

# Observation of a Critical Gradient Threshold for Electron Temperature Fluctuations in the DIII-D Tokamak

Presented by

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In collaboration with

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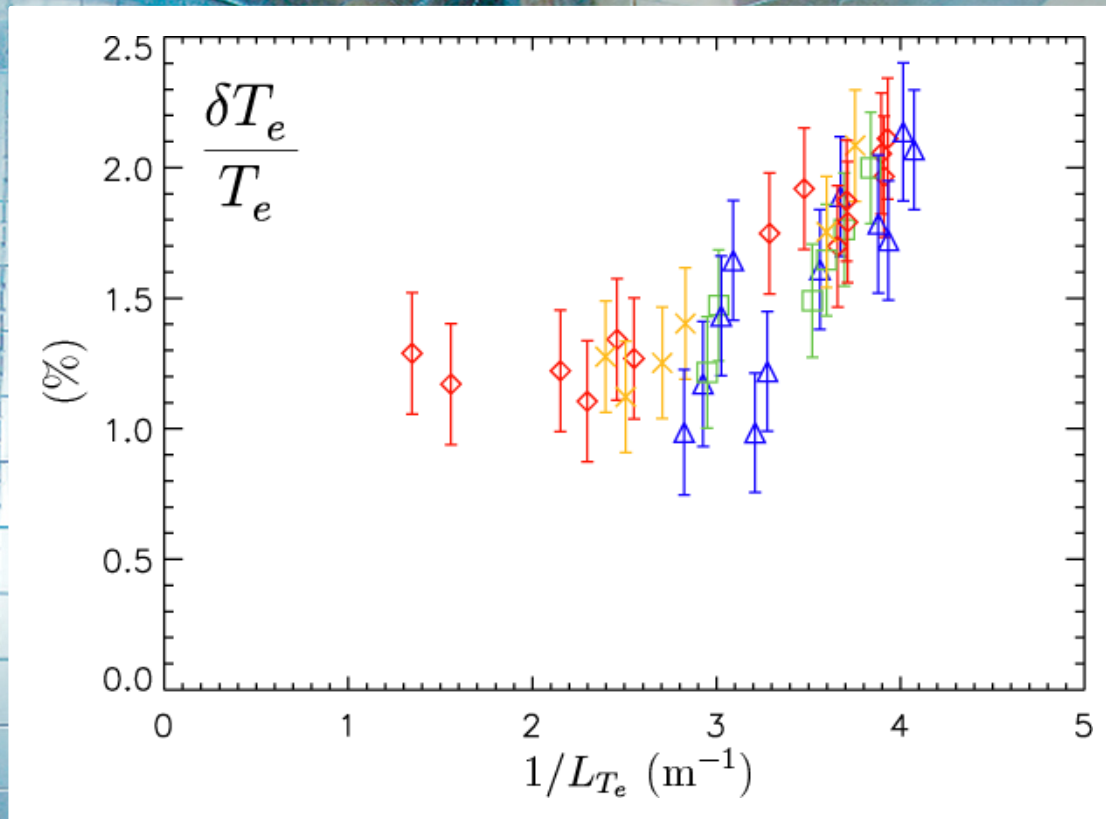
<sup>4</sup> Massachusetts Institute of Technology

Presented at

**NSTX Monday Physics Meeting**

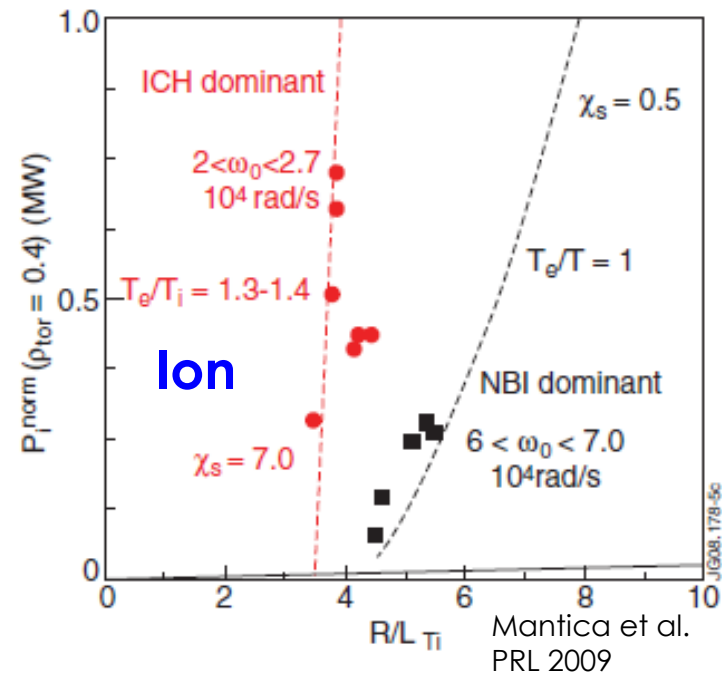
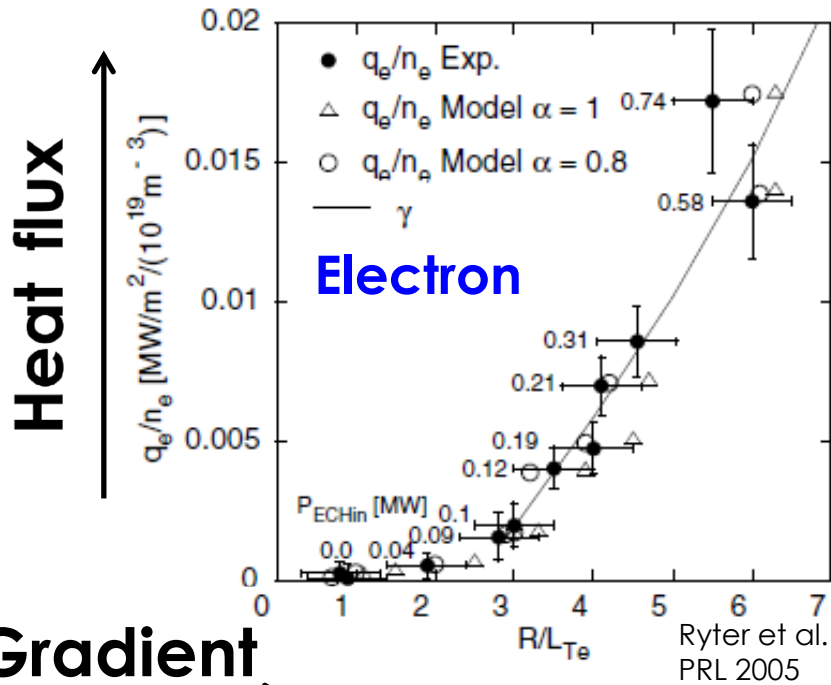
Princeton, NJ, USA

April 16, 2012



# Stiff heat transport has been previously observed for both ions and electrons

- Stiff heat transport results in little change to equilibrium with more heating, strong electron heating from alphas in burning plasmas
- Previous studies lacked fluctuation measurements—What is causing the increased transport and stiffness?



$$\tilde{Q}_e = \frac{3n_e T_e}{2B} \sum_{k_\theta} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

# Summary of results

$$Q_e, \frac{\delta T_e}{T_e}$$

- **Critical gradient observed in both electron thermal transport and electron temperature fluctuations**

- First direct, systematic observation of a critical gradient in a locally measured fluctuating turbulent quantity in a tokamak
- Previous experimental work (e.g. Ryter PRL 2005, Mantica PRL 2009) restricted to transport analysis; many examples of monotonic relationships or transient observations

$$\gamma$$

- **Gyrofluid growth rates consistent with critical threshold**

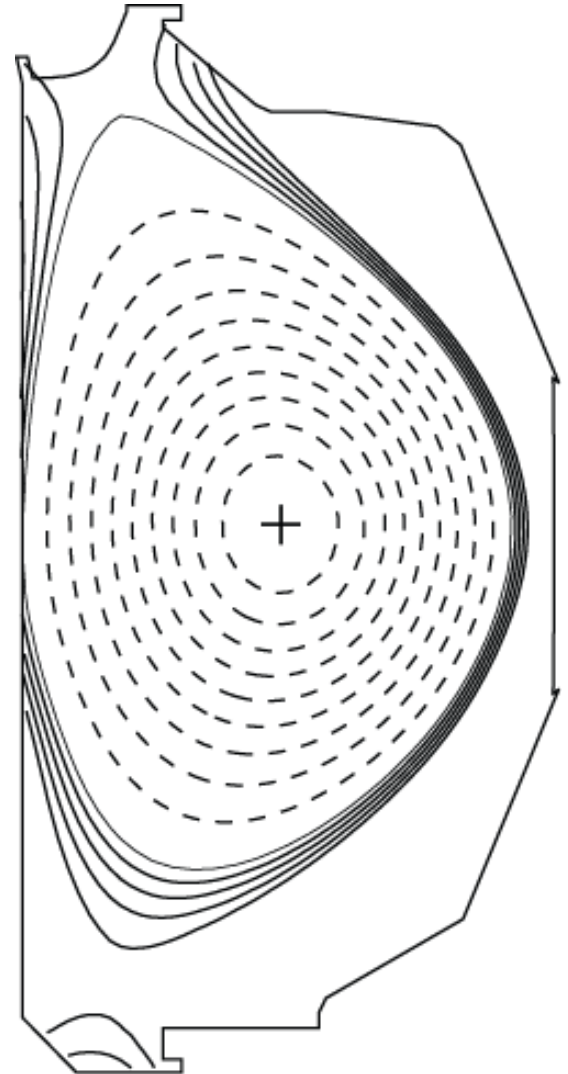
- **nT Crossphase also changes**

$$\alpha_{n_e, T_e}$$

- Crossphase in Bal- and Co-NBI cases consistent with previous work
- Crossphase in ECH only and Ctr-NBI differ

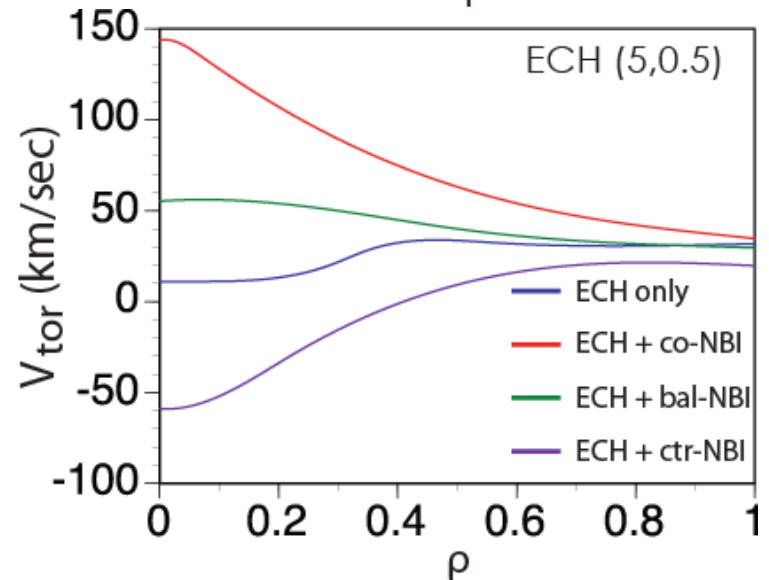
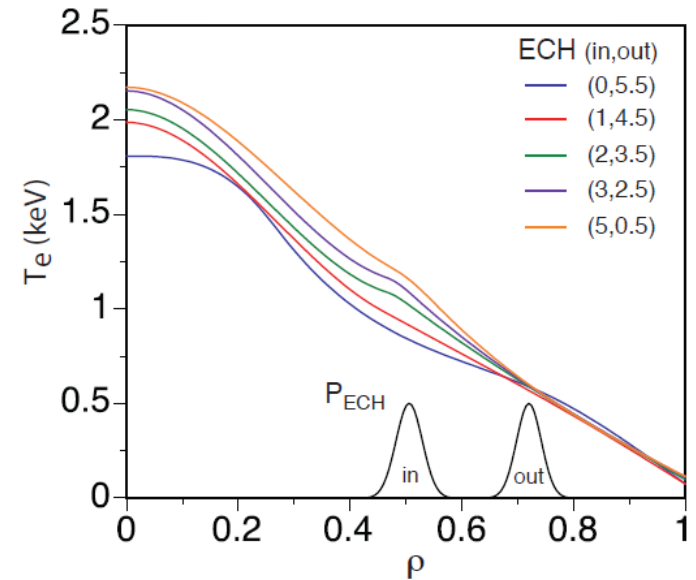
# L-mode target discharge

- **Upper single null, diverted**
  - $I_p = 0.8 \text{ MA}$
  - $B_T = -2 \text{ T}$
  - $\langle n_e \rangle \sim 2 \times 10^{13} \text{ cm}^{-3}$
- **ECH only and NBI+ECH shots**
  - Rotation scan at fixed power
  - $P_{\text{ECH}} \sim 3 \text{ MW}$
  - $P_{\text{NBI}} \sim 2 \text{ MW}$
- **Turbulence measurements:**
  - $T_e$  fluctuations, 2 radii per shot (CECE)
  - nT crossphase (CECE + reflectometry)
  - Density fluctuations (BES, DBS)

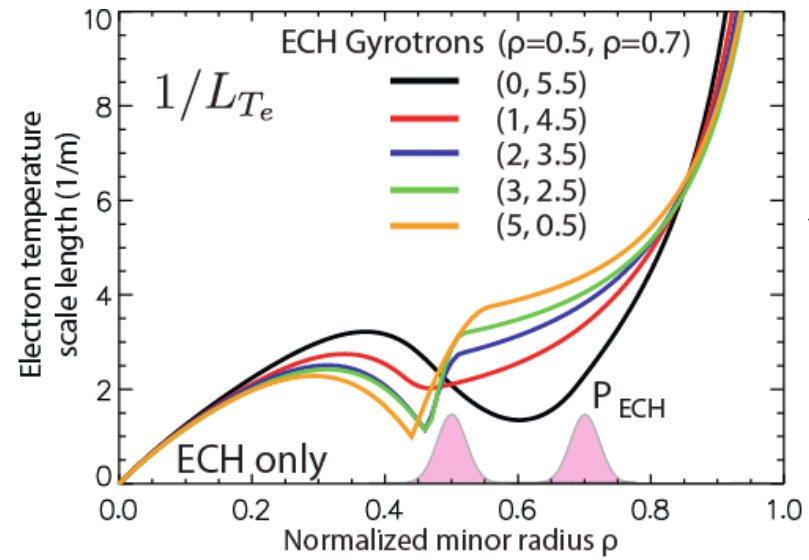
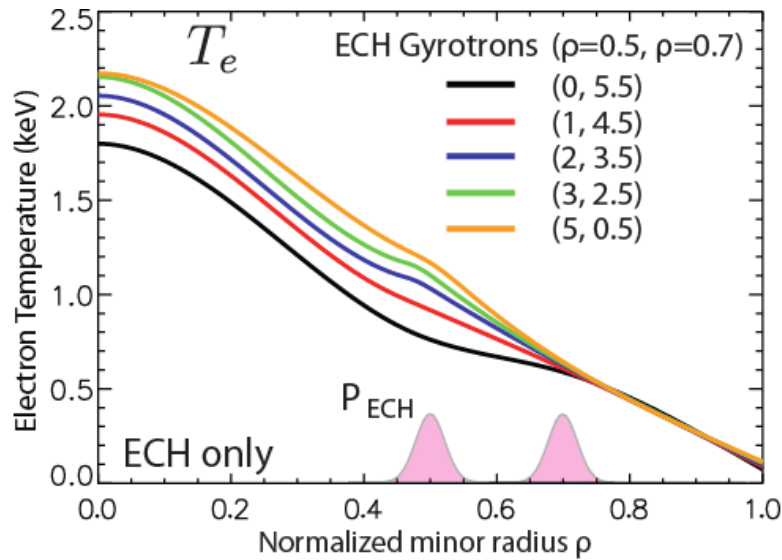


# Local electron temperature gradient and rotation systematically varied in repeated L-mode discharges

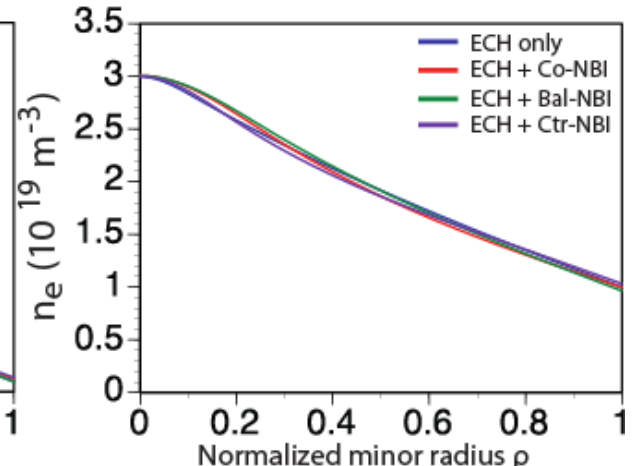
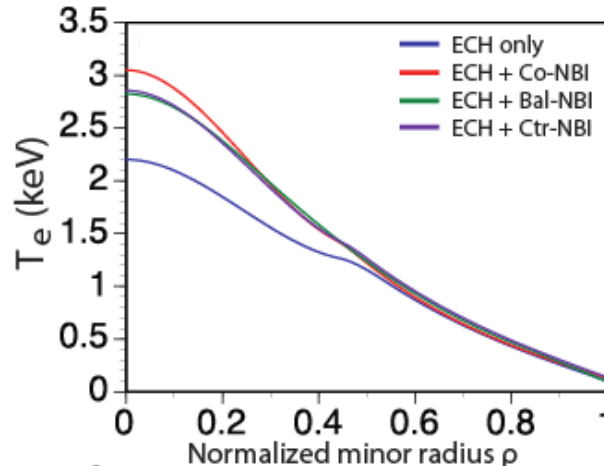
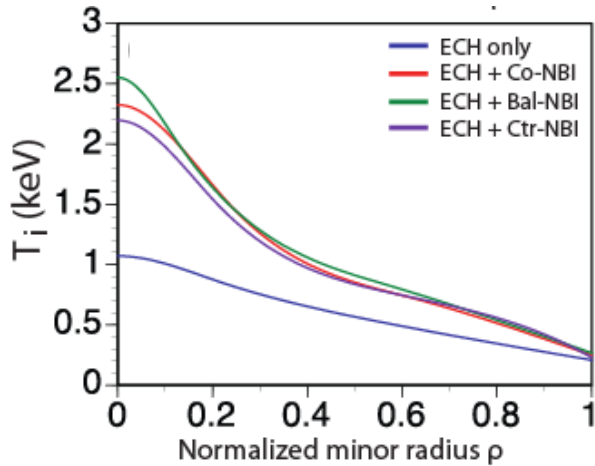
- ECH deposition locations modified shot-to-shot to locally scan  $\nabla T_e$  at  $\rho=0.6$
- Rotation varied by changing NBI mix at fixed power, ExB flow shear small in all cases
- Fluctuation measurements acquired during  $\sim 500$ - $800$  ms steady-state periods
- Other profiles:
  - For ECH only  $T_i$  lower everywhere,  $T_e$  lower in core ( $\rho < 0.5$ )
  - Density well-matched
  - $Z_{\text{eff}}$  higher with Ctr-NBI



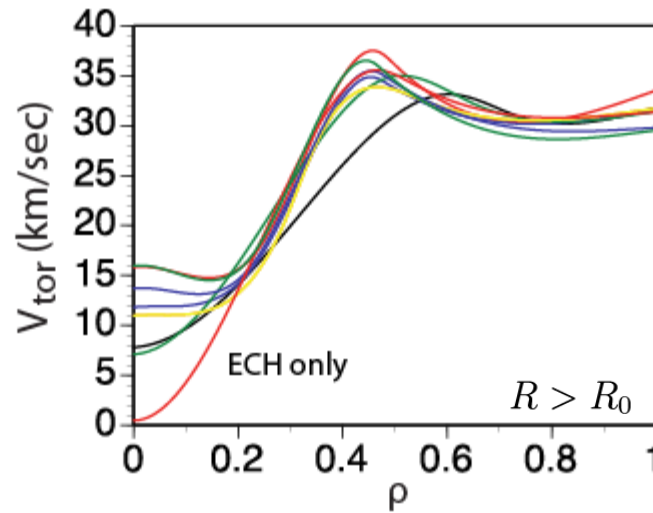
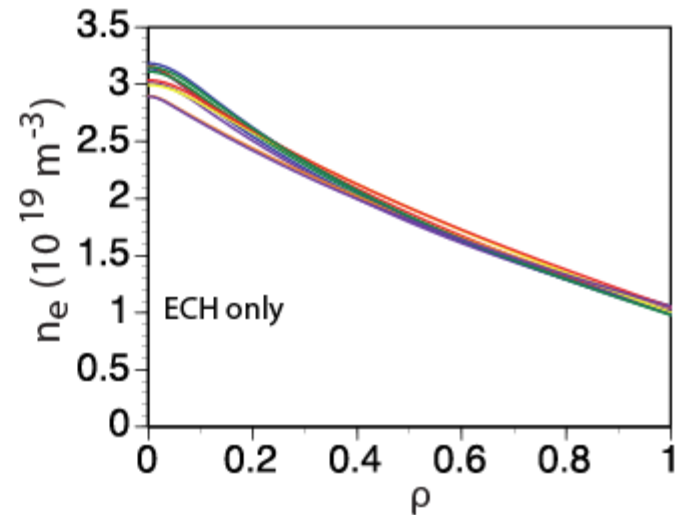
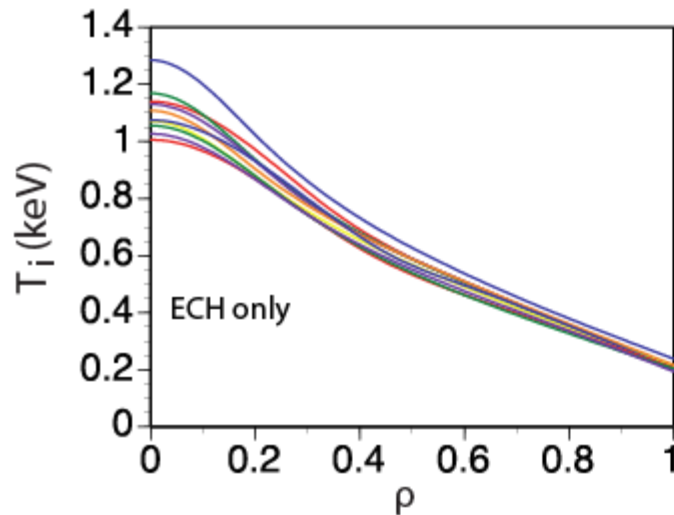
# $1/L_{Te}$ systematically scanned, most other profiles well-matched



$R_0 \approx 1.7$  m  
 $a \approx 0.6$  m

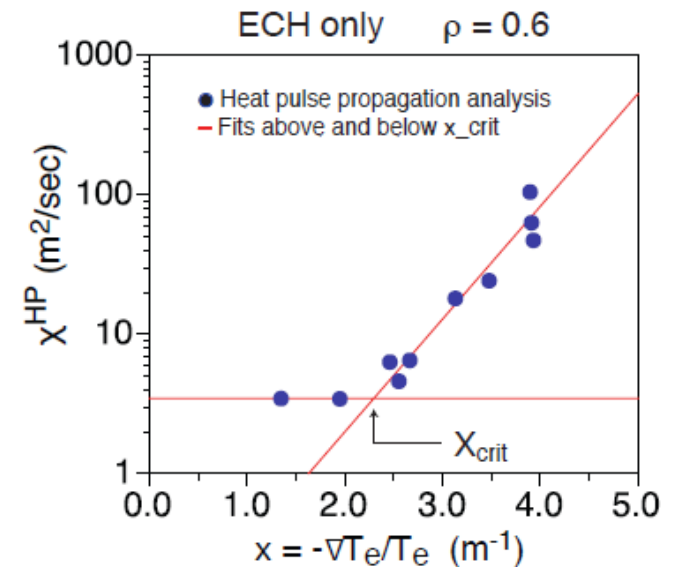
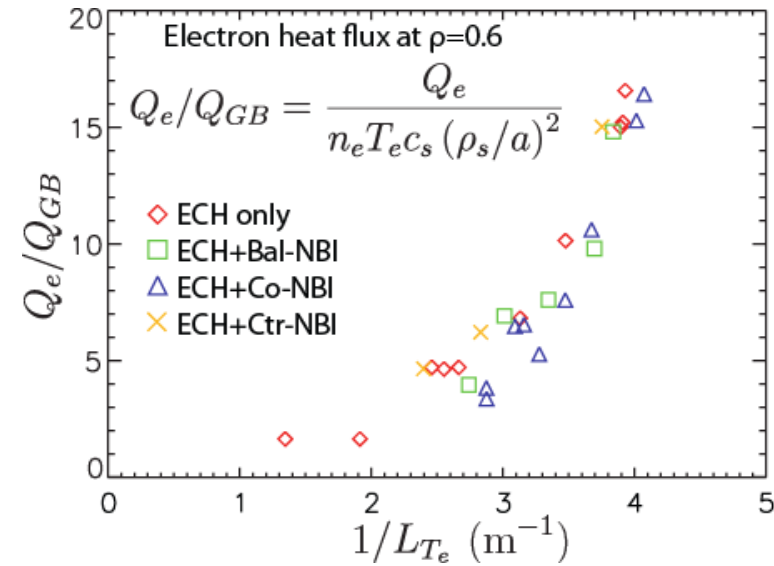


# Other profiles showed no systematic variations with ECH deposition scans for each NBI case



# Transport analysis shows increase in stiffness with $1/L_{Te}$ , limited rotation dependence

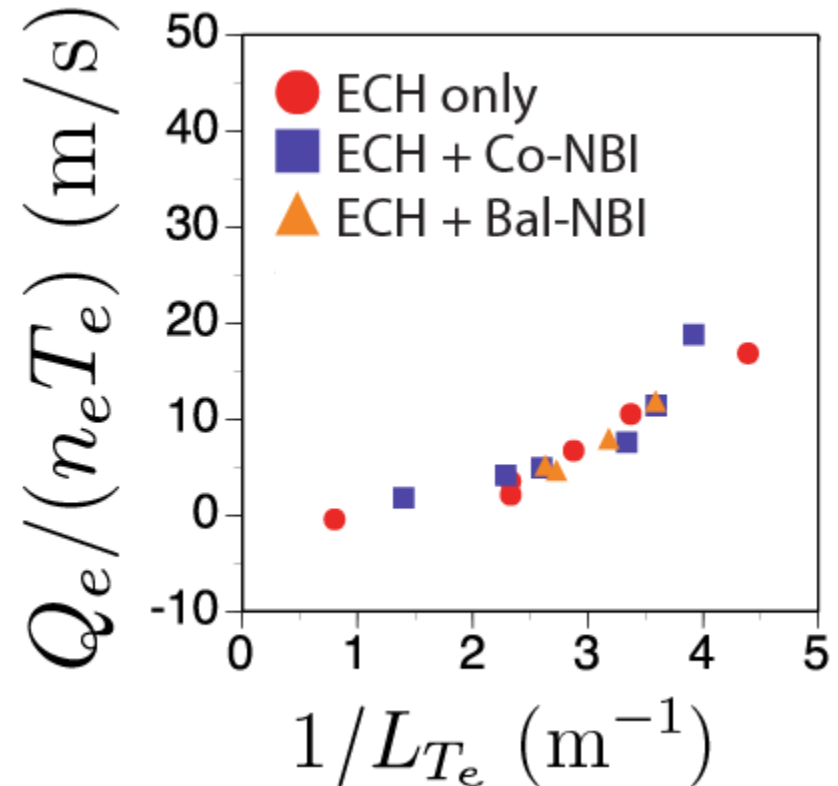
- Electron heat flux similar to results from Ryter et al. PRL 2005, but shows little rotation dependence
- Transient heat pulse analysis shows critical gradient behavior in  $1/L_{Te}$  dependence of electron thermal transport
- Further transport and stiffness analysis reported in DeBoo et al., "Electron Profiles Stiffness and Critical Gradient Studies," submitted to Phys. Plasmas





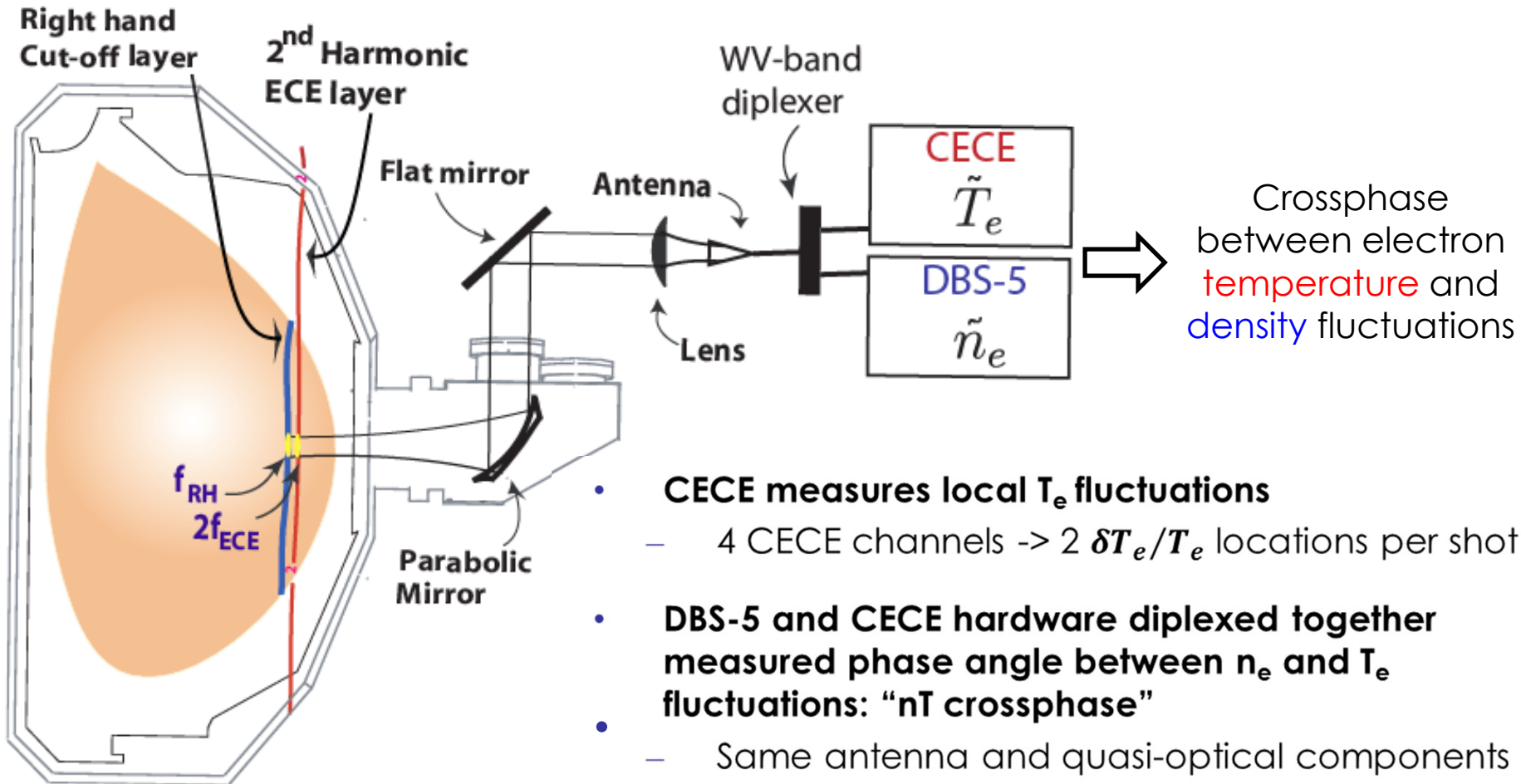
# ECH variation experiment also performed at $\rho=0.4$

- Electron heat transport less stiff at  $\rho=0.4$
- No critical gradient observed
- No CECE measurements for  $\rho=0.4$  experiment



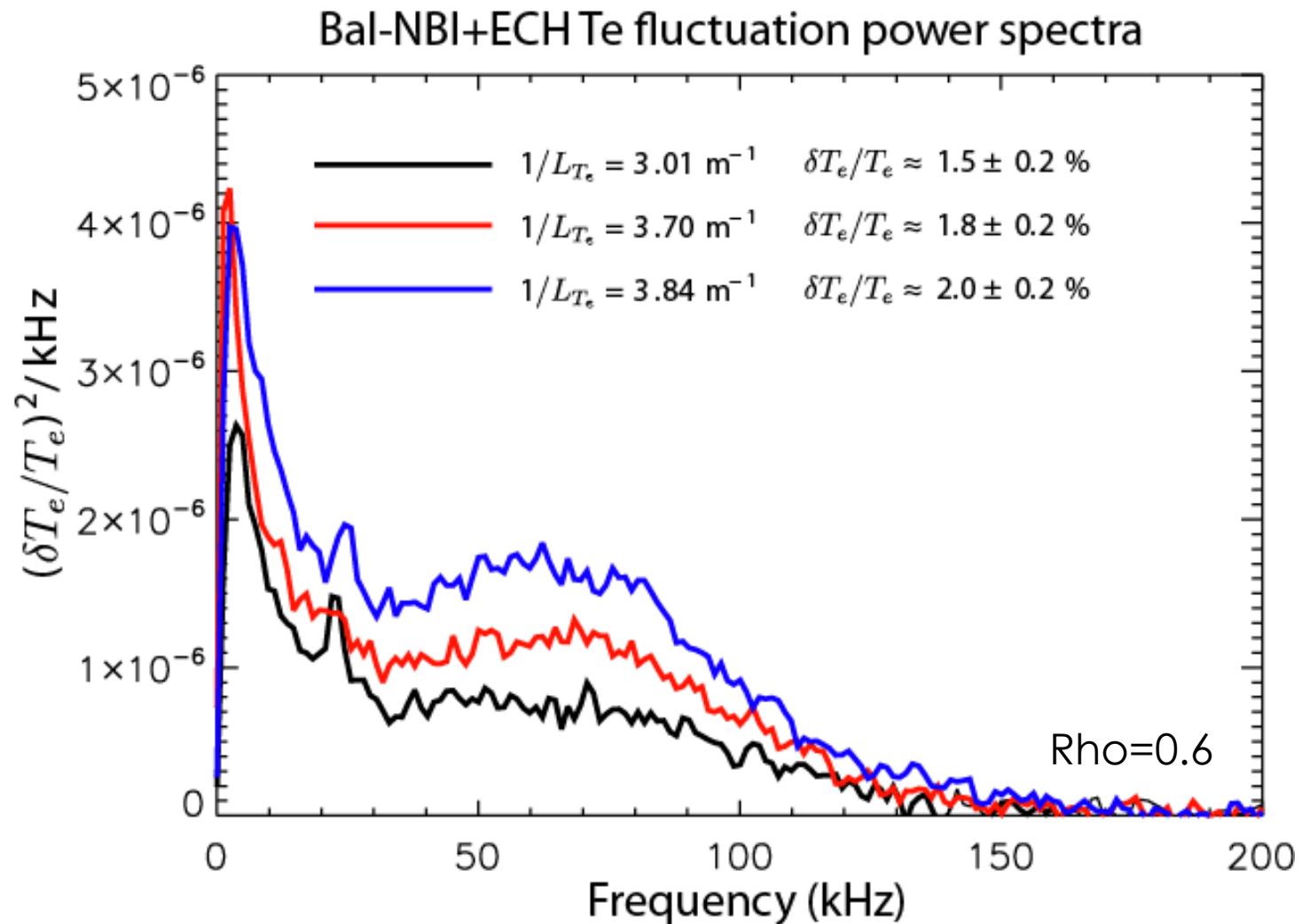
# CECE measures $T_e$ fluctuation levels

## Reflectometer/CECE provide nT crossphase data

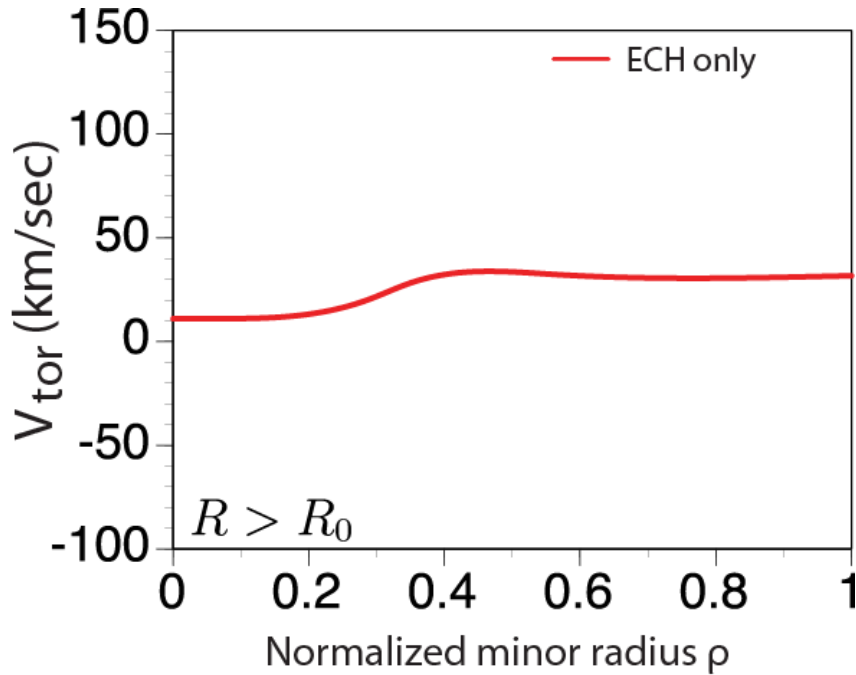


- **CECE measures local  $T_e$  fluctuations**
  - 4 CECE channels -> 2  $\delta T_e / T_e$  locations per shot
- **DBS-5 and CECE hardware diplexed together measured phase angle between  $n_e$  and  $T_e$  fluctuations: “nT crossphase”**
  - Same antenna and quasi-optical components
  - DBS-5 aligned for reflectometry
  - Both diagnostics sensitive to low-k ( $k_{\perp} \rho_i < 0.5$ )
  - Tuned to look at same plasma volume

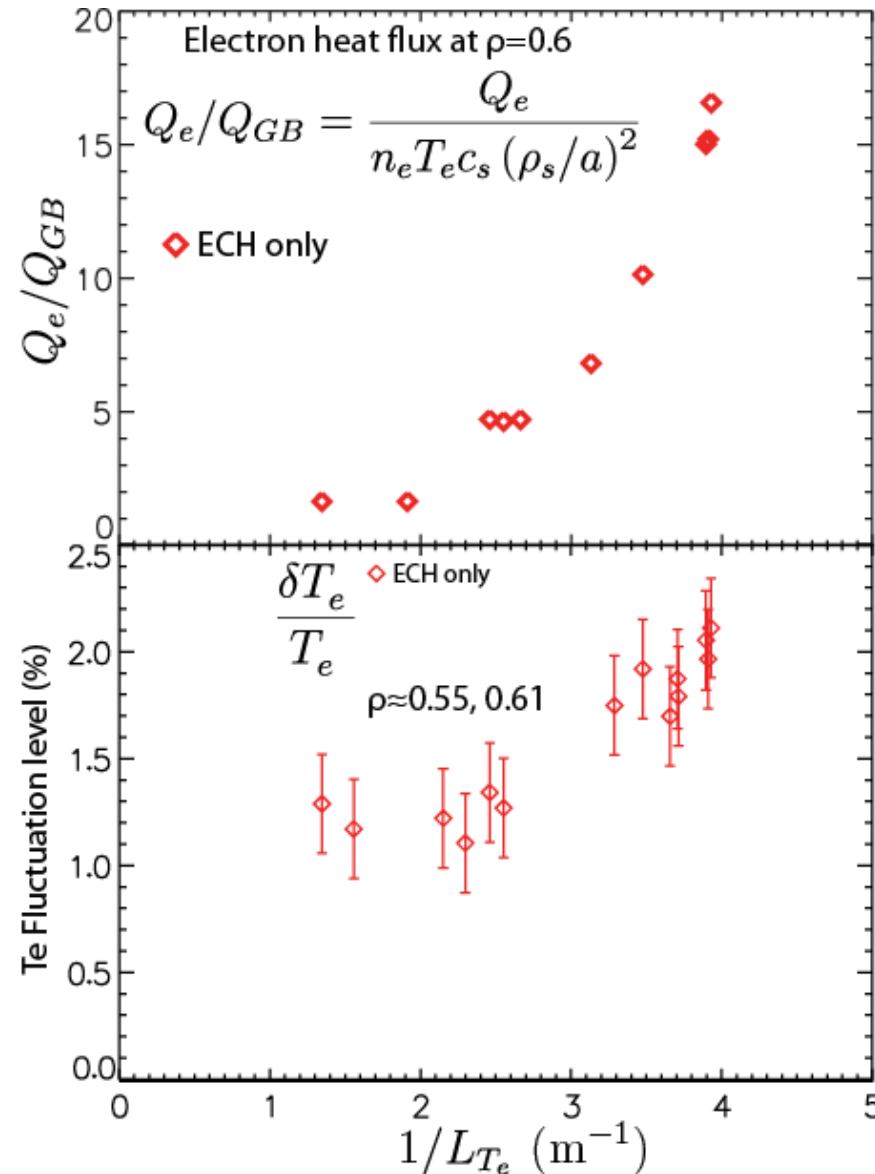
# Temperature fluctuations increase with $1/L_{Te}$



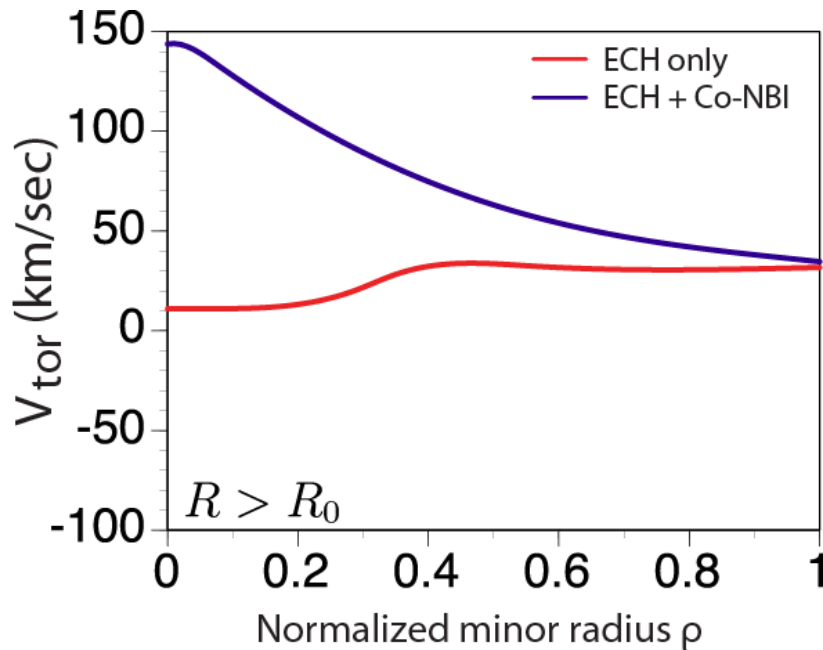
# ECH-only has cases well below critical gradient



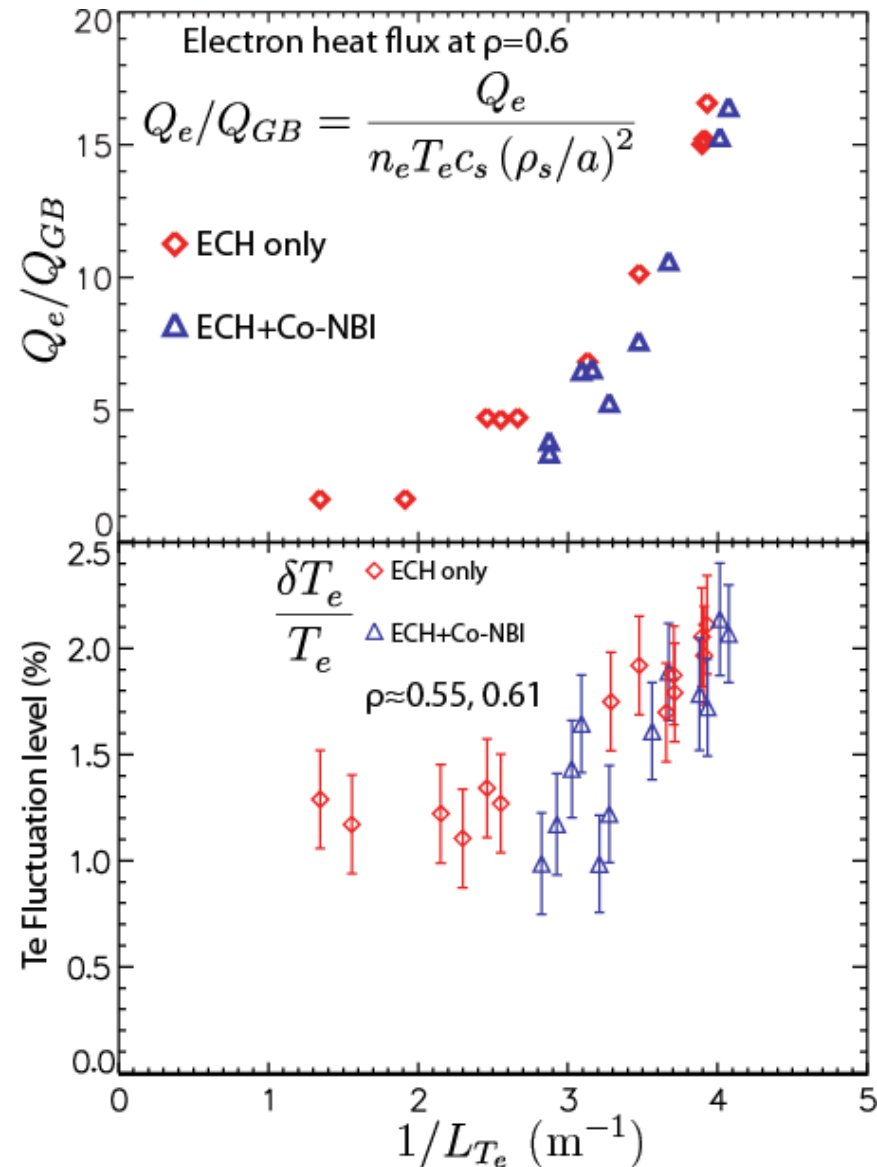
$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$



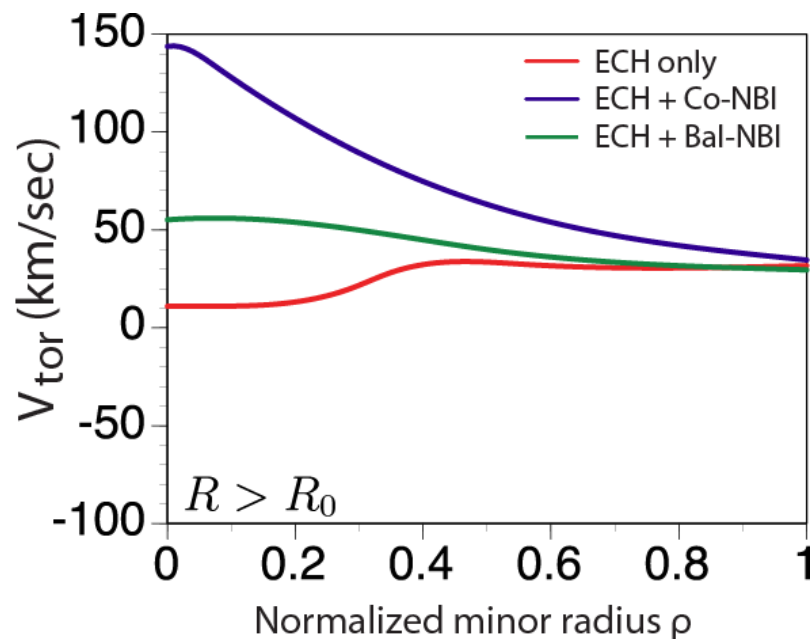
# ECH+Co-NBI shows same trends with $1/L_{Te}$



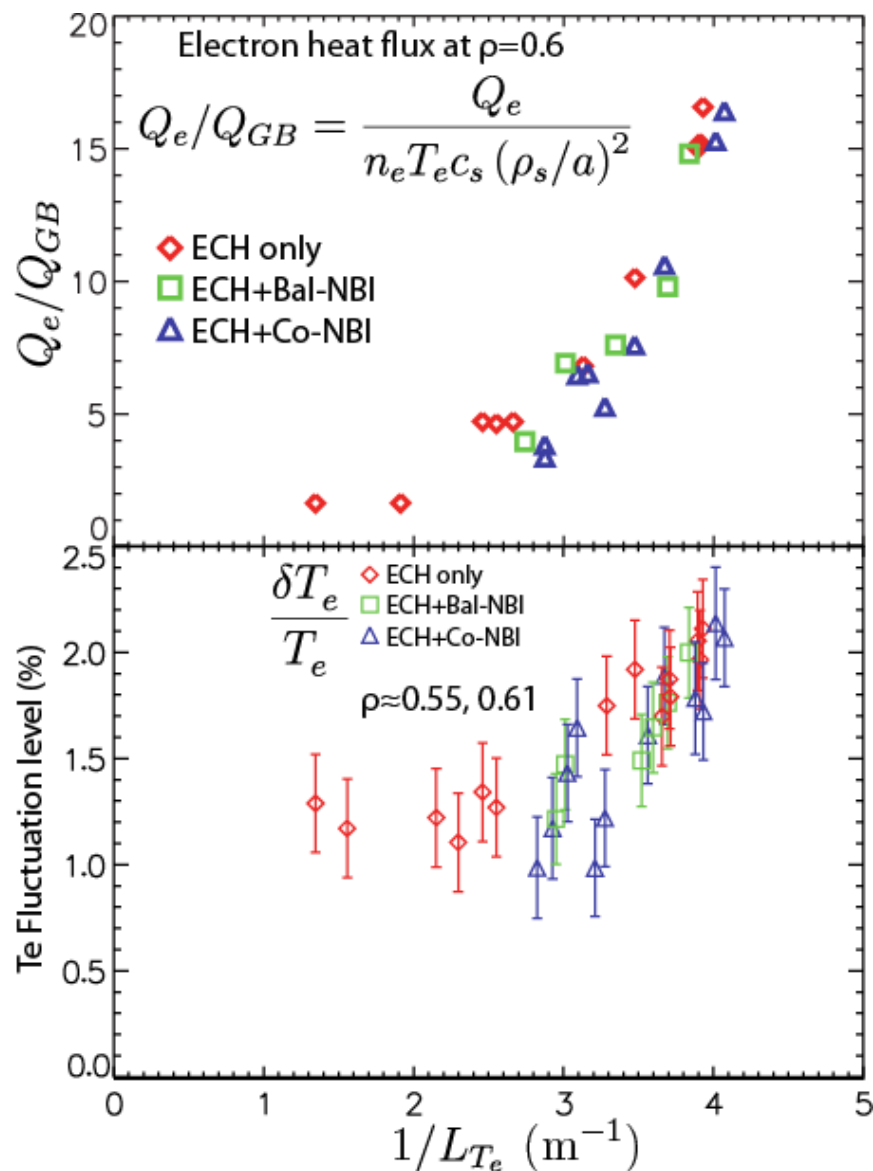
$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$



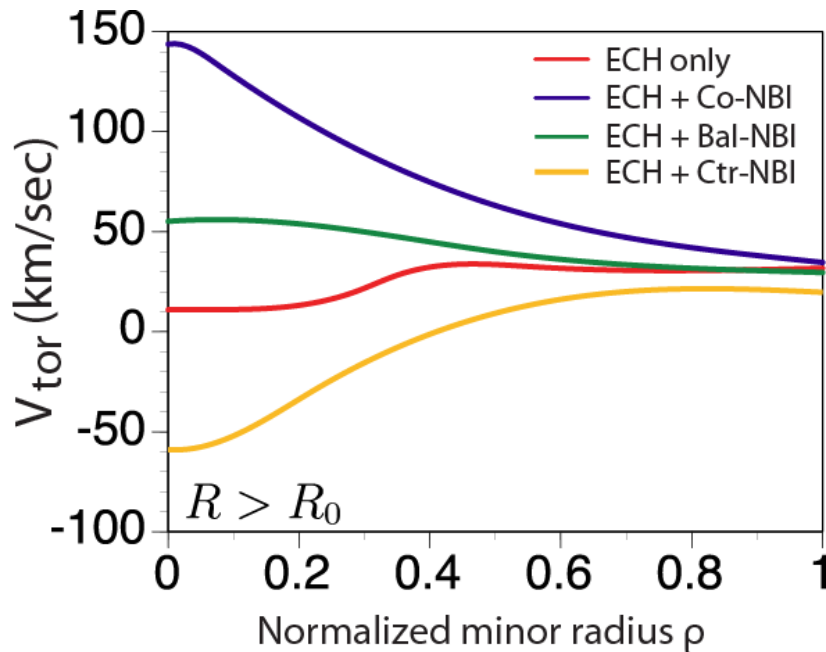
# ECH+Bal-NBI shows same trends



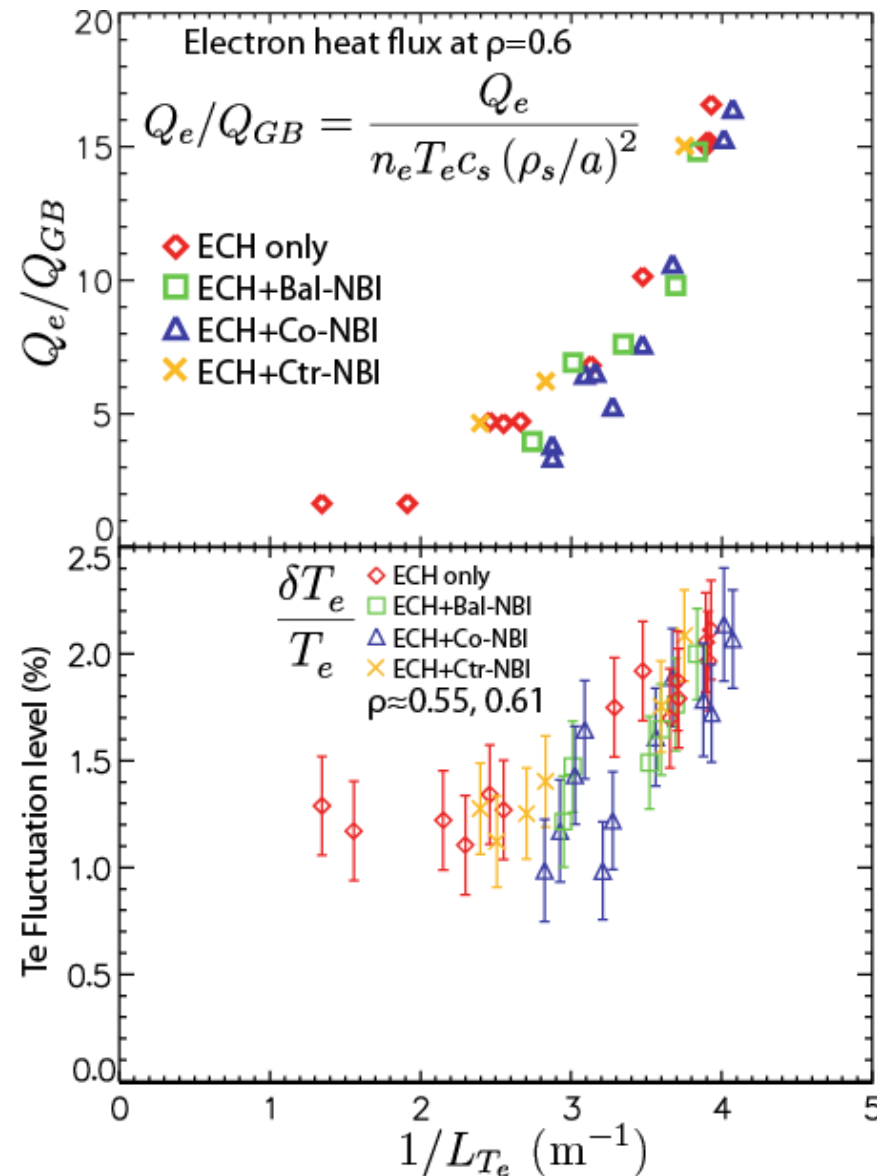
$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_{\theta} \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$



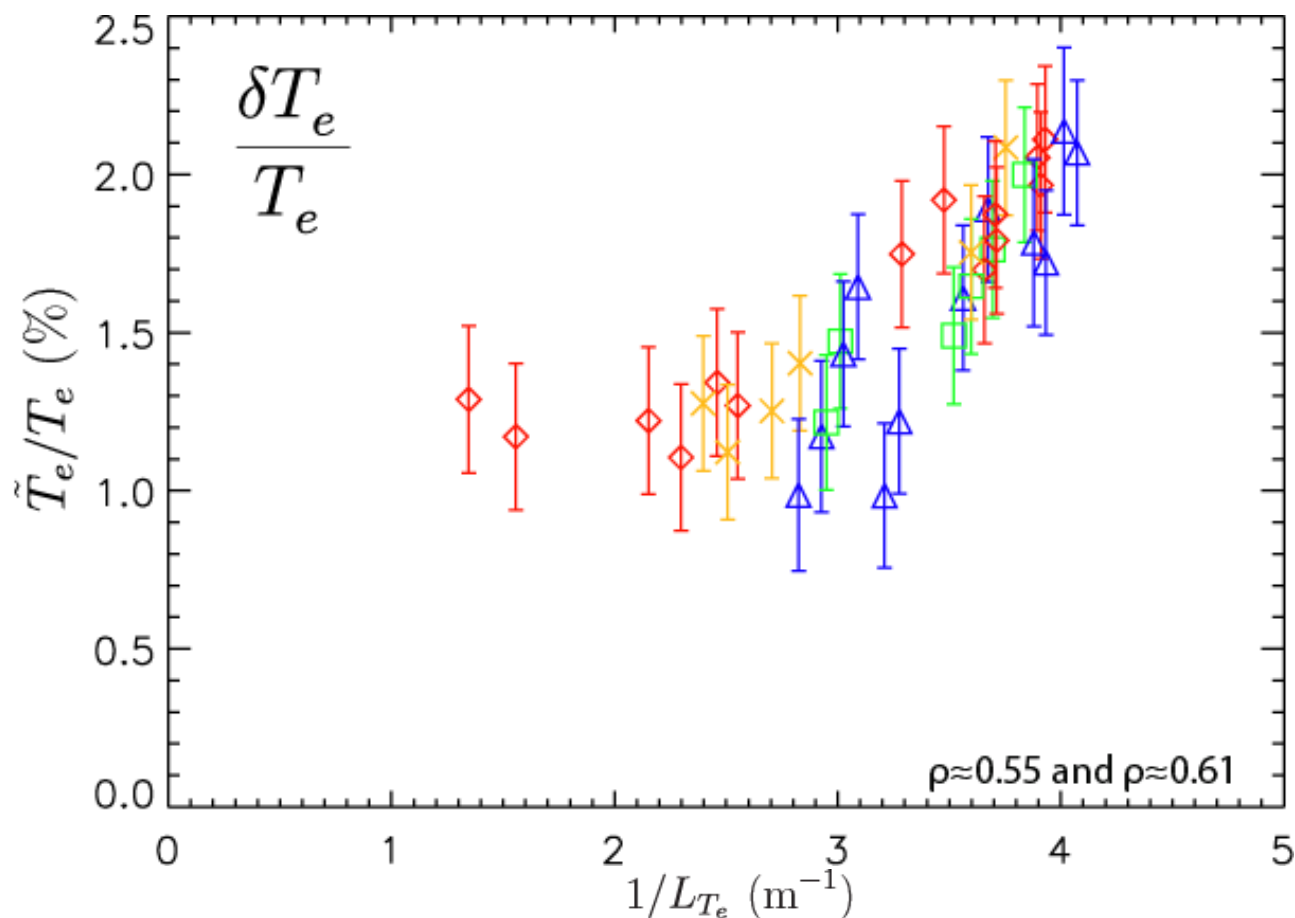
# ECH+Ctr-NBI shows same trends, also exhibits points below critical gradient for $T_e$ fluctuations



$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$



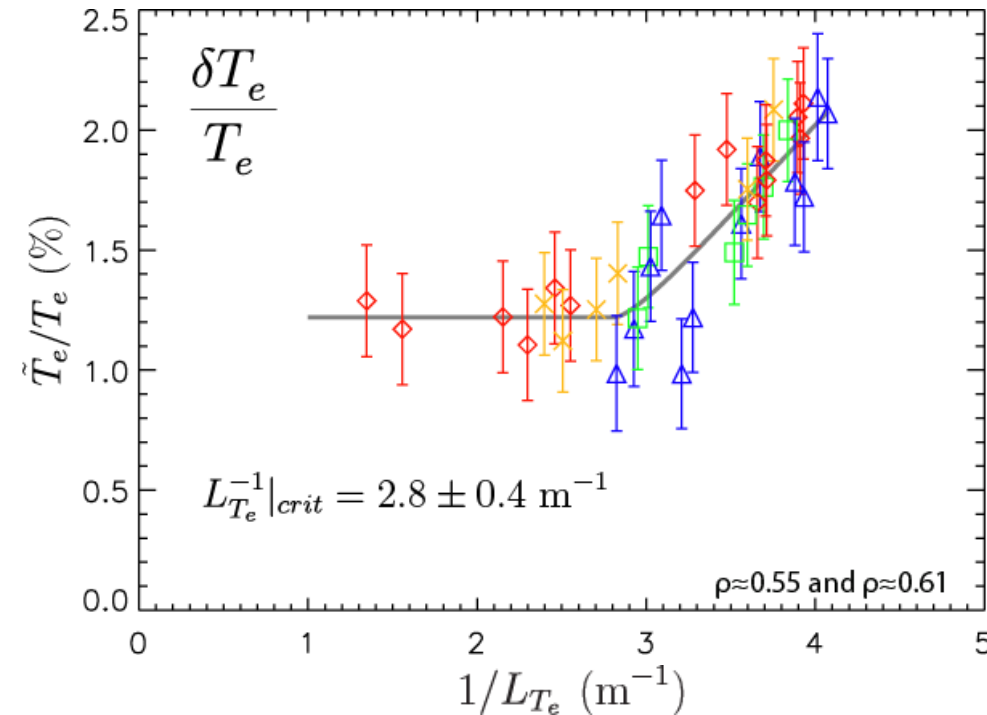
# Temperature fluctuations show critical gradient threshold in $1/L_{Te}$ , limited rotation dependence



$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$



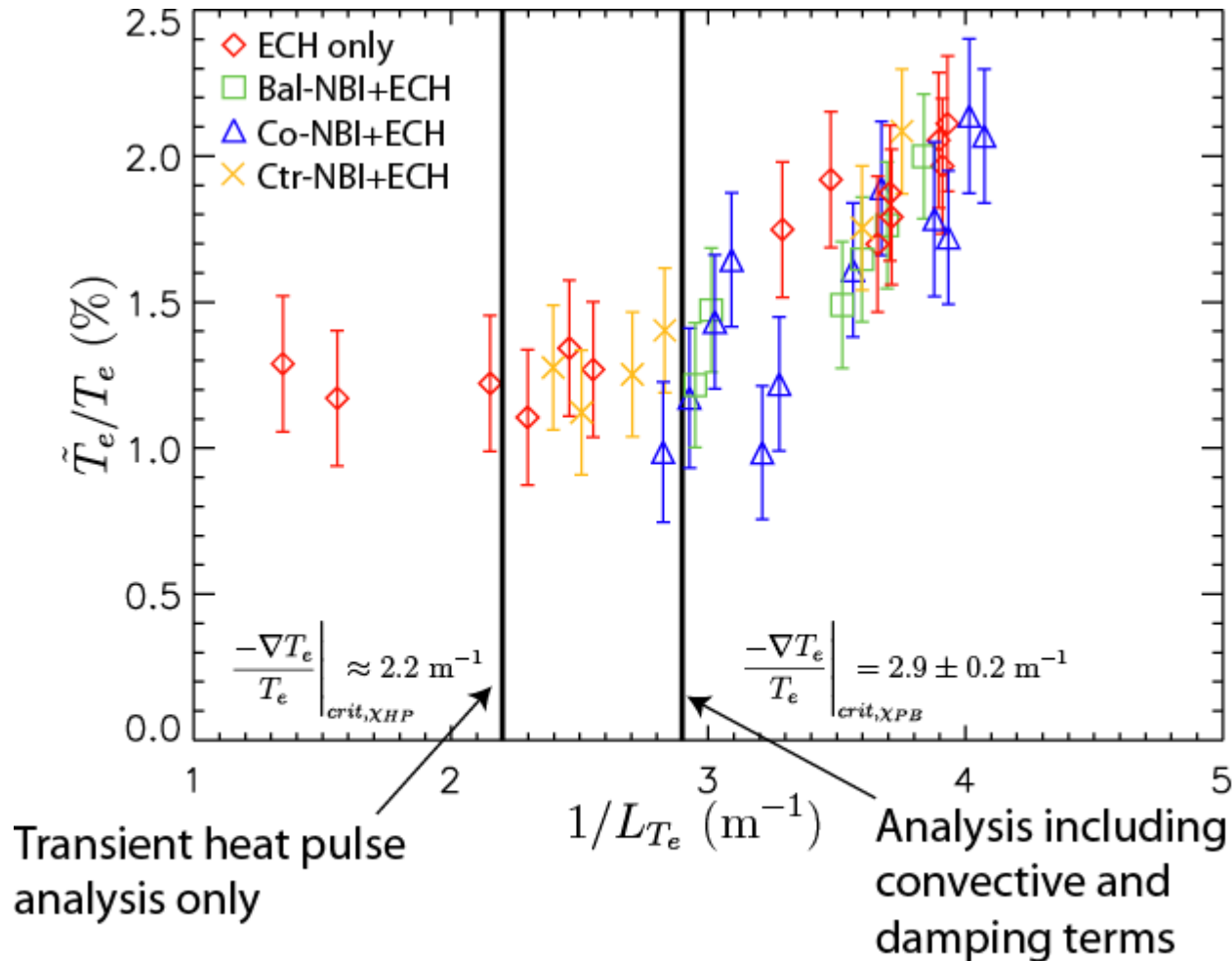
# Fit to model finds critical gradient value and uncertainty estimate



- Functional form similar to models in F. Imbeaux and X. Garbet PPCF 2002
- Data varied within uncertainties; mean and standard deviation of fits to:

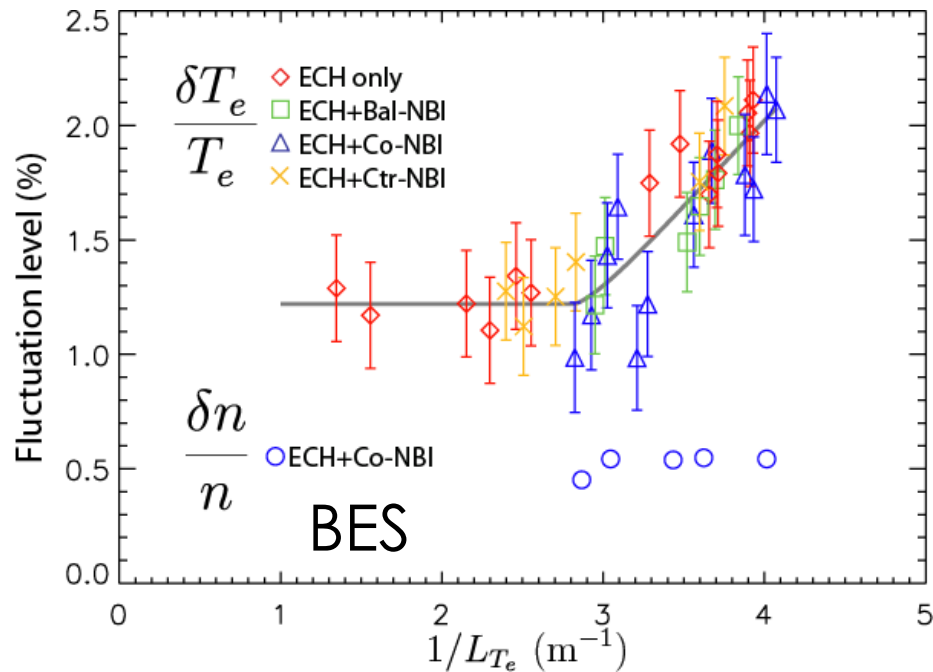
$$\chi_e \propto \frac{\delta T_e^2}{T_e^2} = c_0 + c_1 (L_{T_e}^{-1} - L_{T_e}^{-1}|_{crit})^\ell H(L_{T_e}^{-1} - L_{T_e}^{-1}|_{crit})$$

# Analysis of electron thermal diffusivity from heat pulses also yields critical gradients

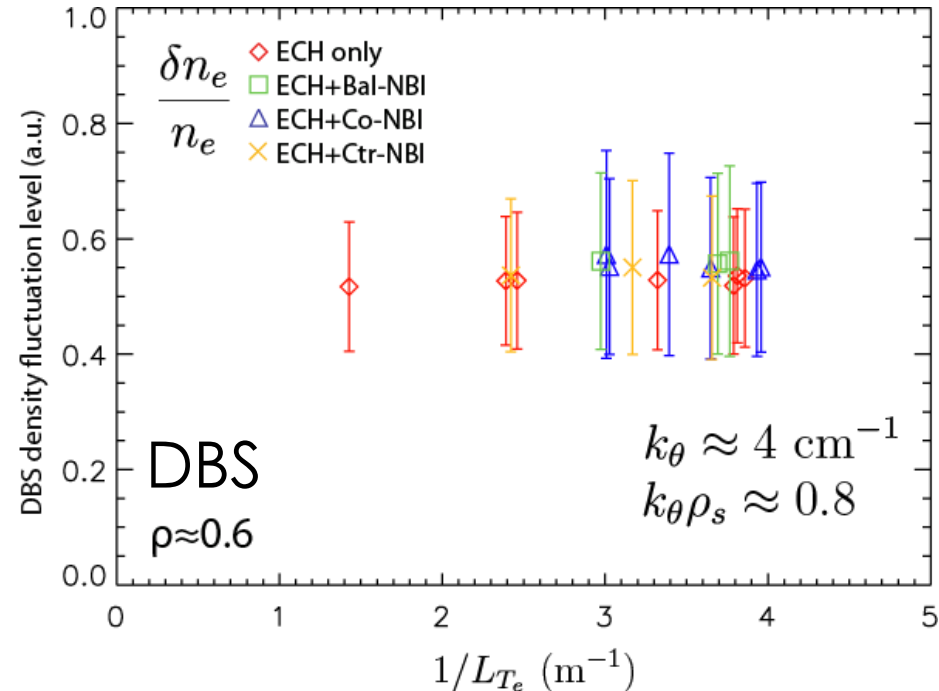


# Density fluctuations show little change; the ratio $(\delta T_e/T_e)/(\delta n_e/n_e)$ increases

## Low-k

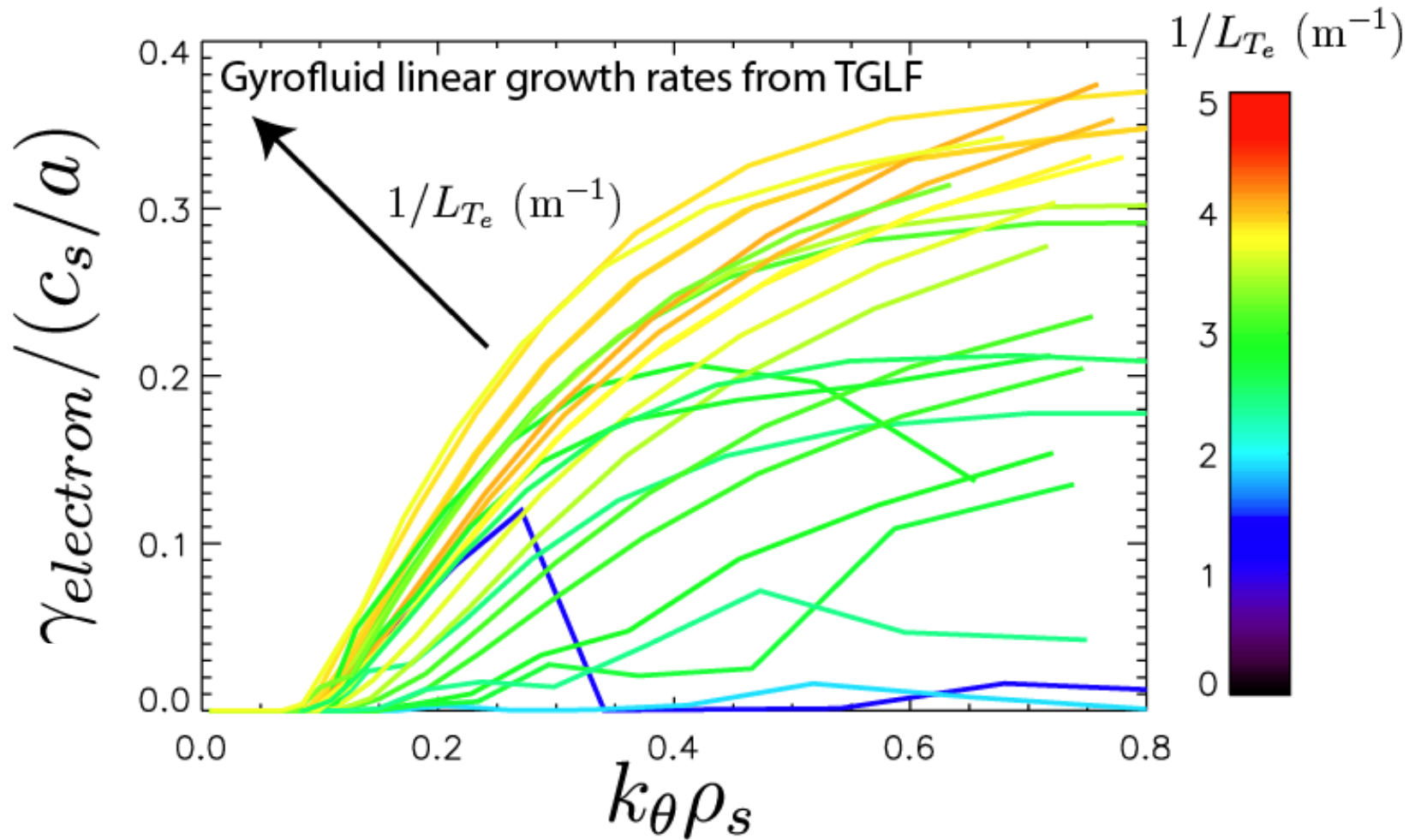


## Intermediate-k

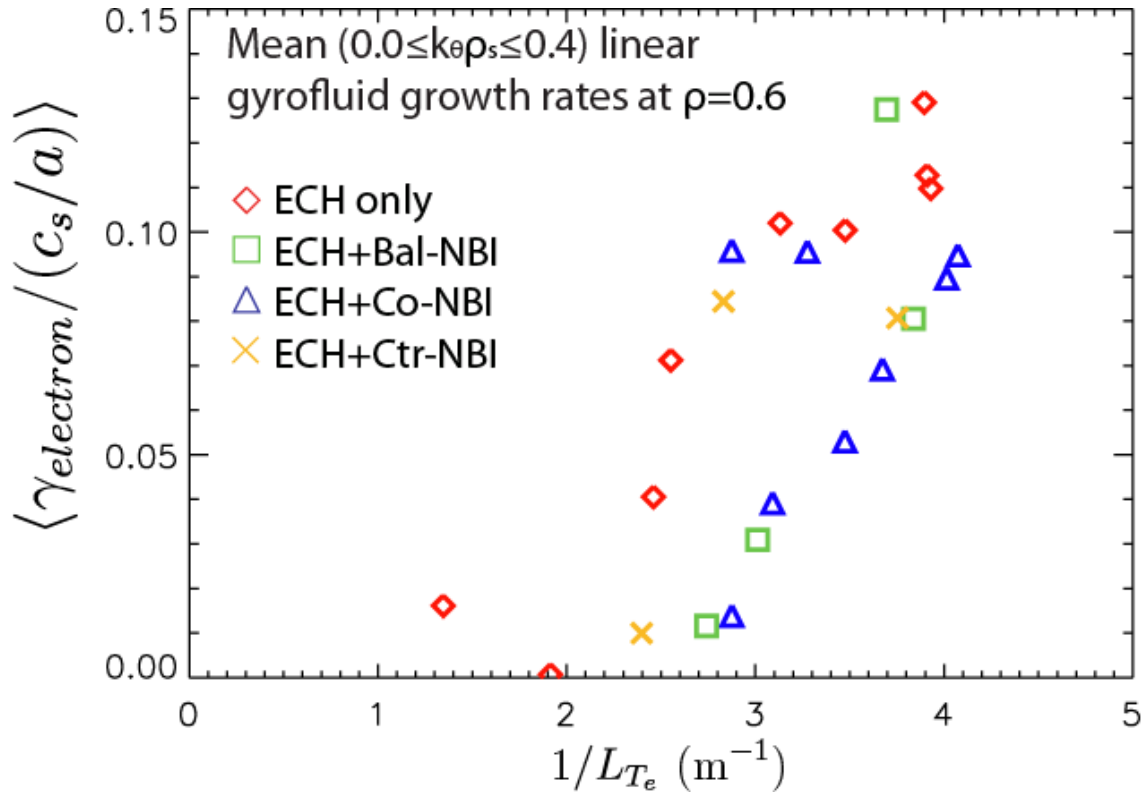
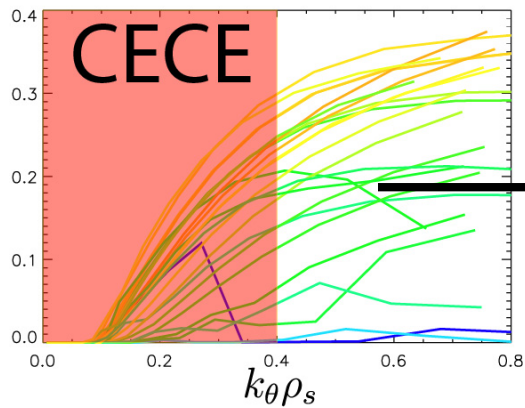


- $1/L_{T_e}$  threshold &  $(\delta T_e/T_e)/(\delta n_e/n_e)$  change consistent with  $\nabla T_e$  driven trapped electron mode turbulence

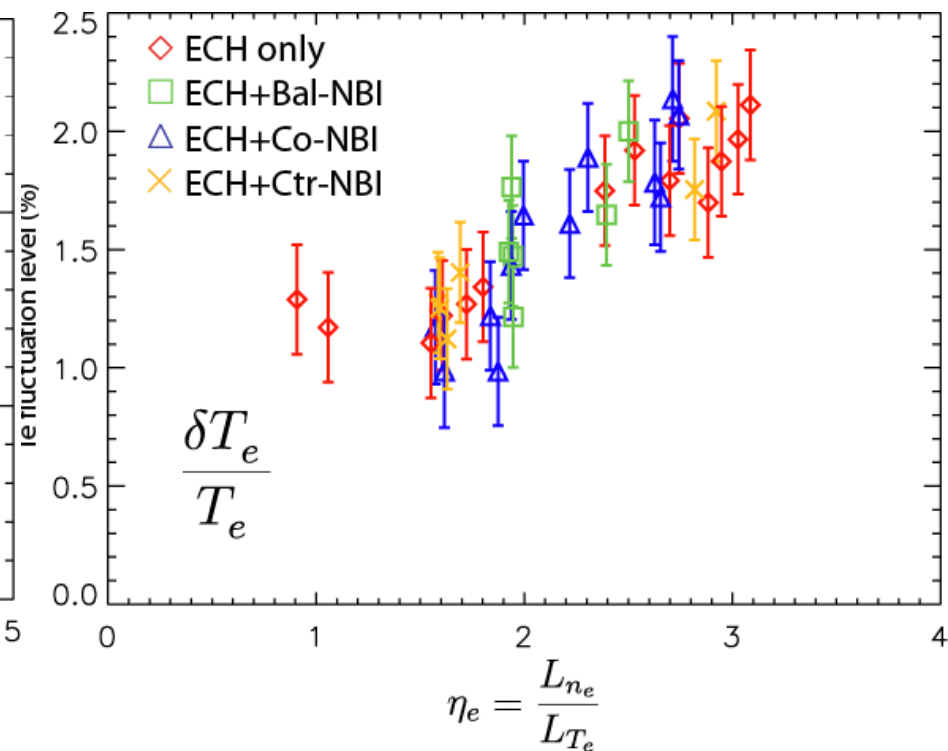
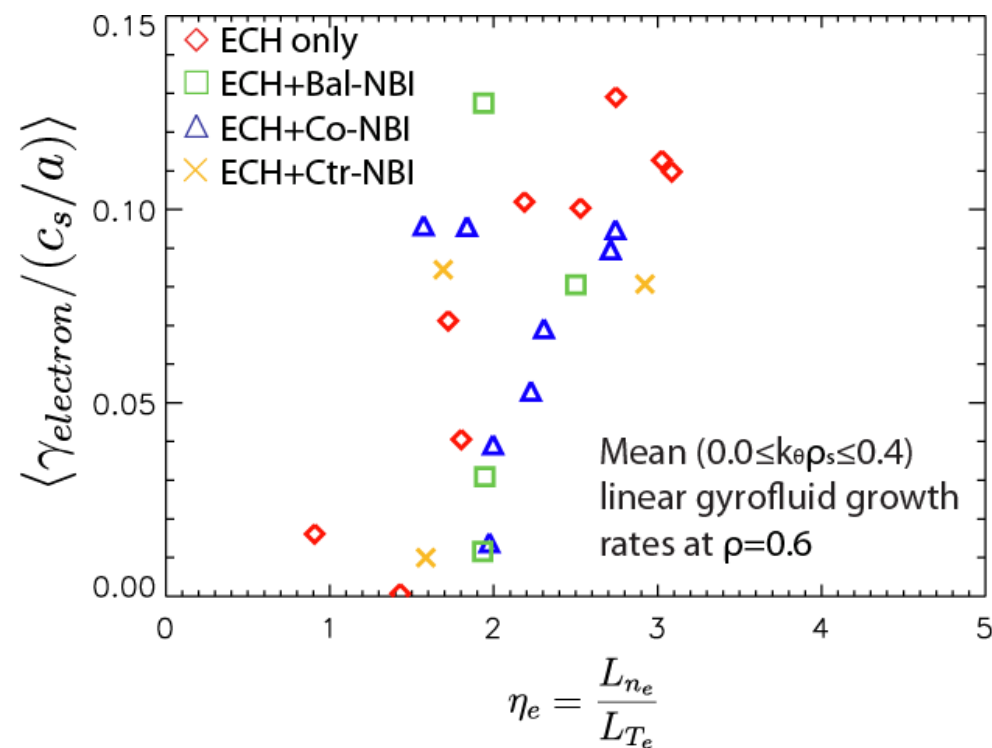
# Spectrum of fastest growing linear modes in electron diamagnetic direction generally increases with $1/L_{Te}$



# Mean growth rates over approximate CECE wavenumbers rapidly increase

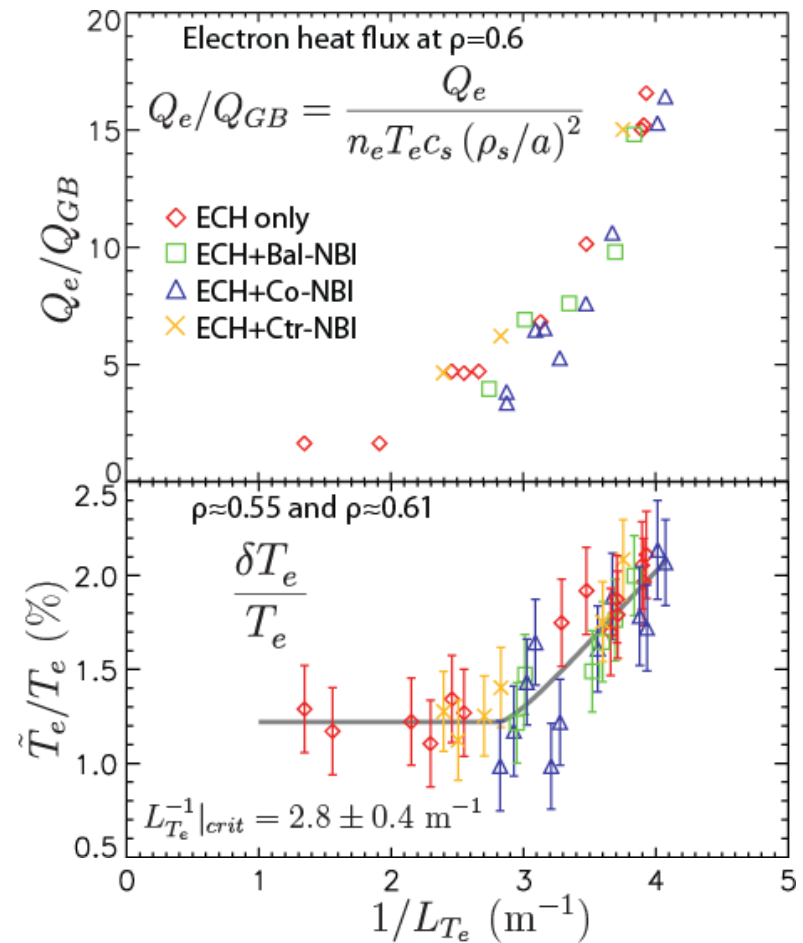


# Density gradient affects linear stability calculations, instability above $\eta_e \sim 2$



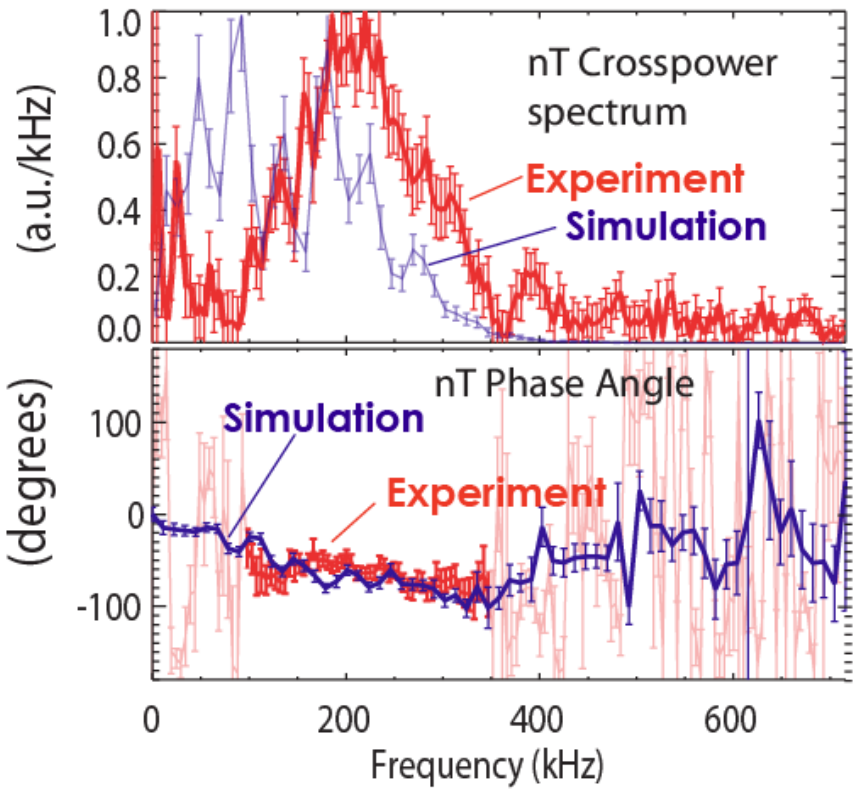
# What causes the increased transport?

- Heat flux increases by ~10x, but temperature fluctuations only increase by ~2x
- What else can contribute?
- nT crossphase can be measured

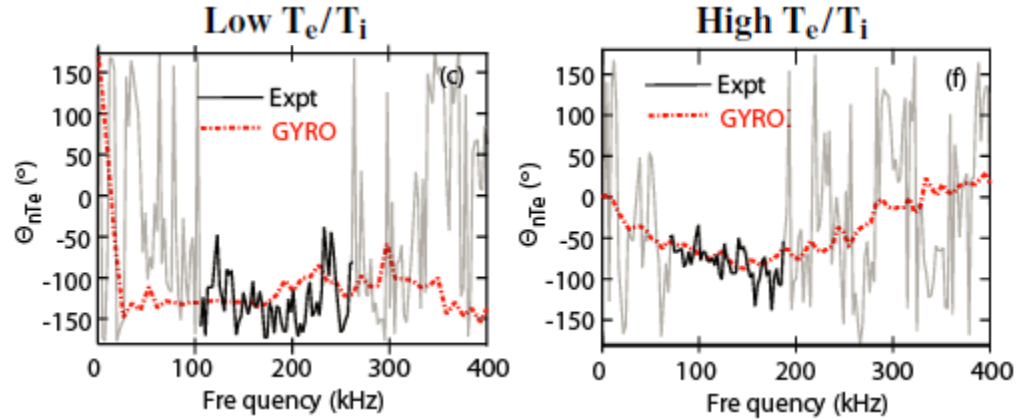


$$\tilde{Q}_e = \frac{3n_e T_e}{2B} \sum_{k_\theta} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

# Crossphase in the core ( $r/a \sim 0.5-0.6$ ) of several beam-heated L-mode plasmas show quantitative agreement with gyrokinetic simulation



• Fig. from White PoP 2010



• Figs. from Rhodes NF 2011

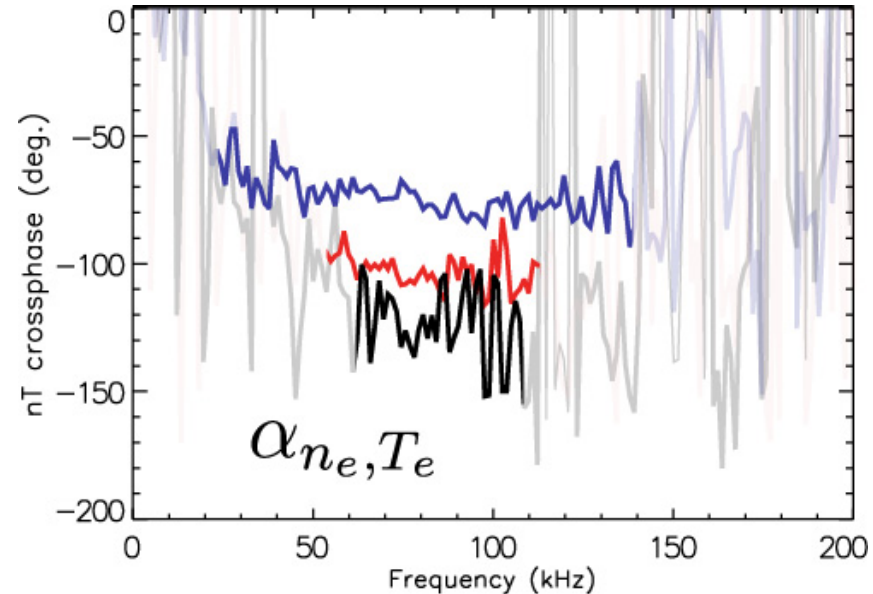
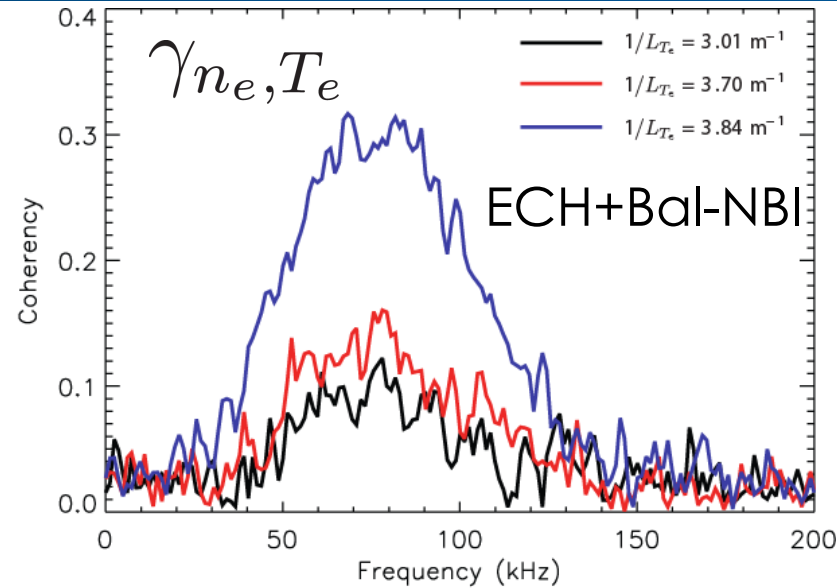
- Quantitative agreement between experiment and GYRO has been found in **Co-NBI + ECH heated plasmas**, same experimental trend as for Ohmic+ECH
- Density-temperature crossphase measurement provides a multi-field constraint for validation studies



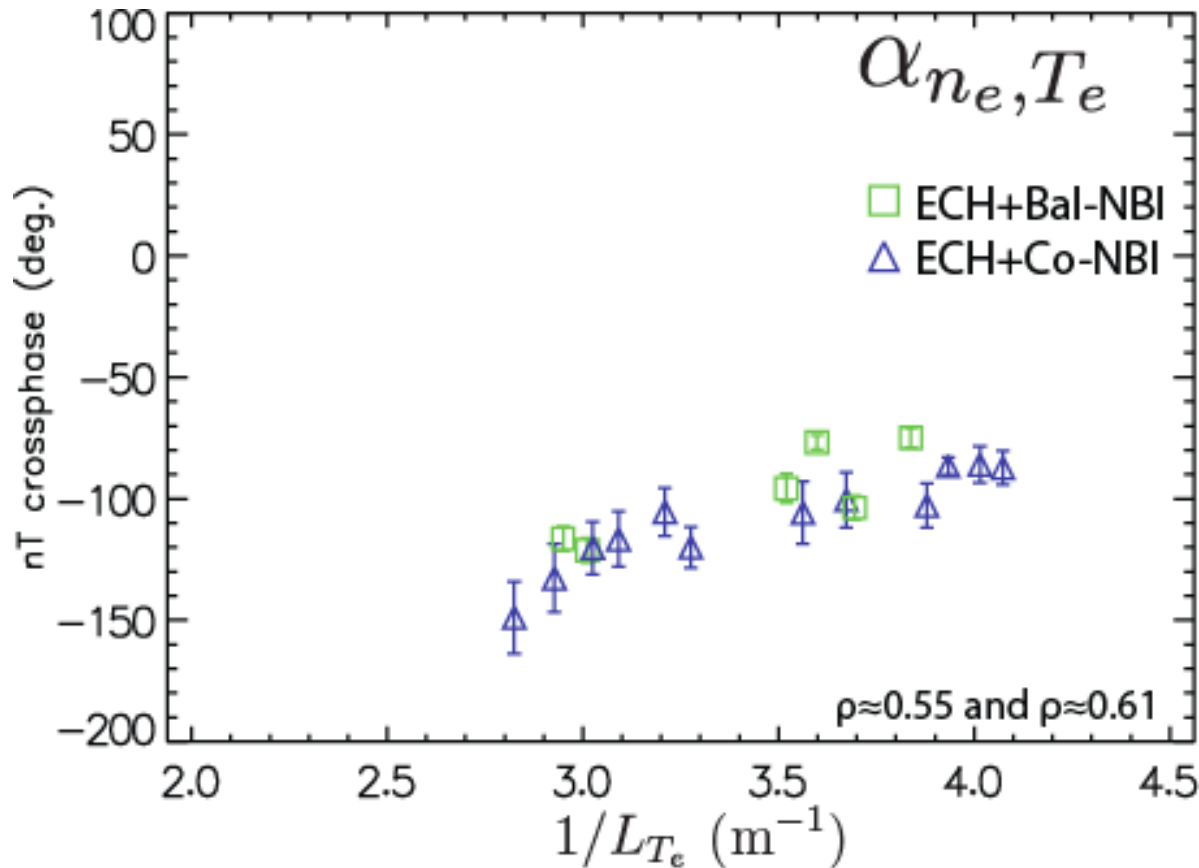
# nT crossphase varies with $1/L_{Te}$

- **Coherency between electron temperature and density fluctuations increases with  $1/L_{Te}$** 
  - Coherent frequency range varies with rotation, consistent with a Doppler shift
- **Crossphase changes with  $1/L_{Te}$**

$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

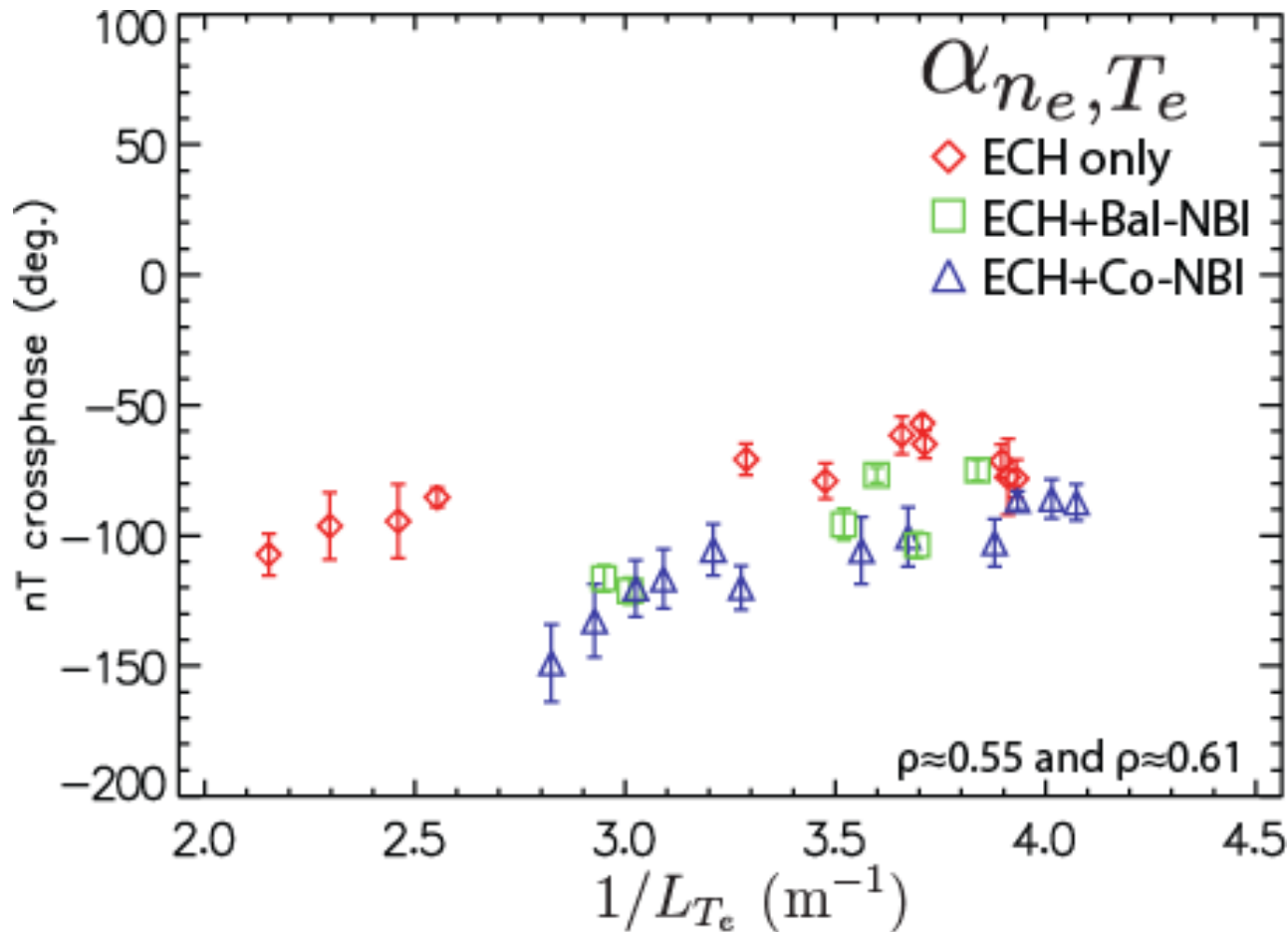


# Averaged nT crossphase changes with $a/L_{Te}$



- Co-NBI and Bal-NBI consistent with expectations from previous work, trend was attributed to change in dominant instability: ITG  $\rightarrow$  TEM (White PoP 2010, Rhodes NF 2011, Wang PoP 2011)

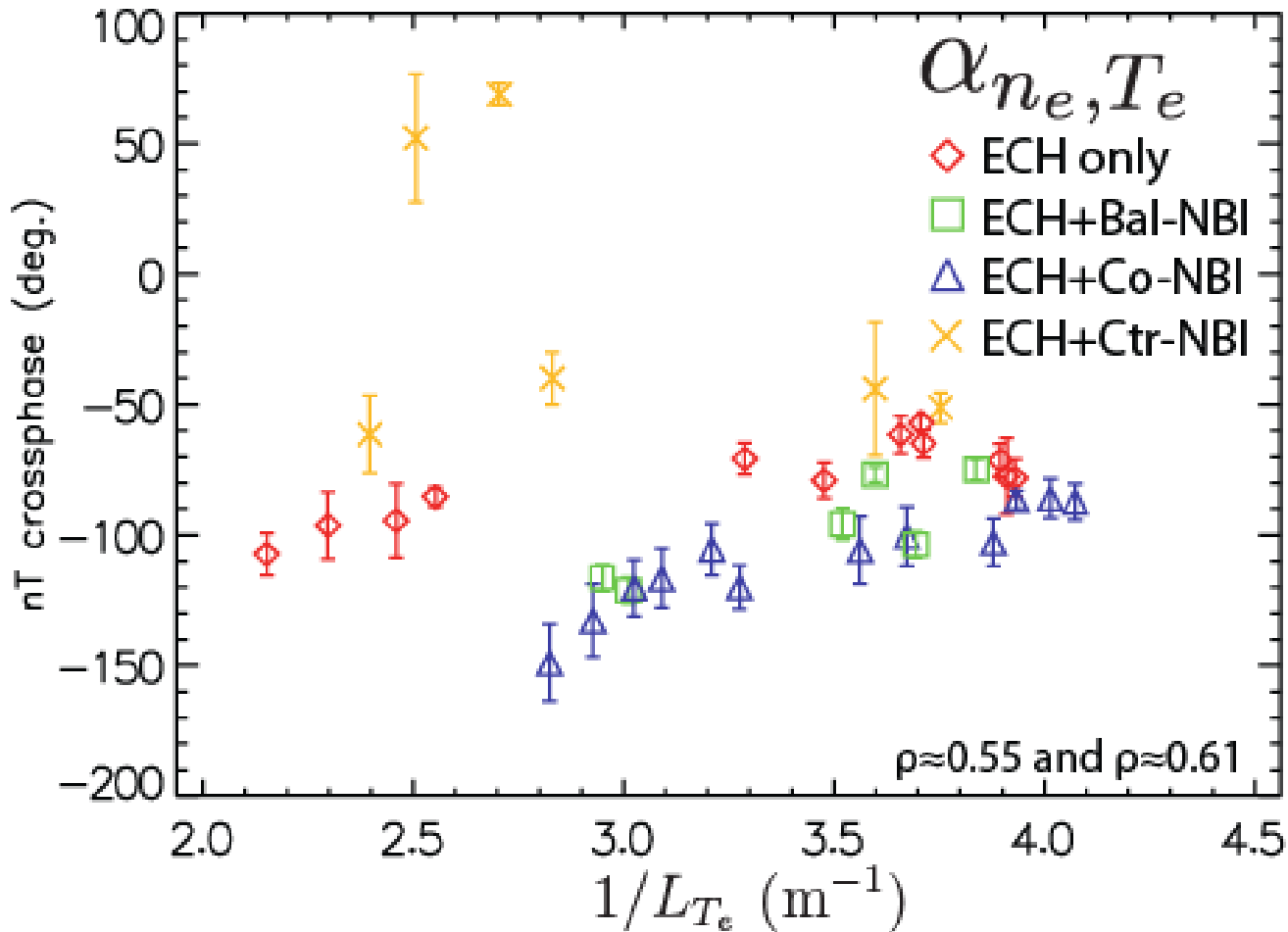
# ECH only shows reduced trend



$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

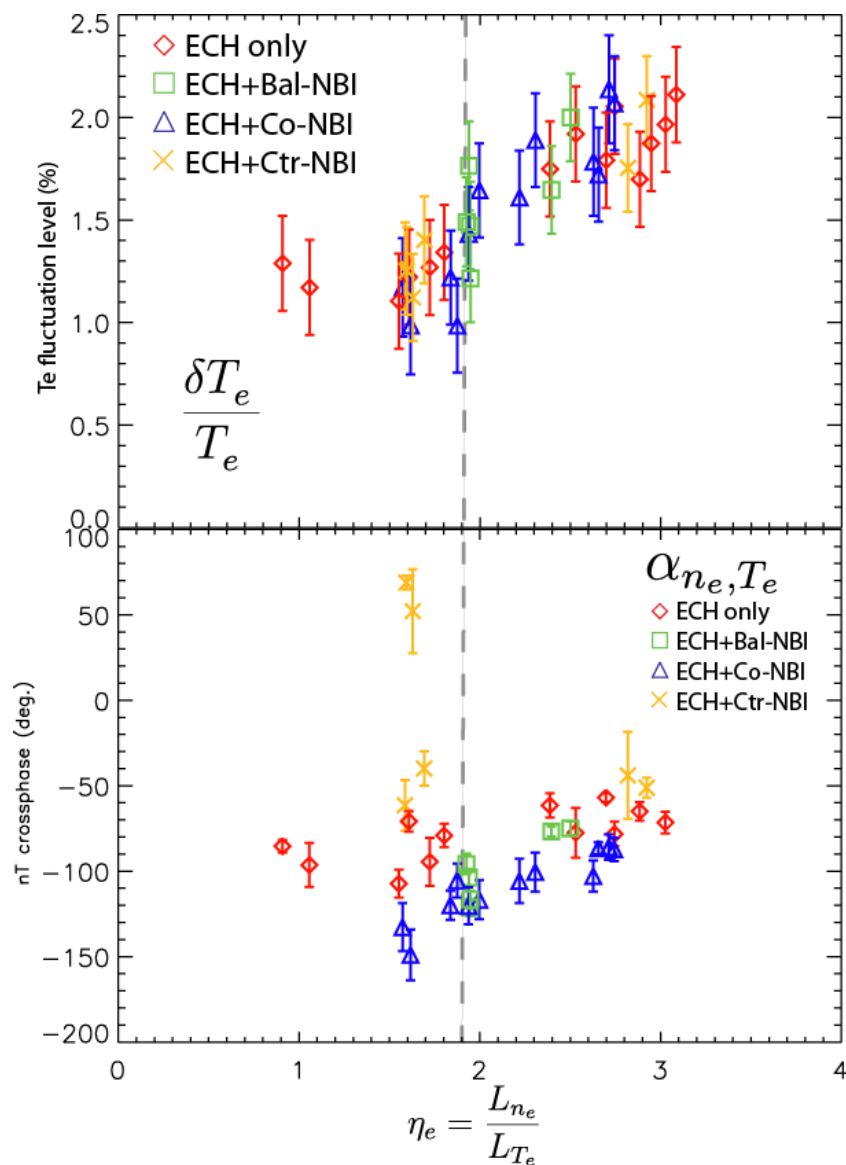
# Significantly different behavior for ECH+Ctr-NBI at low $1/L_{Te}$

All cases converge at high  $1/L_{Te}$

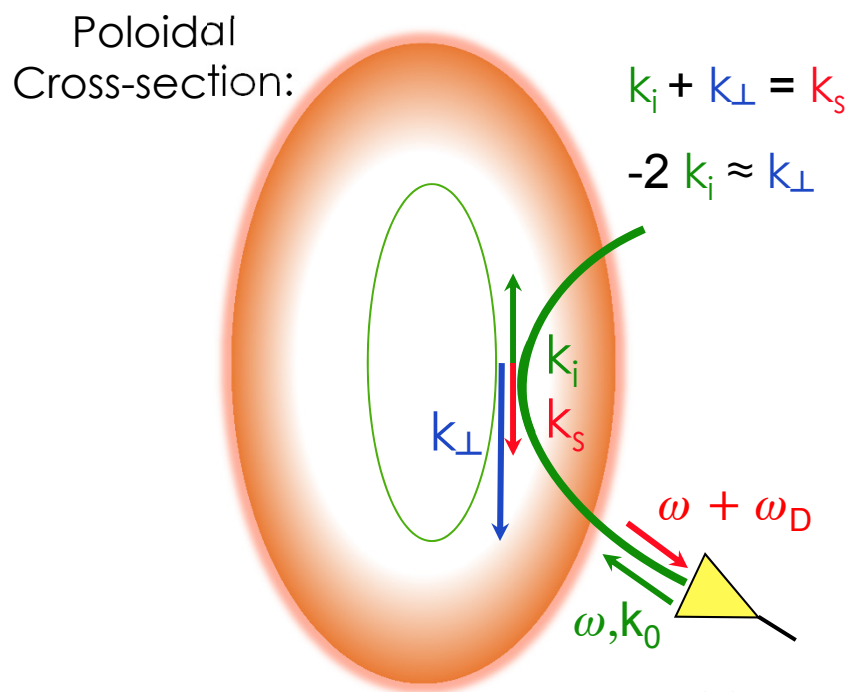


$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

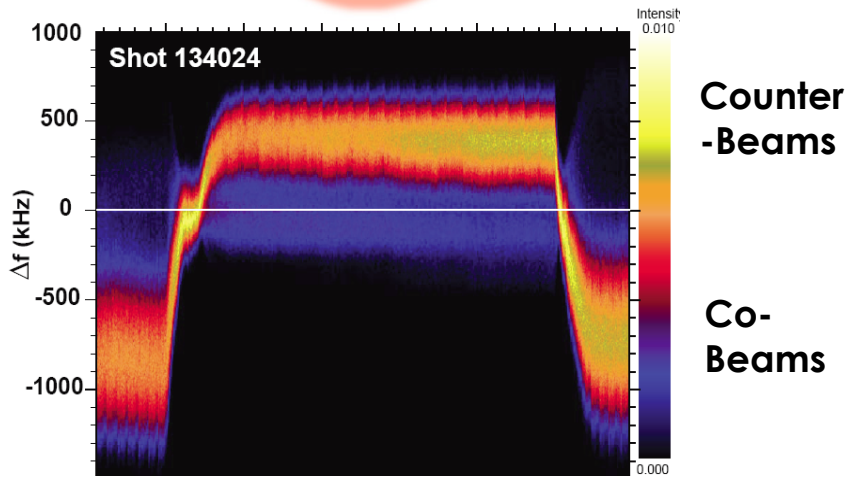
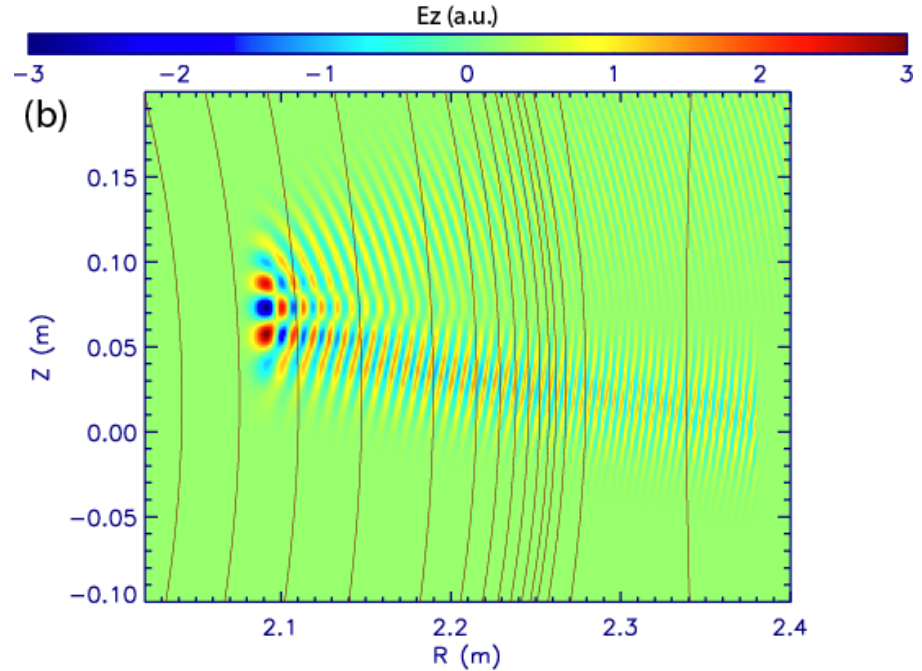
# nT crossphase varies wildly below $\eta_e=2$ , values similar above $\eta_e=2$



# DBS measures the *radially localized* lab frame velocity and density fluctuation level



Full-wave simulation:



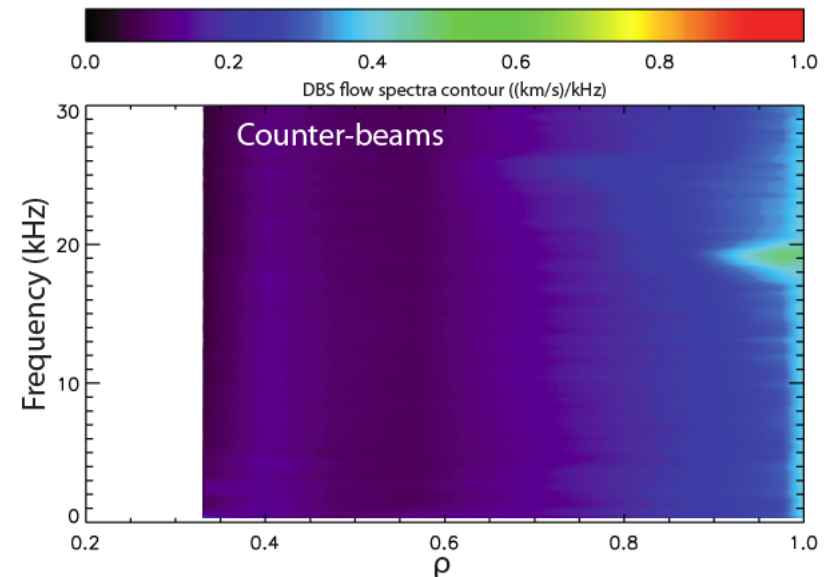
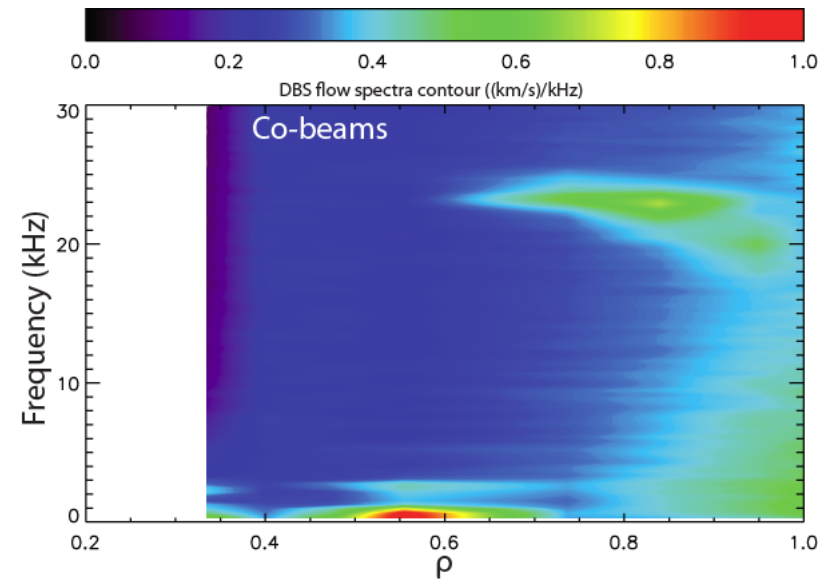
- Doppler shift in backscattered signal induced by lab frame velocity of the turbulence

$$\omega_D \approx k_{\perp} v_{Lab}$$

$$v_{Lab} = v_{E \times B} + \tilde{v}$$

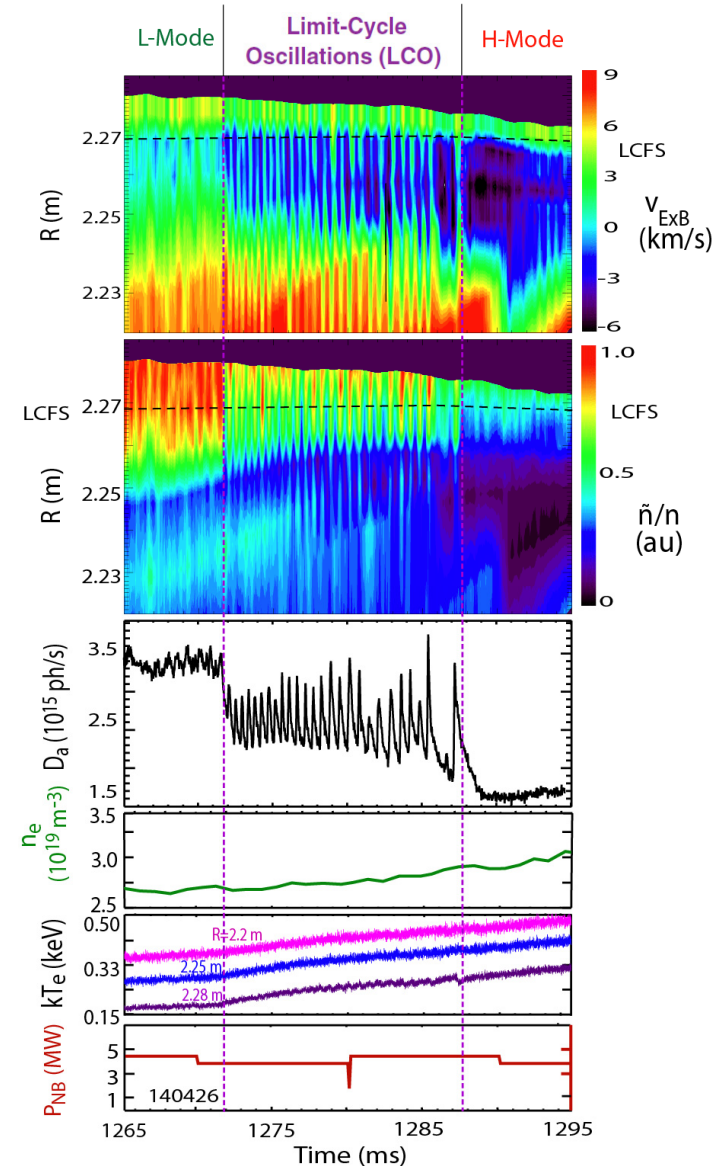
# Geodesic acoustic mode (GAM) and other low frequency zonal flows significantly weaker in ECH+Ctr-NBI

- Contour plots from 8-channel Doppler backscattering system
- Both rotation &  $Z_{\text{eff}}$  differ
- GAM studied at DIII-D with DBS
  - J. C. Hillesheim et al., Phys. Plasmas 19, 022301 (2012)
- Zonal flow dynamics through L-H transition also studied
  - L. Schmitz et al., Phys. Rev. Lett. 108, 155002 (2012)



# GAM typically disappears before L-H transition, limit cycle oscillations observed in slow transitions

- Contour plots from 8-channel Doppler backscattering system
- GAM studied at DIII-D with DBS
  - J. C. Hillesheim et al., Phys. Plasmas 19, 022301 (2012)
- Zonal flow dynamics through L-H transition also studied
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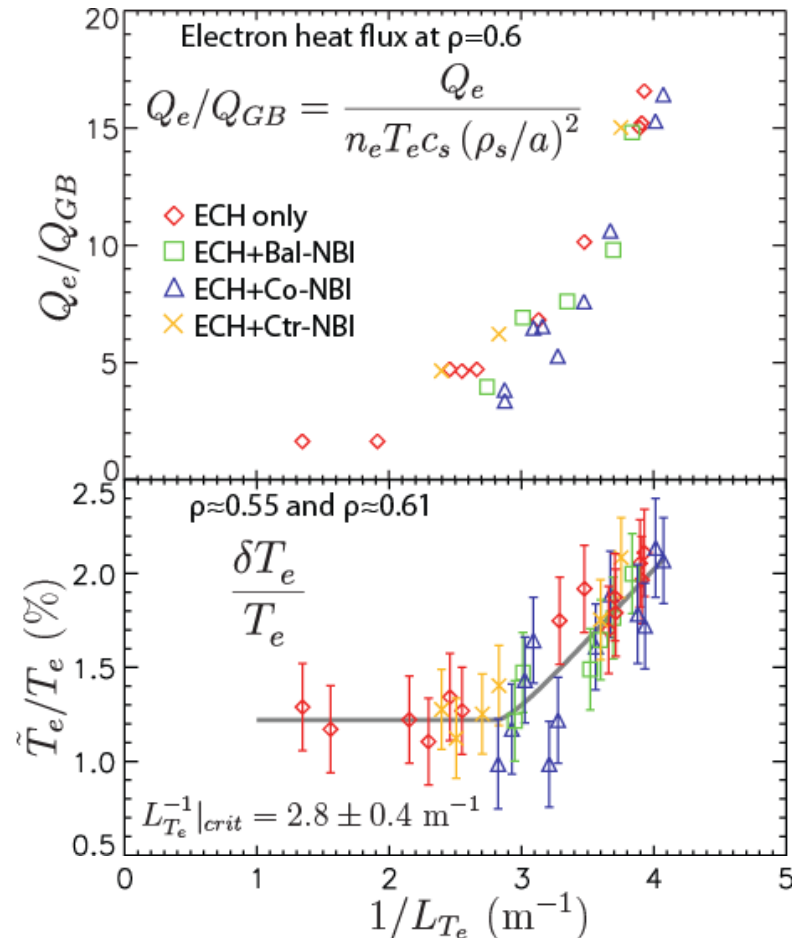
# Principal result

$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

- **Critical gradient observed in electron thermal transport and electron temperature fluctuations**

$$\tilde{Q}_e = \frac{3n_e T_e}{2B} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

- **TGLF linear growth rates consistent with critical gradient**



# Measurements consistent with $\nabla T_e$ driven trapped electron mode turbulence at high $1/L_{Te}$

- **Observed critical gradient in  $1/L_{Te}$** 
  - B.B. Kadomtsev et al., Nucl. Fusion 11, 67 (1971)
- **Measured nT crossphase consistent with TEM**
  - A. E. White et al., Phys. Plasmas 17, 056103 (2010), T. L. Rhodes et al., Nucl. Fusion 51, 063022 (2011), G. Wang et al., Phys. Plasmas 18, 082504 (2011)
- **Change in ratio of fluctuations consistent with TEM**
  - A. E. White et al., Phys. Plasmas 17, 020701 (2010)
- **Linear growth rates consistent with critical gradient**
- **Collisionality & Beta in TEM relevant regime**
  - $\nu^* \sim 0.1$  and  $\beta < 0.5\%$

# Critical gradients found in several analyses—most are in agreement

- **Transport critical gradient values**

- Electron temperature fluctuations
- Heat pulse electron thermal diffusivity
- Electron thermal diffusivity with convective and damping terms from heat pulse analysis

$$L_{T_e}^{-1}|_{crit} = 2.8 \pm 0.4 \text{ m}^{-1}$$

$$L_{T_e}^{-1}|_{crit} \approx 2.2 \text{ m}^{-1}$$

$$L_{T_e}^{-1}|_{crit} = 2.9 \pm 0.2 \text{ m}^{-1}$$

$$R_0 \approx 1.7 \text{ m}$$

$$a \approx 0.6 \text{ m}$$

- **Instability threshold**

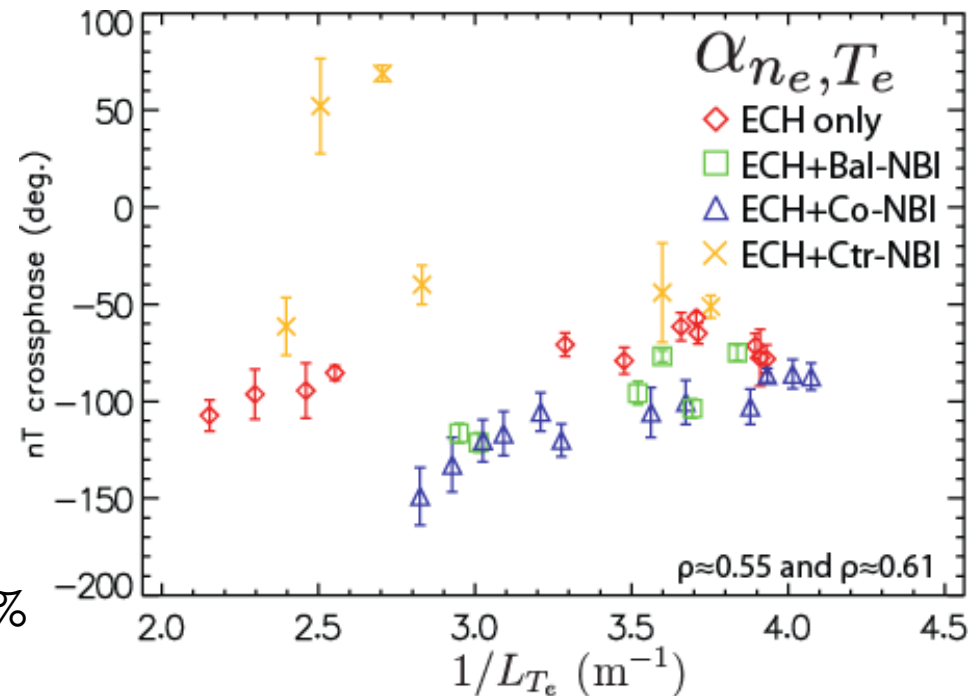
- Electron temperature fluctuations and linear growth rates

$$\eta_e \approx 2$$

# What constraints can be put on the transport crossphases?

$$\tilde{Q}_e = \frac{3n_e T_e}{2B} \sum_{k_\theta} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

- **Convective term can be argued to be small**
  - $\sin \alpha_{n\varphi} \approx 0$
  - Density profile shows little response during ECH scan
  - Particularly for ECH only, only particle source at edge
  - Previous simulations of similar plasmas show conductive term dominates, accounting for ~90% of  $Q_e$



# Can low-k fluctuations completely account for the observed heat flux?

$$\tilde{Q}_e = \frac{3n_e T_e}{2B} \sum_{k_\theta} k_\theta \left( \frac{|\tilde{n}_e|}{n_e} |\tilde{\varphi}| \gamma_{n_e, \varphi} \sin \alpha_{n_e, \varphi} + \frac{|\tilde{T}_e|}{T_e} |\tilde{\varphi}| \gamma_{T_e, \varphi} \sin \alpha_{T_e, \varphi} \right)$$

- **Consider only convective term**
  - Take average wavenumber to be  $k_\theta = 1.5 \text{ cm}^{-1}$  ( $k_\theta \rho_s \approx 0.3$ )
  - To set a bound take  $\gamma_{T\varphi} = 1$ ,  $\sin \alpha_{T\varphi} = 1$
- **At highest  $1/L_{Te}$   $\frac{Q}{nT} \approx 45 \text{ m/s}$**
- **Under above assumptions, this would require  $\frac{e|\tilde{\varphi}|}{T_e} \approx 2.5\%$**

# Summary of results

- **Critical gradient observed in both **electron thermal transport** and **electron temperature fluctuations****  
 $Q_e, \frac{\delta T_e}{T_e}$ 
  - Stiffness increases above critical gradient
- **nT Crossphase also changes; indicates more subtle picture, with various instabilities active under the critical gradient**  
 $\alpha_{n_e, T_e}$ 
  - Implies changes to transport crossphases plausible
- **Gyrofluid growth rates from TGLF consistent with linear instability critical threshold behavior**  
 $\langle \gamma_{\text{electron}} / (c_s / a) \rangle$
- **Future work:**
  - Comparison to further gyrokinetic & gyrofluid predictions