



U.S. DEPARTMENT OF  
**ENERGY**

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Science



# Parametric investigation of CAE/GAE instability and effect on thermal confinement in NSTX-U

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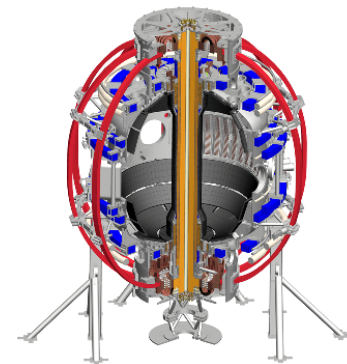
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Transport Task Force 2017

Williamsburg, VA

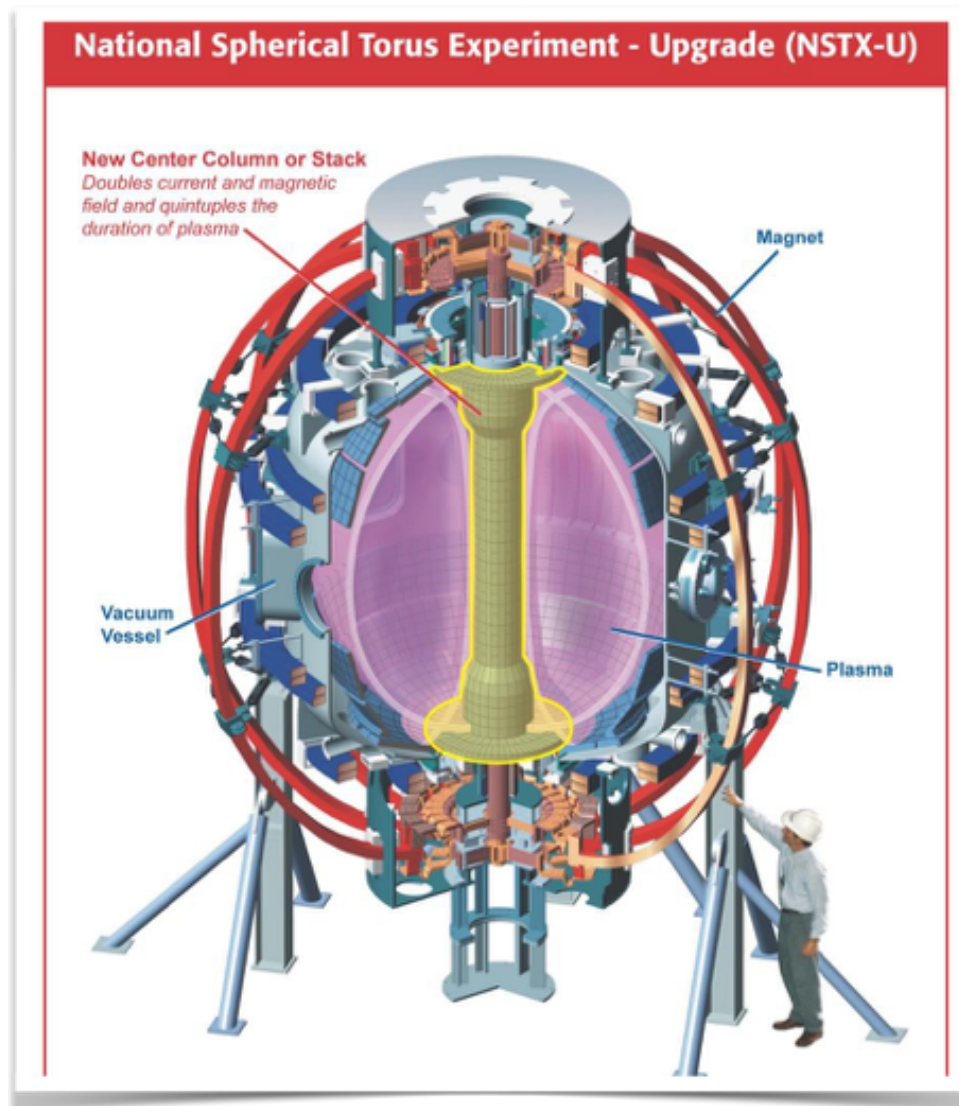
April 25-28

UCLA



# National Spherical Torus Experiment

- Low aspect ratio:  $R/a \sim 1.3$
- High beta:  $\beta$  up to 40%
- Low toroidal field  $B_T \leq 0.5T$
- High plasma current  $I_p \leq 1.3MA$
- Electron temperature  $\sim 1keV$
- Density  $\sim 10^{19} m^{-3}$
- Injection of up to 7MW of  $E \leq 100keV$  neutral D beam
- Preferential electron heating (2:1)
- Low collisionality

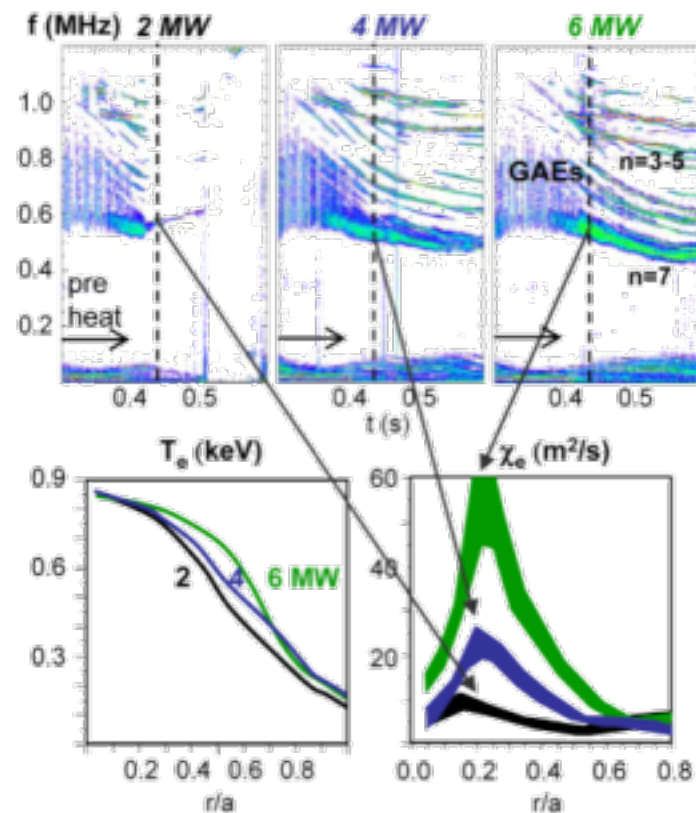


# Overview

- High frequency compressional (CAE) and global (GAE) Alfvén eigenmodes are leading candidates to explain core anomalous electron heat transport with increasing toroidal field and beam power
- No fully validated model for predicting the spectra, structure, and amplitude of these eigenmodes
  - Motivates analysis across wide range of plasma parameters to establish scaling laws and threshold studies
- Database of shots used to investigate role of modes in anomalous electron transport, as well as understanding physics controlling the instability

# Anomalous electron transport correlated with high frequency Alfvén activity

- Beam-heated spherical torus plasmas feature high frequency Alfvén eigenmodes (AE) ( $f > \sim 400$  kHz): Compressional (CAE) & Global (GAE)
- CAEs & GAEs correlate with enhanced core  $\chi_e$  in NSTX
- Proposed mechanisms
  - Resonance w/ multiple modes stochastizes  $e^-$  orbits  $\rightarrow$  enhance  $\chi_e$
  - Modes channel beam energy to edge via coupling to kinetic Alfvén waves



[D. Stutman et al., PRL 102 115002 (2009)]

# CAEs and GAEs driven by Doppler-shifted cyclotron resonance with beam heating ions

- CAEs (compressional) and GAEs (global) are Alfvén eigenmodes, where approximately

$$\omega^2 = k^2 V_A^2 \text{ (CAE)}$$

$$\omega^2 = k_{\parallel}^2 V_A^2 \text{ (GAE)}$$

- For cyclotron resonance the parallel resonance condition is:  $\omega - k_{\parallel} v_{B\parallel} = \omega_{CB}$
- The perpendicular instability condition requires finite orbit widths, e.g.:
  - CAEs:  $1 < k_{\perp} \rho_{\perp b} < 2$
  - GAES:  $2 < k_{\perp} \rho_{\perp b} < 4$
  - $k_{\perp} \rho_{\perp b}$  is stabilizing in some ranges and destabilizing in others  
→ anisotropy important to instability

[N.N. Gorelenkov et. al., N.F. **43** (2003) 228-223]

# Database extended with spectral characteristics of CAEs and GAEs

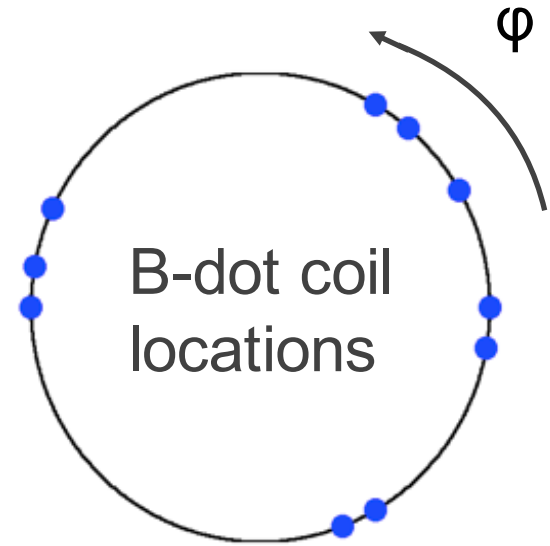
- Existing database with plasma parameters from TRANSP extended to include characteristics of CAEs and GAEs [Fredrickson 2014]
  - Database spans 195 total shots and 1051 total times
  - Majority of shots in H-mode
  - Plasma currents ranging 0.3-1.3MA, average 0.9MA
  - Plasma heated up to 6MW with maximum beam voltage 90kV
  - On-axis magnetic field from 2.7-5.3kG
  - Central electron densities from  $0.9 \times 10^{19}$  to  $1.3 \times 10^{20}$  m<sup>-3</sup>
  - Central electron temperature typically 0.8keV, ranging from 0.23 to 1.7keV

# Database extended with spectral characteristics of CAEs and GAEs

- Constructed from shots from 2010 experimental campaign on NSTX
  - Each TRANSP run divided into 50ms intervals
  - TRANSP infers transport coefficients and fast ion population from profile measurements and a model of beam deposition
  - TRANSP outputs calculated using neoclassical fast ion diffusion → **neutron rate discrepancy a measure of fast ion transport**
- Frequency, mode power, and toroidal mode number calculated for each 50ms interval
  - Divide into 1ms records and FFT
  - Keep points (t,f) that are a good fit to single toroidal mode number ( $\chi^2 < 0.5$ )
  - Power weight ( $|\delta B|^2$ ) average f, n

# Mode activity characterized using edge B-dot array

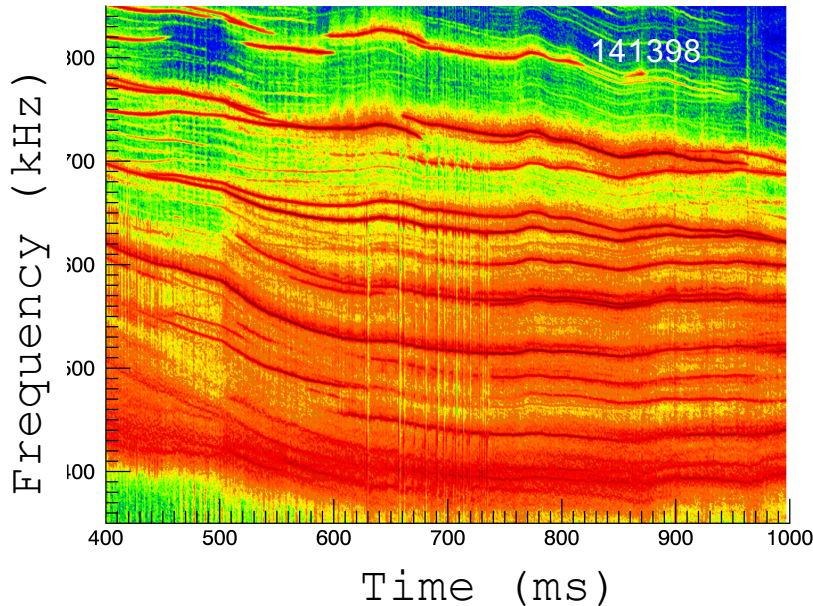
- $\delta b$  measured by a toroidally distributed array of poloidal magnetic field sensing coils (Mirnov coils)
  - 10 coils
- Statistical analysis yields frequency, mode number, and amplitude
- Use a rule of thumb of  $f > 200\text{kHz}$  to look for modes



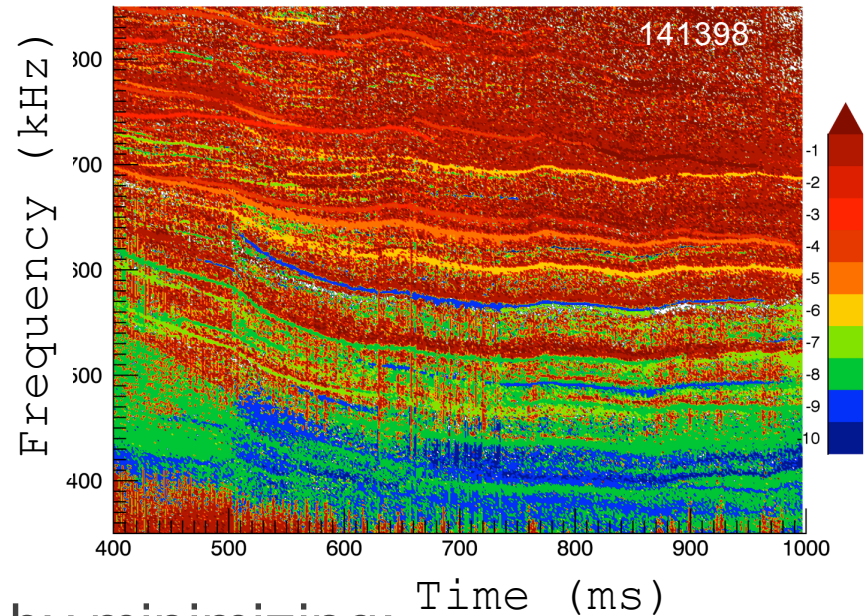


# Modes identified by testing quality of fit to single toroidal mode number

Log(power) vs f and t



Toroidal mode num vs f and t



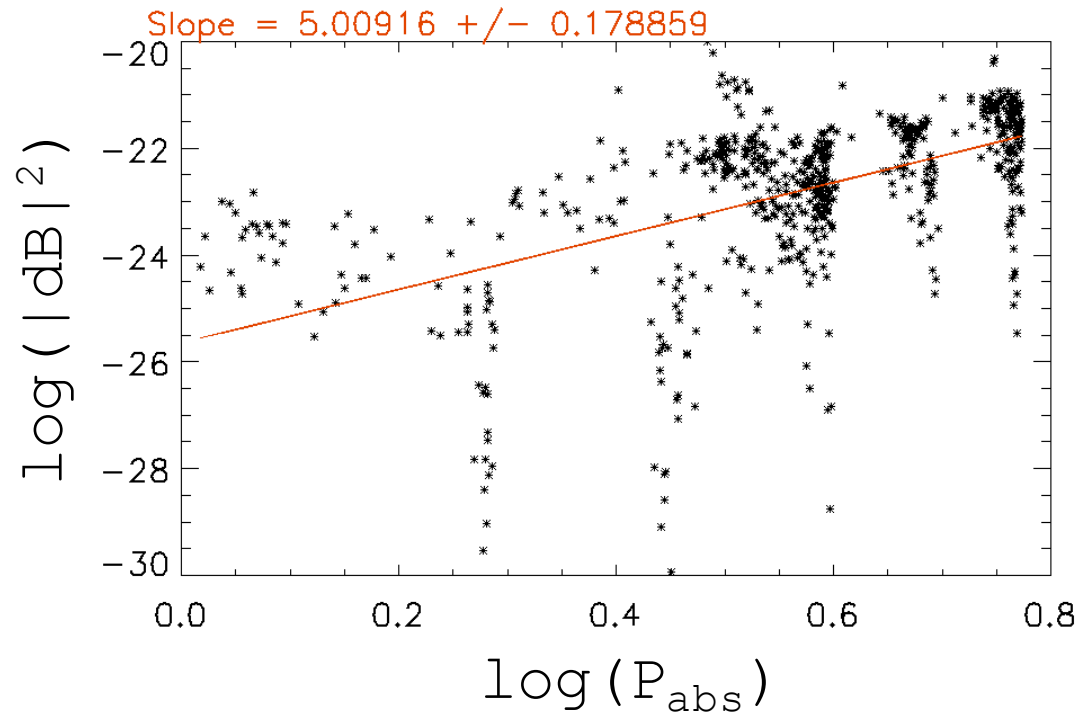
- Find the best fit  $n$  for each  $t, f$  by minimizing:

$$\chi^2 \equiv 1 - \left| \sum_{\forall \phi} \delta b e^{-in\phi} \right|^2 / \left( N_\phi \sum_{\forall \phi} |\delta b|^2 \right)$$

– Low chi-square  $\rightarrow \partial b(t, f)$  dominated by single toroidal mode

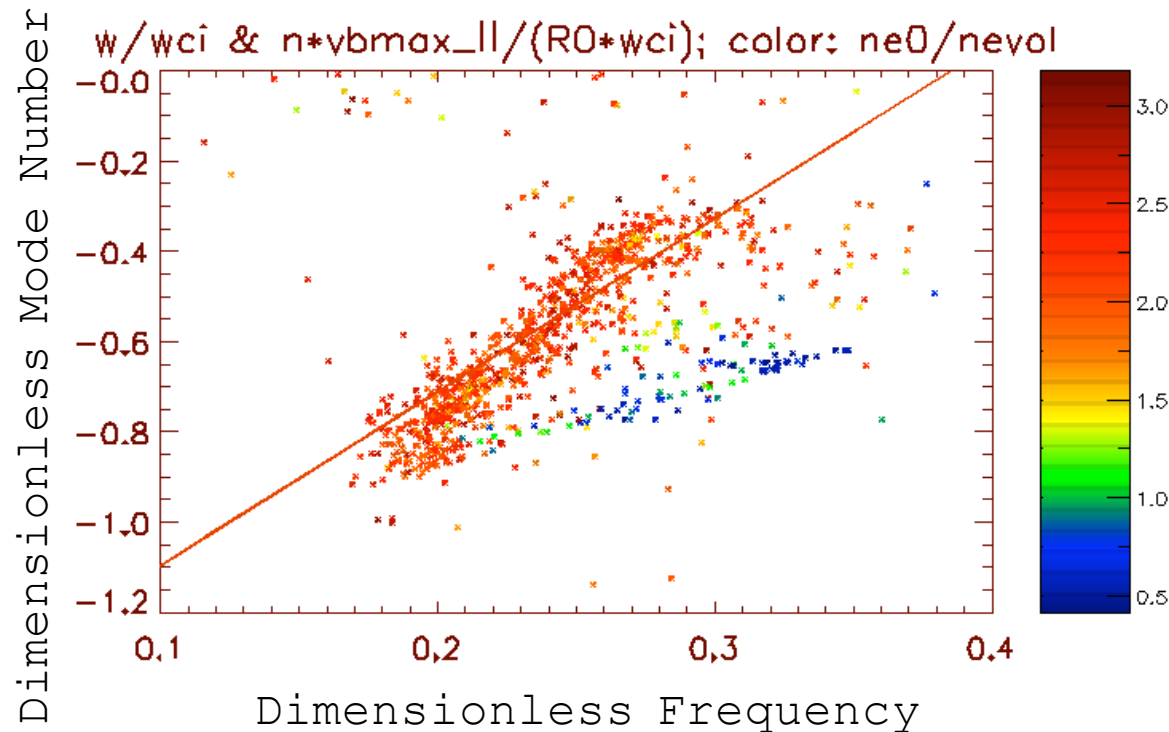
- Coils are distributed toroidally with smallest coil spacing of  $\phi = 10^\circ \rightarrow$  can resolve  $|n| \leq 18$  ( $N_\phi = 10$ )

# Mode power increases with beam power as expected



- Correlation found between total mode power ( $|\delta b|^2$ ) and TRANSP calculated absorbed beam power:  $|\delta b| \sim P_{abs}^{2.5}$
- Roughly consistent with nonlinear simulations and analytic theory which have shown:  $\delta b \sim P_b^2$  [Belova & Lestz, 2016]

# High correlation of $n$ , $f$ suggests importance of parallel resonance condition



- Perform mode power ( $\delta B^2$ ) weighted fit
- $\omega$  increases as  $|n|$  decreases
  - If  $k \sim n/R$ , expect  $\omega$  to decrease as  $|n|$  decreases from dispersion relationship
  - However, slope consistent with parallel resonance condition if  $k_{||} \sim n/R$ :  $\omega_{ci} = \omega - k_{||}v_{b||}$
- Normalization of  $\omega$  and  $n$  motivated by parallel resonance condition significantly improves correlation
  - Correlation coefficient improves from  $\rho = 0.52 \pm 0.05$  to  $\rho = 0.80 \pm 0.05$
- Plasmas with hollow density profiles have different relationship

# Correlation improves with normalization motivated by parallel resonance condition

- Instability thought to be governed by Doppler shifted cyclotron parallel resonance condition
  - $\omega_{ci} = \omega - k_{\parallel} v_{b\parallel}$
  - $\omega \rightarrow \omega/\omega_{ci}$
  - $k_{tor} \rightarrow k_{tor}/(\omega_{ci}/\max(v_{b\parallel, inj})), k_{tor} = n/R$
- $k_{\parallel}$  and destabilizing  $v_{b\parallel}$  not known  $\rightarrow k_{tor}$  and  $\max(v_{b\parallel, inj})$  used
- Correlation coefficient improves from  $\rho = 0.52 \pm 0.05$  to  $\rho = 0.80 \pm 0.05$  after normalization
- Suggests that resonance condition plays some role in governing instability

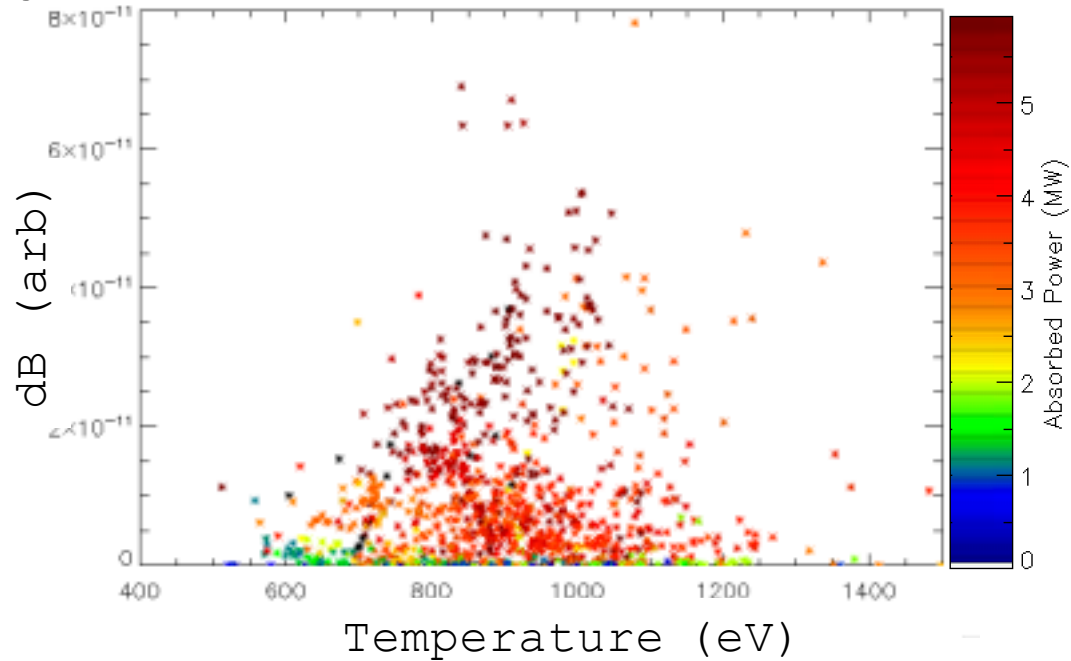
# $|\delta b|^2$ correlates with $T_e$ at high beam power

- Total mode power found to correlate with temperature at high beam power

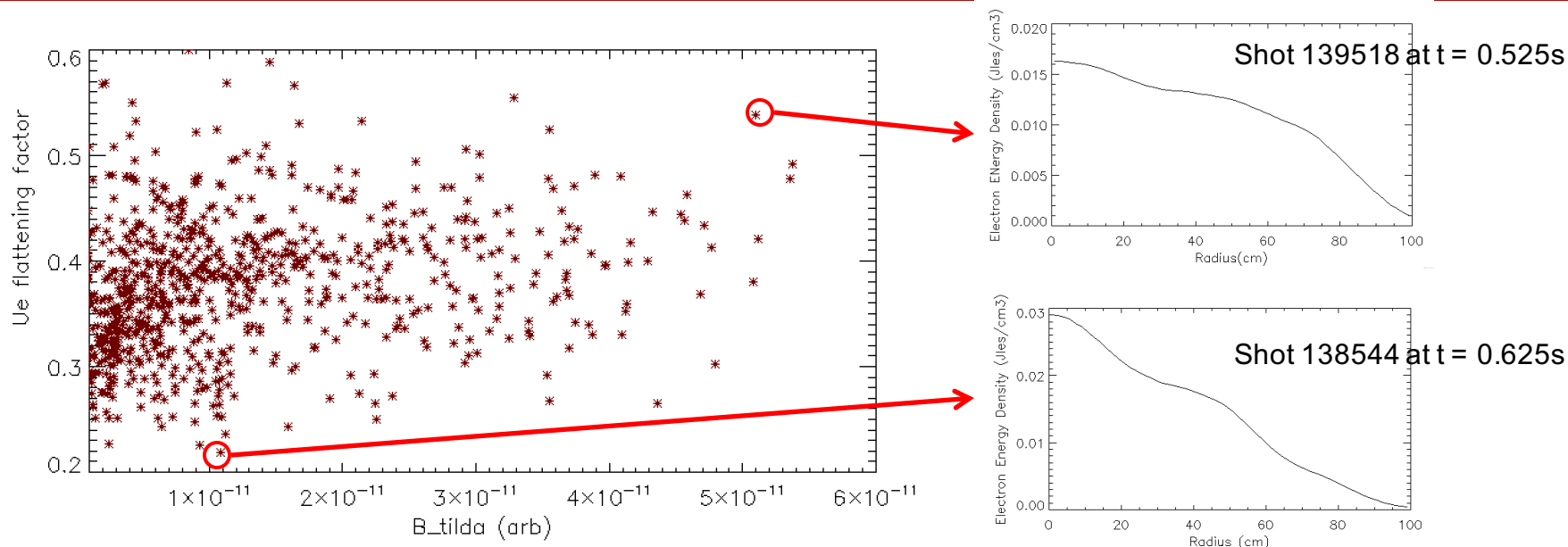
- Further investigation required

- Cause of correlation unknown

- $T_e$  may be important for its role in Landau damping and anisotropy of beam ion distribution

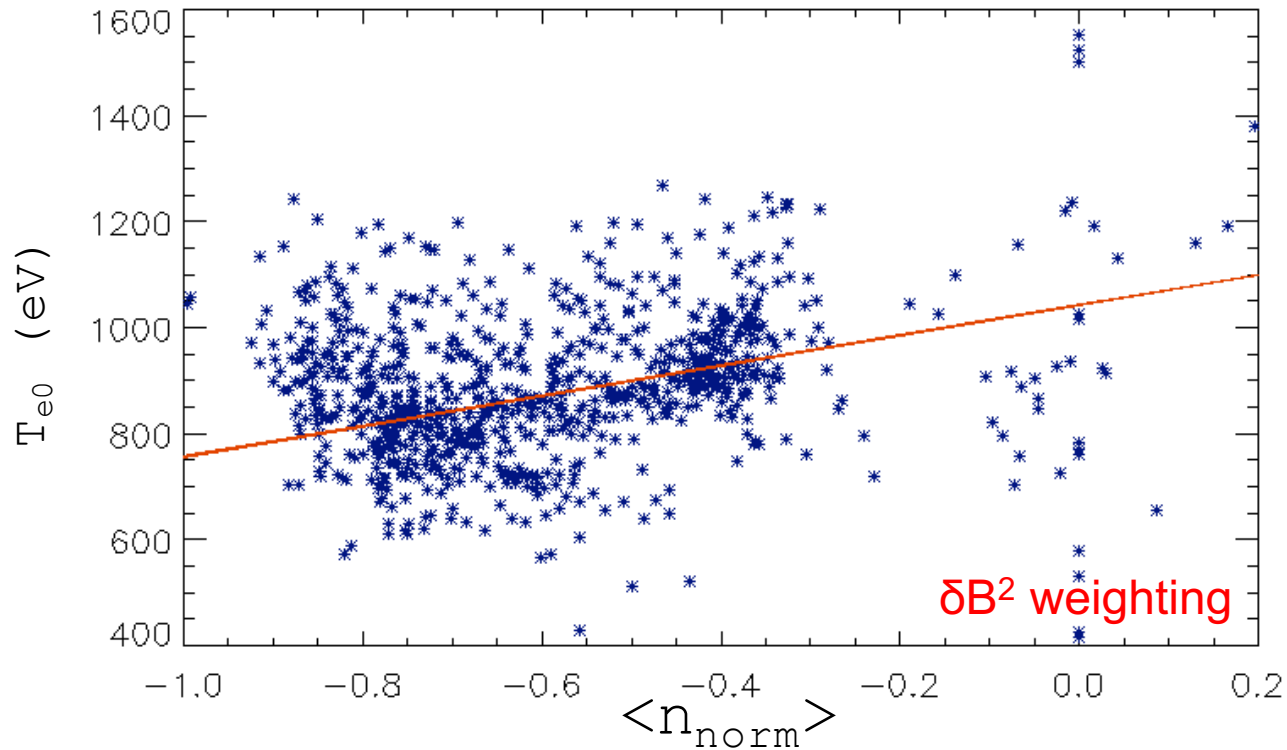


# Electron energy density profile flattens with increased mode power



- $U_e$  flattening factor approximated by volume averaged electron energy density ( $\langle U_e \rangle$ ) over peak  $U_e$ :  $\frac{\langle U_e \rangle}{U_e(0)}$
- Correlation consistent with theory of anomalous electron transport by CAE/GAEs
  - TRANSP calculations assumed no anomalous fast ion diffusion
- Further analysis needed to explore implications of this result

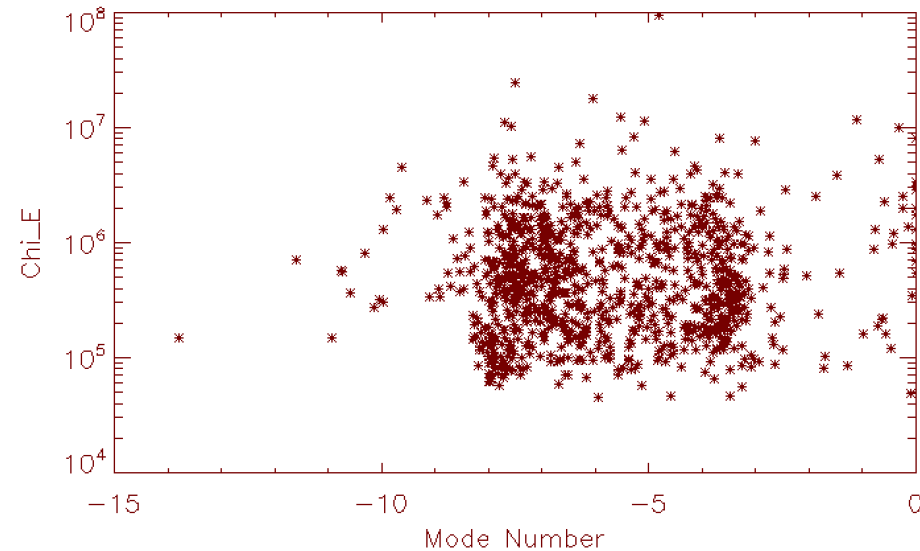
# $T_{e0}$ correlates with both $\langle f_{\text{norm}} \rangle$ , $\langle n_{\text{norm}} \rangle$



- $T_{e0}$  correlates with both  $\langle f_{\text{norm}} \rangle$  and  $\langle n_{\text{norm}} \rangle$  with statistical significance, with  $\rho = 0.32 \pm 0.05$  and  $\rho = 0.45 \pm 0.05$  respectively
- $\langle f_{\text{norm}} \rangle, \langle n_{\text{norm}} \rangle$  control  $T_{e0}$ ?

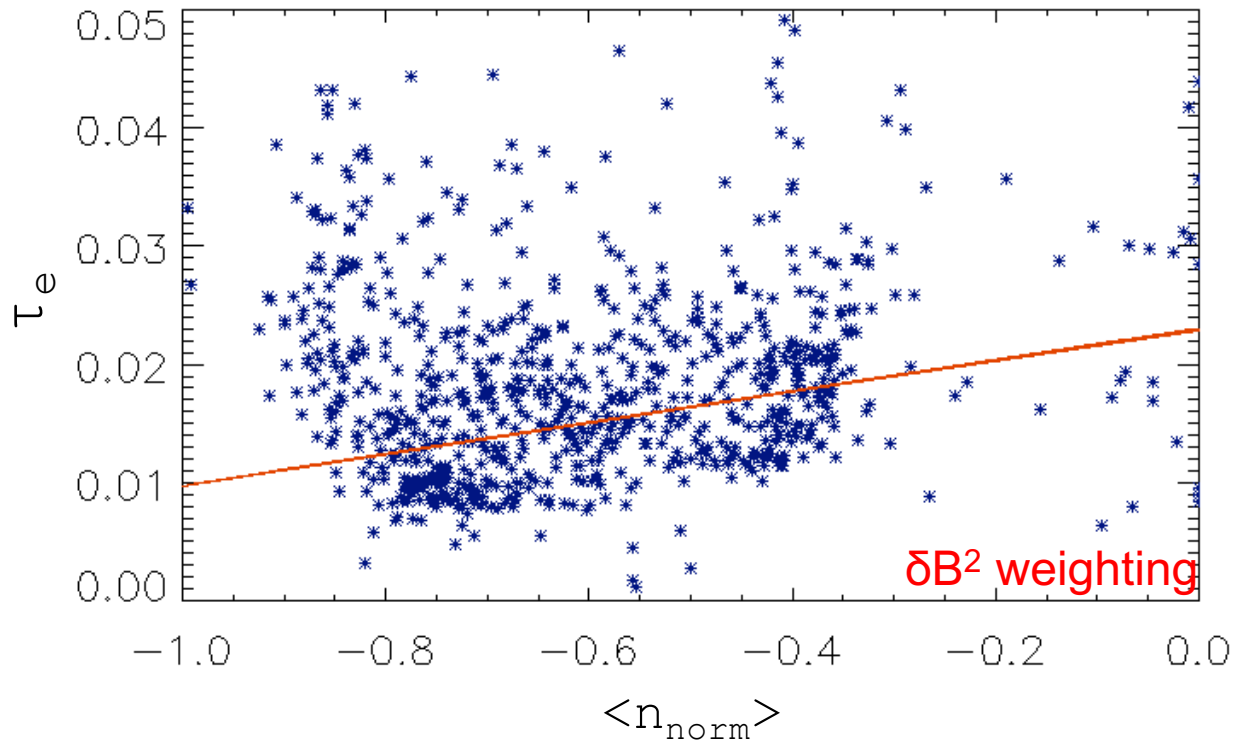
# Electron energy confinement time in core correlates with $\langle f_{\text{norm}} \rangle, \langle n_{\text{norm}} \rangle$

- $\chi_e$  ideal indicator of anomalous transport but very noisy
  - Connects well to Stutman PRL 2009
  - Grad Te goes to zero in areas of interest
- Electron energy confinement time  $\tau_e(\rho)$  is lower noise
  - Modeled as a function of radius, and is an integrated measure of confinement
  - Median smoothing over time to eliminate outliers
  - take minimum value in deep core between  $\rho = 0.1$  and  $\rho = 0.5$





# $\min(\tau_e)$ in core correlates with $\langle f_{\text{norm}} \rangle, \langle n_{\text{norm}} \rangle$



- Correlation of  $\langle f_{\text{norm}} \rangle, \langle n_{\text{norm}} \rangle$  with  $\tau_e$  gives  $\rho = 0.296 \pm 0.05, 0.302 \pm 0.05$  respectively
- Modeling assume classical fast ion diffusivity  $\rightarrow \tau_e$  controlled by anomalous fast ion transport?
- Some  $f, n$  more effective at orbit stochastization?

# Conclusions

- Beam power correlates with mode power
- Toroidal mode number correlates highly with frequency
  - Correlation improves with normalization motivated by parallel resonance condition
- dB scales with  $T_e$  at high beam power
- Electron energy density profile flattens with increased mode power
- Central temperature correlates with frequency and mode number
- Electron confinement time correlates with frequency and mode number

# Future Work

- Implementing step-wise multiple linear regression to better understanding of parameters controlling transport
- Extending research to DIII-D through experiment scheduled in July
  - Complementary control of injection angle allowing for exploration of parallel resonance condition and perpendicular instability condition