

# Experimental investigation of plasma turbulence with $k_{\perp} \rho_i \gg 1$ in NSTX

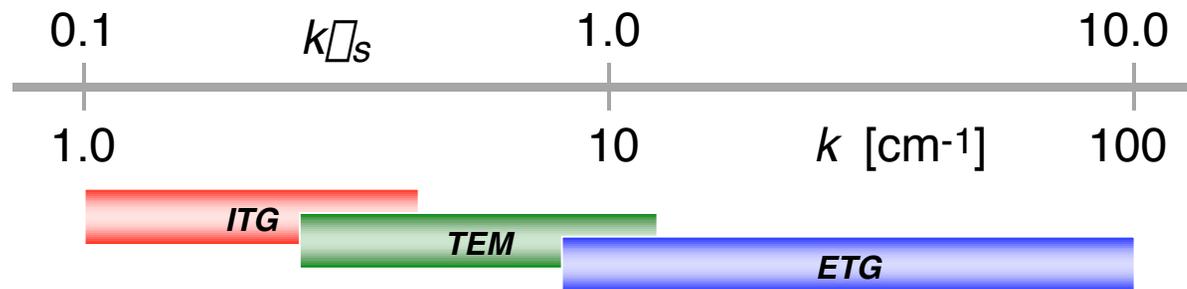
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## Motivation

- A longstanding conjecture is that anomalous transport in tokamaks is caused by some type of turbulence.
- Two types of instabilities are usually considered: the ion temperature gradient (ITG) and the trapped electron (TEM) modes, characterized by perpendicular wavelengths of the order of  $\lambda_i$ , and the electron temperature gradient (ETG) mode with perpendicular wavelengths of the order of  $\lambda_e$ .



- During the past two decades, our understanding of plasma transport has improved – *but not enough for a comprehensive picture of energy transport in tokamaks.*

## Different view from the top

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From J. Marburger, NRC BPA Committee, Nov. 18, 2002:

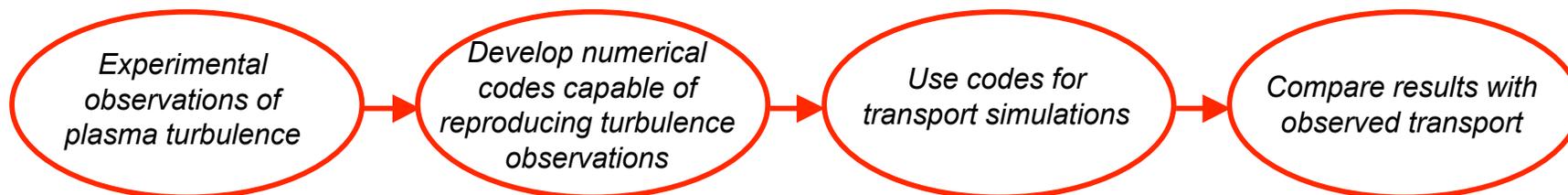
*“It is fair to say that fusion research today is proceeding with unprecedented theoretical and experimental confidence”*

- *This is contradicted by our incomplete understanding of many fundamental processes, including the very topic of this presentation.*

*“The ability to predict plasma parameters in realistic simulations, and then test them in detail in actual devices, has changed the character of the entire field substantially”*

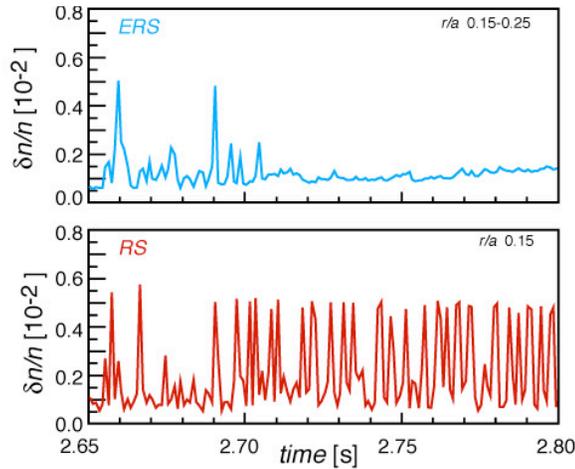
- *There are no simulations capable of predicting plasma behavior from first principles. Before predicting the future, we must check experimentally all hidden assumptions and fudge factors.*

**Only sensible research program for the study of plasma transport**

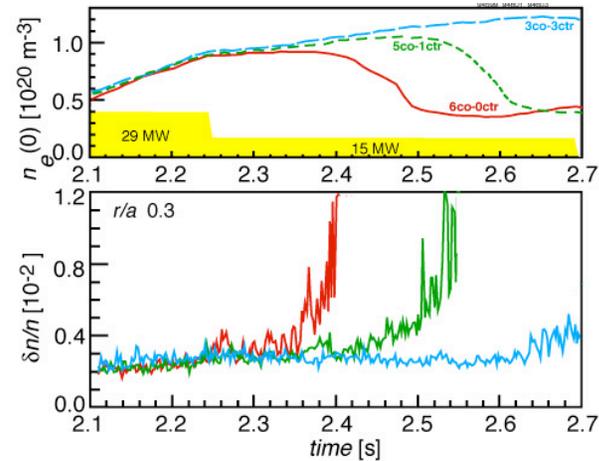


## Ion vs. electron transport

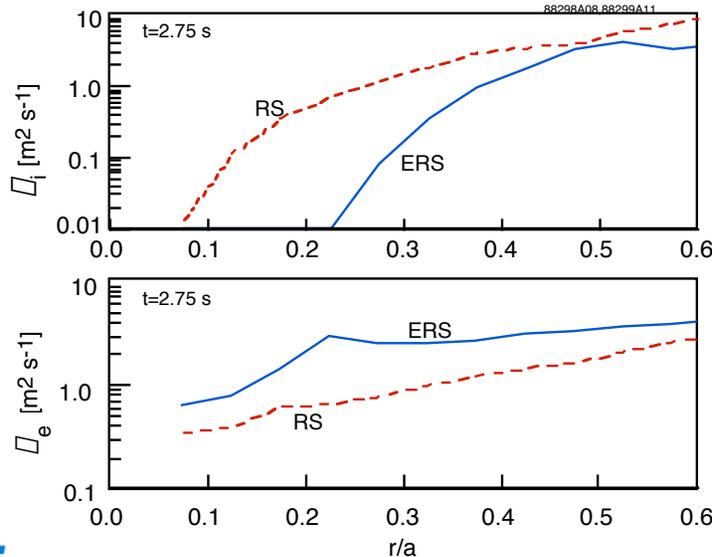
- Transport of ion energy seems to be controlled by turbulent fluctuations with  $k_{\perp} \rho_i < 1$  (ITG). Best evidence from TFTR experiments with reversed shear



forward-transition coincides with a decrease in fluctuations

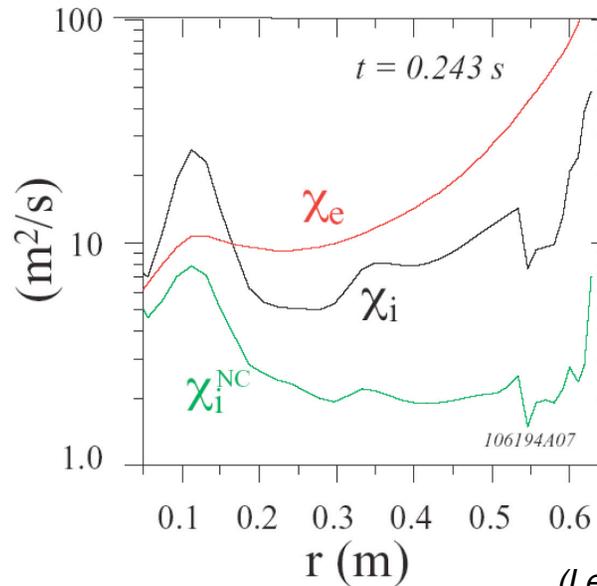
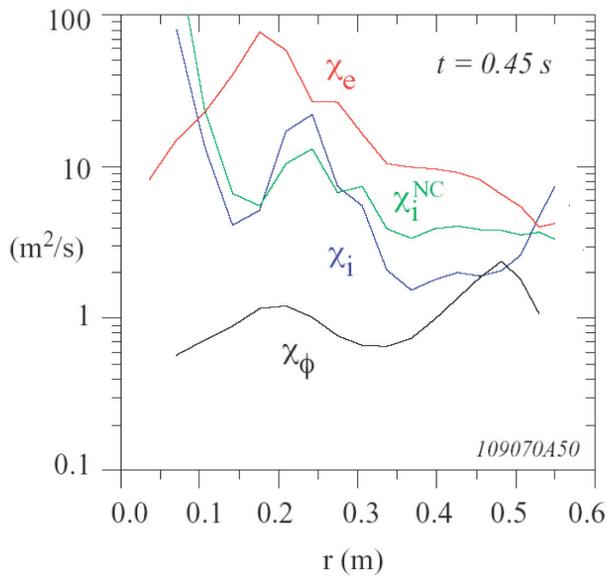


back-transition coincides with an increase in fluctuations



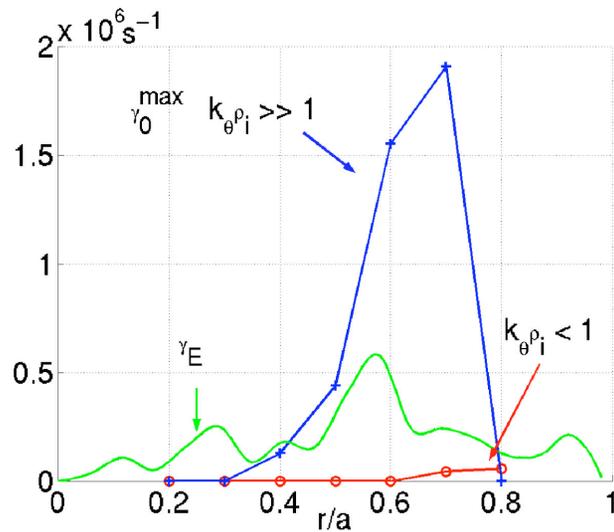
- TFTR observations cannot explain the observed transport of electron energy

$\chi_e \gg \chi_i$  in both NBI and HHFW heated NSTX plasmas



- This is not surprising since electron transport has been anomalous and worse than ion transport from the very beginning of tokamaks

(LeBlanc et al.)



- Recent numerical calculations (Bourdelle et al.) indicate that in NSTX, while instabilities with  $k_\theta \rho_i < 1$  are either absent or suppressed by an ExB velocity shear, those with  $k_\theta \rho_i >> 1$  are unstable over most of the plasma cross section.

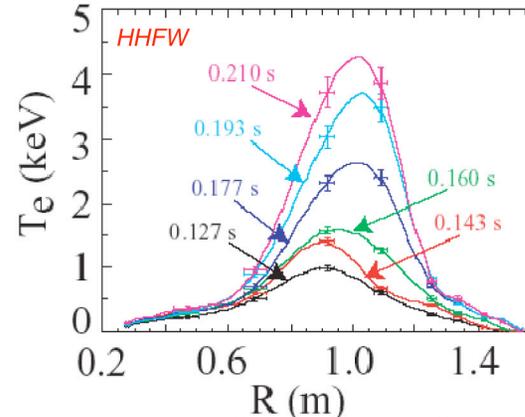
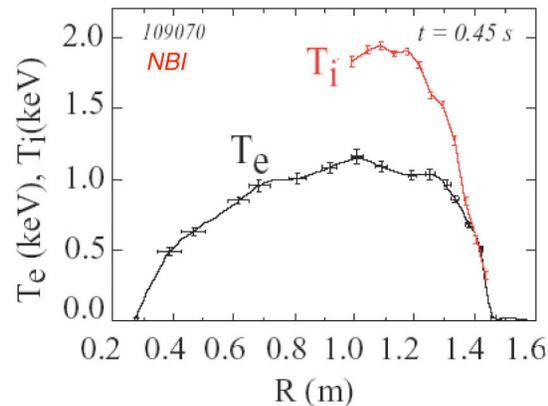
Is ETG the cause of anomalous electron transport in NSTX?

This is the question!

## Pros & Cons

**Pro:** In both ASDEX and ToreSupra,  $T_e$ -profiles seem to be limited by a critical gradient length. This suggests that ETG could play an important role in electron transport.

**Con:** No such phenomenon was observed in NSTX.



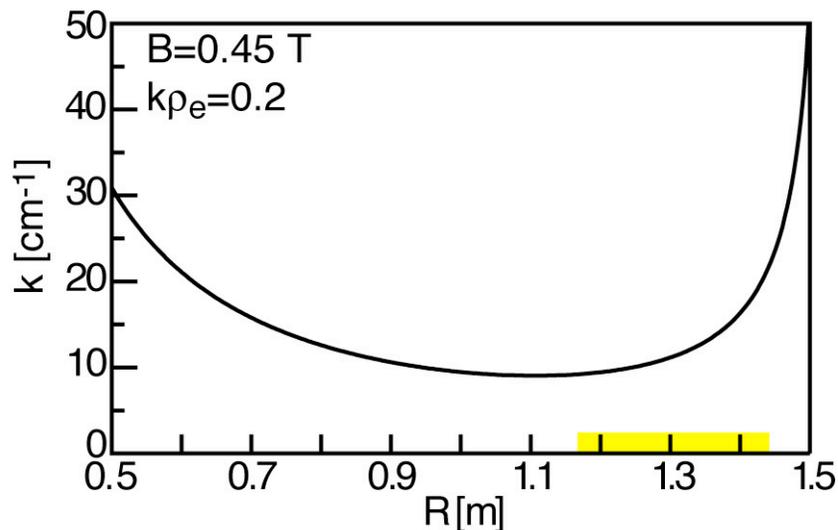
**Con:** Assuming complete isomorphism between ITG and ETG, we find that  $\chi_e / \chi_i \sim (m_e / m_i)^{1/2} \sim 1/60$  for the electrostatic component of the induced transport. The opposite is true for the magnetic component, but some numerical simulations indicate that the latter is negligible – at least for conventional tokamaks (Li & Kishimoto, and Labit & Ottaviani).

**Pro:** Numerical simulations (Jenko & Dorland) indicate the possible formation of streamers (i.e., structures with long radial correlation lengths) which could enhance  $\chi_e$ .

**Con:** In TFTR, electron transport of ERS-plasmas deteriorated in spite of the beneficial effects of reversed shear on the stability of ETG.

## ETG fluctuations in NSTX

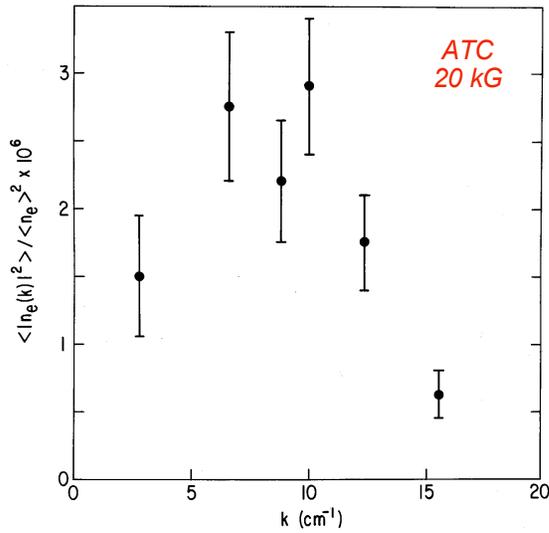
- *The primary goal of this proposal is a direct experimental verification of the importance of ETG turbulence for the transport of electron energy in NSTX.*



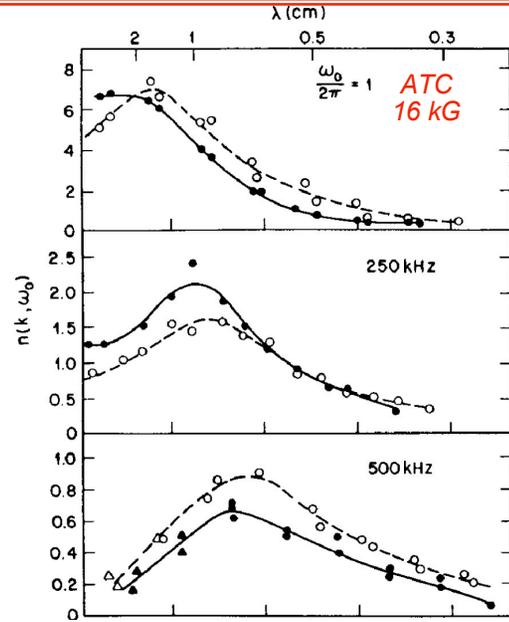
- *The wave number range of ETG fluctuations is inferred from the observed scale of ITG turbulence.*
- *Coherent scattering of electromagnetic waves is the only feasible method for detection of high-k fluctuations.*

- *Coherent scattering of electromagnetic waves was used for the first detection of turbulent fluctuations in tokamaks.*
- *Existing data are inconclusive about the existence of ETG turbulence in tokamaks.*

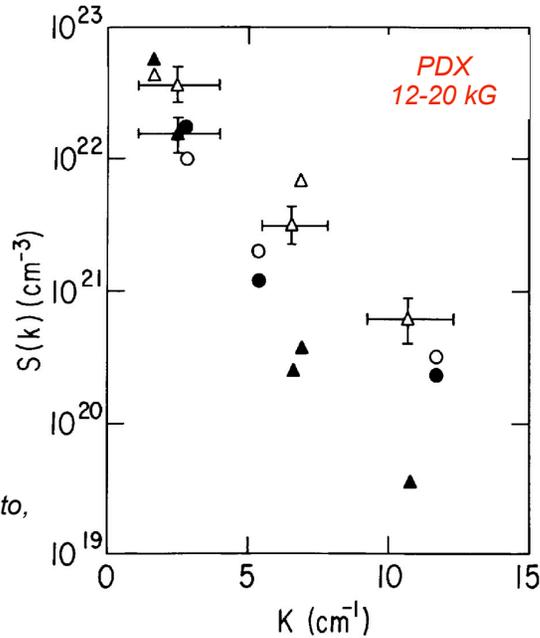
# Some observations of high-k fluctuations in low-field tokamaks



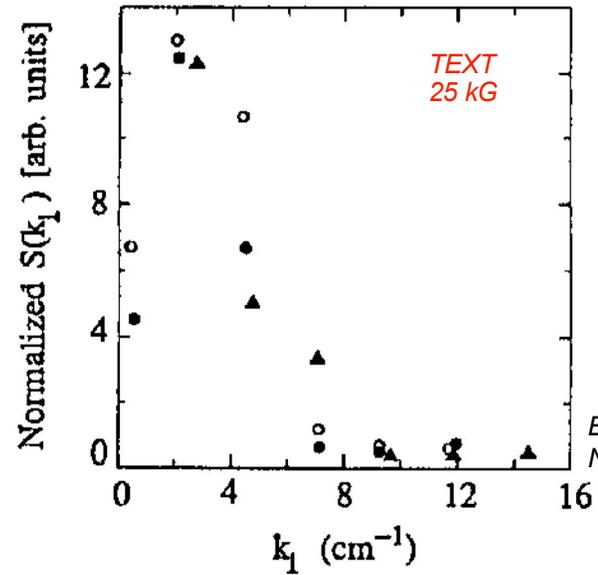
Mazzucato  
PRL 26 (1075)



Surko, Slusher  
PRL 27 (1976)



Crowley, Mazzucato,  
NF 25 (1085)



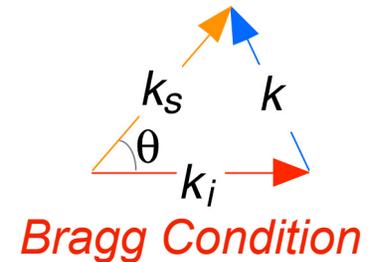
Brower, et al.  
NF 27 (1987)

## Detection of ETG fluctuations with coherent scattering of em waves

- Coherent scattering of e.m. waves is characterized by the cross section  $\sigma = (e^2/mc^2)^2 S(\mathbf{k}, \omega)$ , where  $S(\mathbf{k}, \omega)$  is the spectral density of fluctuations

$$\langle \sigma \rangle = \frac{1}{(2\pi)^4} \int S(\mathbf{k}, \omega) d\mathbf{k} d\omega$$

- Frequencies and wave vectors must satisfy energy  $[\omega = \omega_s - \omega_i]$  and momentum  $[\mathbf{k} = \mathbf{k}_s - \mathbf{k}_i]$  conservation.

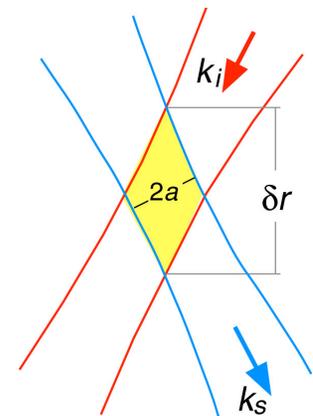


- The wave number resolution is determined by the size of the probing beam. For a Gaussian beam with an amplitude  $A = \exp(-r^2/a^2)$ , the resolution is  $\Delta k \sim 2/a$ .
- For isotropic fluctuations, the spatial resolution is determined by the common region of radiation patterns of launching and receiving antennae. Example:

$$\Delta r = 4k_i a / k = 48 \text{ cm}$$

for  $k = 10 \text{ cm}^{-1}$ ,  $k_i = 60 \text{ cm}^{-1}$  and  $a = 2 \text{ cm}$ . This is not adequate for our goal!

- Spatial resolution can be substantially better in the case of anisotropic fluctuations.



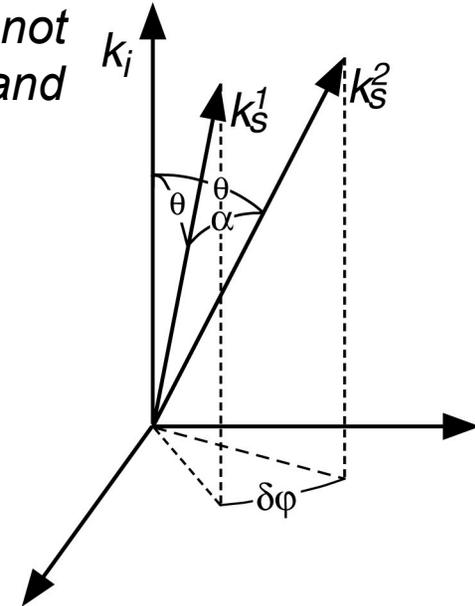
## Anisotropic turbulence

- Since  $k_{\perp} \gg k_{\parallel} \sim 1/qR$ , the turbulence of interest is not isotropic. Consequently, since the direction of  $B$  is not constant, not every point of the common region between launching and receiving beams satisfies the Bragg condition

$$\frac{k_S^1 \cdot k_S^2}{k_i^2} \equiv \cos(\alpha)$$

$$= \cos^2 \theta + \sin^2 \theta \cos(\alpha) = 1 - 2 \sin^2(\alpha/2) \sin^2 \theta$$

$$\alpha^2 \approx 4 \sin^2(\alpha/2) \sin^2 \theta \approx 4 \sin^2(\alpha/2) k/k_i$$



*Beam profile  
in real space*

$$\exp(-r_{\perp}^2 / a^2)$$

*Beam profile  
in Fourier space*

$$\exp(-\alpha_{\perp}^2 a^2 / 4)$$

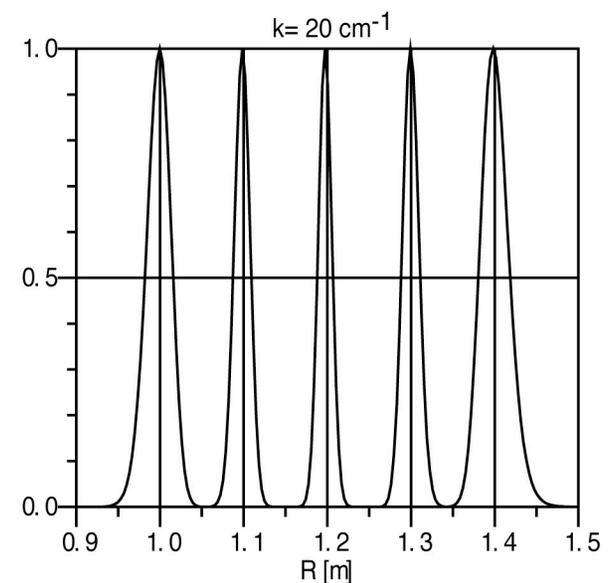
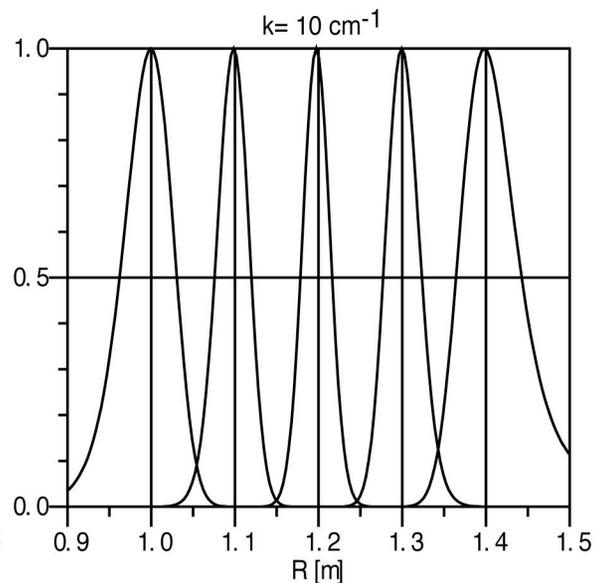
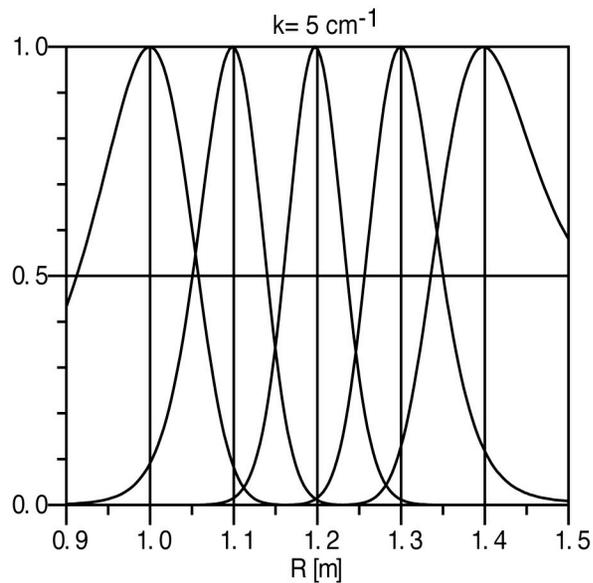
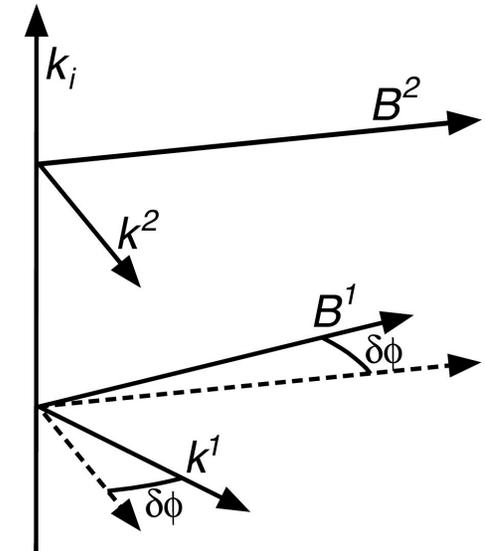
*Instrumental  
function*

$$\exp[-(\sin(\alpha/2)ka)^2]$$

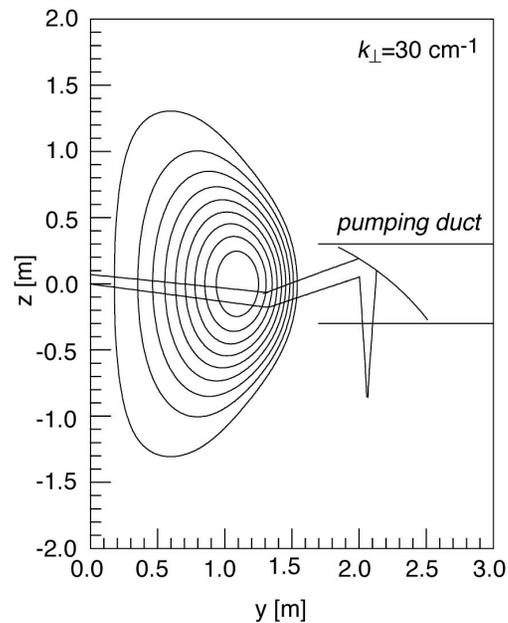
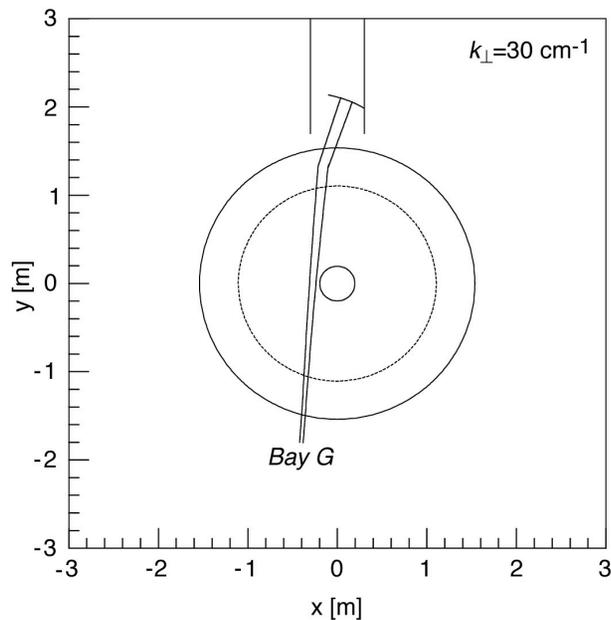
- Spatial resolution improves with fluctuation wave number ( $k$ ), beam radius ( $a$ ) and change in direction of magnetic lines ( $d\alpha(r)/dr$ ).

## Perpendicular propagation

- For quasi-perpendicular wave propagation (i.e., for detection of poloidal fluctuations),  $d\phi(r)/dr$  increases with **magnetic shear** – large in NSTX.
- Examples of instrumental functions for  $B=0.45$  T,  $I=800$  kA and  $a=3$  cm.

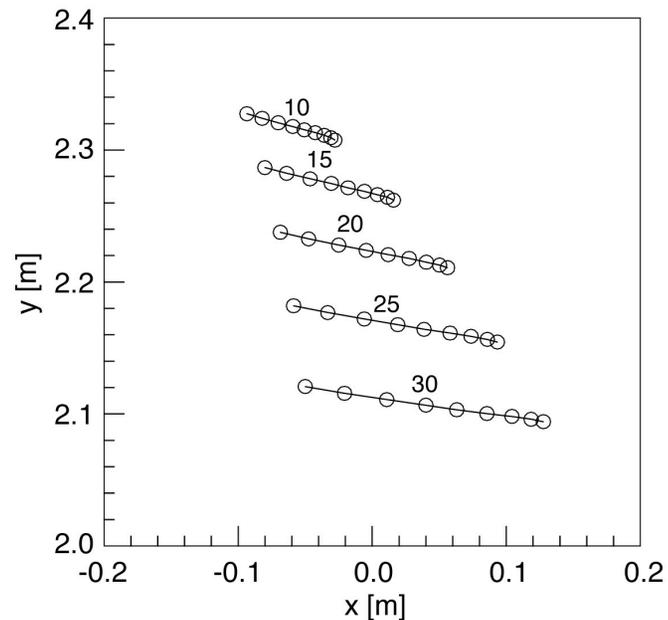


## Scattering geometry for detection of poloidal fluctuations



- Equatorial (left) and poloidal (right) trajectories of rays with  $1/e^2$  intensity; probing beam has a frequency of 280 GHz and a minimum waist of 2 cm.

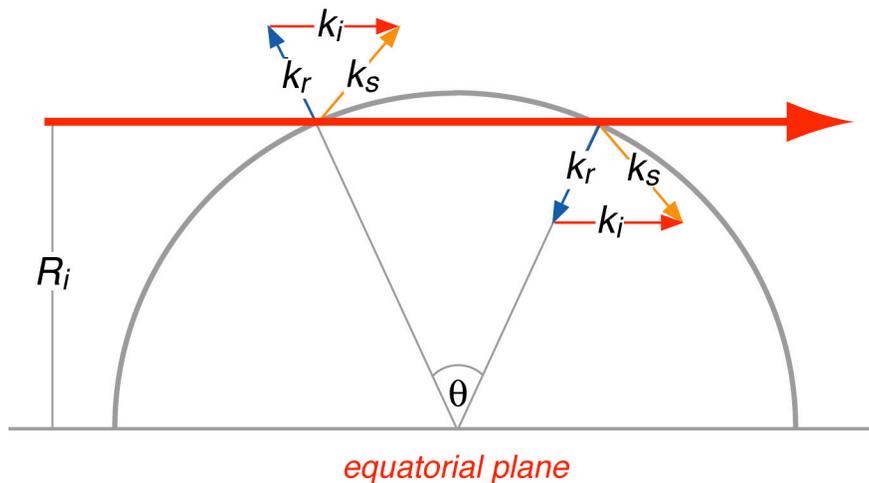
- Position of scattered beams on the focal plane as the scattering location is moved from  $R=1.20 \text{ m}$  to  $1.46 \text{ m}$  in steps of  $3.25 \text{ cm}$  (from left to right in figure); labels are values of  $k_{\perp}$ , circles represent the waist of received beams.



## Oblique propagation

- For good radial resolutions, another option is using a probing beam propagating obliquely to the magnetic field. In this case, the instrumental resolution improves because of the **toroidal curvature** of magnetic field lines.

### Scattering geometry for radial fluctuations



- Bragg condition:** at points of observation, the bisector of  $\mathbf{k}_i$  and  $\mathbf{k}_s$  is tangent to the circle of radius  $R_i/\cos(\theta/2)$ .

- Spread in radial locations:  $\Delta R = R_i [(1 - (k_r^{\max} / 2k_i)^2)^{1/2} - 1]$

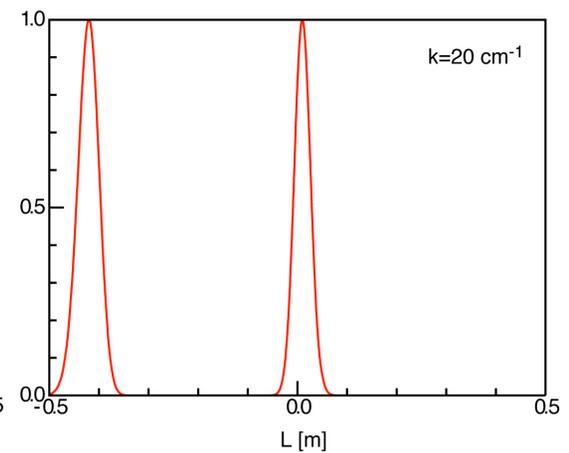
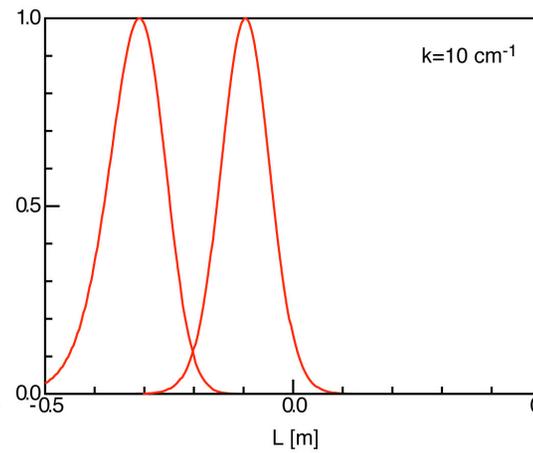
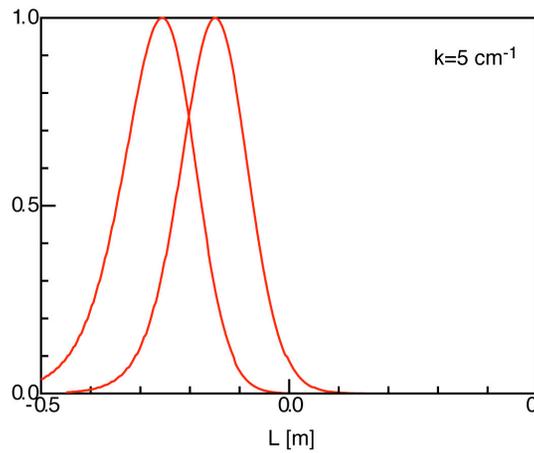
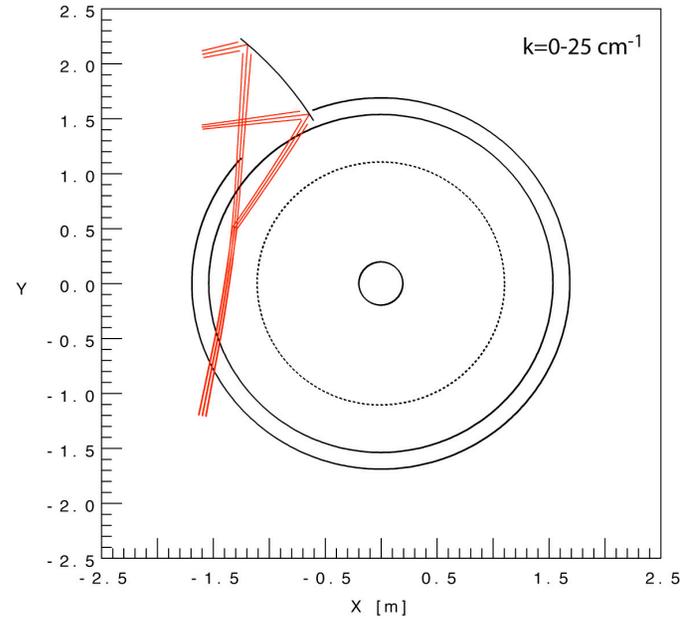
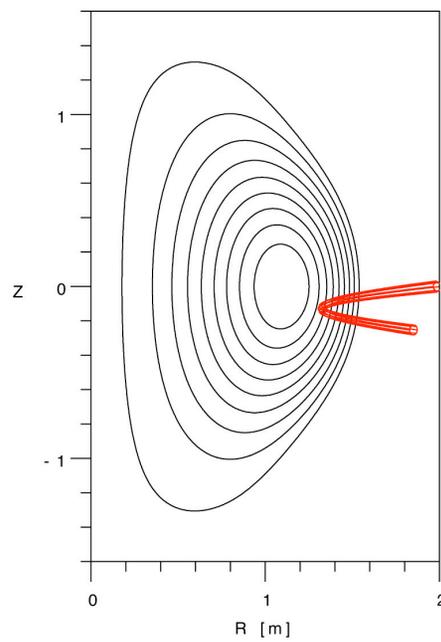
Example: for  $R_i = 1.3$  m,  $k_i = 60$  cm<sup>-1</sup> and  $k_r^{\max} = 30$  cm<sup>-1</sup>

$$\Delta R_i = 4.25 \text{ cm}$$

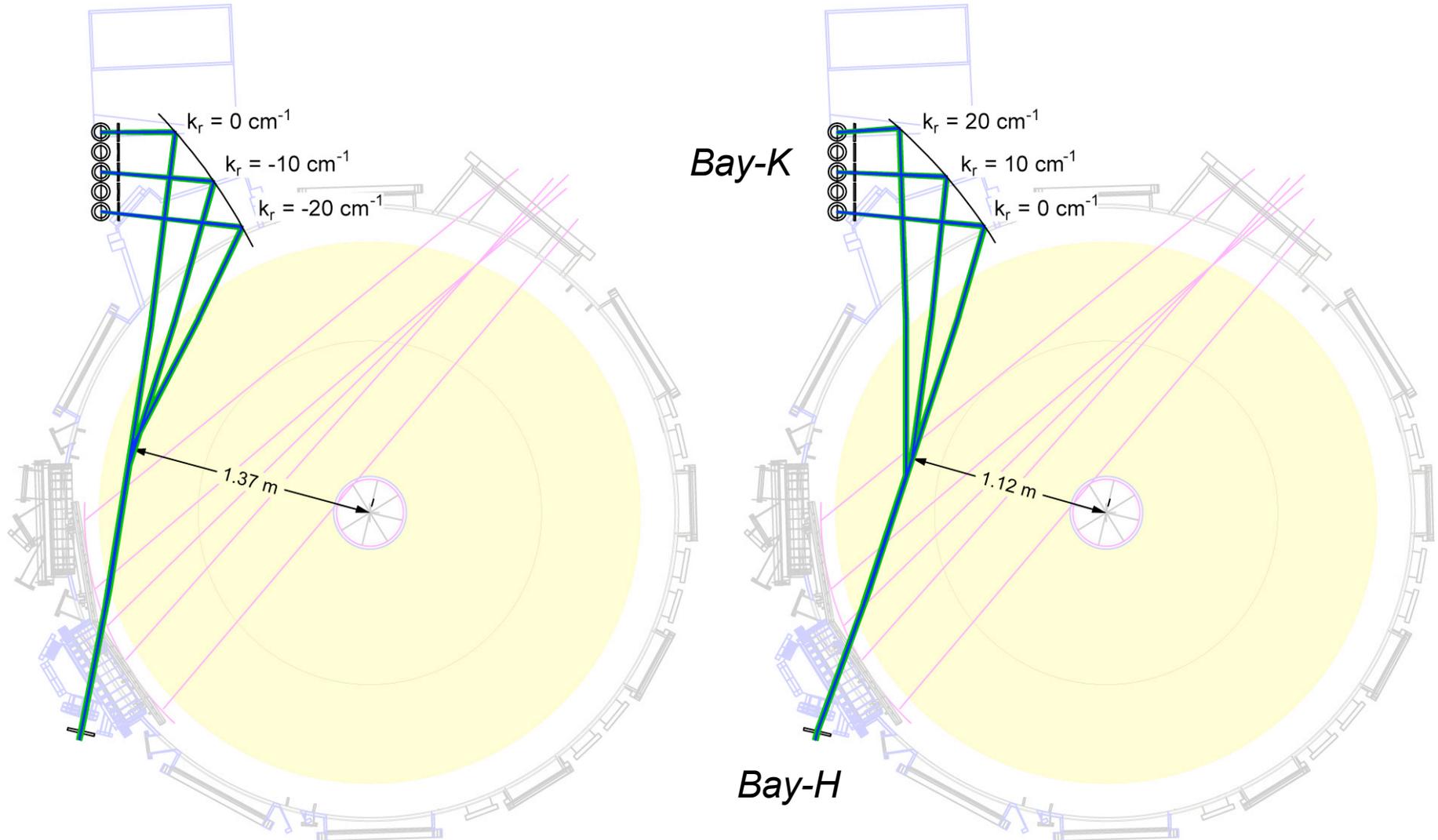
to which we must add the radius of probing beam (~2 cm) to get the radial resolution.

# Scattering geometry for detection of radial fluctuations

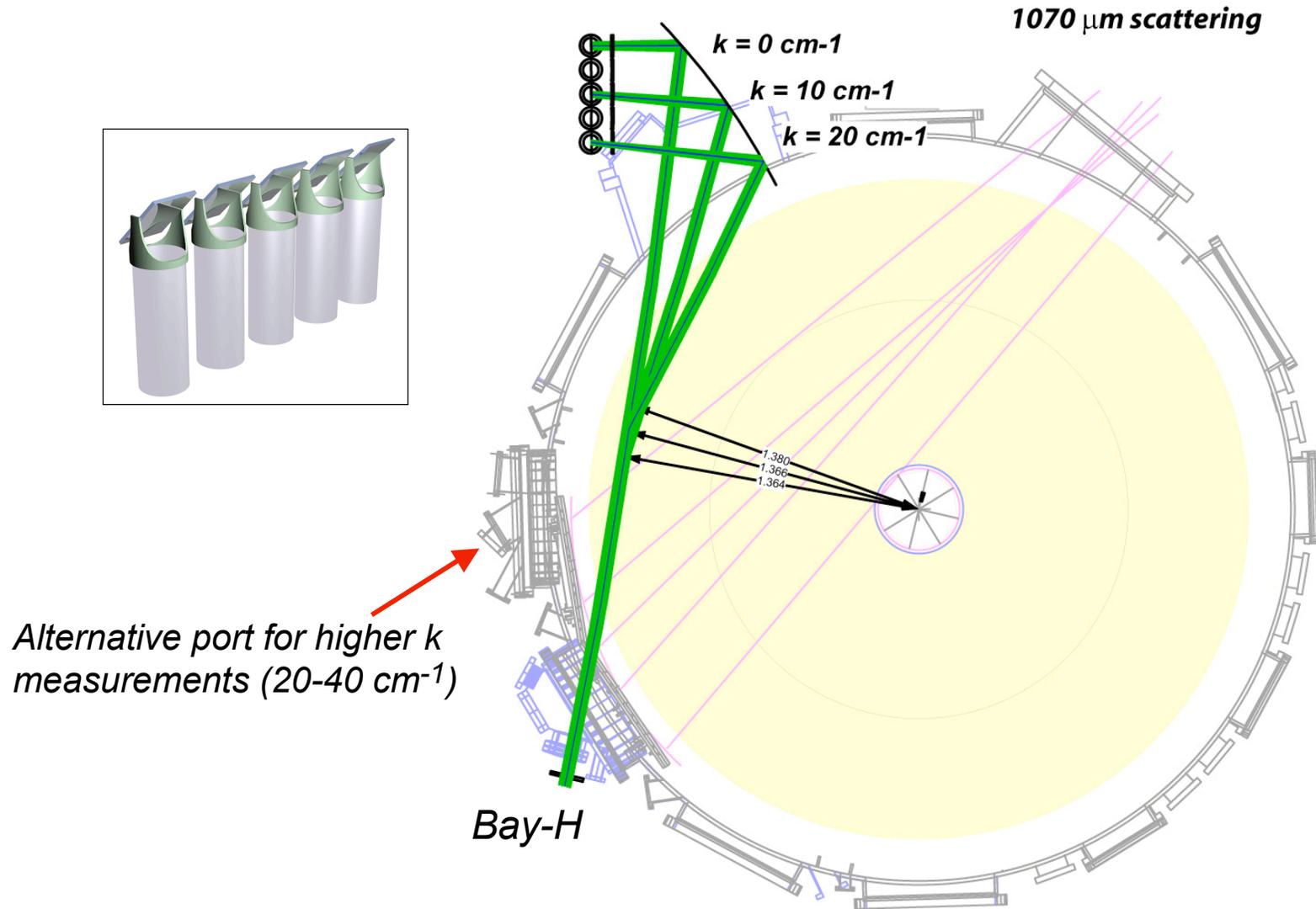
$f=280$  GHz  
O-mode  
beam waist 3 cm  
 $n_0=4 \times 10^{19}$  m $^{-3}$



# NSTX Implementation

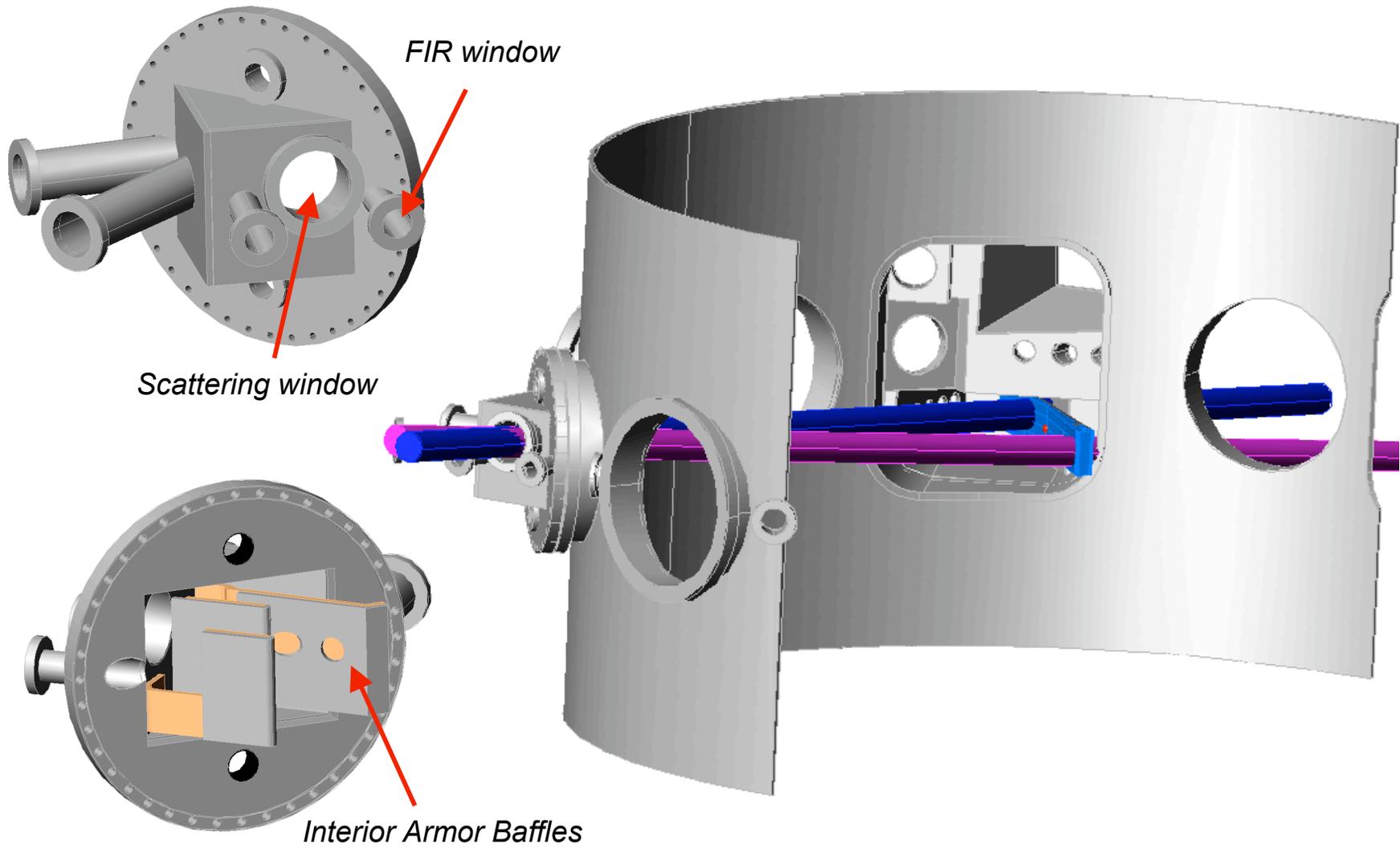


# NSTX Implementation



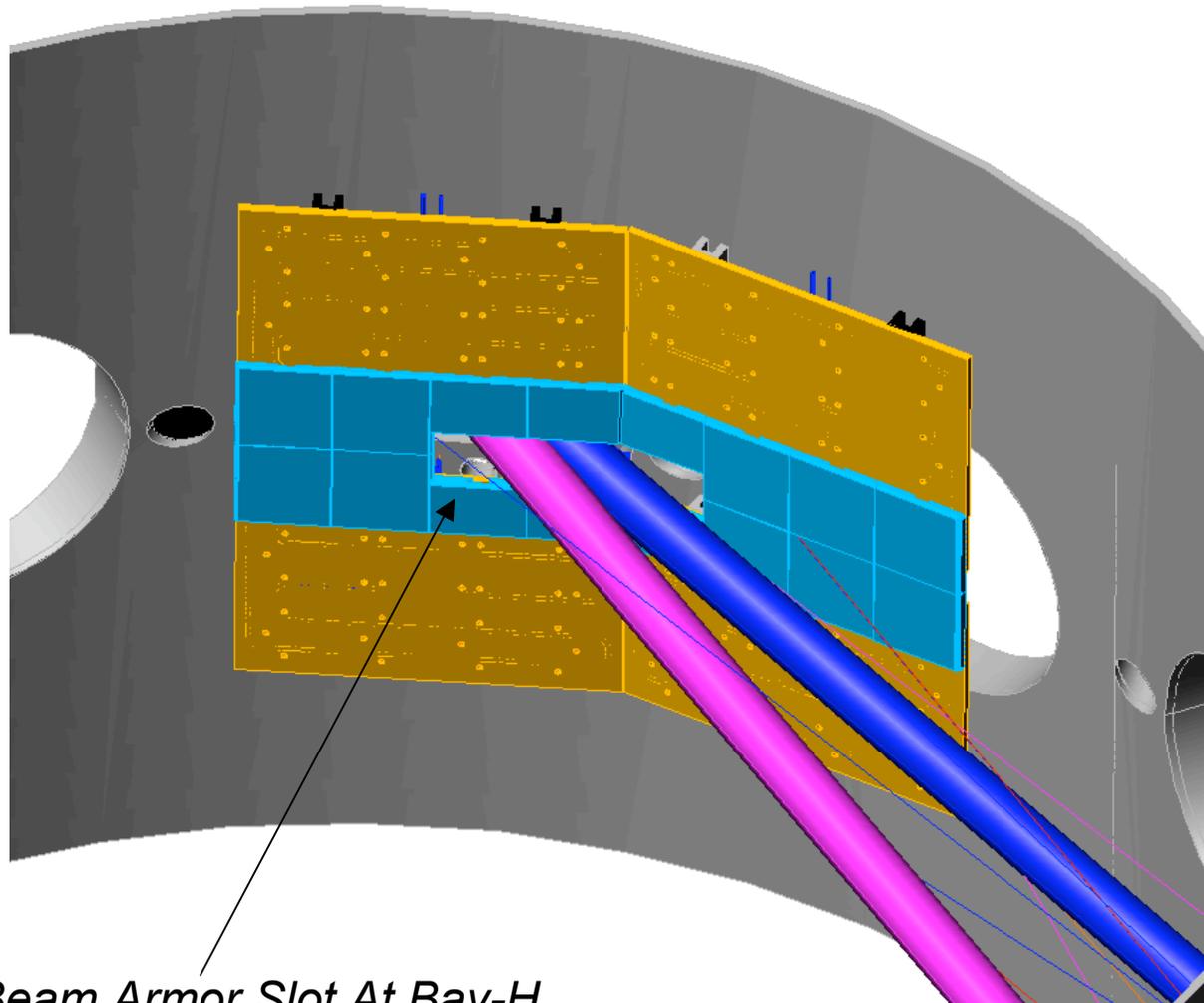
# Launching from Bay-H

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## Launching from Bay-H

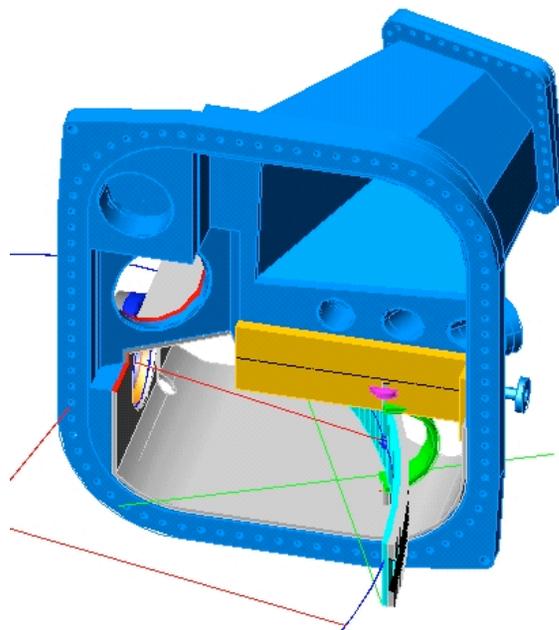
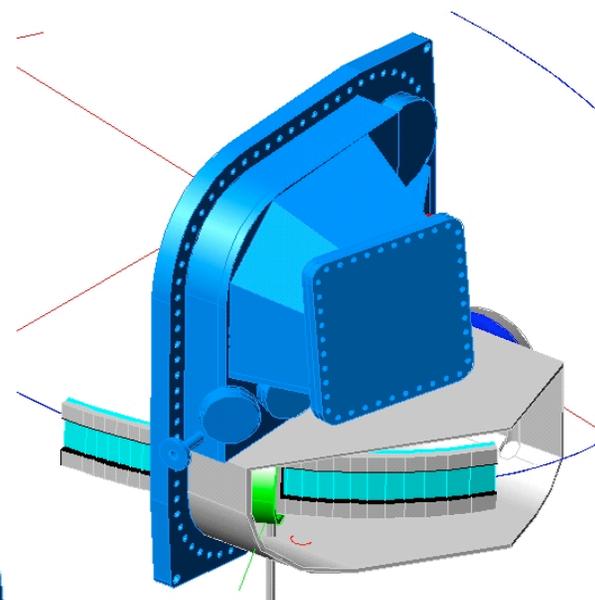
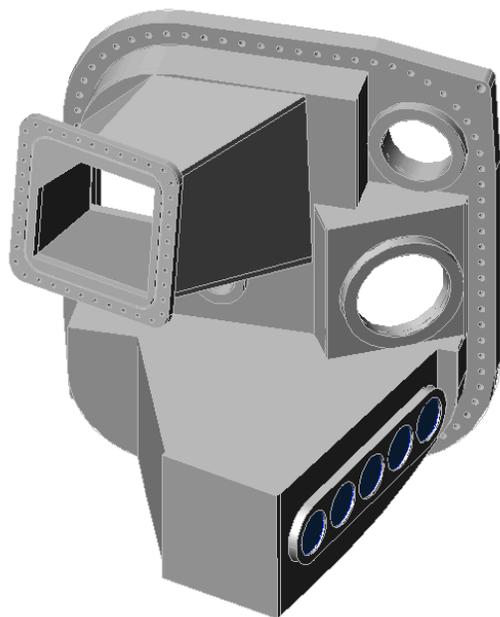
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*Neutral Beam Armor Slot At Bay-H*

## Receiving at Bay-K

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## Minimum detectable fluctuation amplitude

$$\frac{P_{scat}}{P_i} = \frac{e^2}{mc^2} S(k, \omega) L$$

$$\langle \delta n^2 \rangle = \frac{\langle S \rangle}{(2\pi)^3} (\omega k^2) \frac{2\pi}{L}, \quad \omega = \frac{2\pi}{k_j a}$$

$$\frac{P_{scat}}{P_i} = \frac{1}{4} \frac{\omega^4}{\omega_j^4} k_j^2 L^2 \frac{\langle \delta n^2 \rangle}{n^2} \frac{2\pi}{k_j a}$$

$$n = 2 \times 10^{13} \text{ cm}^{-3}, \quad \langle \delta n^2 \rangle / n^2 = 10^{-8}, \quad k_j = 20 \text{ cm}^{-1},$$

$$P_i = 0.1 \text{ W}, \quad \omega_j / 2\pi = 280 \times 10^9 \text{ Hz},$$

$$L = 5 \text{ cm}, \quad a = 3 \text{ cm}$$

$$P_{scat} = 1 \times 10^{-11} \text{ W}$$

With total transmission losses of 50%, the signal power is  $5 \times 10^{-12} \text{ W}$  – *larger than the estimated NEP of  $2 \times 10^{-13} \text{ W}$ .*

- *Conclusion: the proposed method will be capable of detecting fluctuations much smaller than those expected from the ETG mode ( $\delta n/n \sim 1/k_j L_{te} \sim 10^{-3}$ ).*

## Conclusions

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- *Recent experiments on Tore Supra and ASDEX Upgrade seem to suggest that the ETG mode plays an important role in transport of electron thermal energy – the main loss of energy in NSTX.*
- *The primary goal of this proposal is a direct experimental verification of the importance of ETG driven turbulence for the transport of electron energy in NSTX plasmas.*
- *Turbulent fluctuations with a sub- $\rho_i$  scale – such as those driven by the ETG mode – will be detected with coherent scattering of 1-mm electromagnetic waves.*
- *A unique feature of the proposed method is the ability to measure with high sensitivity and spatial resolution both the poloidal and the radial spectrum of turbulence.*
- *The proposed system will be capable of detecting fluctuations with the scale of  $\rho_i$  as well. This will make possible a direct comparison of the observed ETG turbulence – if any – with those turbulent phenomena that are known to dominate the transport of ion energy in tokamaks.*
- *Initial operation will be limited to the measurement of the radial spectrum of fluctuations.*