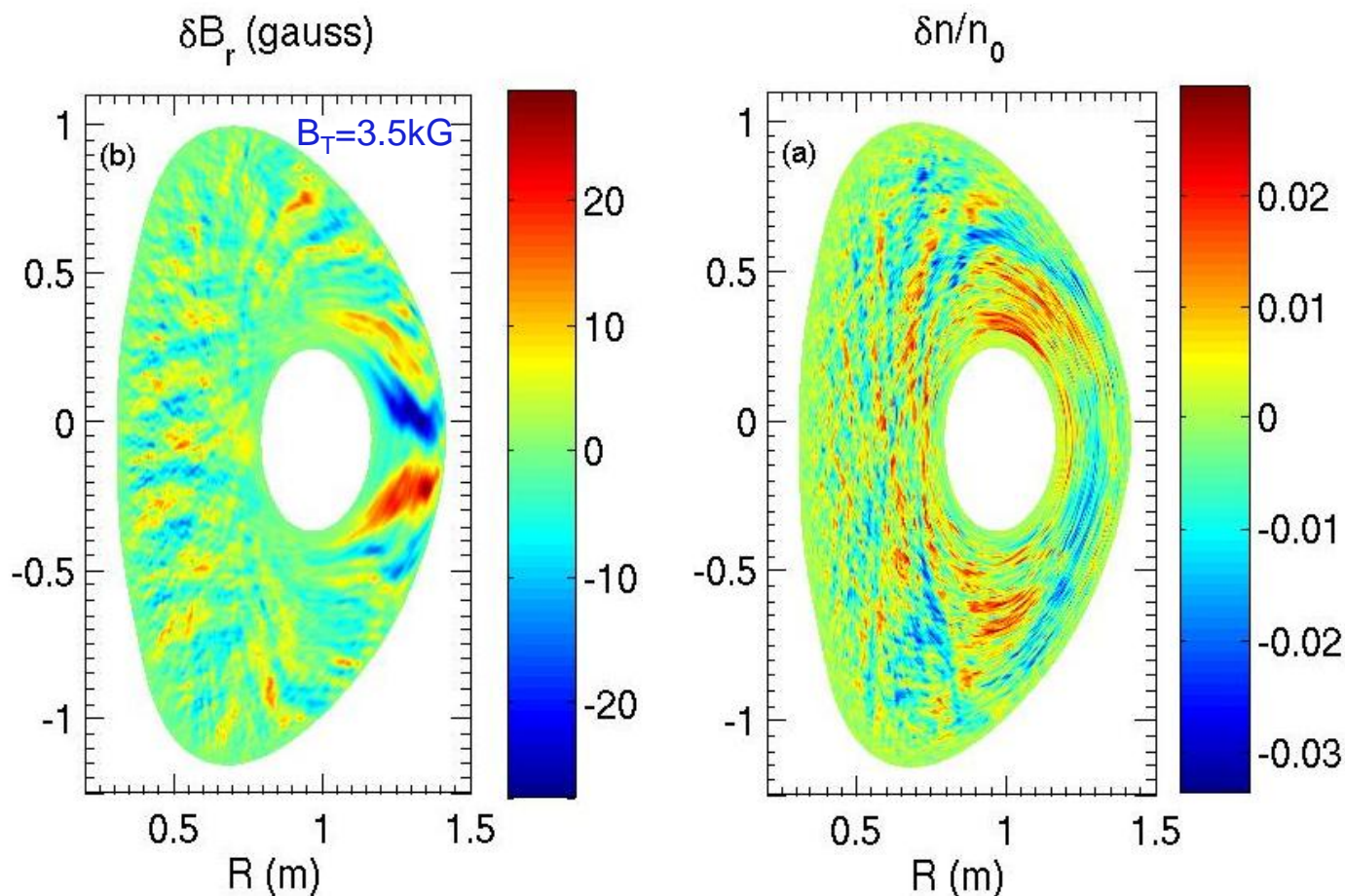
Outline

- Motivation
- **Microtearing simulations**
- ETG simulations
- TEM/KBM simulations
- Summary



First nonlinear microtearing (MT) simulations for NSTX predict large δB_r and dominant magnetic flutter transport

- $\chi_{e,em} \approx 6 \text{ m}^2/\text{s}$ from $\delta B_r/B \sim 0.15\%$ (rms)
 - Measurable phase fluctuation predicted for proposed polarimetry diagnostic [J. Zhang, 2012]
- Narrow density perturbations distinct from traditional ITG/TEM



$L_x, L_y = 80, 100 \rho_s$
 $n_x, n_y = 540, 16$
 D only; $\phi, A_{||}$
 $\gamma_E = 0$

Resolution
 constrained by
 $\Delta r_{\text{rat}} = 1/k_{\theta} s$
 $\Delta x \leq 0.2 \rho_s$

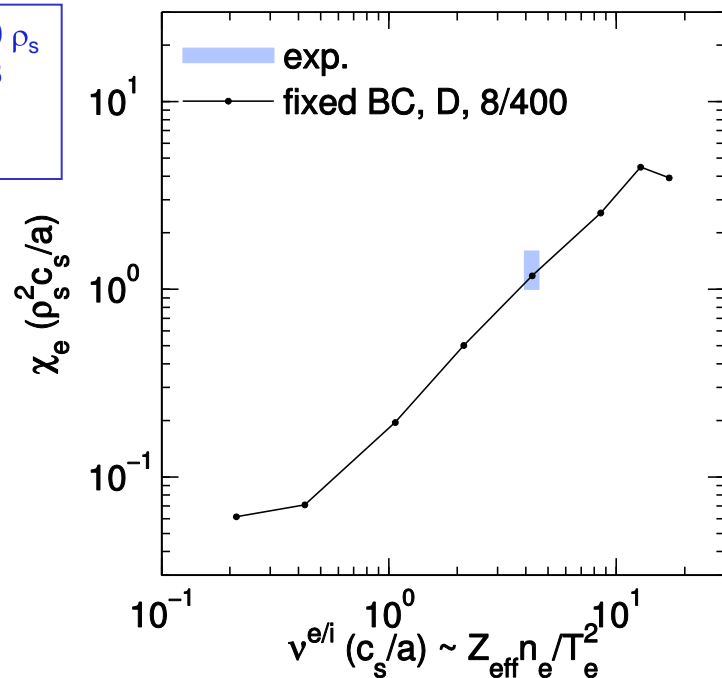
Toroidal mode
 numbers:
 $n \approx 5-40$

W. Guttenfelder et al., Phys. Rev. Lett. (2011); Phys. Plasmas (2012).

MT transport increases with collisionality consistent with confinement scaling

- Possible component of confinement scaling in NSTX ($\Omega\tau_E \sim v_*^{-0.8}$)

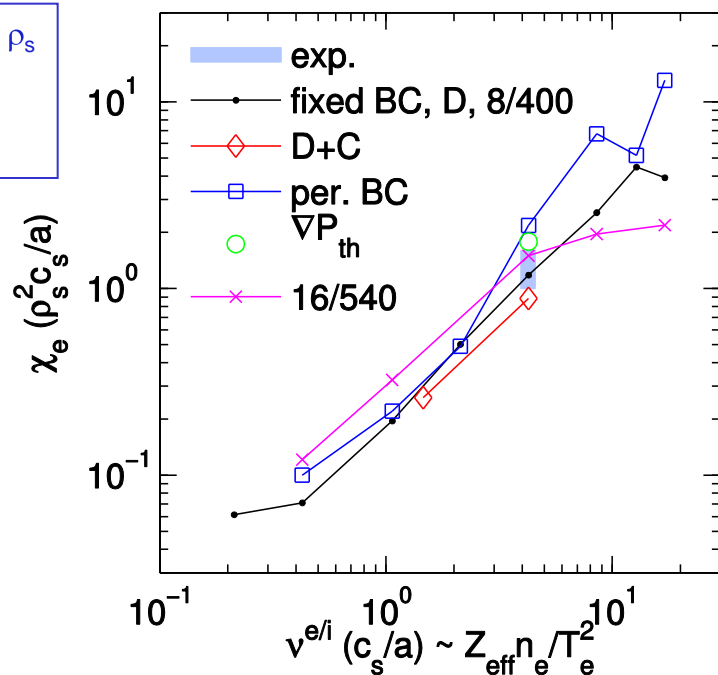
$L_x, L_y = 80, 60 \rho_s$
 $n_x, n_y = 400, 8$
D only; $\varphi, A_{||}$
 $\gamma_E = 0$



MT transport increases with collisionality consistent with confinement scaling

- Possible component of confinement scaling in NSTX ($\Omega\tau_E \sim v_*^{-0.8}$)
 - v scaling confirmed with different physical & numerical assumptions (magnitude varies)
 - Suppressible by experimental $E \times B$ shear ($\gamma_{E,exp} \approx \gamma_{lin,MT}$)

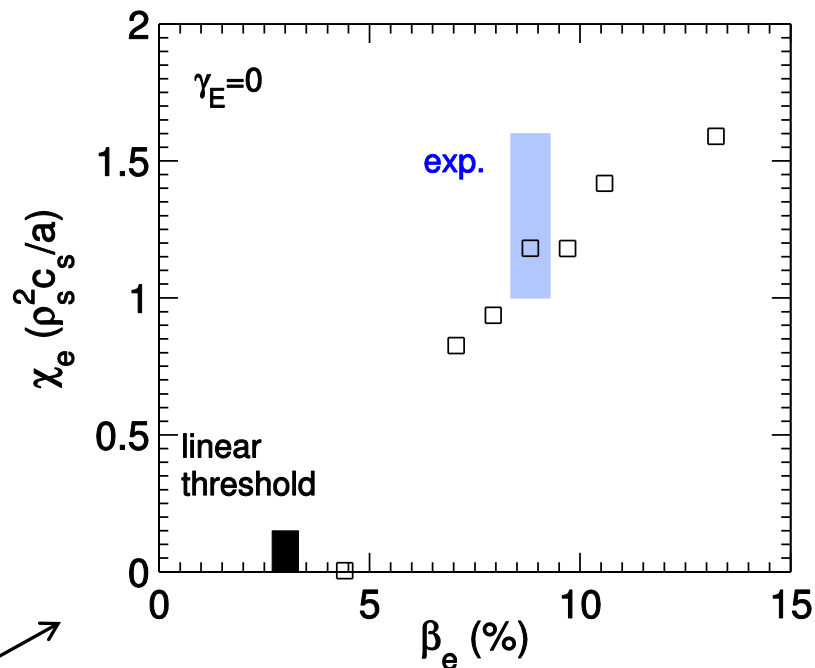
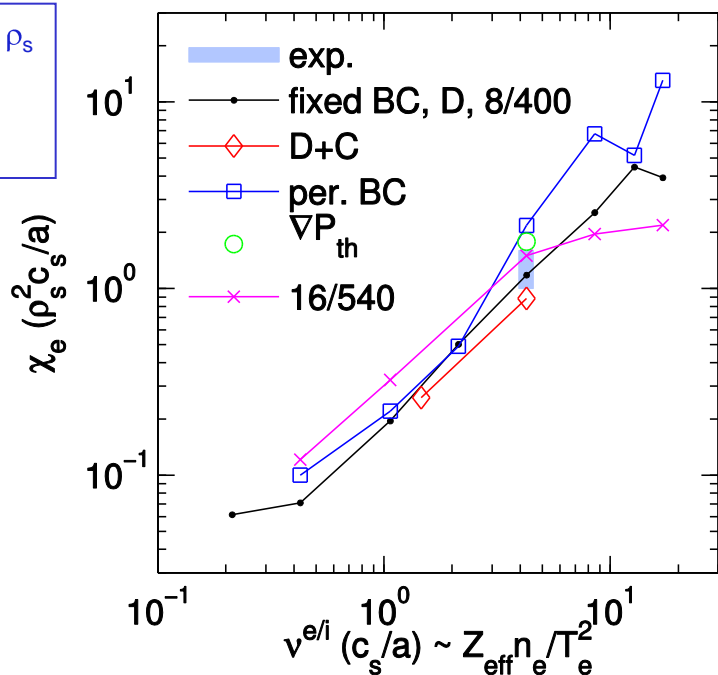
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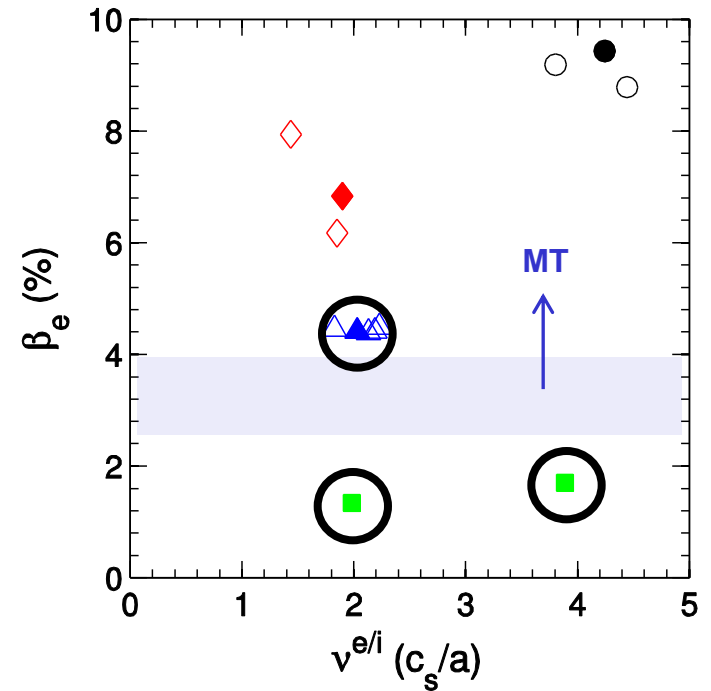
$L_x, L_y = 80, 60 \rho_s$
 $n_x, n_y = 400, 8$
 D only; $\varphi, A_{||}$
 $\gamma_E = 0$



- Threshold behavior with a/L_{Te} and β_e
 - Beta scaling not consistent with weak confinement scaling, $\Omega\tau_E \sim \beta^{-0.1}$ [Kaye, 2007]
 - Useful to characterize threshold for (1) experimental interpretation, (2) relating to MT in conventional tokamaks [Jenko, (TH/6-4); Doerk, PRL (2011), PoP (2012); Petty et al. (ITR/P1-30)]

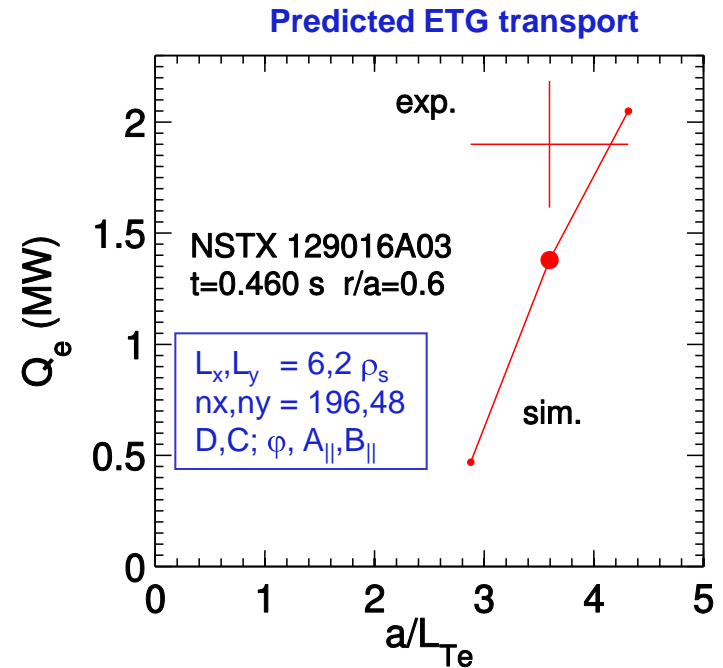
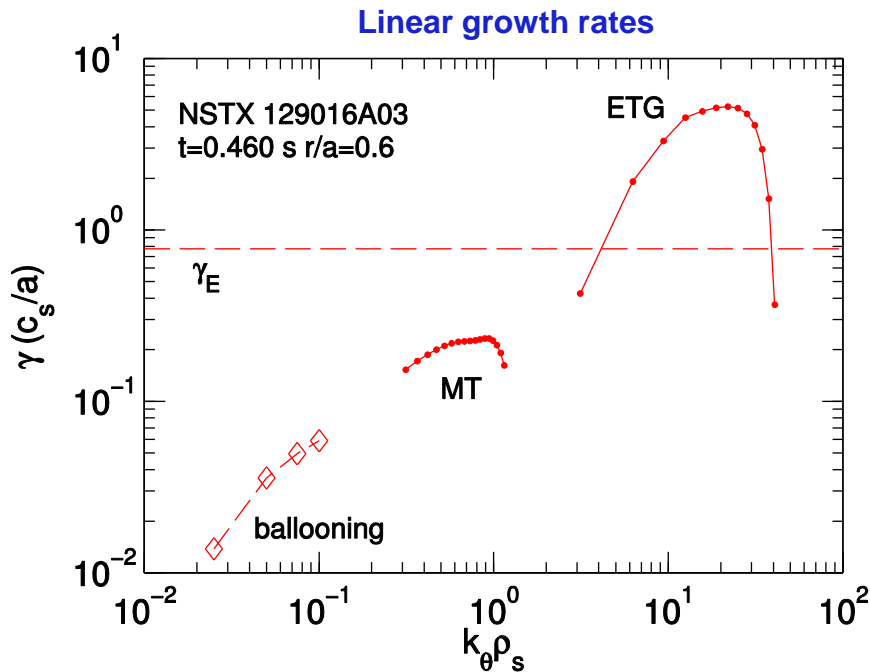
Outline

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- Microtearing simulations
- **ETG simulations**
- TEM/KBM simulations
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ETG transport significant in core of some high- β NSTX discharges

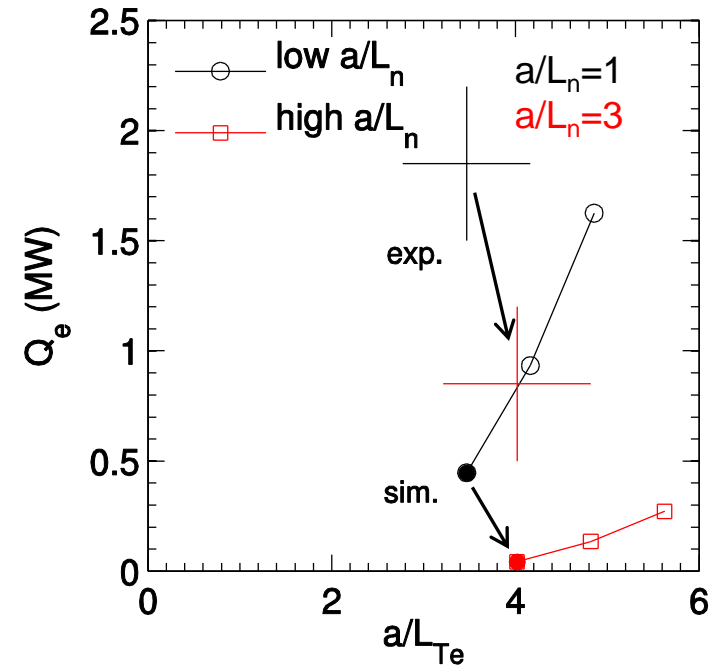
- High- v_* discharge without Lithium [Kaye, EX/7-1 (previous talk); Maingi, EX/11-2]
- Microtearing (and ballooning) instabilities at ion scales, but $\gamma_E \gg \gamma_{\text{lin,ion}}$
- ETG also unstable \rightarrow significant nonlinear transport, $Q_e \sim 1\text{-}2$ MW ($\chi_e \sim 10 \rho_e^2 v_{Te}/L_{Te}$)
 - Relatively stiff ($a/L_{Te,crit} \sim 2.2$)



- Predicted ETG transport independent of v_e ($v_e \ll \omega$)

Stiffness of ETG transport depends on ∇n

- Increase in core ($r/a \approx 0.6$) density gradient before/after large ELM leads to reduction in experimental transport and high-k scattering intensity [1]



[1] Y. Ren et al., Phys. Plasmas (2012); Phys. Rev. Lett. (2011).

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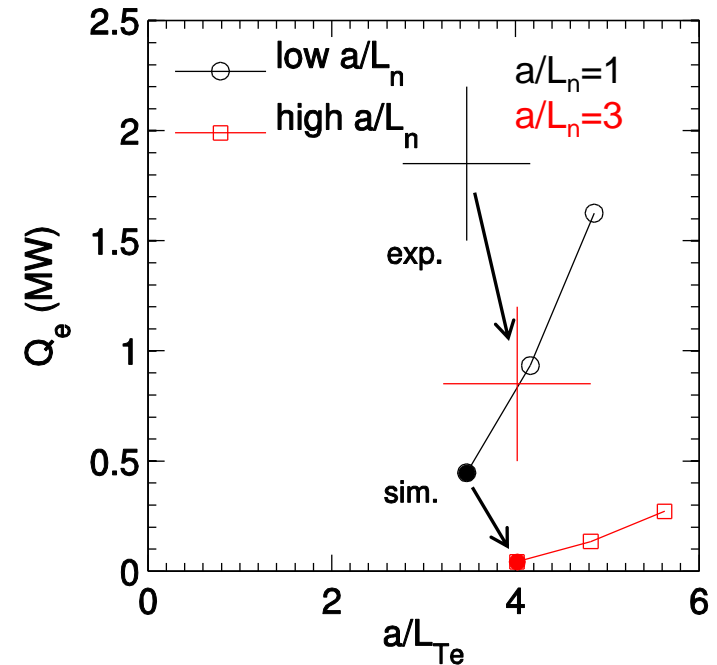
- Consider critical gradient model for ETG:

$$\chi_e = \frac{\rho_s^2 c_s}{a} \cdot \left[\left(\frac{R}{L_{Te}} \right) - \left(\frac{R}{L_{Te}} \right)_{\text{crit}} \right] \cdot \underline{F(s, q, \dots)}$$

Little variation in $\rho_s^2 c_s / a \approx 1.4 \text{ m}^2/\text{s}$

$\sim 25\%$ increase in effective threshold \longrightarrow

\Rightarrow **Large a/L_n decreases ETG stiffness (F) regardless of threshold**

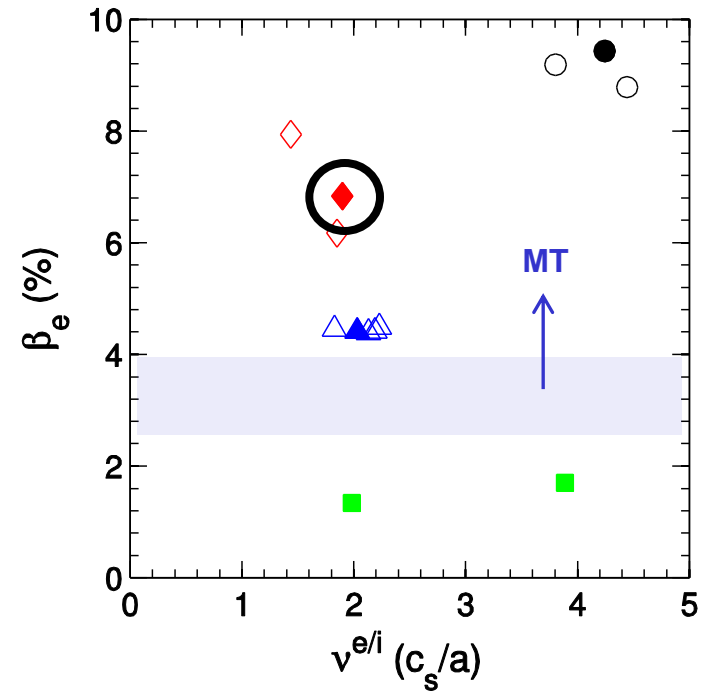


- Strong correlation between $Q_{e,ETG}$ and a/L_n also found in low- β v_* -scan discharges with apparent nonlinear threshold $\eta_e = L_n/L_{Te} \sim 1.5-2.0$
- Higher density gradient causes electrostatic TEM to be unstable

[1] Y. Ren et al., Phys. Plasmas (2012); Phys. Rev. Lett. (2011).

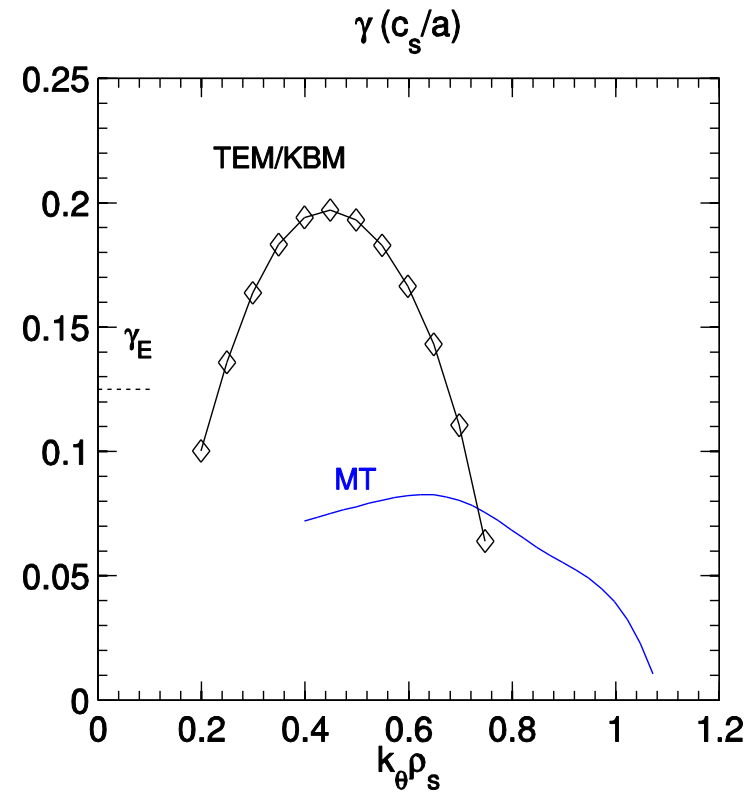
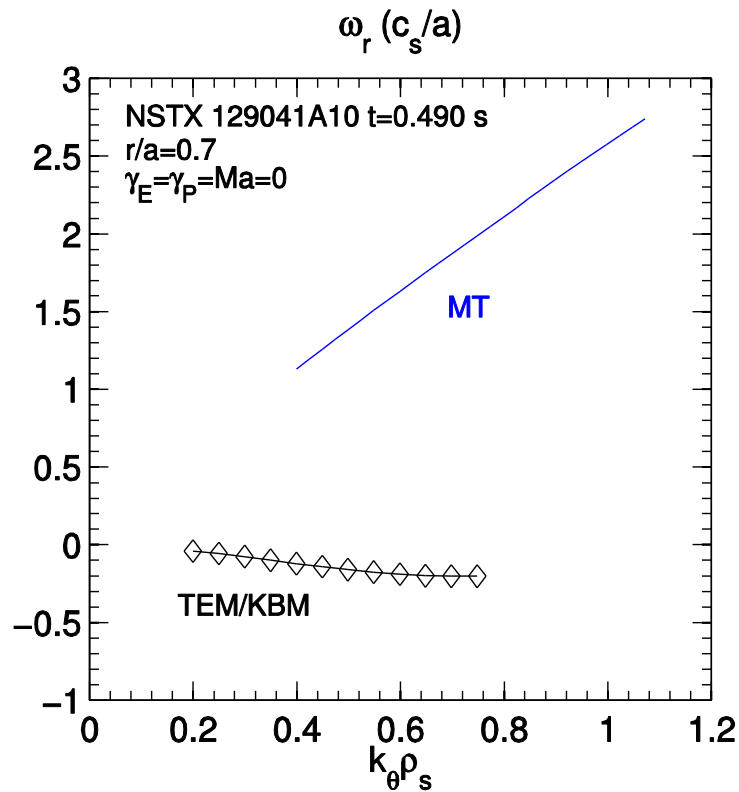
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Different ion scale instabilities can overlap simultaneously

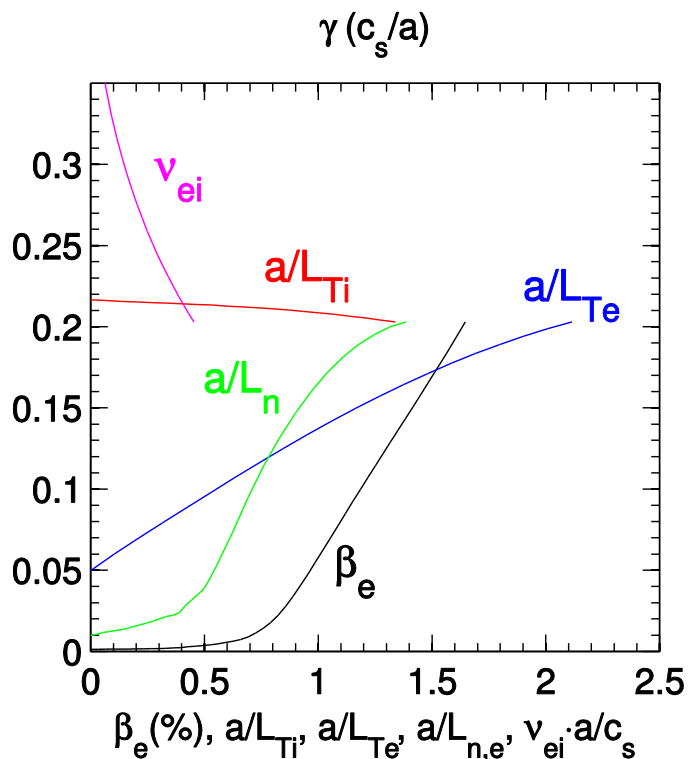
- Low v_* discharge with Lithium (129041 [Kaye, Maingi]) shows microtearing unstable but subdominant to ballooning mode ($r/a=0.7$)
- **Ballooning mode disappears in absence of compressional perturbations ($B_{||}$)**



- What is the nature of these ion scale ballooning modes?

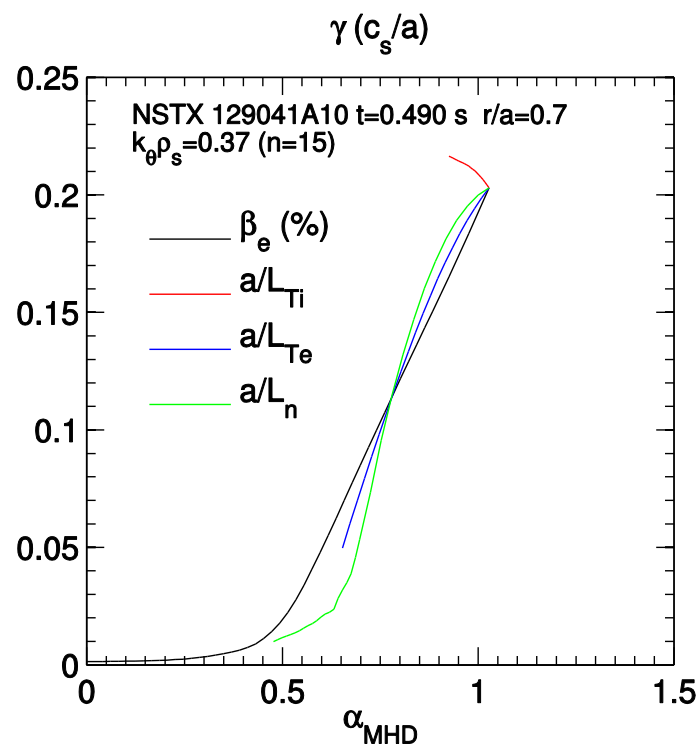
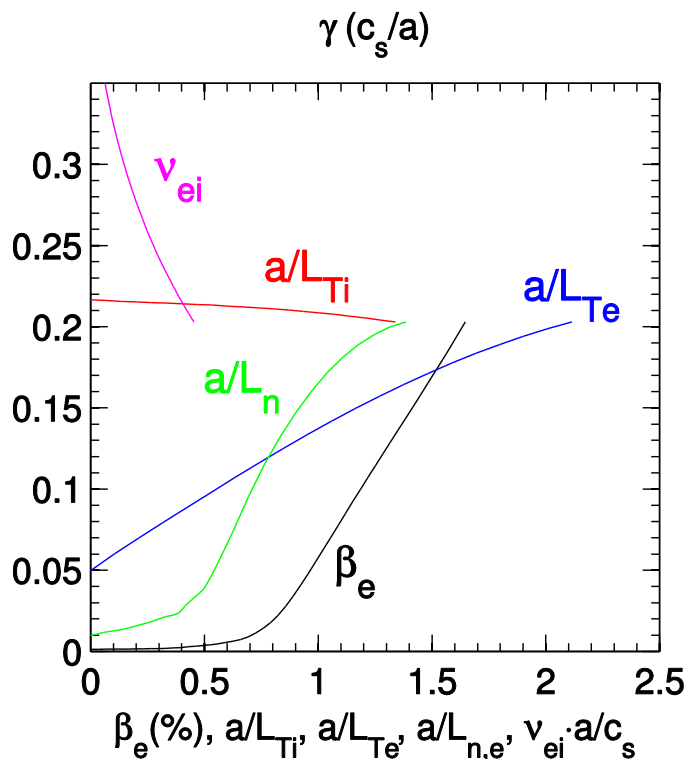
Ballooning mode scales like TEM, but very sensitive to beta like KBM

- Destabilized by a/L_{Te} , a/L_n , weakly dependent on a/L_{Ti} , stabilized by v_e (like TEM)
 - $\gamma \sim 1/v_e$ scaling opposite to MT and confinement scaling



Ballooning mode scales like TEM, but very sensitive to beta like KBM

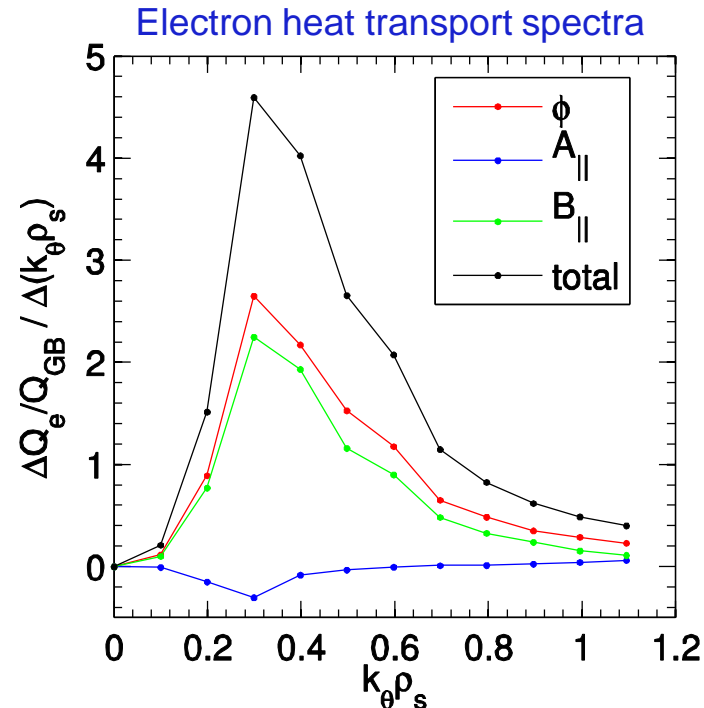
- Destabilized by a/L_{Te} , a/L_n , weakly dependent on a/L_{Ti} , stabilized by v_e (like TEM)
 - $\gamma \sim 1/v_e$ scaling opposite to MT and confinement scaling
- Growth rate scaling largely unified by $\alpha_{mhd} = -q^2 R \nabla \beta$, $\nabla \beta \sim \beta_e \sum_s \frac{n_s}{n_e} \frac{T_s}{T_e} \left(\frac{a}{L_{n,s}} + \frac{a}{L_{T,s}} \right)$
 - expected for ideal/kinetic ballooning mode (KBM)



- Similar behavior predicted in linear pedestal simulations [Canik, EX/P7-16]

Nonlinear TEM/KBM simulations predict significant transport in all channels from both ϕ and B_{\parallel} perturbations

- $Q_{e,\text{sim}} \approx 2$ MW, $Q_{i,\text{sim}} \approx 1.5$ MW ($P_{\text{NBI}} = 3$ MW)
- Nearly half of transport from $\delta B_{\parallel}/B \sim 0.08\%$



$L_x, L_y = 69, 63 \rho_s$
 $n_x, n_y = 140, 12$
D, C; $\phi, A_{\parallel}, B_{\parallel}$
 $\gamma_E = 0$

- Including finite $dV_{\parallel}/dr \rightarrow$ momentum transport $\Pi_{i,\text{sim}} \sim 0.3$ N·m ($\Pi_{i,\text{exp}} > 1$ N·m)
 - May reconcile scenarios with anomalous χ_e, χ_{ϕ} , near neoclassical χ_i [Kaye, NF (2009)]
 - Suppressible by experimental $E \times B$ shear

Summary: Many turbulence mechanisms predicted over broad range of parameter space (especially β)

- (1) First nonlinear microtearing (MT) simulations predict significant electron transport from magnetic flutter ($\sim B_r$)
 - $\gamma, \chi_e \sim \nu_e^{+1}$
 - Stiff with β_e and a/L_{Te} (suppressible by $E \times B$ shear)
- (2) ETG predicts significant electron transport, in some scenarios
 - $\gamma, \chi_e \sim \nu_e^0$
 - Stiffness depends on ∇n_e
- (3) TEM/KBM simulations predict large transport in all channels from φ and $B_{||}$
 - $\gamma \sim \nu_e^{-1}$
 - Stiff with $\alpha_{MHD} \sim \nabla\beta$ (suppressible by $E \times B$ shear)

Unlikely that one mechanism or parameter can theoretically describe transport scaling \rightarrow predictive modeling

Backup slides

Attempting to validate gyrokinetic simulations using NSTX experimental data

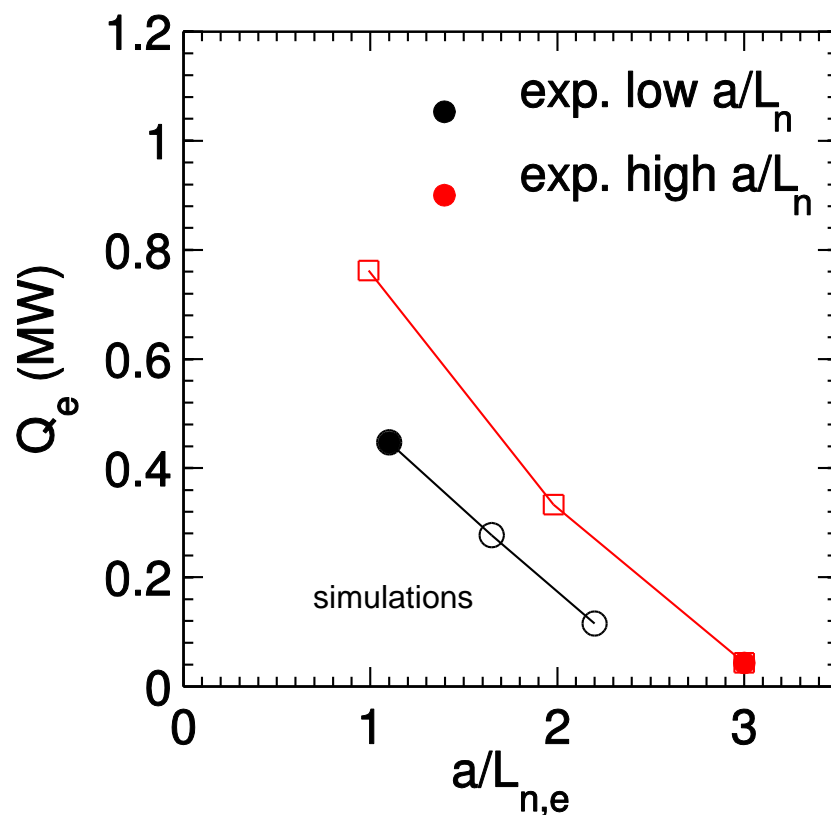
- Comparing to experimental transport and sensitivity to parametric variations
- Following nonlinear simulations based on 8 NSTX discharges:
 - H-mode v_* scaling experiments, without Lithium wall conditioning
 - H-mode scan of Li-deposition for wall conditioning (will be referring to “pre-Li” and “post-Li”)
 - “Low beta” H-mode v_* scaling
 - Reverse shear L-modes with electron internal transport barrier (e-ITB)
- Using Eulerian gyrokinetic code GYRO [1-3], almost all cases use:
 - Numerical equilibrium
 - Two ion species (D,C)
 - Fully electromagnetic perturbations (ϕ , $A_{||}$, $B_{||}$)
 - Cases usually run without and with toroidal flow/flow shear ($Ma \sim v_{Tor}$, $\gamma_P \sim dv_{||}/dr$, $\gamma_E \sim d(E_r)/dr$)
- Most simulations are *local* \rightarrow **non-local/global effects** ($\rho_* = \rho_s/a \sim 1/120$, $\rho_s/L \sim 1/50$) **almost certainly will change results quantitatively**

[1] J. Candy, R.E. Waltz, J. Comput. Phys. **186**, 545 (2003); [2] J. Candy, E.A. Belli, General Atomics Report **GA-A26818** (2010).
[3] E.A. Belli, J. Candy, Phys. Plasmas **17**, 112314 (2010).

Increase in density gradient leads to reduction in experimental transport and high-k scattering intensity [1]

- Core ($r/a \approx 0.6$) a/L_n increased due to large ELM
- Local experimental transport and high-k scattering intensity reduced
- Scaling reproduced by nonlinear ETG simulations

$Q_{e,exp} = 0.8 - 1.8$ MW



[1] Y. Ren et al., Phys. Plasmas (2012); Phys. Rev. Lett. (2011).

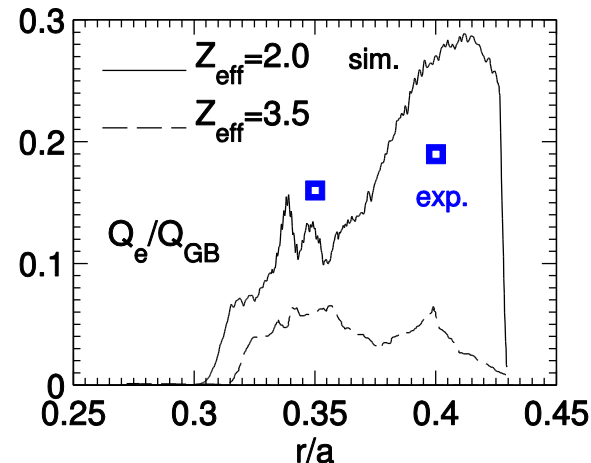
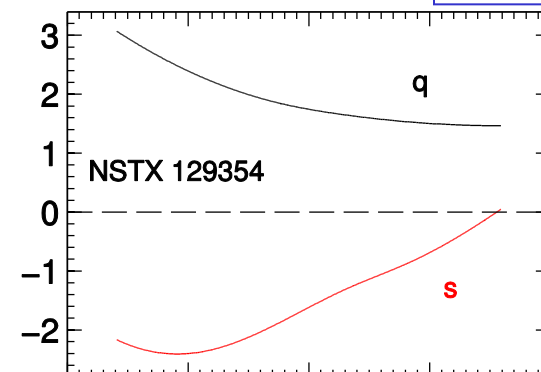
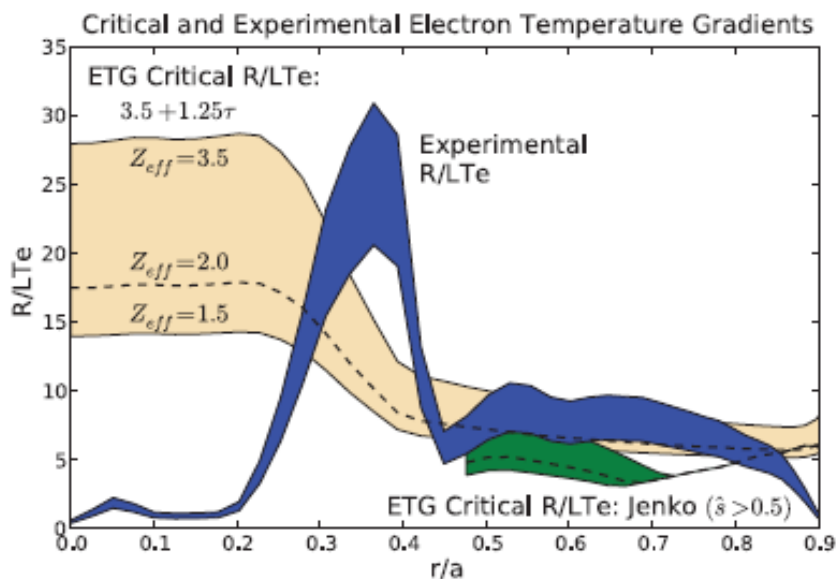
ETG transport minimized with strong negative magnetic shear in e-ITBs

- L-mode (RF) with e-ITB for strong negative magnetic shear ($s \ll 0$)
- ETG linearly unstable around transport barrier
- *Non-local* ETG simulations show transport reduced in region of min(s)
- Sensitive to uncertainty in Z_{eff} due to linear threshold, $(R/L_{\text{Te}})_{\text{crit}} \sim (1 + Z_{\text{eff}} T_e/T_i) \cdot (\dots)$

Non-local simulations

$$L_x, L_y = 14.3, 2.4 \rho_s$$

$$n_x, n_y = 864, 24$$

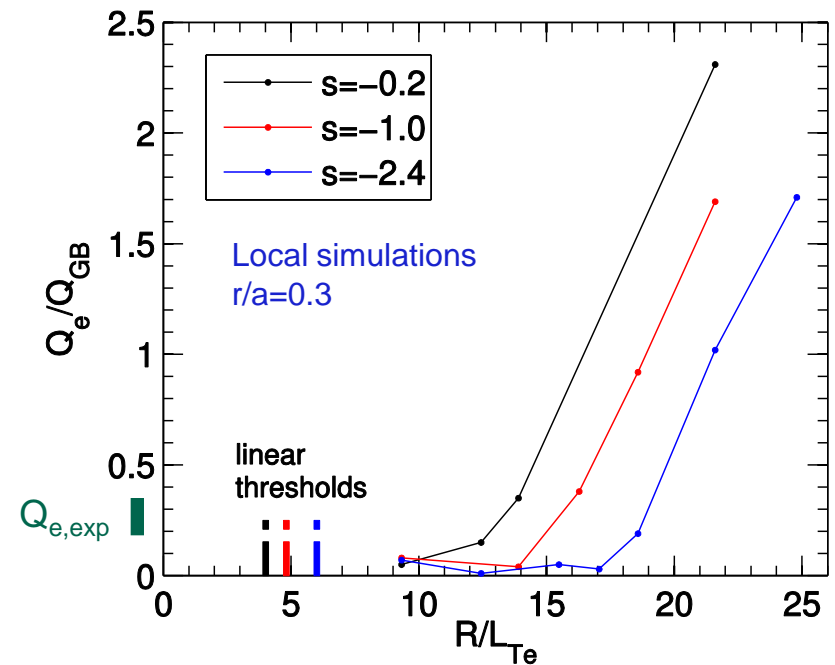
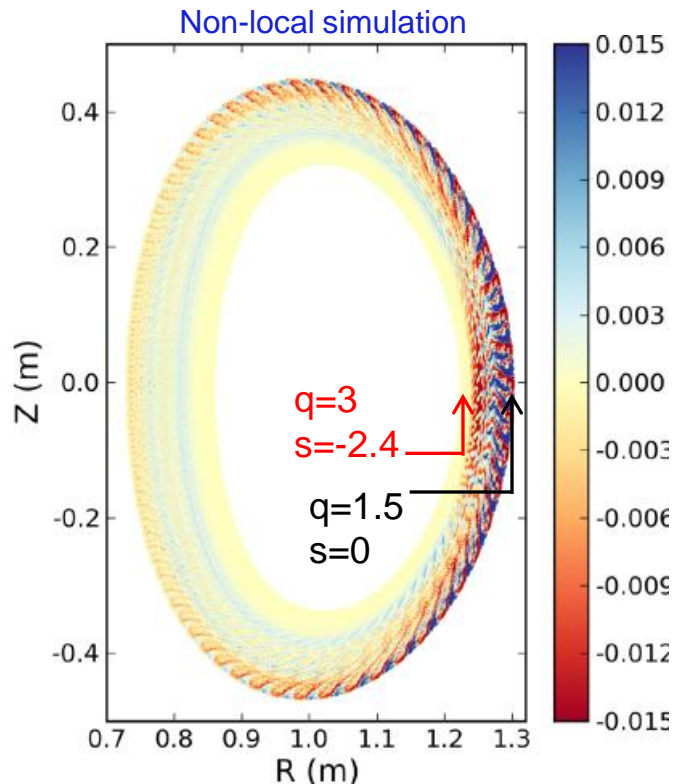


H. Yuh et al., Phys. Rev. Lett. **106**, 055003 (2011).

J.L. Peterson et al., Phys. Plasmas **19**, 056120 (2012).

ETG transport suppressed by large negative magnetic shear, consistent with formation of e-ITB

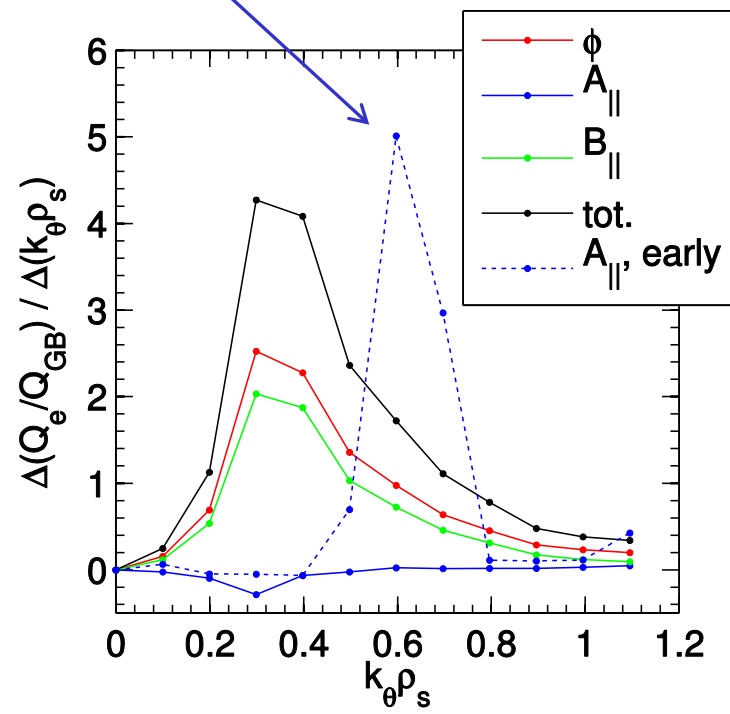
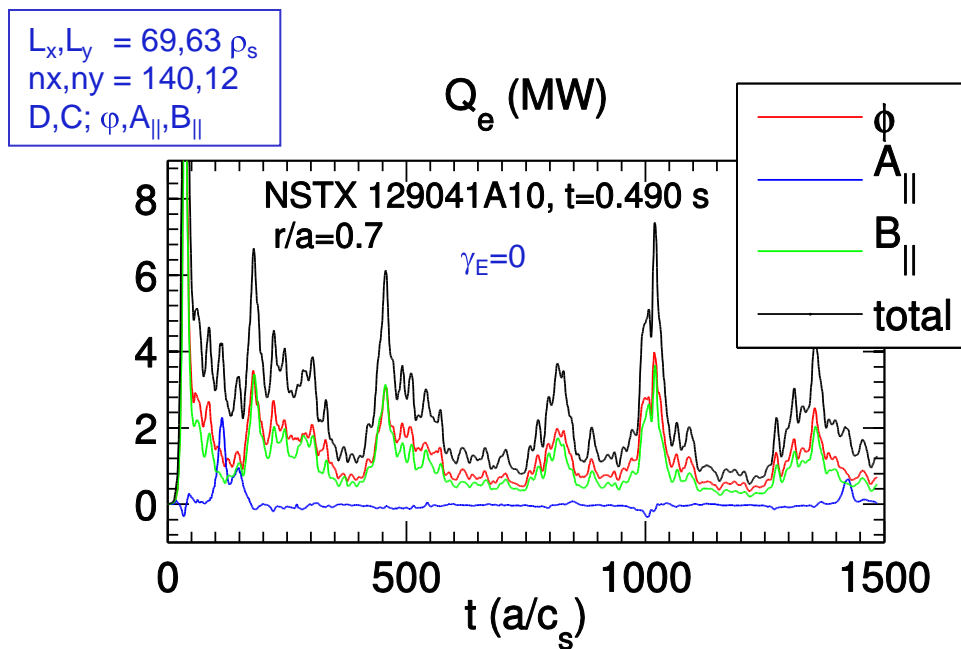
- e-ITB's in RF L-modes correlated with strong negative magnetic shear ($s \ll 0$)
- Negligible turbulence or transport near min(s) in "global" ETG simulations
- Effective nonlinear gradient for significant transport, $R/L_{Te} \sim 12-18$ ($s = -0.2 \rightarrow -2.4$) much larger than linear thresholds, $R/L_{Te} \sim 4-6$
 - Nonlinear upshift much larger than ITG "Dimits" shift, $\sim 30\%$ [Dimits, 2000; Mikkelsen, 2008]



H. Yuh et al., Phys. Rev. Lett. (2011). J.L. Peterson et al., Phys. Plasmas (2012).

Nonlinear TEM/KBM simulations predict significant transport, from both ϕ and B_{\parallel} perturbations

- Significant transport in all channels (heat, particles), nearly half from $\delta B_{\parallel}/B \sim 0.08\%$
- Spectra peak around $k_{\theta}\rho_s \sim 0.3$, MT apparent early in A_{\parallel} but does not survive



- Including finite dV_{\parallel}/dr & $V_{Tor} \rightarrow$ momentum transport ($\Pi_{i,sim} \sim 0.3$ N·m; $\Pi_{i,exp} \sim 1-1.5$ N·m)
 - May reconcile scenarios with anomalous χ_e, χ_{ϕ} , near neoclassical χ_i [Kaye, NF (2009)]
 - However, significantly suppressed when also including $E \times B$ shear