

# NSTX Wave-Particle Physics, Heating and Current Drive 5-Year Research Plan

*presented*

*by*

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*In collaboration with*

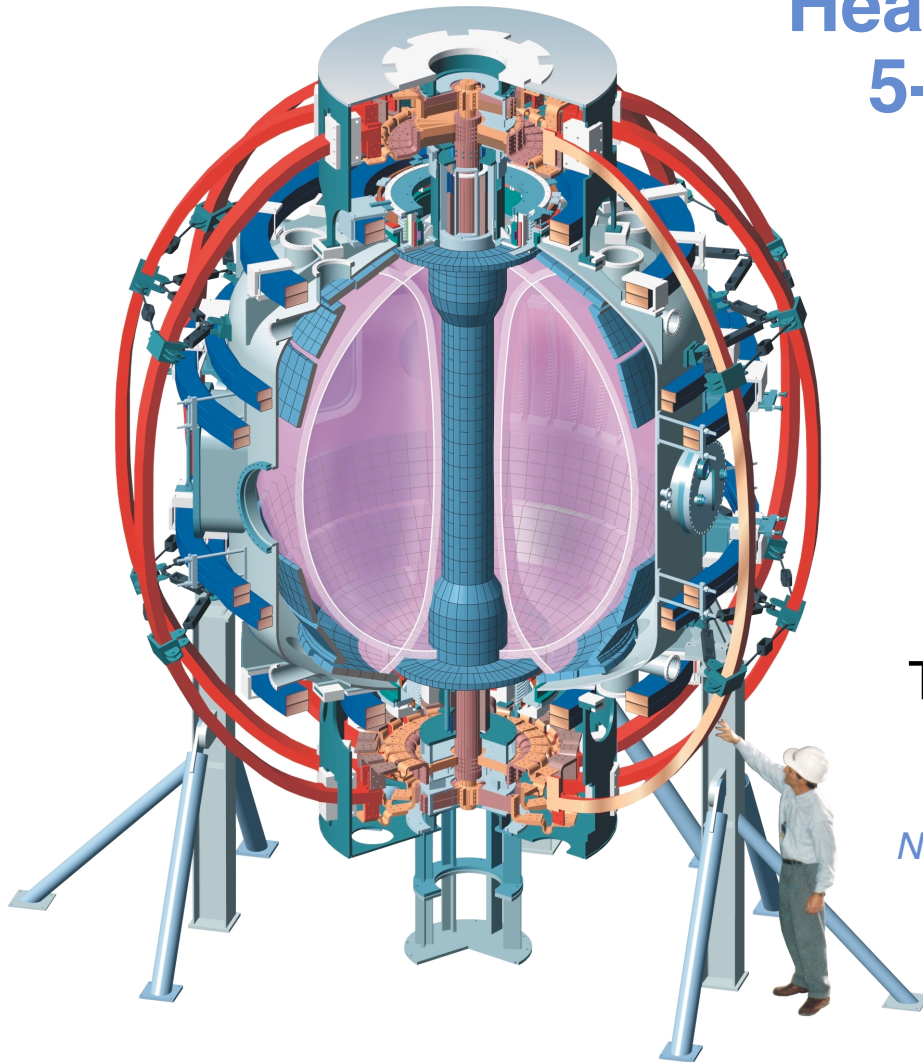
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*and*

The NSTX RF Research Team

*NSTX 5-Year Research Plan Review Meeting  
December 12-13, 2002*



# Outline

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## High Harmonic Fast Waves (HHFW)

- 5-Year Research Goals
- Research Status:
  - *HHFW System*
  - *Experimental Results*
  - *Theory & Modeling*
- 5-Year Research Plan

## Electron Bernstein Waves (EBW)

- 5-Year Research Goals
- Research Status:
  - *Mode Conversion Theory*
  - *Mode Conversion & Coupling Experiments*
  - *Technology Issues*
- 5-Year Research Plan



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# HHFW Research



# HHFW Provide a Tool for Electron Heating & Current Drive in High $\beta$ , ST Plasmas

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- ST's need auxiliary current drive (CD)
- High  $\beta$  plasma makes Lower Hybrid and conventional electron cyclotron CD (ECCD) impossible
- HHFW in high  $\beta$  plasmas has strong single pass absorption on electrons
  - *can allow off-axis deposition*



## HHFW 5-Year Research Goals

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- HHFW 5-year research objective to provide heating & CD tools to supplement OH
- Enable preliminary assessment of ST performance
  - *HHFW-assisted startup*
  - *Pressure profile modification*
  - *HHFW CD-assisted discharge sustainment*
- 10-Year goal to use HHFW, with other tools, for  $\tau_{\text{pulse}} > \tau_{\text{skin}}$  operation



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# Status of HHFW Research



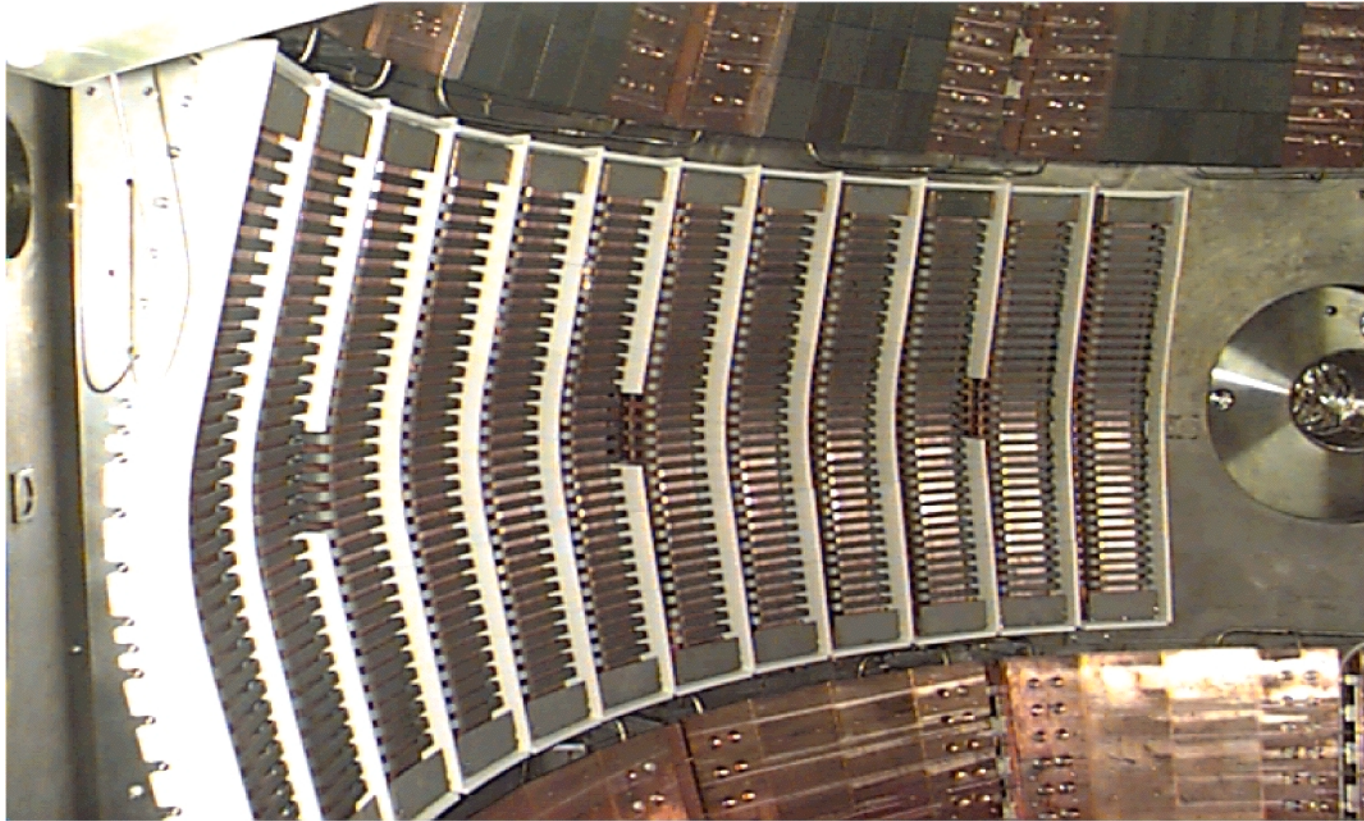
# Flexible System for High Power HHFW has been Installed on NSTX

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- Utilizes TFTR ICRF transmitters & transmission line
- 30 MHz frequency corresponds to  $\omega/\Omega_D = 9-13$
- 6 MW total power from 6 transmitters for up to 5 s
- 12 Element antenna with active phase control allows wide range of wave spectra
  - $k_T = \pm (3-14) m^{-1}$
  - *can be varied during shot*



## HHFW 12 Element Antenna Array Provides Good Spectral Selectivity



- Digital phase feedback system sets phase between straps
- Antenna utilizes BN insulators to minimize RF sheaths





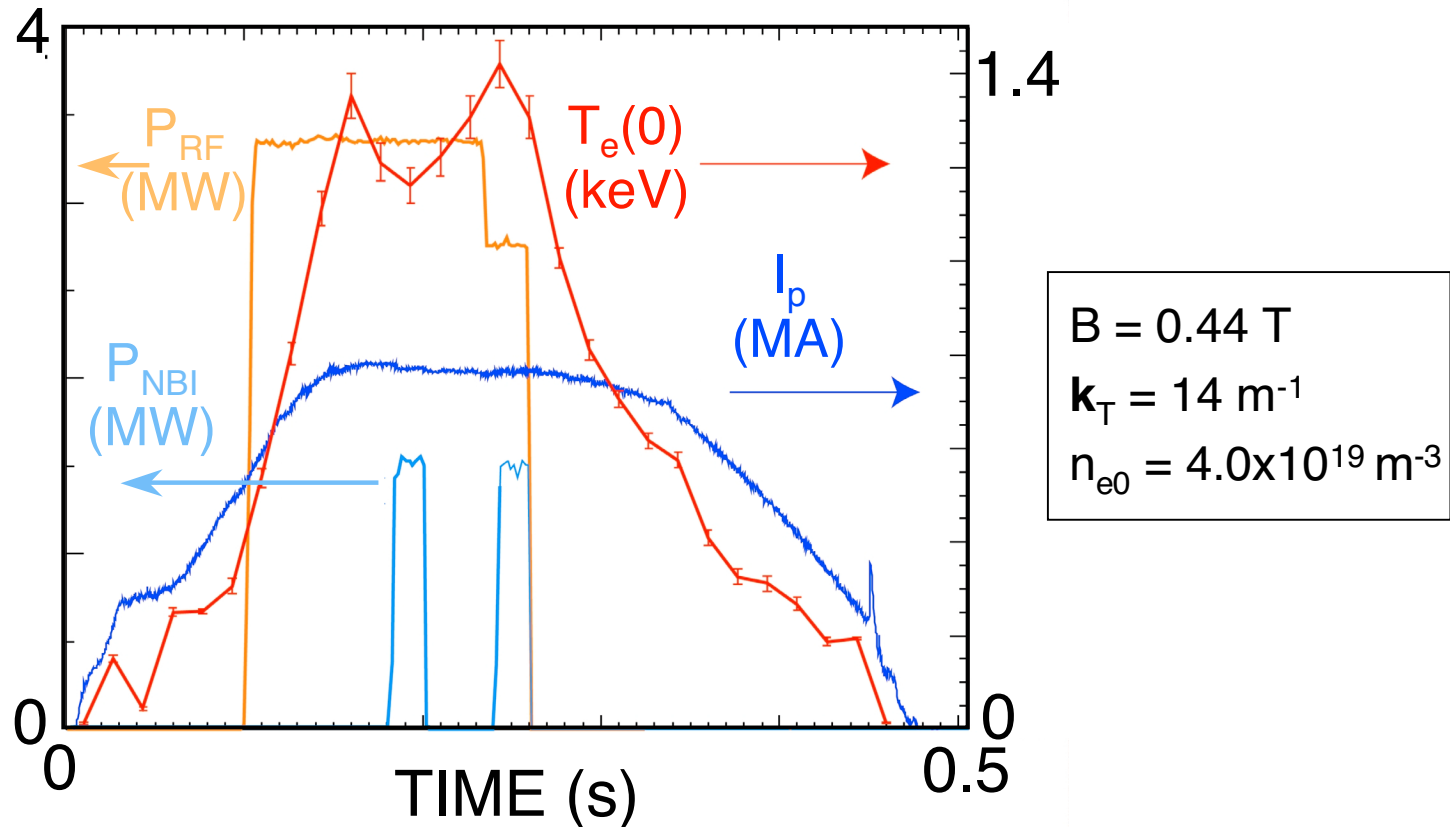
## HHFW Primarily Heats Electrons in NSTX, as Expected from Theory

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- For non-NBI NSTX plasmas HHFW deposits all its power into electrons
  - *No experimental evidence for direct thermal ion heating*
  - *HHFW does heat NBI ions*
- Energy confinement on NSTX follows conventional scaling predictions when heat is applied via the electron channel
- Improved electron energy confinement has been observed



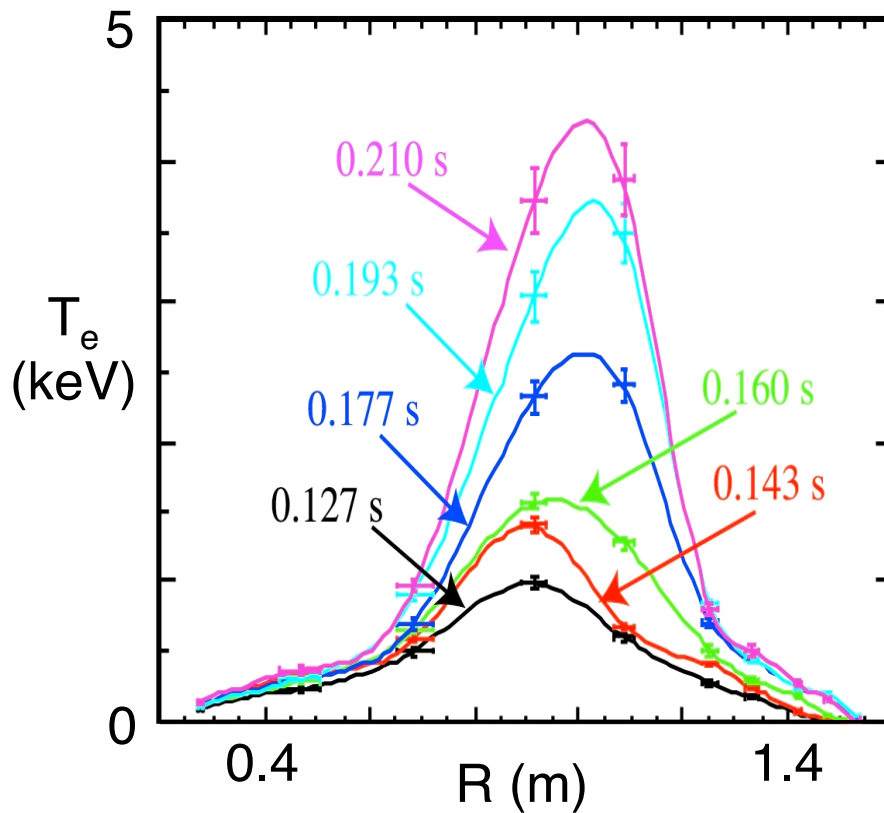
# HHFW Provides Strong Electron Heating



- Confinement consistent with predictions of standard scaling [L-Mode: ITER97L, H-Mode ITER98Pby2]



# Some HHFW-Heated Discharges Display Behavior of Internal Transport Barrier



$P_{rf} = 2.5 \text{ MW}$

$I_p = 800 \text{ kA}$

- $T_e$  increases strongly inside half radius
- Density profile doesn't show change
- $T_i(0)$  rises with  $T_e(0)$
- $\chi_e$  progressively decreases in the central region



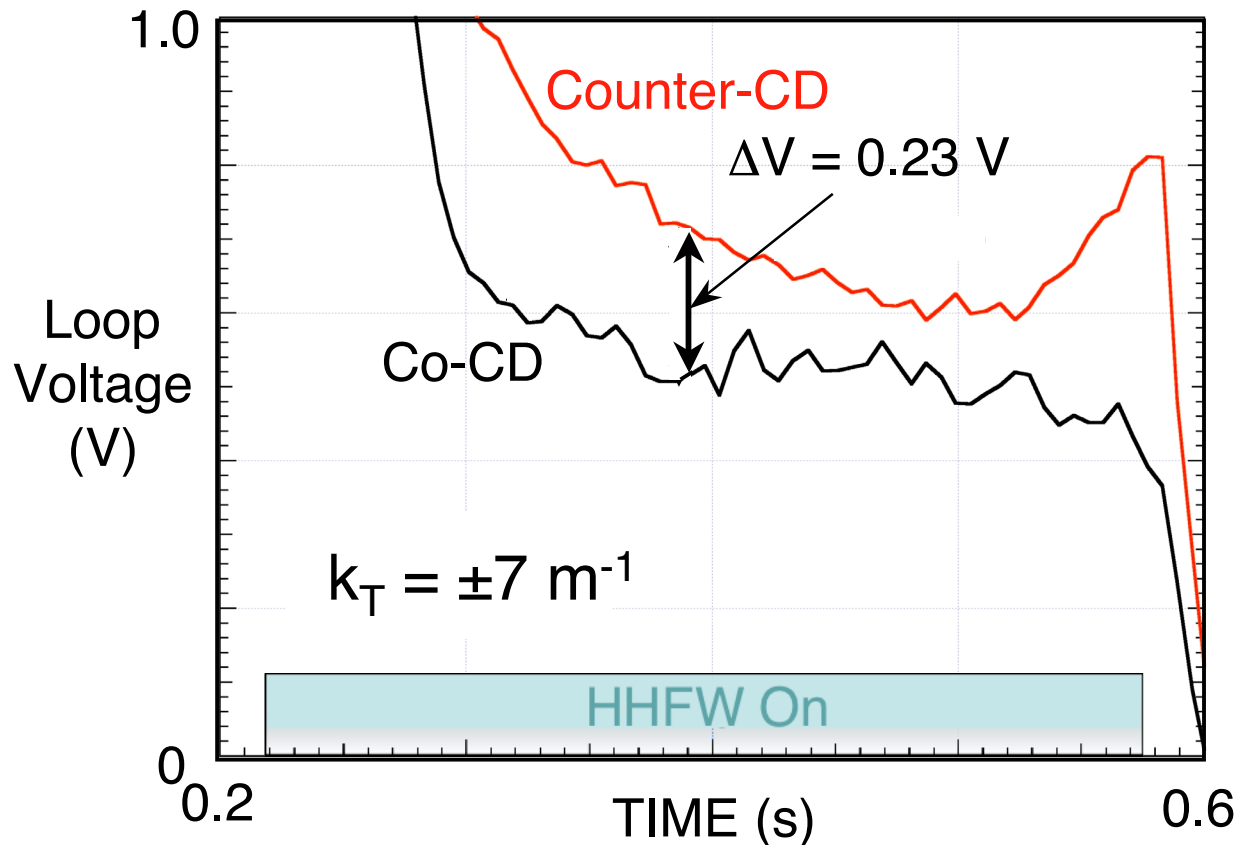
## Differences in Loop Voltage with Directed Spectra Consistent with HHFW CD

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- Experiment performed at low electron  $\beta$  and current to maximize effect of HHFW CD on loop voltage
- Compare discharges with wave phased  $\pm (3-7) \text{ m}^{-1}$
- Adjust power levels and fueling to match density and temperature profiles
- Loop voltage differences seen when no central MHD (sawteeth,  $m=1$ )
- Driven current inferred from analysis of magnetic signals comparable to theoretical predictions



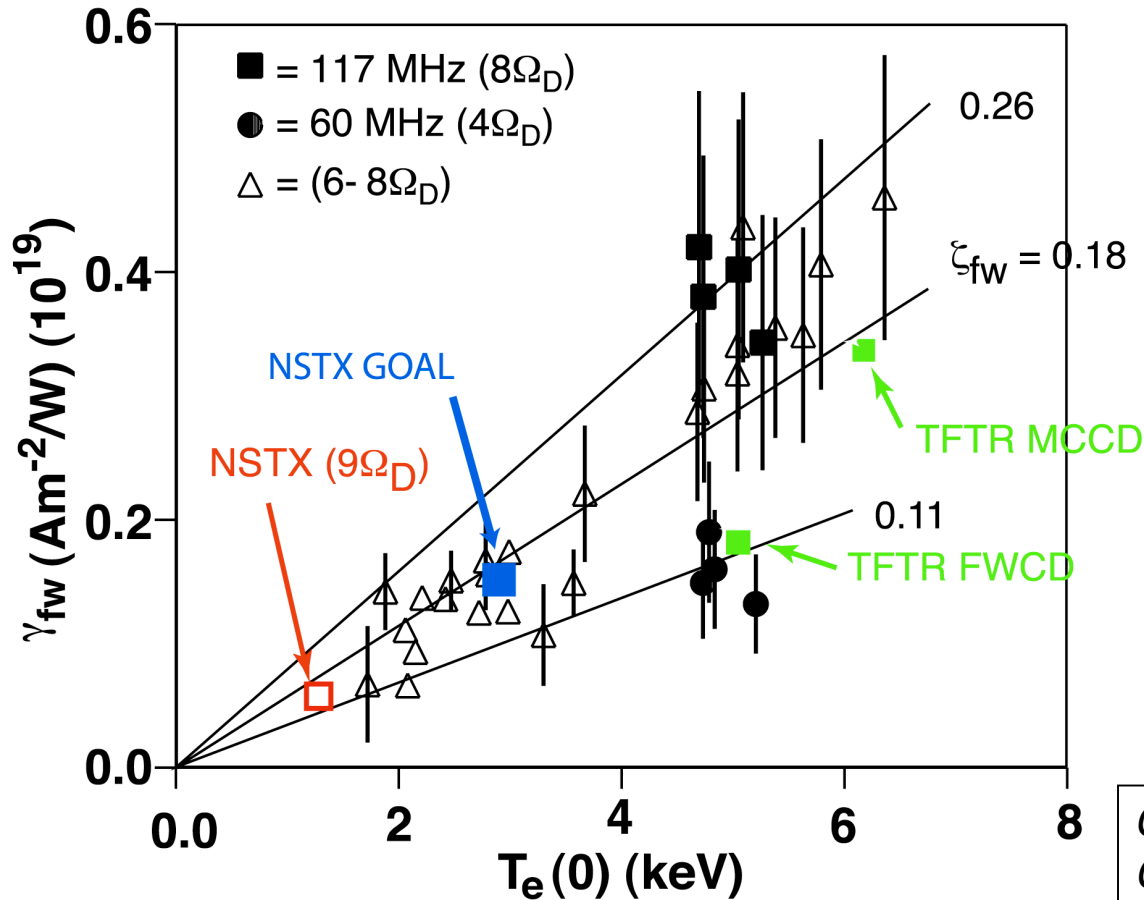
# Less Loop Voltage to Maintain $I_p$ With Co Phasing; Magnetic Signal Analysis Estimates $I_{cd} = 110$ kA (0.05 A/W)



- TORIC  $I_{cd} = 95$  kA (0.05 A/W)
- CURRAY  $I_{cd} = 162$  kA (0.08 A/W)



# CD Efficiency Consistent with DIII-D & TFTR CD Experiments



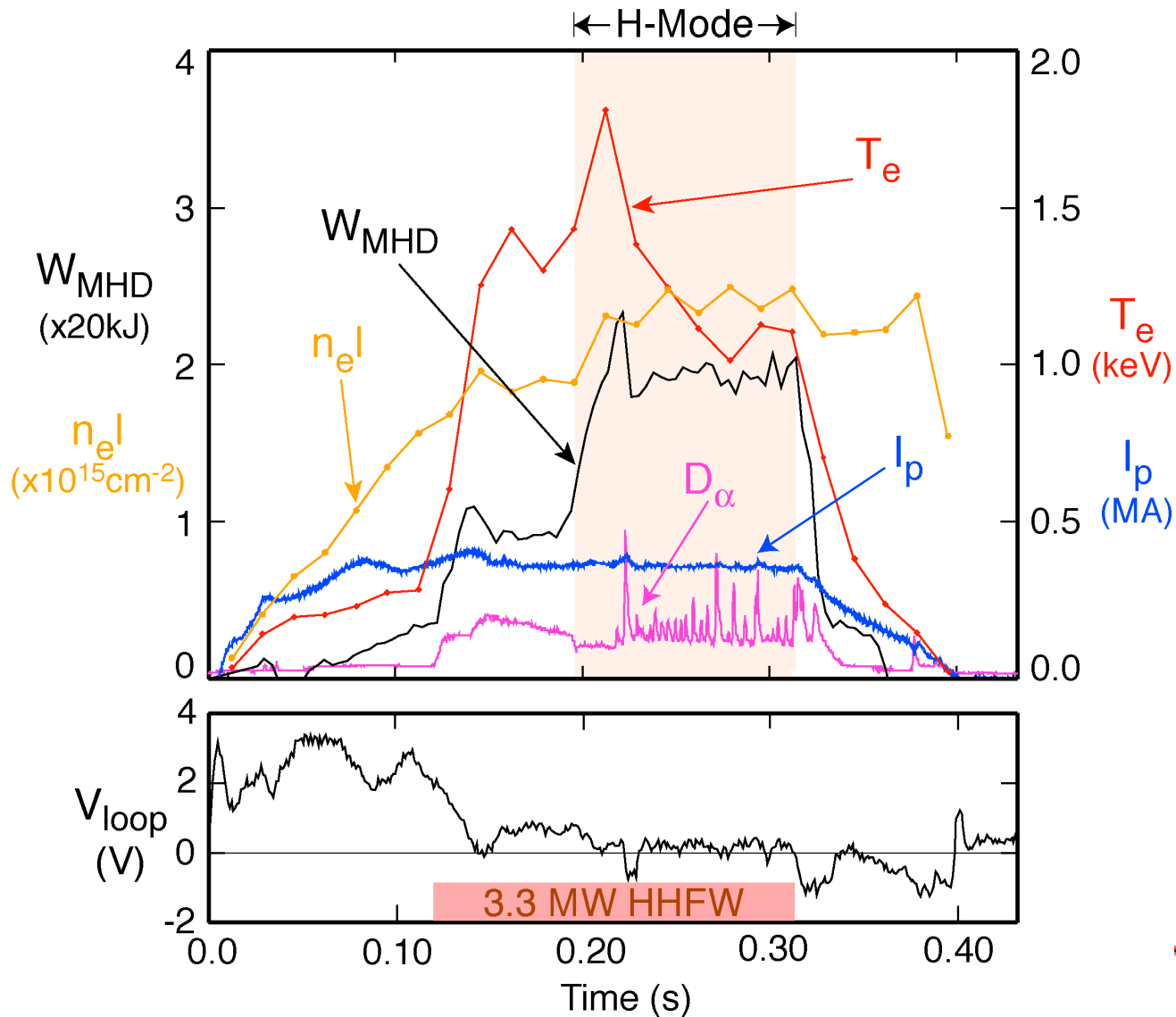
- Operation at increased  $T_e$  required to meet NSTX goals:
  - *More RF power and improved confinement regime should allow this*

*C. Petty et al., Plasma Physics and Controlled Fusion 43 (2001) 1747*

- Trapping significantly reduces HHFW-driven current:
  - *Diamagnetic effects at high  $\beta$  may reduce trapping*



# High $\beta$ Poloidal H-Mode Plasmas Provide Excellent Candidate for Long Pulse Sustainment



- $V_{loop} \sim 0$
- $\sim 40\%$  bootstrap fraction



## Evidence of HHFW Interaction with Fast Ions, as Predicted

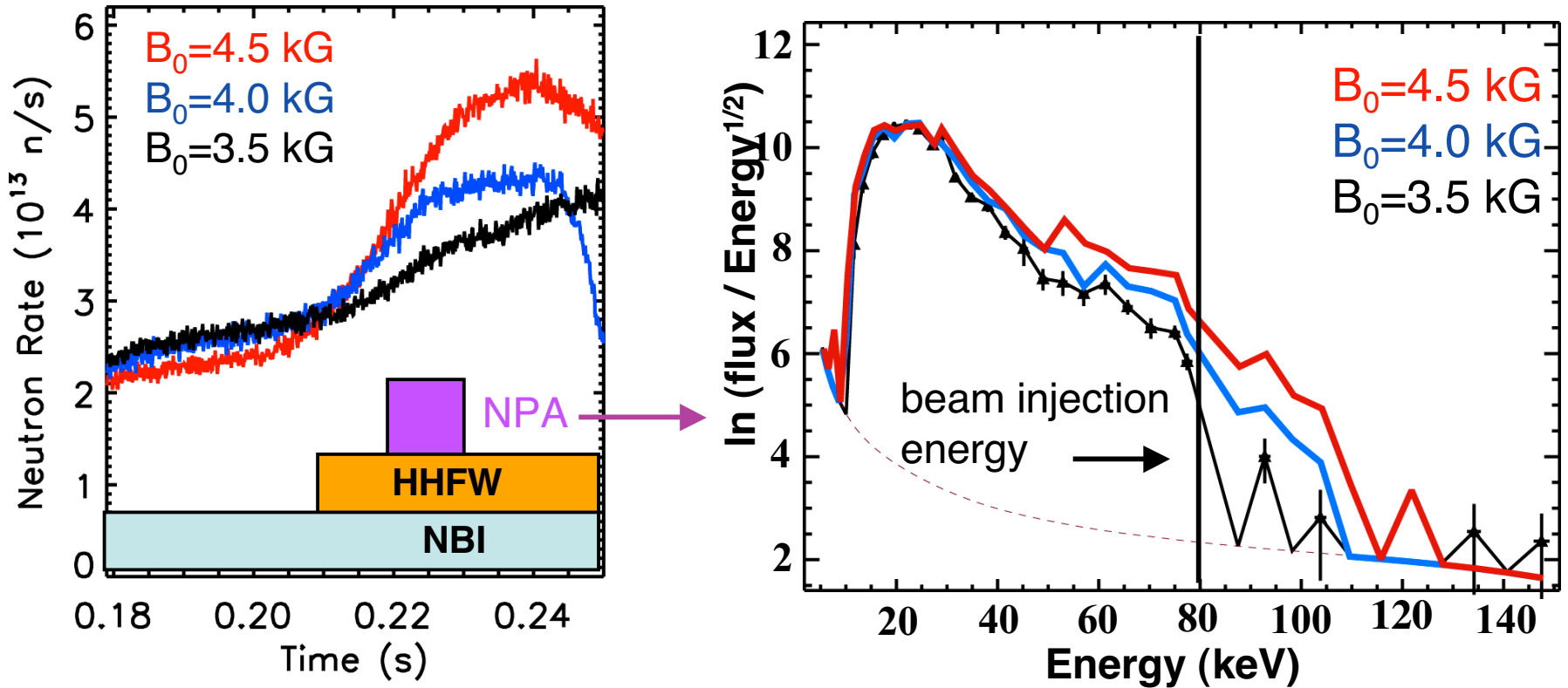
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- Damping on beam ions may reduce CD efficiency
- At high harmonic numbers ( $N \geq 9$ ) ion damping can be important due to large  $k_{\perp}\rho_i$ 
  - *On NSTX  $k_{\perp}\rho_i \sim 10$  for 80 keV beam ion*
  - *Damping maximum at  $\sim 35$  keV for  $N = 9$*
- Neutral particle analyzer shows fast ion tail build-up during NBI + HHFW and decay after NBI turn-off
  - *$D^+$  tail extends to 130 keV*
  - *Tail saturates in time during HHFW*





## Tail Reduced at Lower B, Higher $\beta$



- Larger  $\beta_e$  promotes greater off-axis electron absorption reducing power available to centralized fast ion population



## Further Code Development Needed to Model Interaction Between HHFW and Fast Neutral Beam Ions

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- 1-D METS code generalized to model wave propagation and absorption with significant non-thermal population
- Initial results indicate that beam distribution can be approximated by Maxwellian with same average energy
- Effect of beam anisotropy to be evaluated in 2003
- 2-D non-Maxwellian effects could also be important, may need to generalize 2-D codes to include non-Maxwellian species in dielectric tensor operator



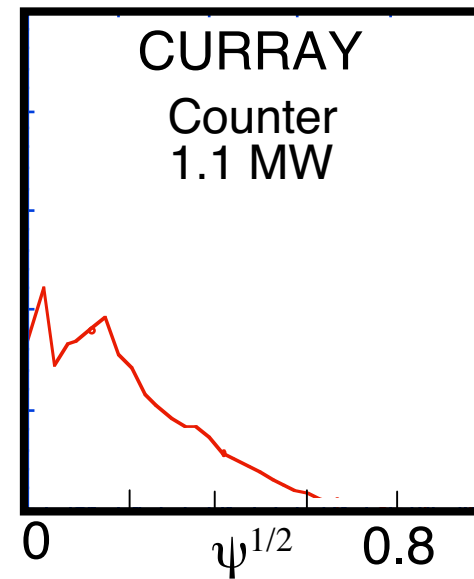
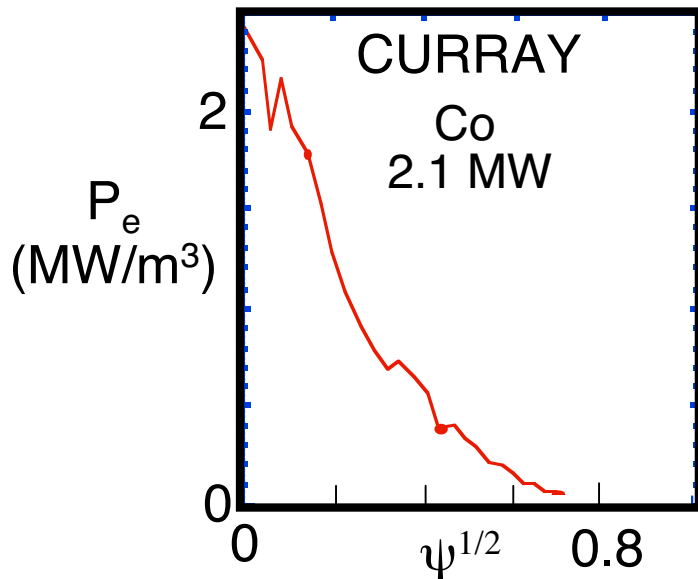
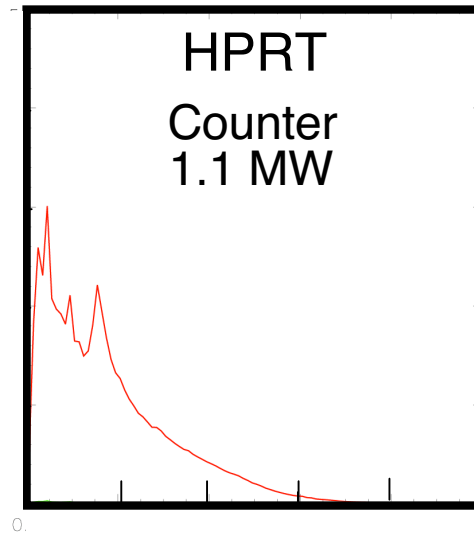
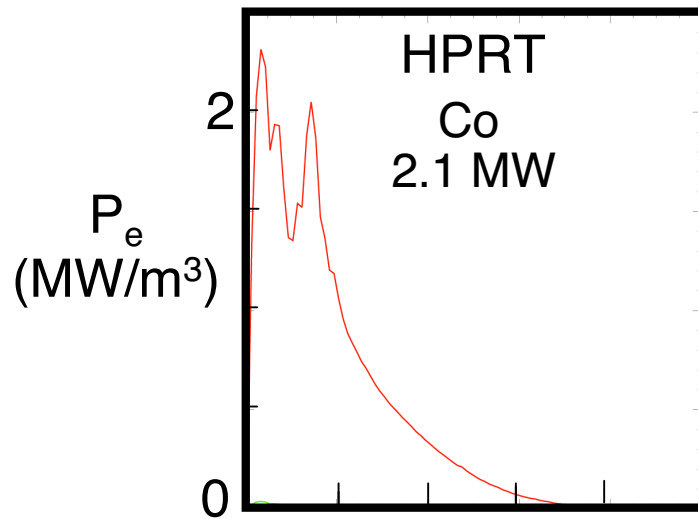
# Modeling HHFW in NSTX Shows Electron Absorption Dominates; IBW Conversion Not Significant

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- METS 1-D and AORSA 2-D all-order codes show no excitation for short wavelength modes in present NSTX plasmas
- Further numerical studies needed to determine if IBW conversion is important at higher B fields and/or higher ion  $\beta$
- WKB ray tracing codes may be applicable due to:
  - absence of significant IBW conversion
  - wavelength < equilibrium gradient scalelength



# Excellent Agreement Between Ray Tracing Codes for HHFW Current Drive Experiments



- AORSA & TORIC predict similar deposition to ray tracing codes



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# HHFW 5-Year Research Plan



# HHFW 5-Year Research Plan Focused On Evaluating the Effectiveness of HHFW as an ST Research Tool

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- HHFW research plan has five major components:
  - *Study dependence of HHFW coupling on plasma configuration & density*
  - *Explore HHFW coupling with neutral beam heating*
  - *Investigate CD and wave-particle interactions*
  - *Develop solenoid-free plasma startup*
  - *Improve technical performance of the HHFW system*



# Study Dependence of HHFW Coupling on Plasma Configuration & Density

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2003-4:

- *Explore coupling into double null discharge and vary inner and outer gaps; previously studied limiter and single null*
- *Study effect of increasing density on heating efficiency*
- *Density control will be explored over a wider range due to improved fueling & wall conditioning*



# Explore HHFW Coupling with Neutral Beam Heating

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## 2003-4:

- *Modify internal inductance with early heating; reduce volt-sec consumption and increase  $q(0)$*
- *HHFW heating efficiency in presence of strong neutral beam injection; dependence on target  $\beta$  and density*
- *Study HHFW H-mode access*

## 2005-6:

- *Initial feedback control of HHFW heating to maintain  $J(R)$  &  $P(R)$ ; study off-axis deposition at high  $\beta$  to broaden electron pressure profile*





# Investigate CD and Wave-Particle Interactions - I

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2003:

- *Operate HHFW reliably at higher power levels, with improved high voltage antenna feed*

2004:

- *Measure  $J(R)$  with motional stark effect (MSE) diagnostic*

2005-6:

- *Investigate dependence of CD efficiency on RF power, density, temperature and antenna phasing*
- *Explore reduction in off-axis CD efficiency due to trapping and possible increase in CD efficiency due to diamagnetic effect at high  $\beta$*



## Investigate CD and Wave-Particle Interactions - II

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2006:

- *Feedback antenna phasing on MSE  $J(R)$  & rtEFIT*

2007-8:

- *HHFW with full feedback control of antenna phase using MSE LIF system to obtain real time  $J(R)$  &  $P(R)$*



## Develop Solenoid-Free Plasma Startup

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2004-5:

- *Couple HHFW into Coaxial Helicity Injection (CHI) startup*
- *HHFW heating with CHI to develop bootstrap current*
- *HHFW CD phasing with CHI for direct current drive*
- *HHFW handoff to NBI*

2006-8:

- *HHFW-assisted ramp to high  $\beta_{pol}$*
- *Use HHFW to optimize flux consumption in high performance plasmas*



# Improve Technical Performance of HHFW System

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## 2003-4:

- *Dedicated experiments to elucidate HHFW antenna power limits & reliability issues*

## 2005:

- *Possibly modify HHFW antenna to be double-end fed; reduces voltage for same power & removes hard ground*

## 2006:

- *If asymmetry in launch spectrum remains a problem for CD may tilt antenna straps*



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# EBW Research



## EBWs May Enable Local Heating, Current Drive and $T_e(R,t)$ Measurements on ST Plasmas

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- Electron cyclotron heating, CD and radiometry not viable for spherical torus (ST) plasmas, where  $\omega_{pe} \gg \omega_{ce}$
- EBWs propagate when  $\omega_{pe} \gg \omega_{ce}$  and strongly absorb at EC resonances, allowing EBW heating, CD and radiometry in STs
- Local EBW heating and CD are potentially important for non-inductive startup and MHD suppression in an ST
- EBWs can couple to electromagnetic waves near the upper hybrid resonance (UHR) that surrounds ST plasmas



## EBW 5-Year Research Goals

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- 5-year research program has four goals:
  - *Demonstrate efficient coupling of X-mode or O-mode waves to EBWs*
  - *Control spatial location where EBWs damp and heat electrons*
  - *Test EBW-assisted non-inductive current startup, alone or in combination with HHFW and/or CHI*
  - *Test suppression of neoclassical tearing modes with EBW heating and/or current drive*
- Plan to install ~ 1 MW by 2006, ~ 3 MW by 2007



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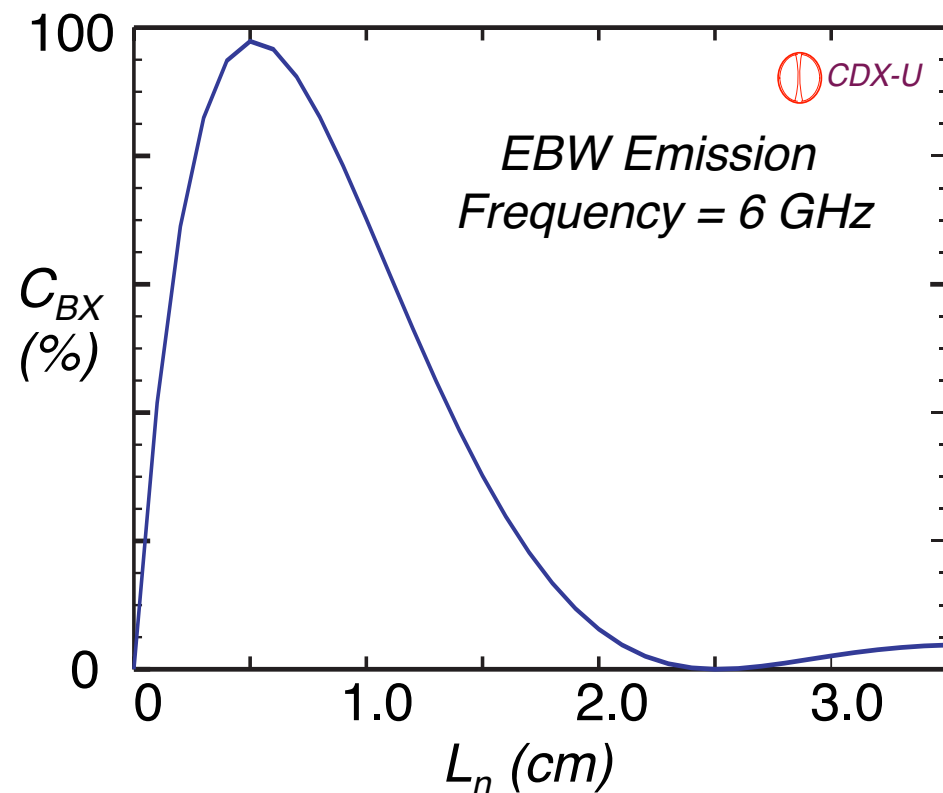
# Status of EBW Research



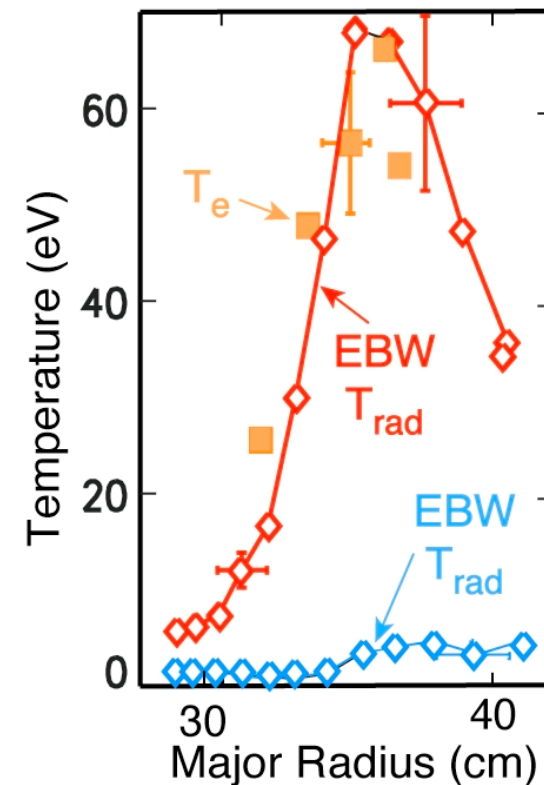
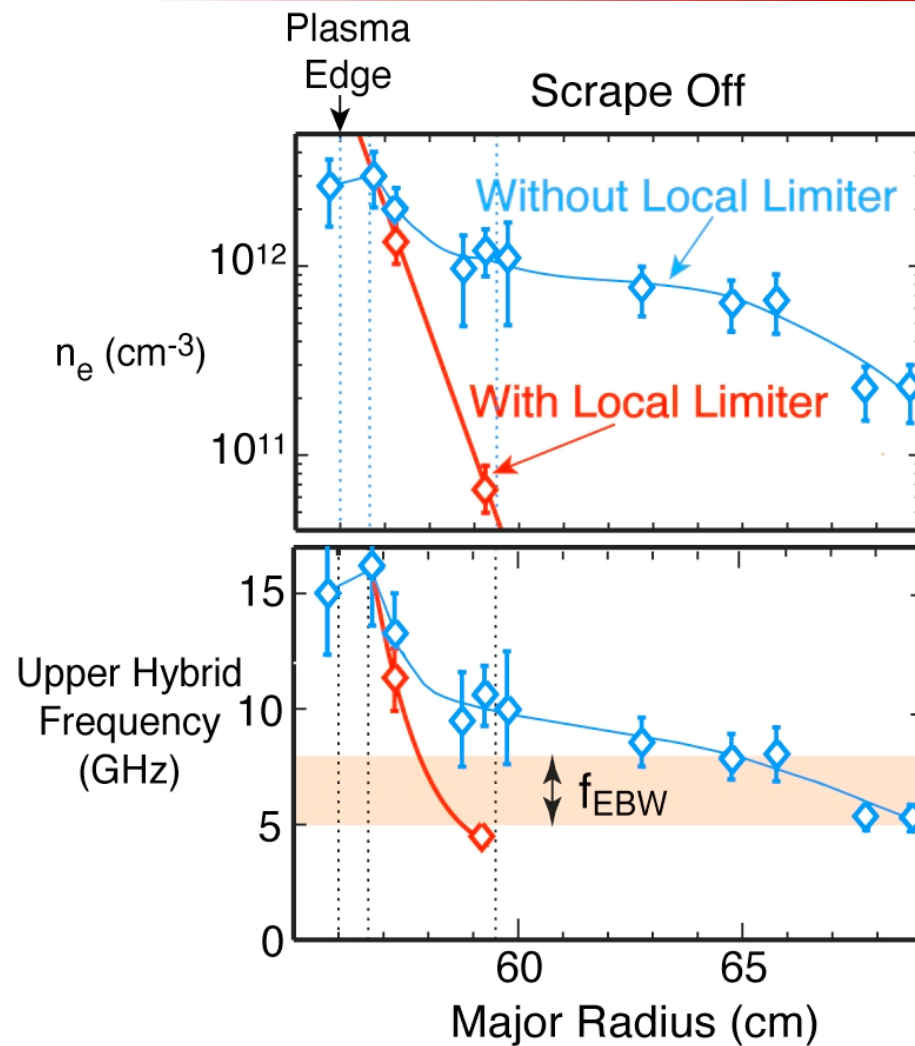


## EBW Experiments on CDX-U and NSTX Have Focused on Maximizing EBW Conversion to X-Mode (B-X)

- If  $L_n$  is short at the UHR, EBW can tunnel to the fast X-mode: *EBW to X-mode conversion efficiency ( $C_{BX}$ ) very sensitive to  $L_n$*
- Measurement of B-X emission evaluates the efficiency of the X-B process for heating and CD
- Mode conversion to the O-mode (B-X-O) also possible; studied on W-7AS and MAST



# On CDX-U, Limiter Shortened $L_n$ to 0.7cm, Increasing $C_{BX}$ to $> 95\%$ , in Good Agreement with Theory



## Need $C_{BX} > 80\%$ for Viable EBW Heating and CD System on NSTX

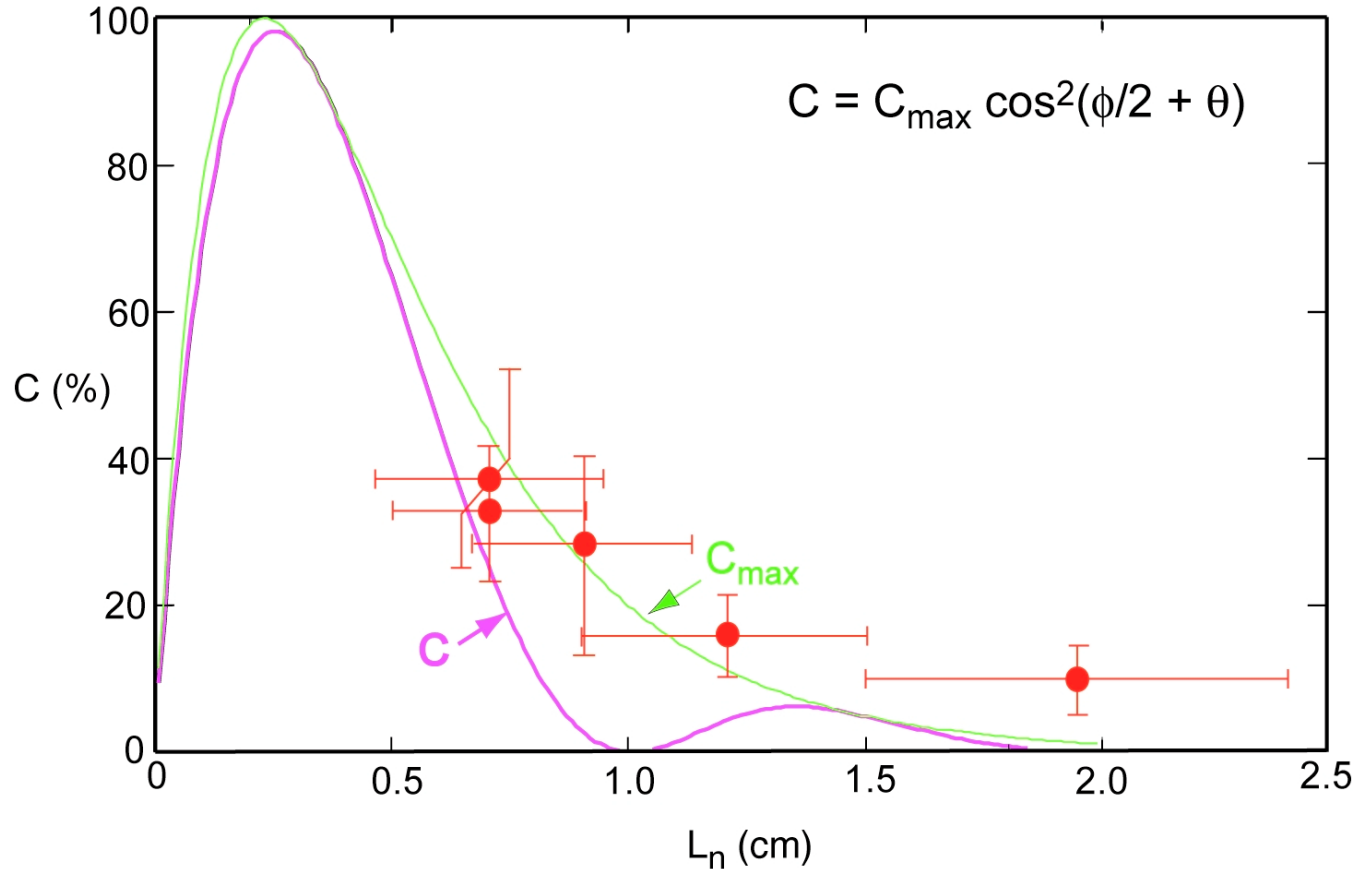
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- Measured  $C_{BX} < 5\%$  for NSTX L-Mode plasmas, 10-15% during H-Modes
- Reproduce CDX-U experiments with local limiter on NSTX next year, for both B-X and B-X-O conversion
- Results from experiment on NSTX using HHFW antenna tiles to shorten  $L_n$  this year were very encouraging:
  - *achieved  $C_{BX} \leq 50\%$*



# $C_{BX}$ Increased from 10% to 50% as $L_n$ Shortened from 2 to 0.7 cm, Agreeing with Theory

EBW Emission Frequency = 11.6 GHz



- Will attempt similar experiment with O-Mode antenna next year



# EBW Heating and CD May Optimize Equilibrium for High $\beta$ Plasmas by Suppressing MHD

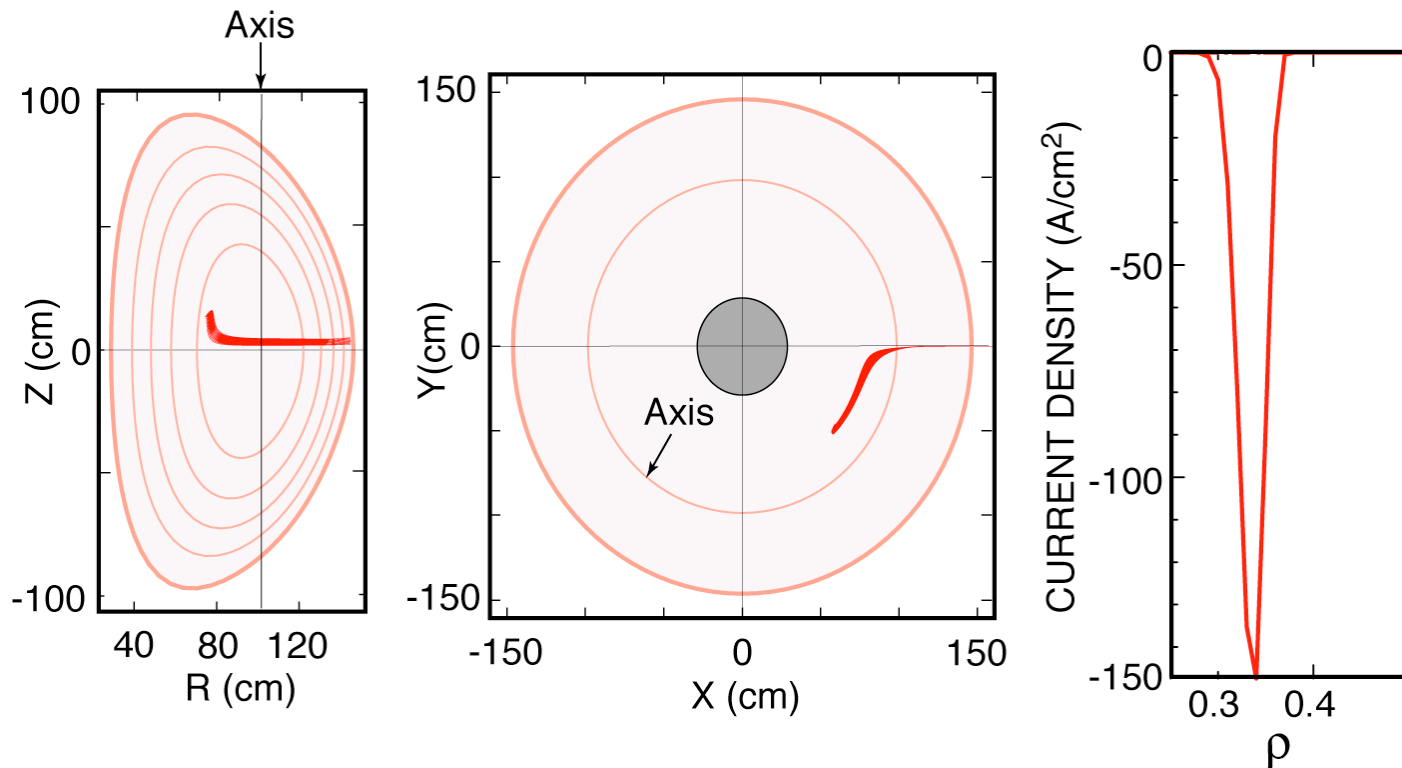
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- Trapped particle effects make high field side (HFS) EBW power deposition more attractive
- Greatest access to HFS for fundamental EBW frequencies
- EBW heating and current drive modeling with GENRAY ray tracing and CQL3D bounce-averaged Fokker-Planck codes



## In $\beta \sim 20\%$ NSTX Plasma, EBWCD Efficiency Comparable to ECCD and Very Localized

1 MW 14.5 GHz RF at  $5^\circ$  above mid-plane,  $-0.1 < n_{\parallel} < 0.1$   
CD efficiency = 0.065 A/W,  $n_{e0} = 3 \times 10^{19} \text{ m}^{-3}$ ,  $T_{e0} = 1 \text{ keV}$



- CD localization supports requirements for NTM suppression



## Status of EBW RF Source Technology

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- Focus NSTX program is  $B_0 \sim 0.4\text{-}0.5$  T plasma operation requiring fundamental EBW RF source at  $\sim 15$  GHz
- No long pulse, high power  $\sim 15$  GHz sources
- Four 28 GHz, 350 kW, CW gyrotrons at ORNL might be retuned to operate at 15.3 GHz ( $\sim 200\text{kW}/\text{tube}$ )
  - Retuning needs to be tested
  - Provides only  $\sim 800$  kW with four tubes
- Prefer to develop new megawatt level  $\sim 15$  GHz tube;
  - MIT proposes 800 kW tube with  $\sim 50\%$  efficiency
  - MIT estimates 18-24 month development
  - Need to issue request for cost & schedule quote in early 2003



## Design Requirements for EBW RF Launcher

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- EBW launcher design presently undefined
- Need well defined  $n_{//}$  spectrum, good focusing and some beam steering
- Use either focusing mirrors or phased 4-8 element array
- Polarization control by external waveguide or grooved mirrors
- Use local limiter to steepen  $L_n$  at the mode conversion layer for both X-B and O-X-B launch





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# EBW 5-Year Research Plan



## EBW Research in 2003

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- Complete GENRAY/CQL3D scoping study for NSTX
- GENRAY/CQL3D modeling of EBW startup
- Determine importance of relativistic effects in EBW propagation & damping, and edge parametric instabilities
- Complete conceptual design for EBW antenna
- Request quote for ~ 1 MW, 15 GHz tube
- MAST to test O-X-B heating



## EBW Research in 2004-5

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- Obtain  $\geq 80\%$  B-X and/or B-X-O conversion on NSTX
- Complete design of 1-3 MW, 15 GHz EBW heating and current drive system
- Include radial transport effects in CQL3D modeling of EBW current drive
- Begin install of 1 MW, 15 GHz heating system



## EBW Research in 2006

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- Complete installation of 1MW, 15 GHz EBW heating and CD system
- Demonstrate coupling to EBW's with  $\sim 1$  MW, 15 GHz
- Study spatial control of electron heating by EBWs



## EBW Research in 2007-8

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- Begin experiments with 1-3 MW, 15 GHz
- Demonstrate plasma current generation & control
- Study plasma EBW startup
- Investigate NTM suppression by EBW heating and/or CD



## HHFW and EBW Heating and CD Can Provide Tools to Enable Solenoid-Free ST Operation at High $\beta$

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- Strong HHFW electron heating seen, initial evidence for HHFW CD and interaction between HHFW and NBI ions observed
- 5-year goal to demonstrate HHFW-assisted startup, pressure profile modification and HHFW CD-assisted sustainment
- > 95 % B-X conversion attained on CDX-U, ~ 50% so far on NSTX; plan to obtain > 80% conversion on NSTX
- Modeling indicates efficient localized, off-axis, EBW CD is possible on NSTX
- Install ~ 1 MW EBW system by 2006, ~ 3 MW in 2007; 5-Year goal to test EBW startup and NTM suppression



