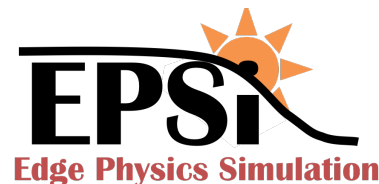
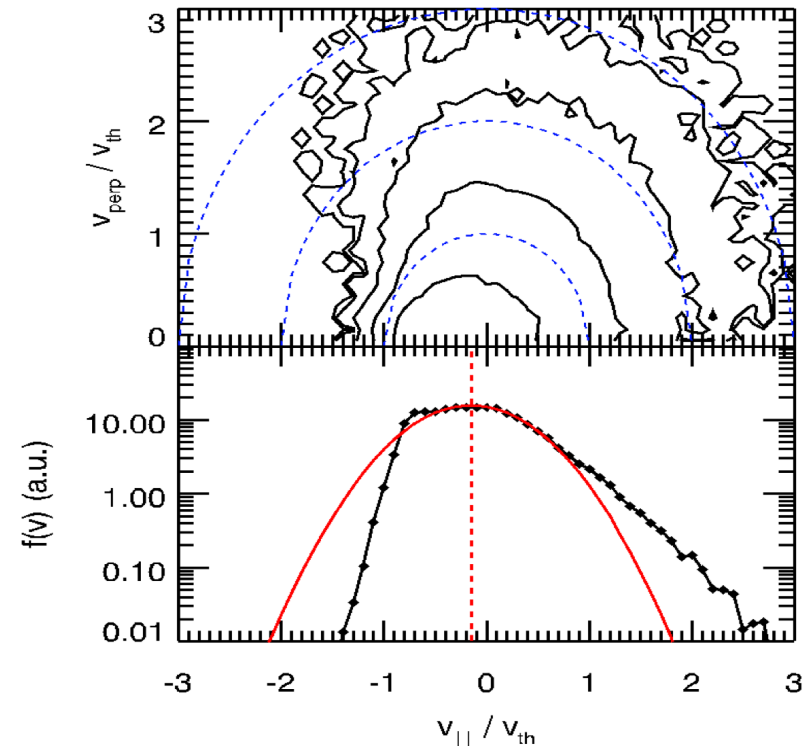


# Edge Transport Calculations using XGC0 for QH-mode on DIII-D

by  
Devon Battaglia

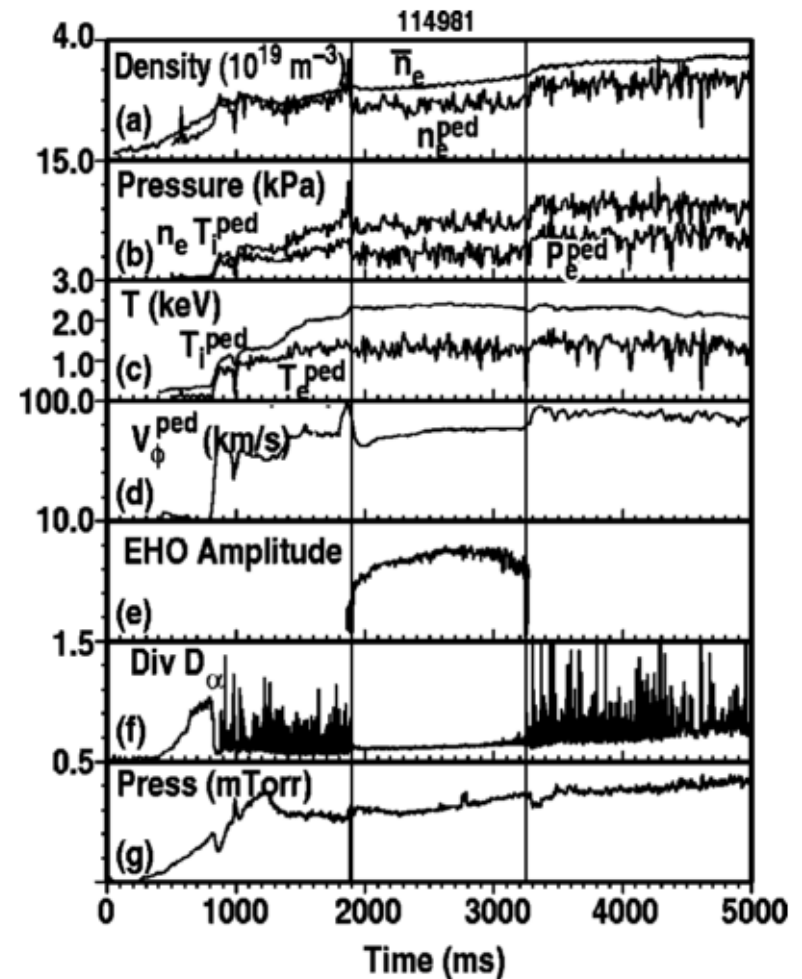
in collaboration with  
J.A. Boedo, K.H. Burrell,  
C.-S. Chang, J.S. DeGrassie,  
B.A. Grierson, R. Groebner,  
R. Maingi, W.M. Solomon

Presented at the  
NSTX-U Science Meeting  
March 4<sup>th</sup>, 2013



# QH-mode: Stationary ELM-free H-mode is Maintained with an Edge Harmonic Oscillation (EHO)

- **EHO increases edge particle transport**
  - EHO – multiple n-harmonic EM mode
  - Maintains edge pressure less than (or equal to) peeling-ballooning stability
  - Stationary ELM-free H-mode with good thermal confinement
- **Typically achieved with counter- $I_p$  torque, strong pumping, large  $T_i$ , low density**



K. Burrell et al., PoP **12** (2005)

# Interpretive XGC0 Calculations Toward Elucidating Transport Mechanisms in H-mode Regimes

- **JRT13: Stationary ELM-free H-modes**
  - QH-Mode, I-mode, EPH-mode, RMP ELM suppression
    - Suitable particle transport while maintaining good thermal barrier
  - Common thread: edge plasma mode or applied 3D fields
- **Apply interpretative XGC0 calculations to elucidate the role of neoclassical and anomalous transport in standard and enhanced H-mode regimes**
  - XGC0: Full-f Neoclassical with neutrals + ad-hoc anomalous
  - What is the nature of the pedestal transport in different regimes?
  - Ultimate goal: quantitative model for predicting and optimizing pedestal and SOL transport
    - Pedestal height, width and stability
    - Intrinsic rotation, momentum transport and  $E_r$
    - Divertor particle and energy loads



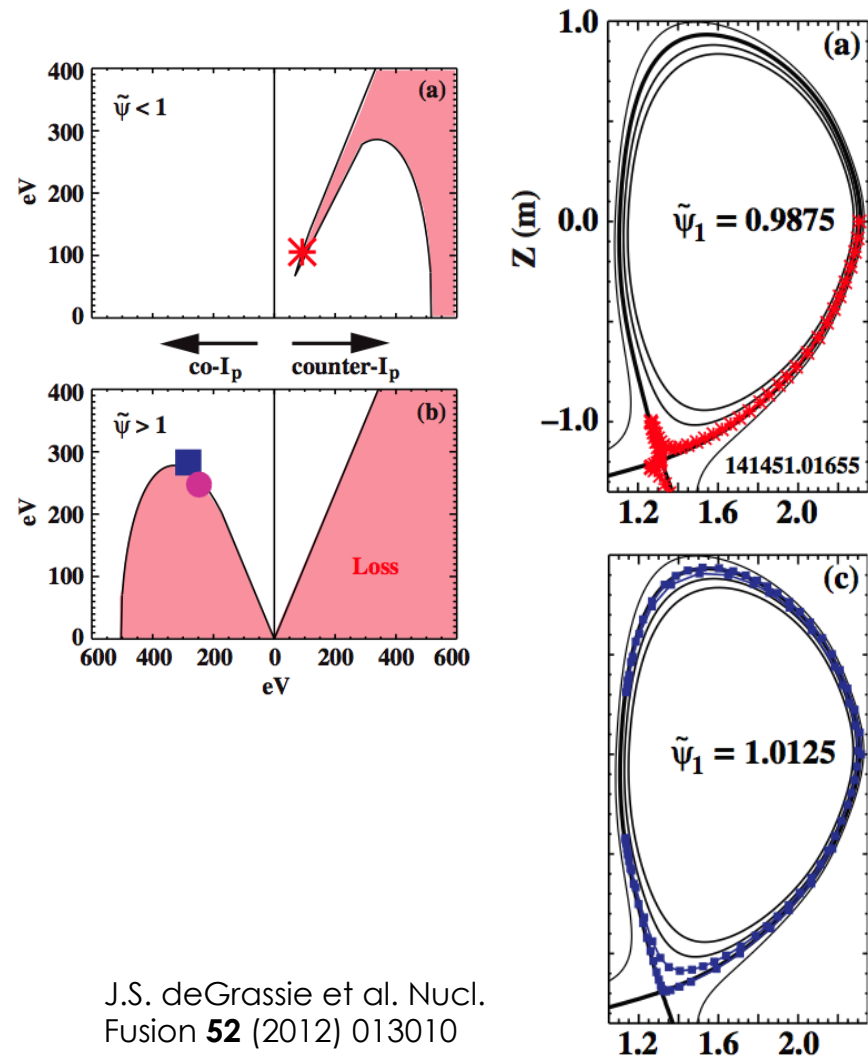
# Outline and Conclusions

- **Interpretive XGC0 pedestal transport calculation**
  - Tune free parameters of calculation to match experiment data
- **Neoclassical transport in low-power H-mode**
  - Ion transport through the pedestal is neoclassical
  - Measured intrinsic rotation implies an anomalous radial current in the pedestal (consistent with anomalous electron transport)
- **Neoclassical transport in QH-mode**
  - Nature of transport is very similar to H-mode
    - Ions are neoclassical, electrons are anomalous
  - Single particle orbit effects seen in experiment are quantitatively reproduced by XGC0
    - Temperature anisotropy, SOL ion temperatures and intrinsic rotation



# Thermal Ion Orbit Loss Impacts Transport within Pedestal and SOL

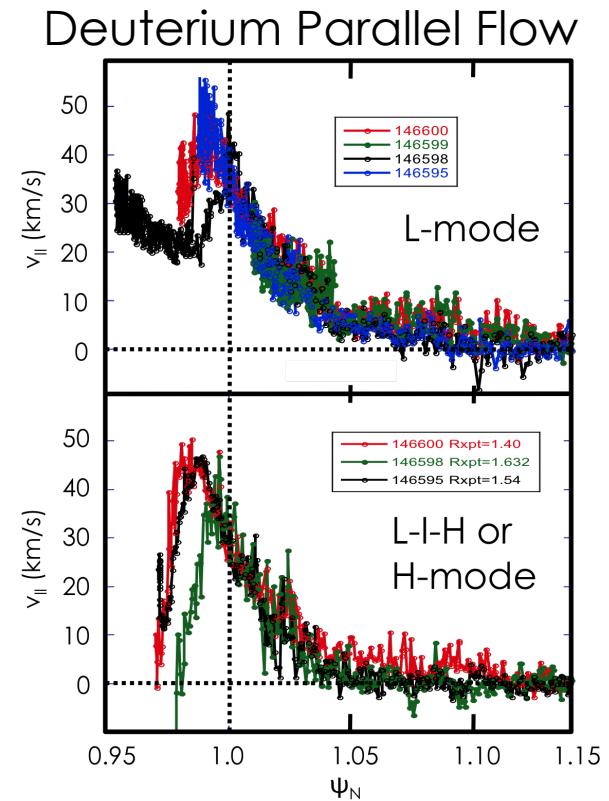
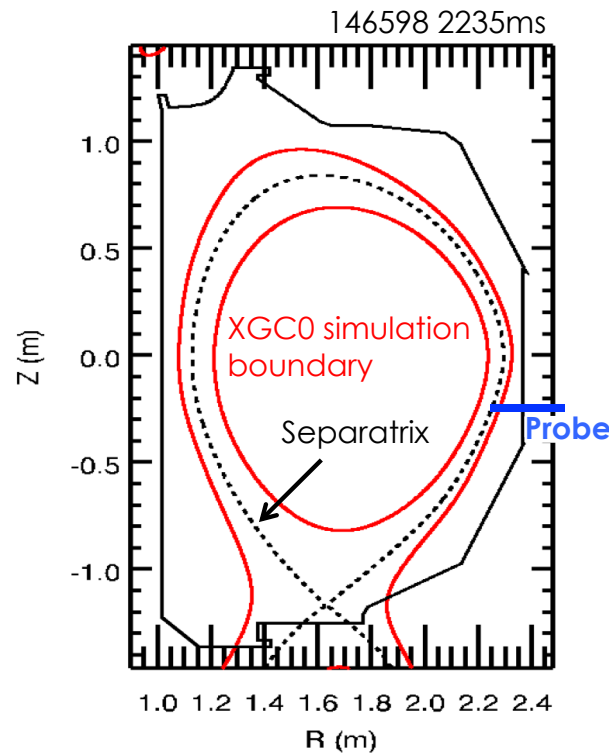
- **Loss of counter- $I_p$  ions and confinement of co- $I_p$  ions**
  - Non-ambipolar since ion banana width  $\gg$  electron
  - Leads to “intrinsic” flows and energy anisotropy
- **Maintain ambipolar transport by...**
  - Negative  $E_r$  to squeeze orbits
  - Or, remove orbit effects through large collisionality
  - Or, Ion orbit loss balanced by some other non-ambipolar process



J.S. deGrassie et al. Nucl. Fusion **52** (2012) 013010

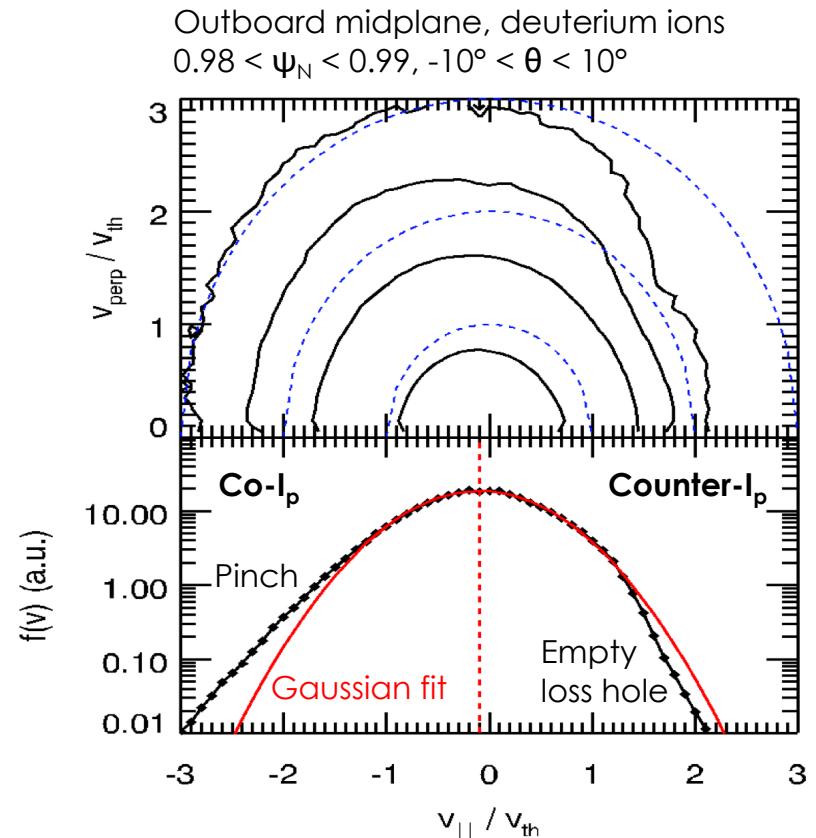
# Edge Main-Ion Flows are Consistent with a co- $I_p$ Intrinsic Torque due to Loss Hole

- **Plunging probe provides high resolution edge measurements**
  - Large edge deuterium flows in both ECE-heated L- and H-mode
  - Edge co- $I_p$  flows can be  $\gg$  core, peak near separatrix
- **ELM-free H-mode period with small fluctuation amplitudes**



# XGC0: Self-consistent Neoclassical Ion Transport, Realistic Sources and Sinks, and Magnetic Geometry

- **Full-f calculations using XGC0**
  - Solve drift kinetic equations for millions of particles ( $C^{+6}$ ,  $D^+$ ,  $e^-$ )
  - Axisymmetric (2D space), Full-f gyrokinetic (2D velocity)
  - Magnetic geometry from EFIT
  - Monte-carlo neutral fueling calculation
  - Potential adjusted to maintain ambipolar transport
    - Potential is a flux function ( $E_{||} = 0$ )



- **H-mode pedestals have Non-Maxwellian Ion Distributions**

# Interpretive XGC0 Modeling used to Elucidate Transport Mechanisms in Low-Power H-mode Pedestal

- **Initial simulations with reduced physics model**
  - Self-consistent recycling is only particle source
  - C<sup>+6</sup> is the only impurity
  - Adiabatic electrons (electron profiles held constant)

- **Four free parameters**

- Anomalous random-walk diffusion step-size
  - Pedestal ( $\psi_N < 1$ )
  - SOL ( $\psi_N > 1$ )
- Anomalous radial current
  - Anom viscosity or apply external torque
- Core ion heating ( $\psi_N < 0.95$ )

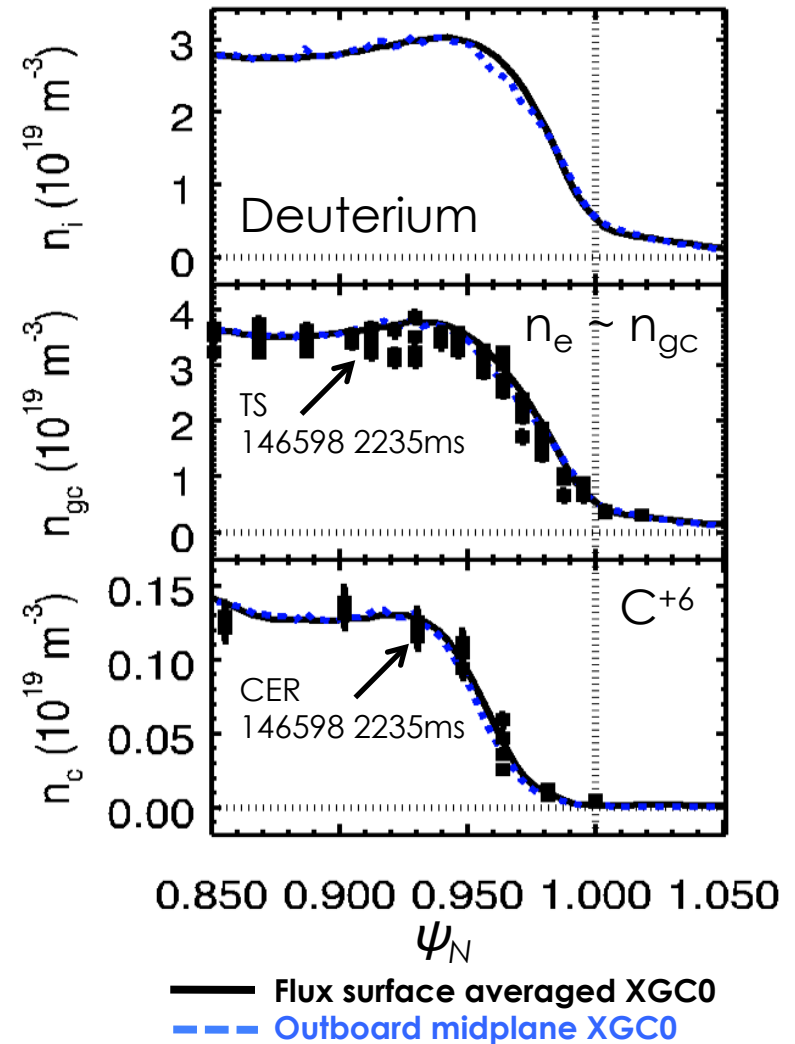
	H-mode
$D_{\text{anom-ped}}$	0
$D_{\text{anom-SOL}}$	0.2 m <sup>2</sup> /s
$\eta_{\text{anom}}$	0.6 m <sup>2</sup> /s
Ion heat	0.55 MW

- **Values chosen so steady-state XGC0 profiles = experiment**



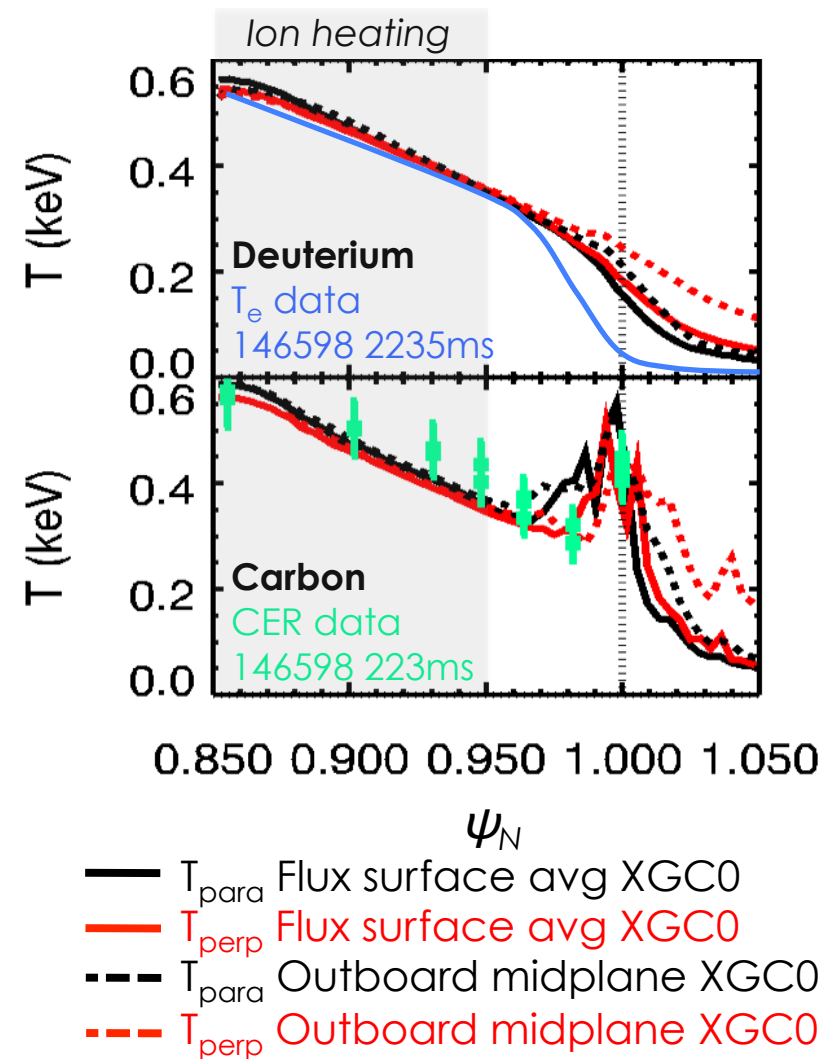
# Excellent Quantitative Agreement for the Density Profiles is Achieved with Self-consistent Recycling

- **Edge GC density profiles match experiment with recycling source**
  - No core source, recycling sufficient to maintain pedestal
  - C and D density profiles are different
  - Density mostly constant along a flux surface for  $\psi_N < 1$
- **Neoclassical ion transport in the H-mode pedestal**
  - ELM-free and low turbulence
  - $D_{anom} = 0.2 \text{ m}^2/\text{s}$  in SOL



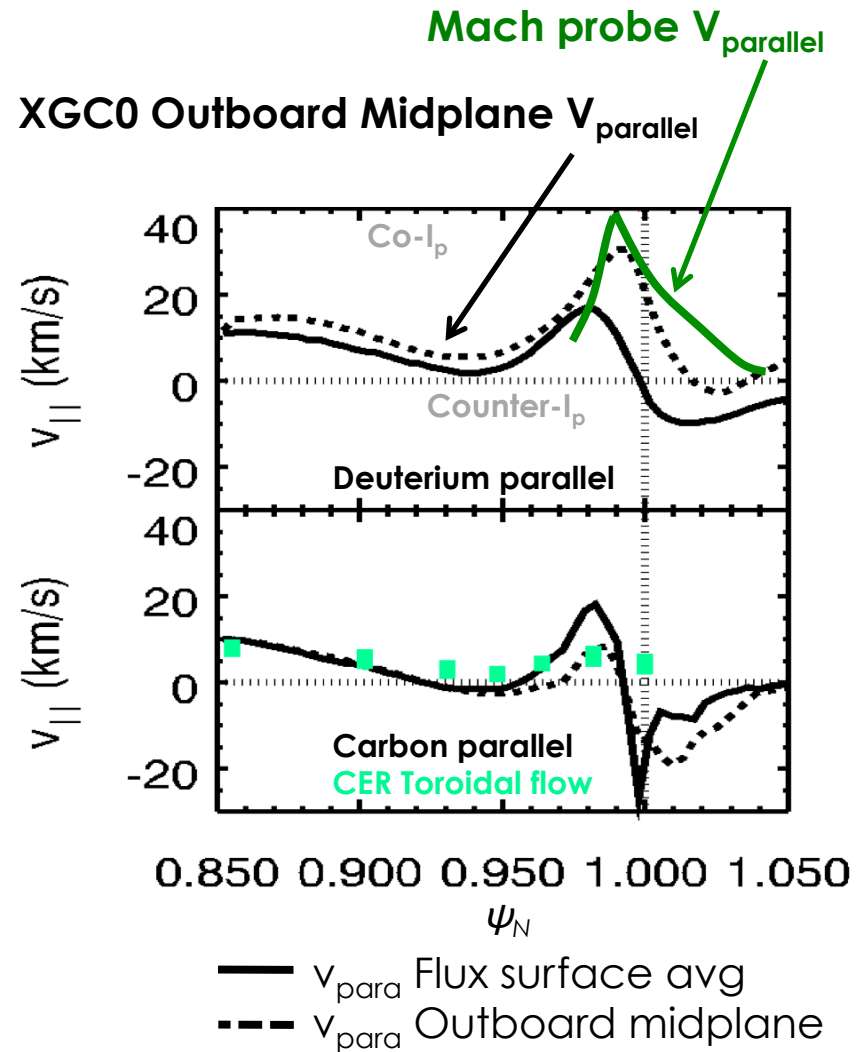
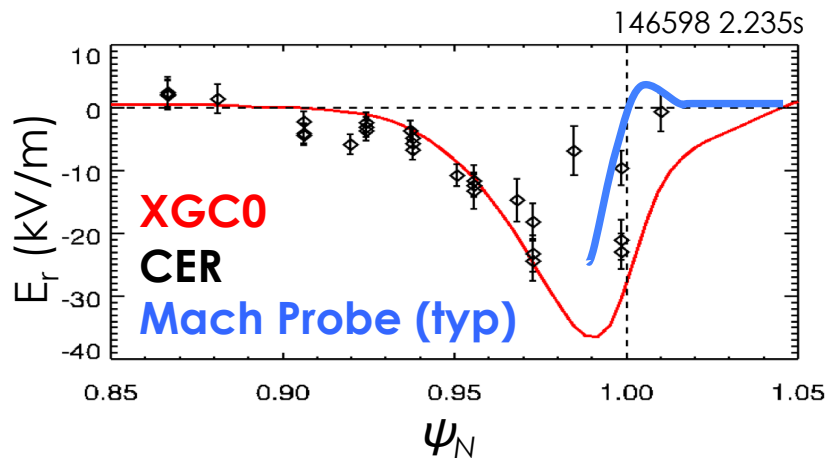
# Ion Orbits in the Pedestal Lead to Anisotropic Temperatures Near the Separatrix

- **Ion temperatures reproduced**
  - Ion heating 0.55MW
    - $\sim 33\%$  of  $P_{\text{loss}}$
  - $T_i > T_e$  around separatrix
  - Spike in  $T_C$  at separatrix
- **Temperatures are anisotropic around separatrix**
  - Discussed later in more detail



# Parallel Deuterium Flow and $E_r$ in Good Agreement with Mach Probe and CER measurements

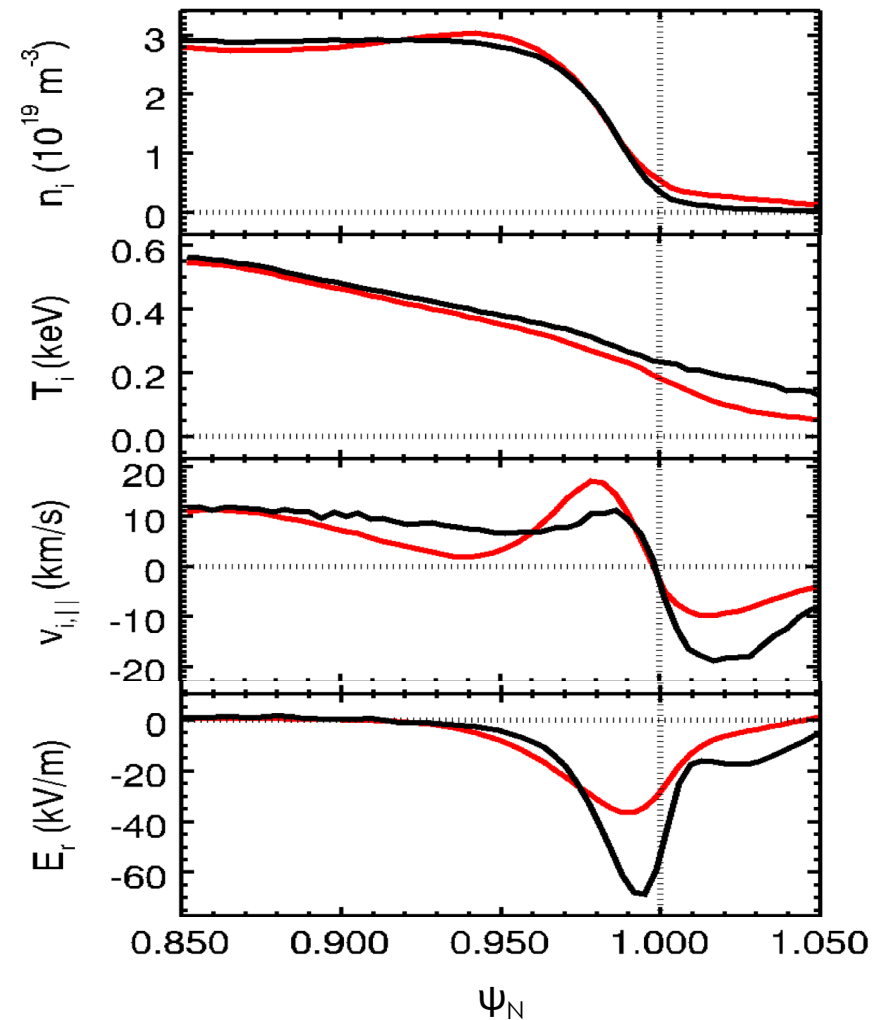
- **Anomalous Viscosity ( $\eta$ ) ...**
  - Reduces  $E_r$  well
  - Increases edge  $v_{para}$
- **Flow peaked at outboard midplane**
  - Flux surface averaged  $v_{||}$  for D and C<sup>+6</sup> are similar



# Density and Temperature Profiles Reproduced with Two Flavors of Anomalous Transport in the Pedestal

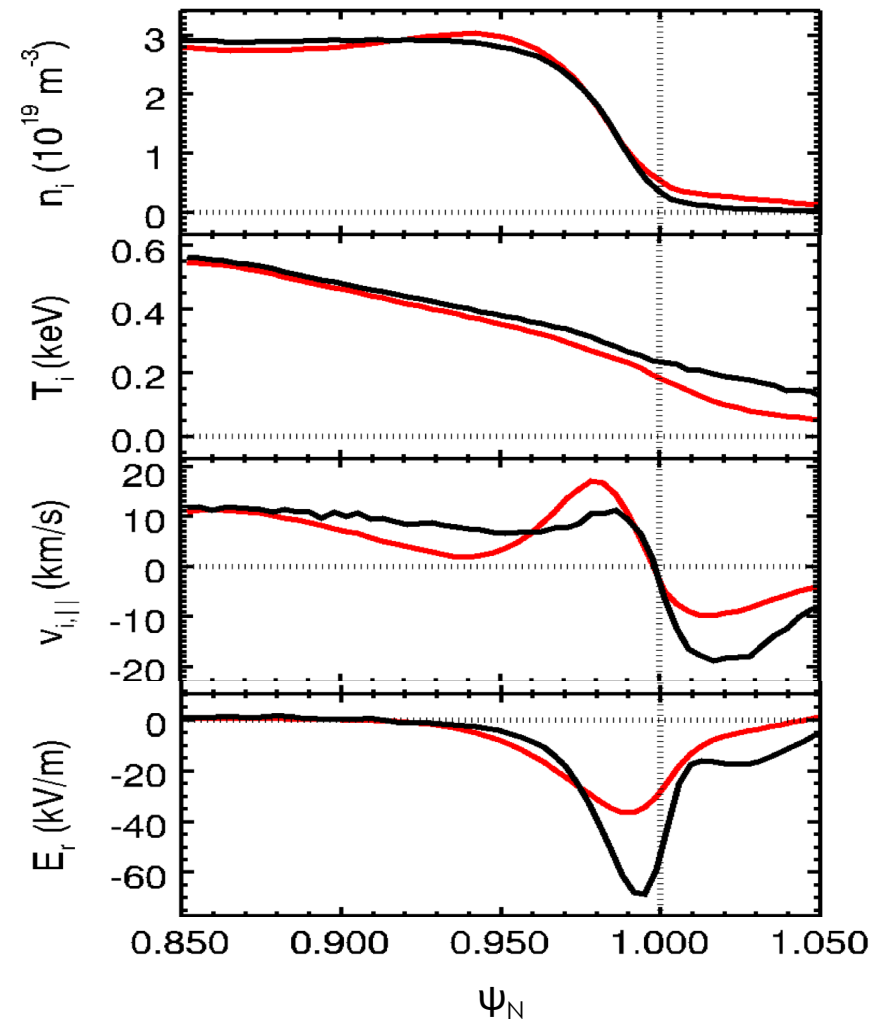
	Non-ambi	Ambi
$D_{\text{anom-ped}}$	0	0.02 m <sup>2</sup> /s
$D_{\text{anom-SOL}}$	0.2 m <sup>2</sup> /s	0.2 m <sup>2</sup> /s
$\eta_{\text{anom}}$	0.6 m <sup>2</sup> /s	0
Ion heat	0.55 MW	0.50 MW
$\Gamma_{i,\text{neo}} =$	$\Gamma_{\text{anom}}$	0

- **Best agreement: Ion orbit loss balanced by anomalous radial current**
  - More positive  $E_r$  well
  - More peaked intrinsic flow due to loss of counter- $I_p$  ions



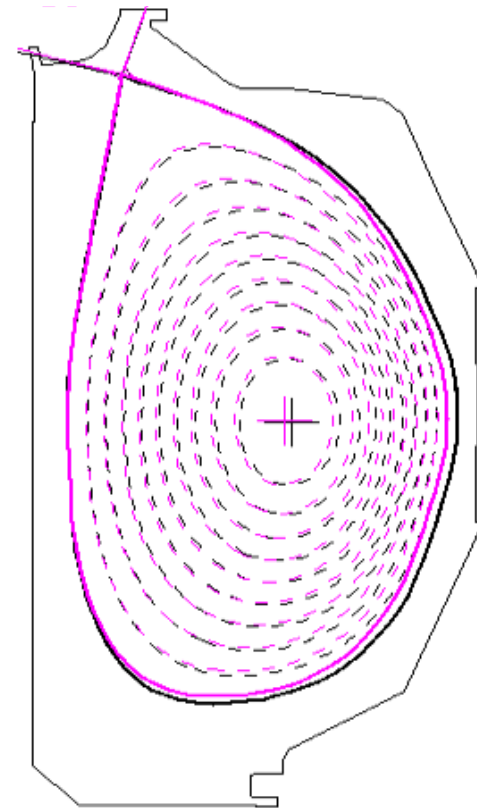
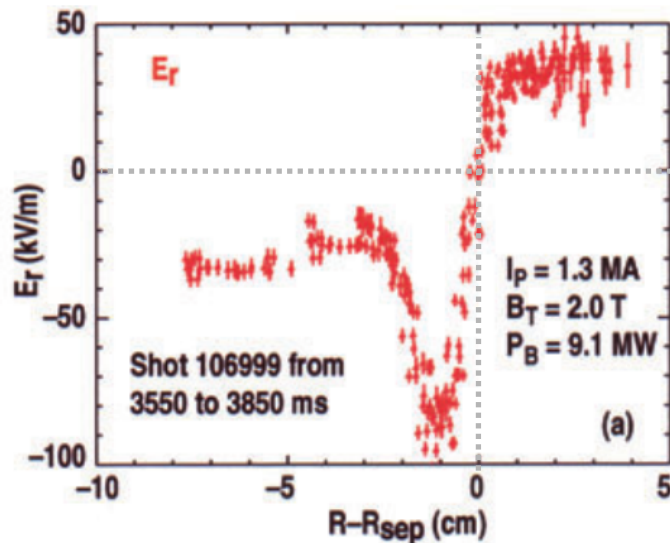
# Initial Prediction: Edge Modes (like EHO) Switch Transport from **Non-ambipolar** to **Ambipolar**

- **Maintain same particle transport, but ...**
  - $E_r$  is more negative, shutting off ion orbit loss
  - Improves thermal confinement
  - Reduces edge co- $I_p$  torque
- **A good idea (?), but it doesn't seem to be the case**
  - QH-mode transport qualities similar to H-mode
  - Focus of the rest of the talk

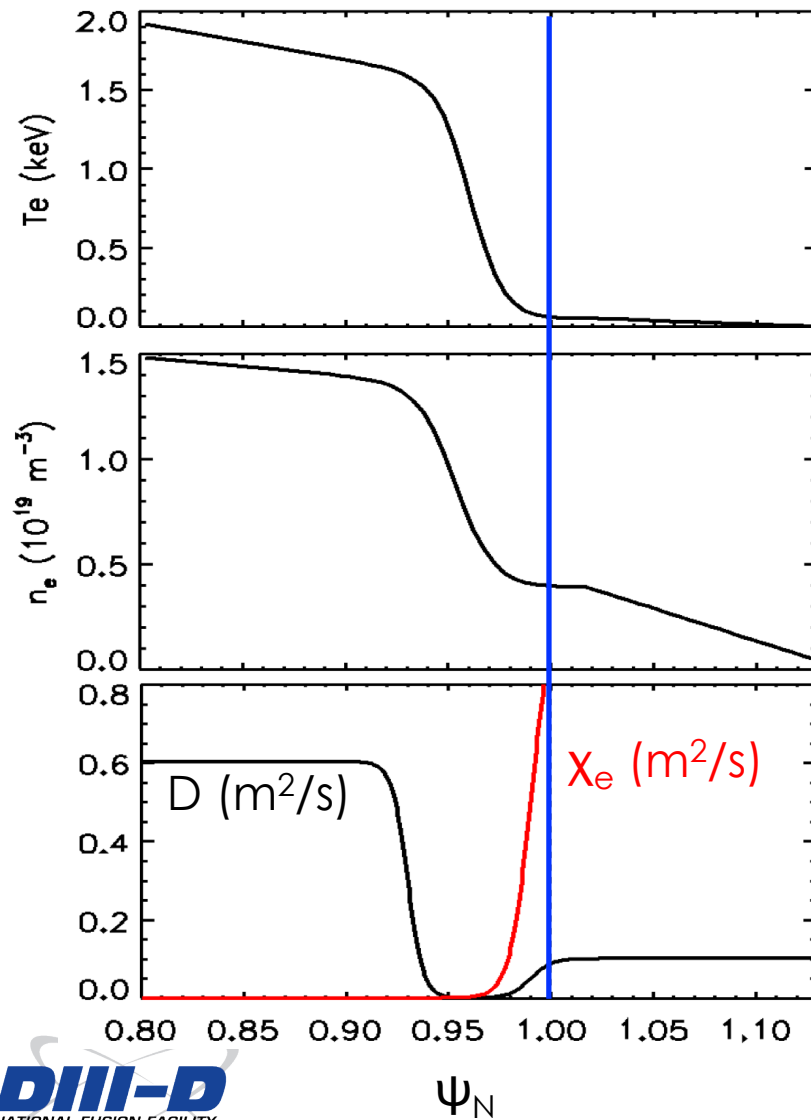


# Edge Sweep Data Provides High Resolution Measurements to Compare to XGC0 Results

- **QH-mode edge sweep**
  - Stationary profiles with 400ms edge sweep across diagnostic channels
  - $E_r$  from  $C^{+6}$  force balance

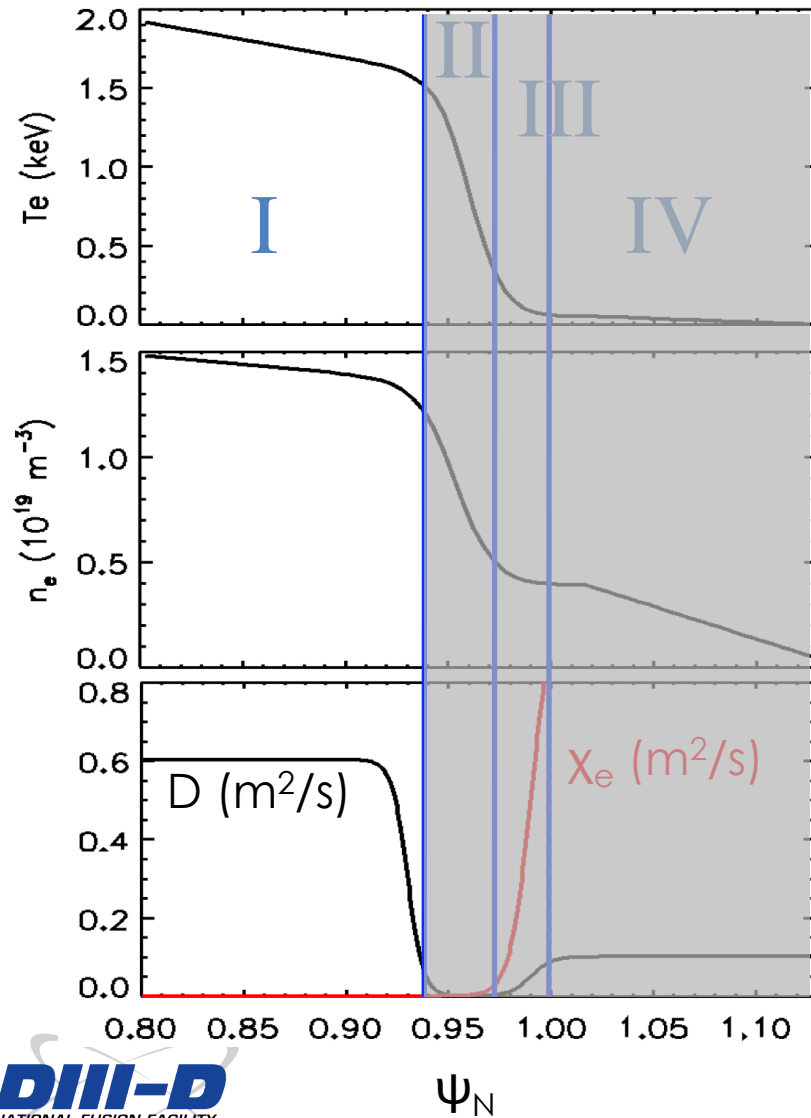


# Pedestal Transport Model in XGC0 for Shot 106999



- **New: including full-f electron calculations**
  - Self-consistent sheath
  - Elucidate electron transport properties
- **New: finite D needed at top of pedestal**
  - Large turbulent transport in high power H-mode

# Pedestal Transport Model in XGC0 for Shot 106999

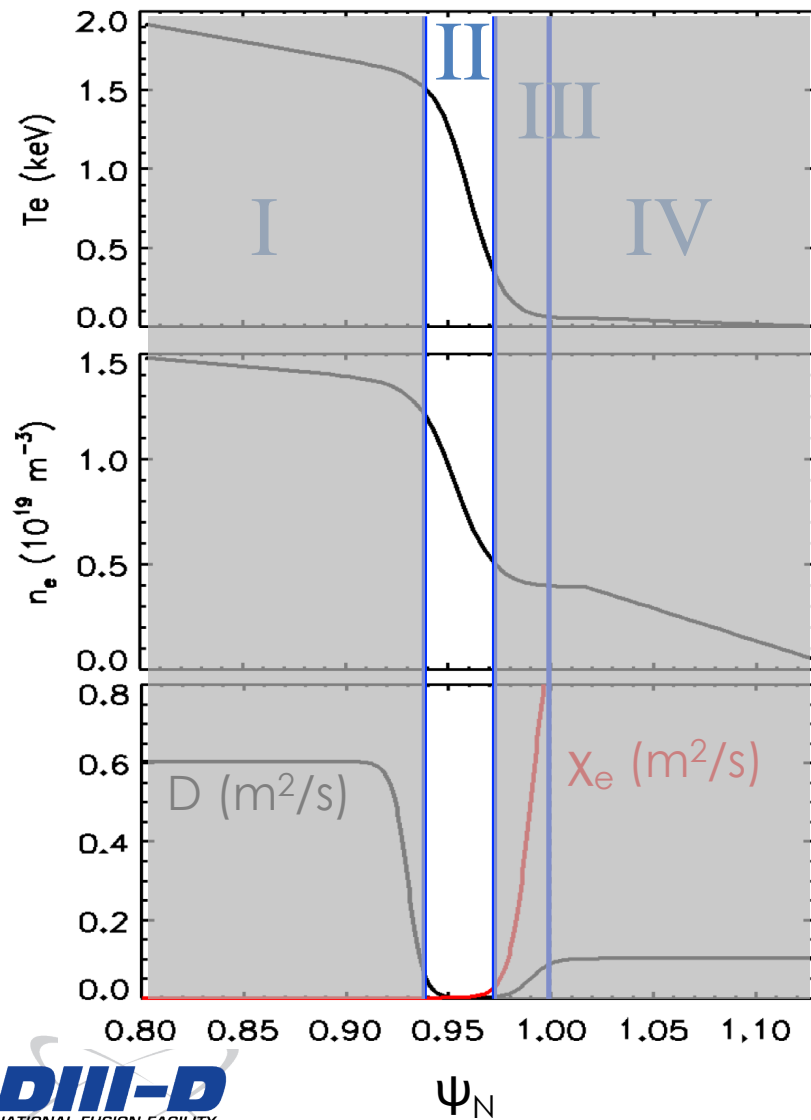


- **Top of pedestal (I)**

- 3.0 MW Ion heating
- 5.0 MW Electron heating
  - 9 MW beam power
- 110 Amps of  $D^+$ ,  $e^-$ 
  - 75% beam flux
- Inner boundary  $E_r$  fixed
  - Fixed core rotation
- 0.5 – 0.8  $\text{m}^2/\text{s}$  random-walk ambipolar diffusion
  - Kick guiding centers ~ 0.1 mm step in random direction



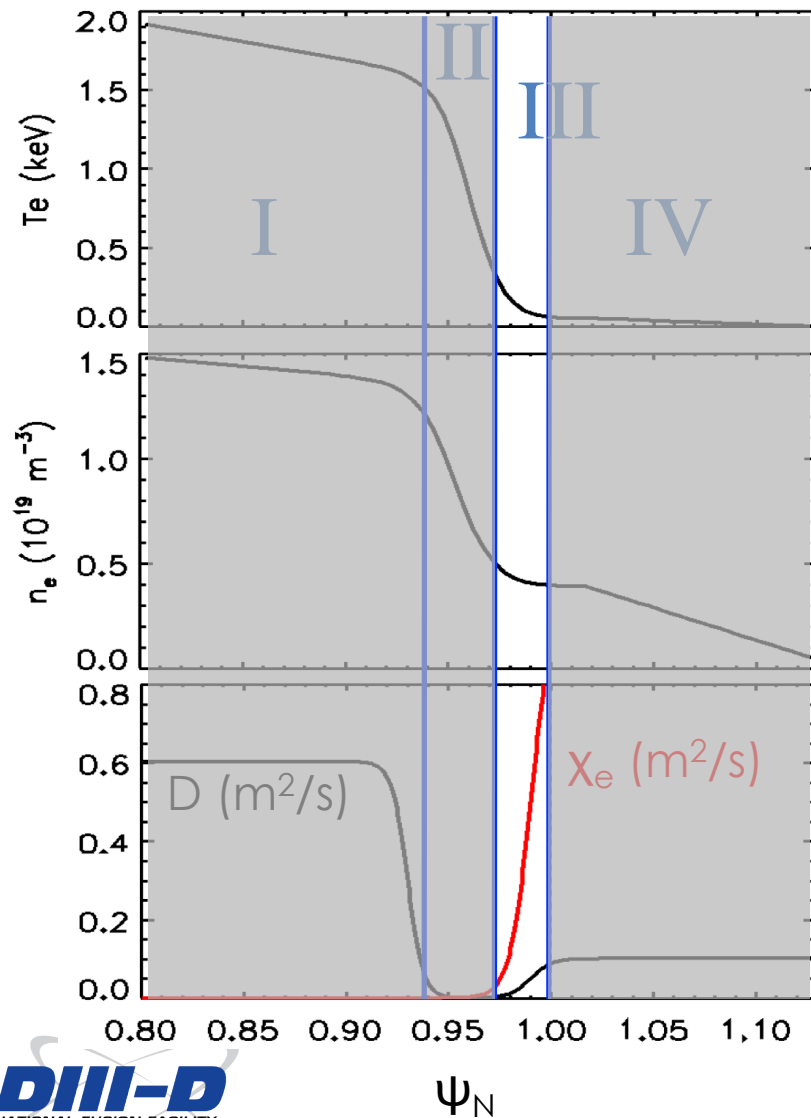
# Pedestal Transport Model in XGC0 for Shot 106999



- **Steep gradient region (II)**

- Similar to low-power H-mode case ...
- $0 - 0.01 \text{ m}^2/\text{s}$  random-walk diffusion
  - Ion transport is neoclassical
- Anomalous radial current
  - In model, anom viscosity or apply  $\sim 0.5 \text{ Nm Co-I}_p$  torque

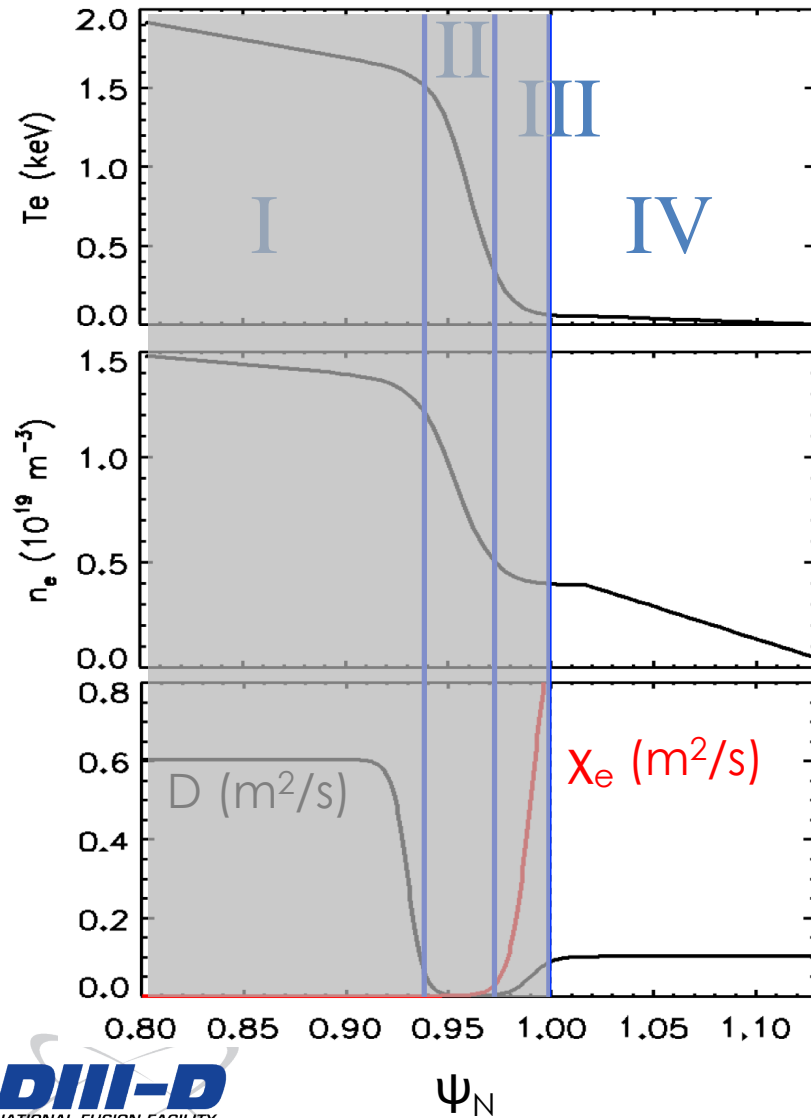
# Pedestal Transport Model in XGC0 for Shot 106999



- **Bottom of pedestal (III)**

- Paleoclassical-like random-walk ambipolar diffusion
- Order-of-magnitude larger anomalous electron thermal transport
- $D_{\text{anom}} \sim T_e^{-3/2} \sim 0.1 \text{ m}^2/\text{s}$
- $\chi_e \sim T_e^{-3/2} \sim 1 \text{ m}^2/\text{s}$

# Pedestal Transport Model in XGC0 for Shot 106999

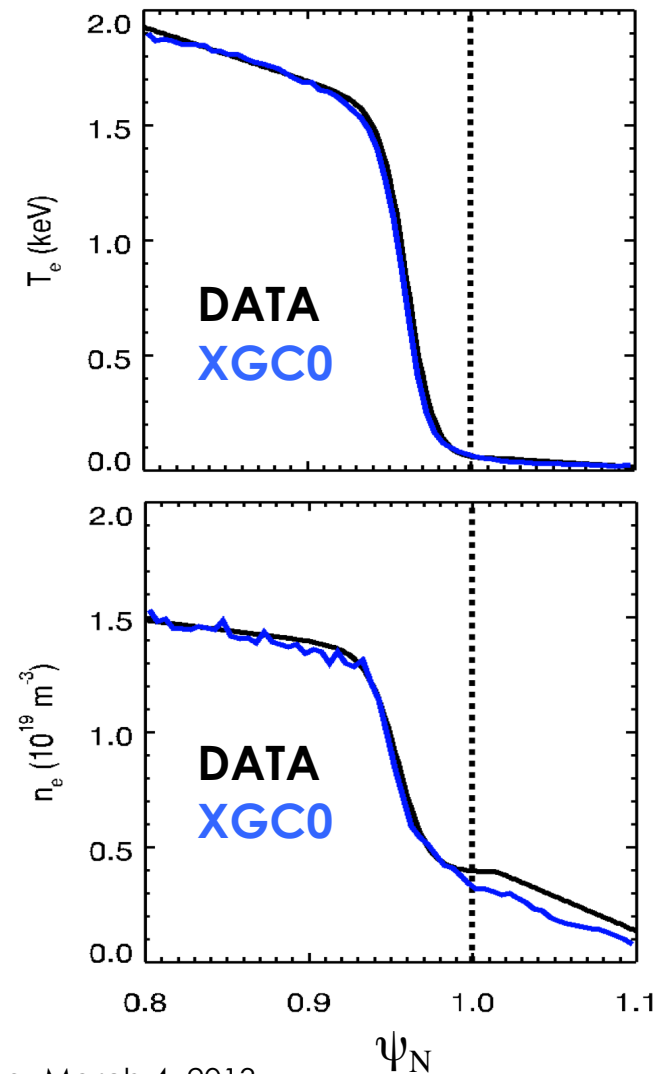


- **SOL (IV)**

- D recycling  $\sim 97\%$
- C recycling = 100%
- Random-walk diffusion  $\sim 0.1 \text{ m}^2/\text{s}$  and  $\chi_e \sim 1 \text{ m}^2/\text{s}$
- “Global” sheath
  - Potential adjusted to maintain ambipolar transport to wall
  - Zero resistance to wall currents
- Carbon line emission loss model

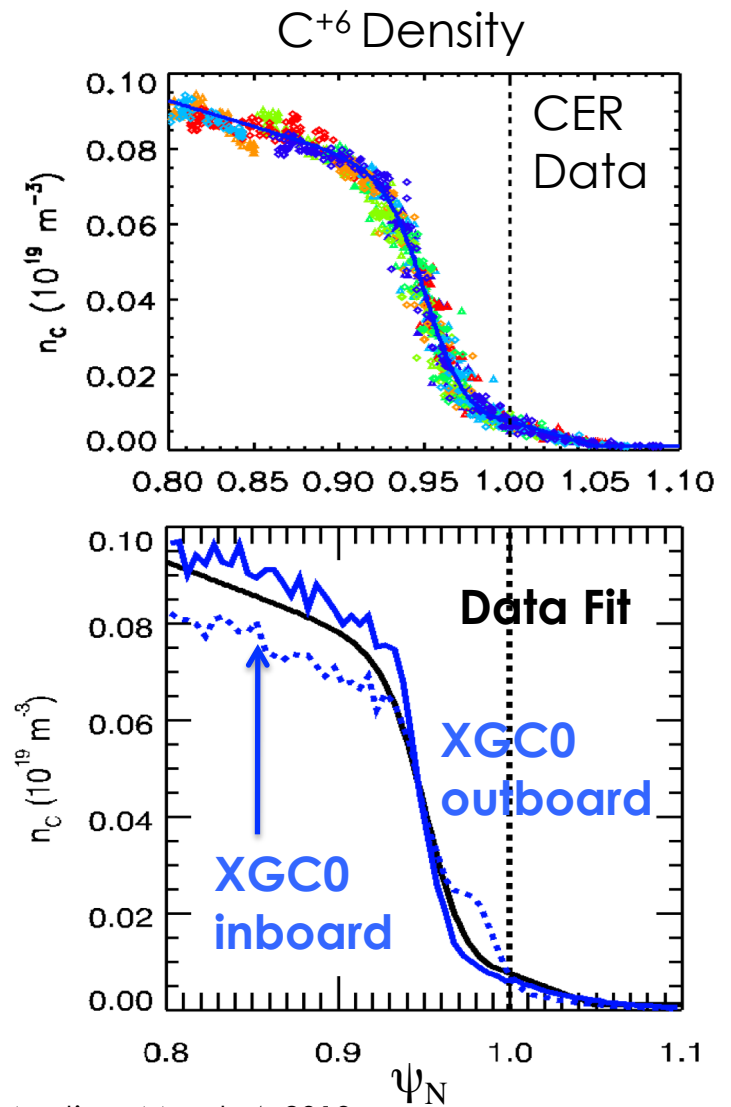
# Electron Profiles in Good Agreement with Measured Profiles

- **Electron profiles very sensitive to sources, sinks and anomalous transport levels**
  - Density in SOL is usually under predicted
- **Primitive impurity line radiation calculation based on average  $Z_{\text{eff}}$**
- **$T_e$  is constant and  $n_e$  is mostly constant on a flux surface inside separatrix**
  - Poloidal asymmetries requires  $E_{\parallel}$
  - XGC-A will fix this



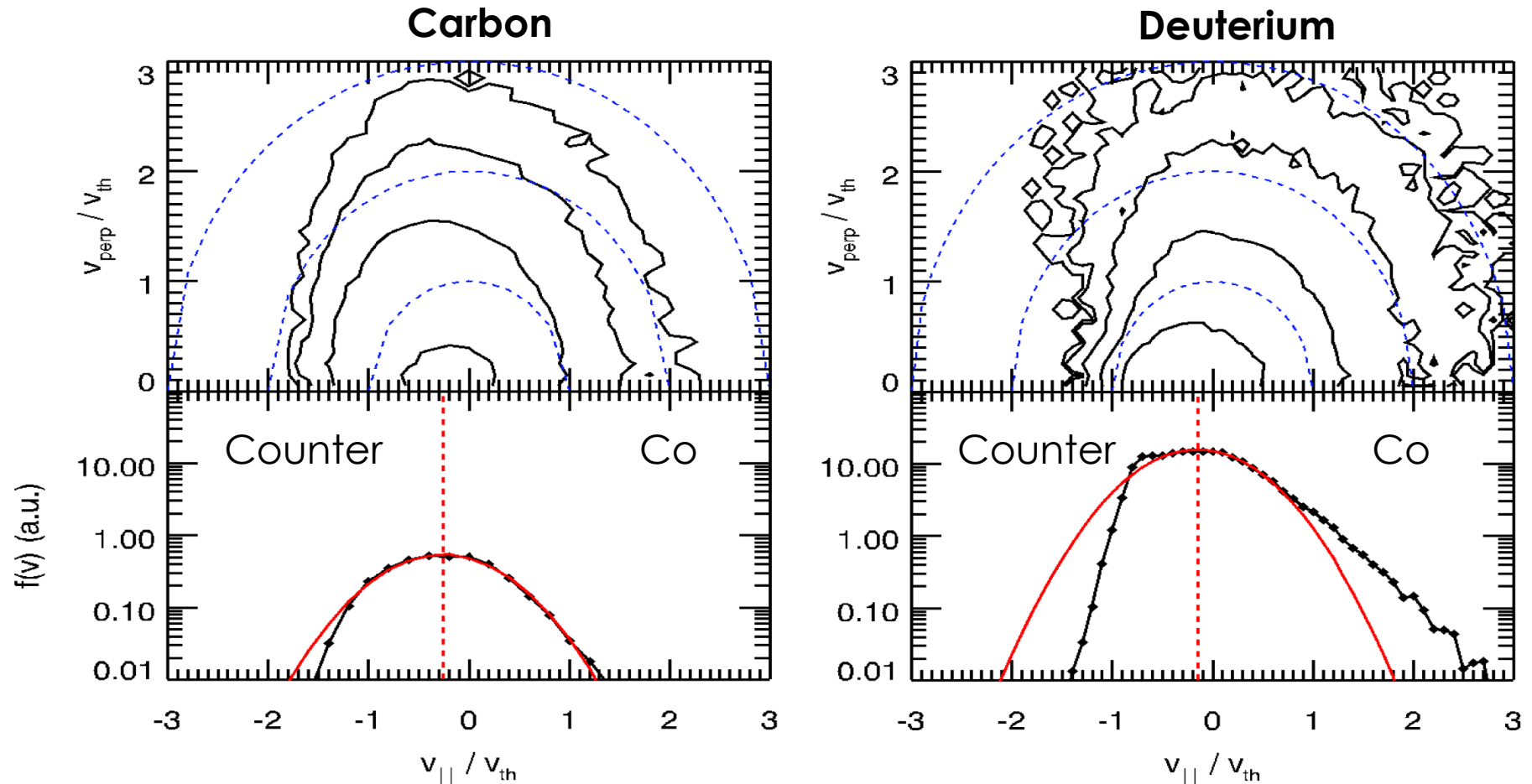
# Carbon Density Profile Reproduced with Only Recycling as a Source

- **Carbon GC density close to experimental profile**
  - Some poloidal asymmetry in carbon GC density at top of pedestal and around separatrix
  - Current task: Translating GC density into true density

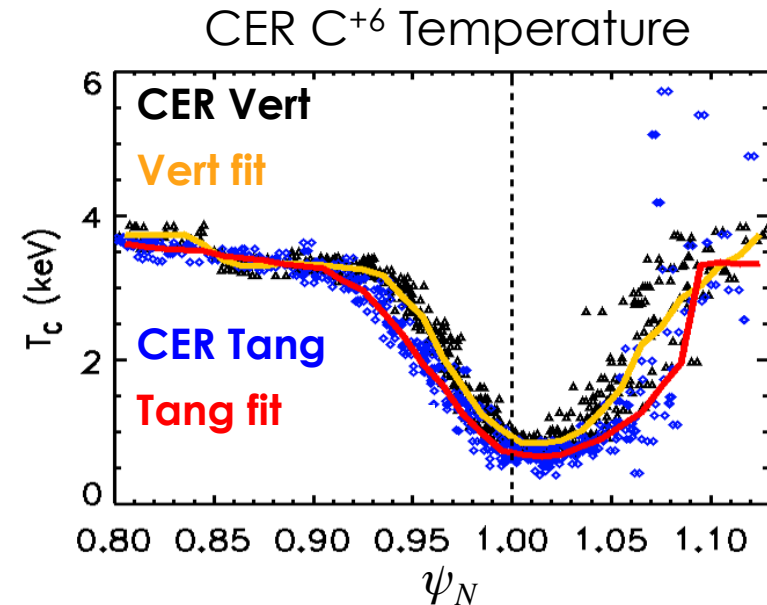
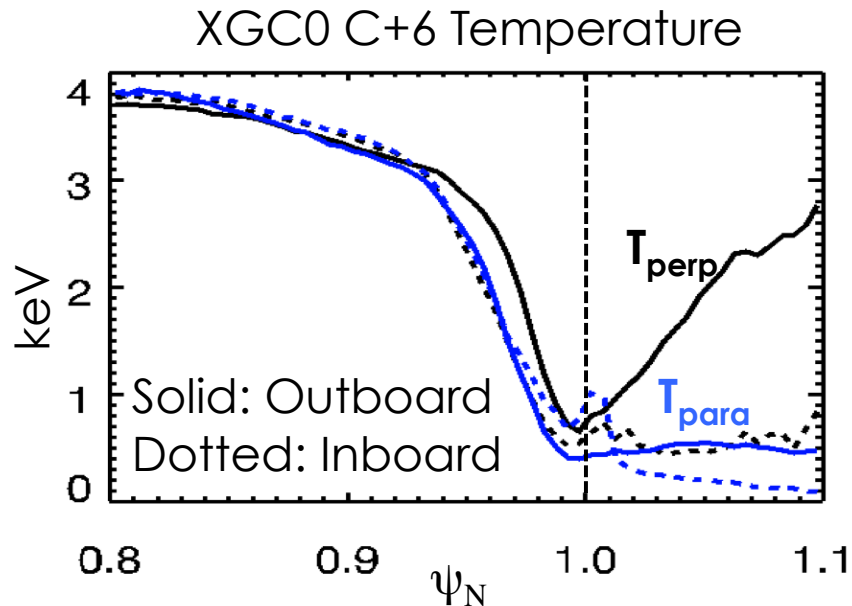


# Ion Distributions are Non-Maxwellian Throughout the Pedestal

Outboard midplane, top of pedestal ( $0.93 < \psi_N < 0.95$ )

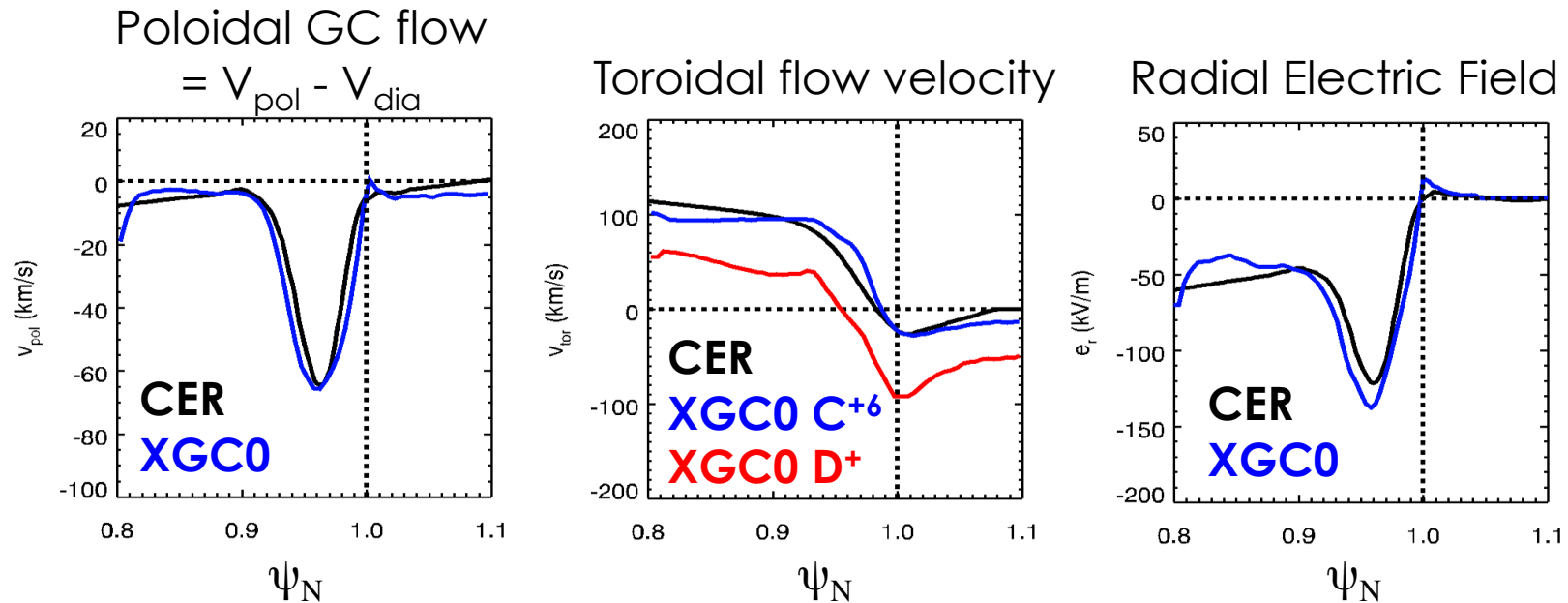


# XGC0 Reproduces Anisotropic C<sup>6+</sup> Temperatures at Outboard Midplane



- **XGC0 and CER agree ...**
  - $T_{\text{perp}} > T_{\text{para}}$  in steep gradient (mostly on outboard)
  - $T_{\text{perp}}$  soars in SOL: deeply trapped orbits from top of pedestal
- **XGC0 has yet to reproduce  $T_{\text{para}} > T_{\text{perp}}$  in far SOL**
  - Beam physics? Finite  $E_{\parallel}$ ? Atomic physics? Diagnostic effect?

# Self-consistent $E_r$ Calculation in Good Agreement with $E_r$ Derived from CER Profiles



- **Good agreement between XGC0 and CER when ...**
  - At least 0.5 Nm of toroidal Co- $I_p$  torque in steep pedestal region
- **Gives confidence that non-Maxwellian effects are a small correction to force-balance  $E_r$  calculation from CER**



# Conclusions from Interpretive XGC0 Calculations of Edge Transport in H- and QH-mode

- **Transport in steep gradient region: Kinetic neoclassical ion transport balanced against anomalous radial current ( $\Gamma_{i,neo} = \Gamma_{anom}$ )**
  - Radial current equivalent to about 0.5 Nm co- $I_p$  torque
  - Density maintained by including recycling physics
- **Anomalous transport at the bottom of the pedestal (Paleo-like)**
- **Single-particle orbit effects drive temperature anisotropy, intrinsic flows and poloidal asymmetries in the pedestal and SOL**
  - Separation of particle and thermal transport (JRT13)
- **H- and QH-mode have similar transport properties with very small anomalous ion transport in steep gradient region**
  - EHO only impacts electron transport?
  - EHO only impacts transport at top of pedestal?

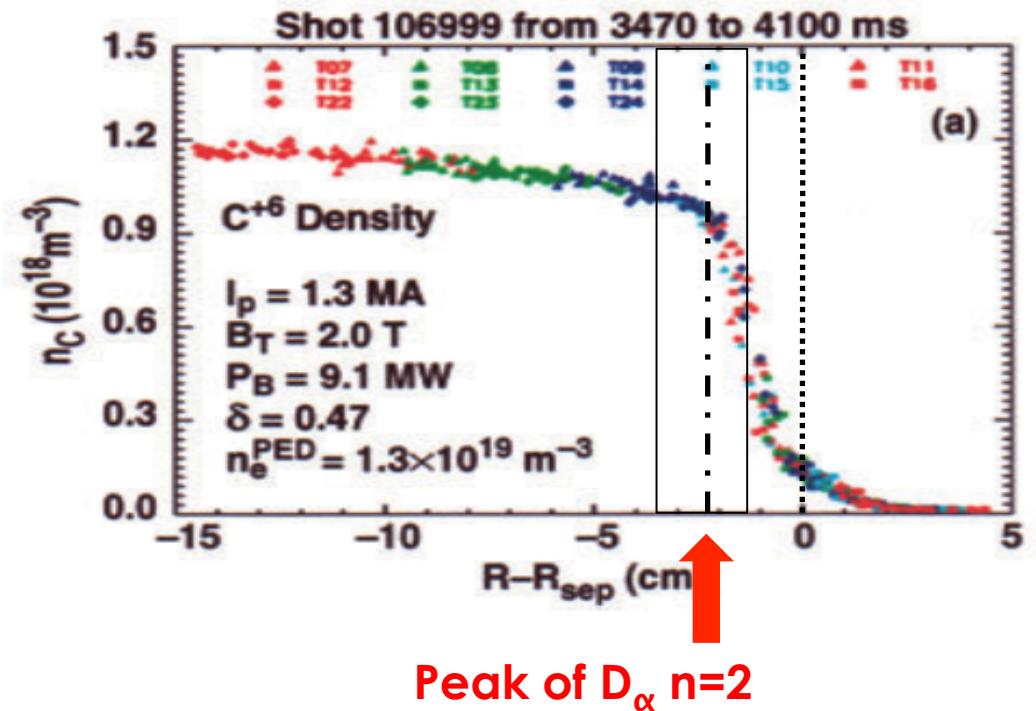
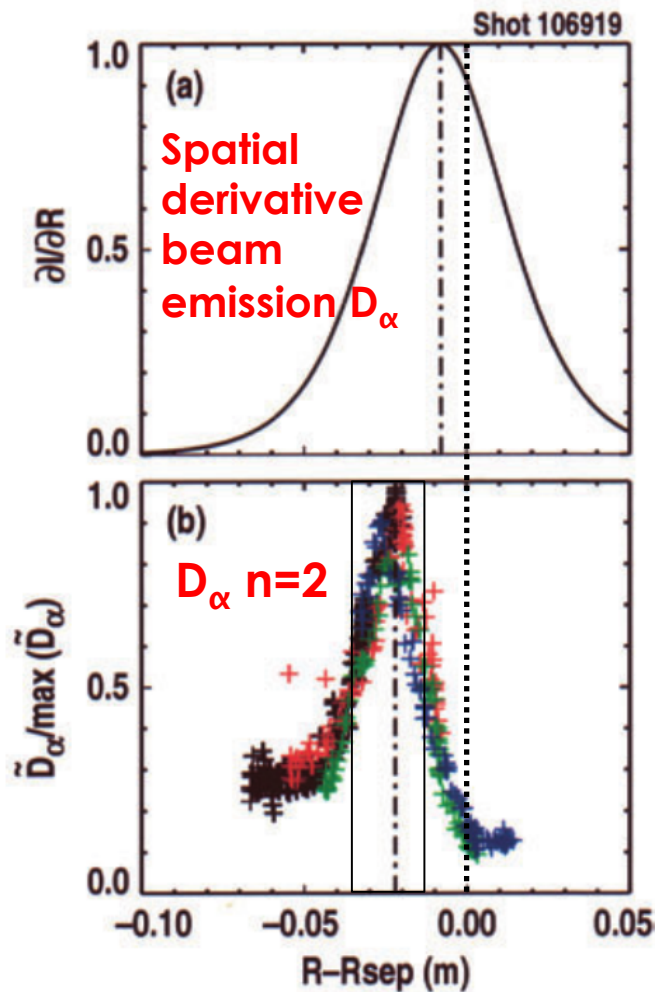


# Future Work

- **Full-f synthetic diagnostics for CER and Mach probe ion measurements**
  - Quantify agreement between experiment and model
  - Guide interpretation of CER spectrum, especially edge Main Ion
- **Include more physics to possibly reduce free parameters**
  - Directly calculate Paleoclassical transport levels
  - Gyro-averaging may capture anomalous viscosity
  - Add KBM or EHO level EM fluctuations
  - Impact of  $E_{\parallel}$
- **JRT13: Compare transport mechanisms of H-mode to ELM-free H-modes (QH-mode, EPH-mode, I-mode ...)**
- **H-mode intrinsic rotation scaling vs edge parameters**



# EHO oscillation amplitude peaks at top of pedestal



- **Good channel-to-channel alignment**
  - Profiles stationary through sweep
- **Spatial calibration suggests EHO peaks at top of pedestal**

# Anomalous transport in XGC0

- **Random walk diffusion and convection**

- $D$  in  $\text{m}^2/\text{s}$  at outboard midplane
- $X$  in  $\text{m}^2/\text{s}$  at outboard midplane for each species

$$R = R + \left( \frac{D}{R} + \frac{dD}{d\psi} \frac{d\psi}{dR} \pm \sqrt{\frac{2D}{\Delta t}} \right) \Delta t + \chi V \frac{d\psi}{dR} \Delta t$$

$$Z = Z + \left( \frac{dD}{d\psi} \frac{d\psi}{dZ} \pm \sqrt{\frac{2D}{\Delta t}} \right) \Delta t + \chi V \frac{d\psi}{dZ} \Delta t \quad V = -\frac{2}{3} \left( \frac{K}{T} - \frac{3}{2} \right) \frac{dT/d\psi}{T}$$

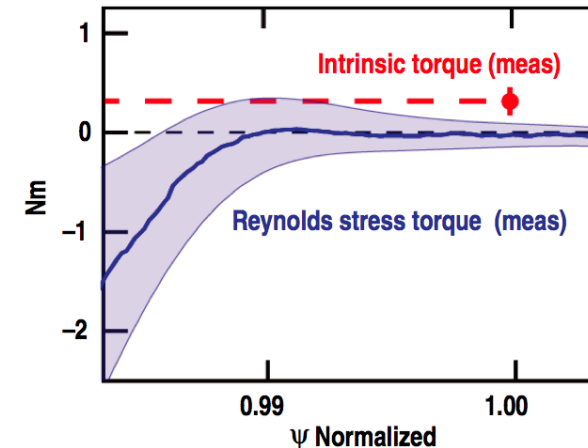
- **Radial smoothing of  $d\phi/d\psi$**

- $\eta$  in  $\text{m}^2/\text{s}$  at outboard midplane

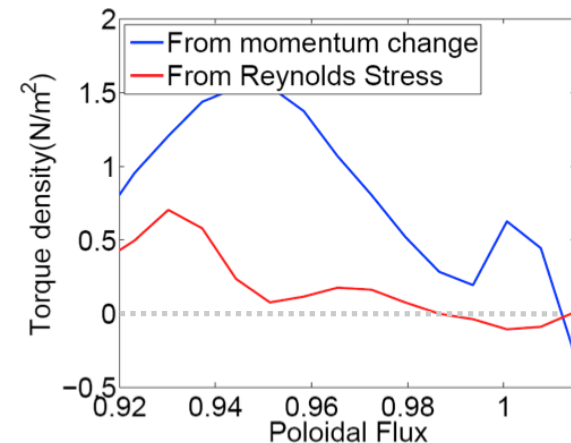
$$\frac{d\phi}{d\psi} = \frac{d\phi}{d\psi} + \eta \left( \frac{d\psi}{dr} \right)^2 \frac{d^3\phi}{d\psi^3} \Delta t$$

# X-transport torque is thought to be a significant source of edge intrinsic rotation

- **Reynolds stress from Mach probe measurements under-predict intrinsic edge torque**
  - Solomon empirical DIII-D scaling for edge intrinsic torque has improved fit when including X-transport parameters
- **XGC1 simulations qualitatively agree with experiment**
  - Co- $I_p$  Reynolds stress from first-principles turbulence model is small
  - Counter- $I_p$  neutral torque is small
  - Co- $I_p$  X-transport torque dominates near separatrix



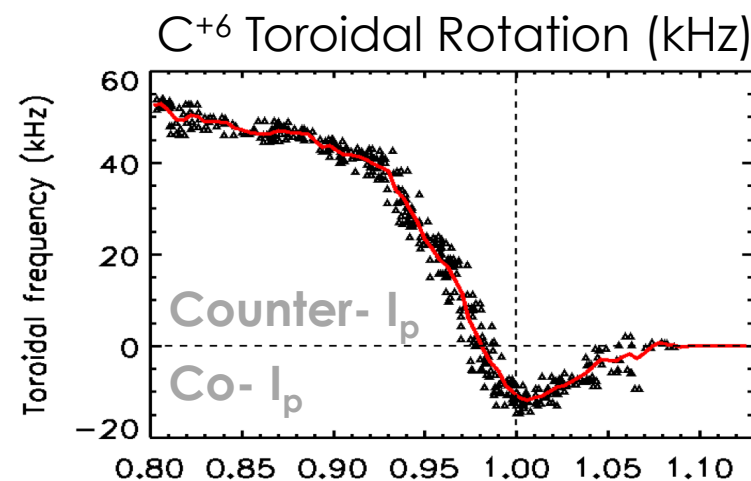
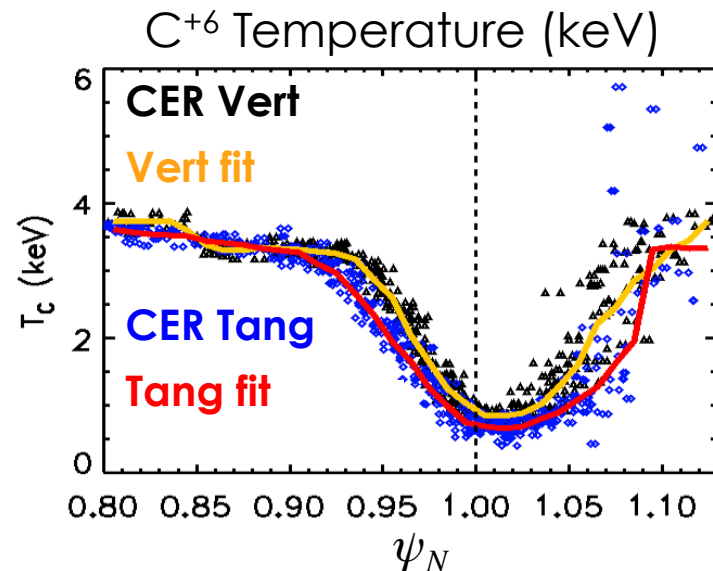
W.M. Solomon et al.  
Nucl. Fusion **51** (2011) 073010



S. Ku et al. EPS (2012)

# Measurements Suggest Single Particle Physics and Sheath Physics is Important

- **Ion Temperature Anisotropy**
  - $T_{\text{perp}} > T_{\text{parallel}}$
  - Large  $C^{+6}$  temperatures in SOL with  $T_{\text{para}} > T_{\text{perp}}$  in far SOL
- **Rotational shear in pedestal**
  - Torque from non-Maxwellian distributions and orbit loss
  - Switch from negative  $E_r$  in plasma to positive  $E_r$  in SOL

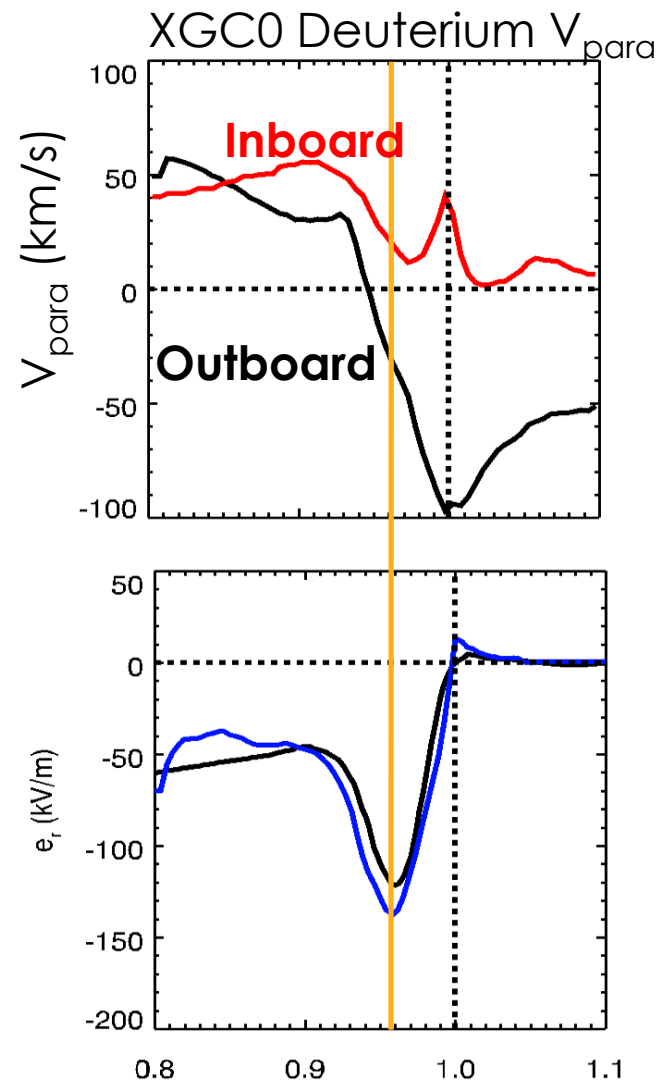


106999 3470 – 4100 ms  $\psi_N$

D.J. Battaglia, NSTX-U Science Meeting, March 4, 2013

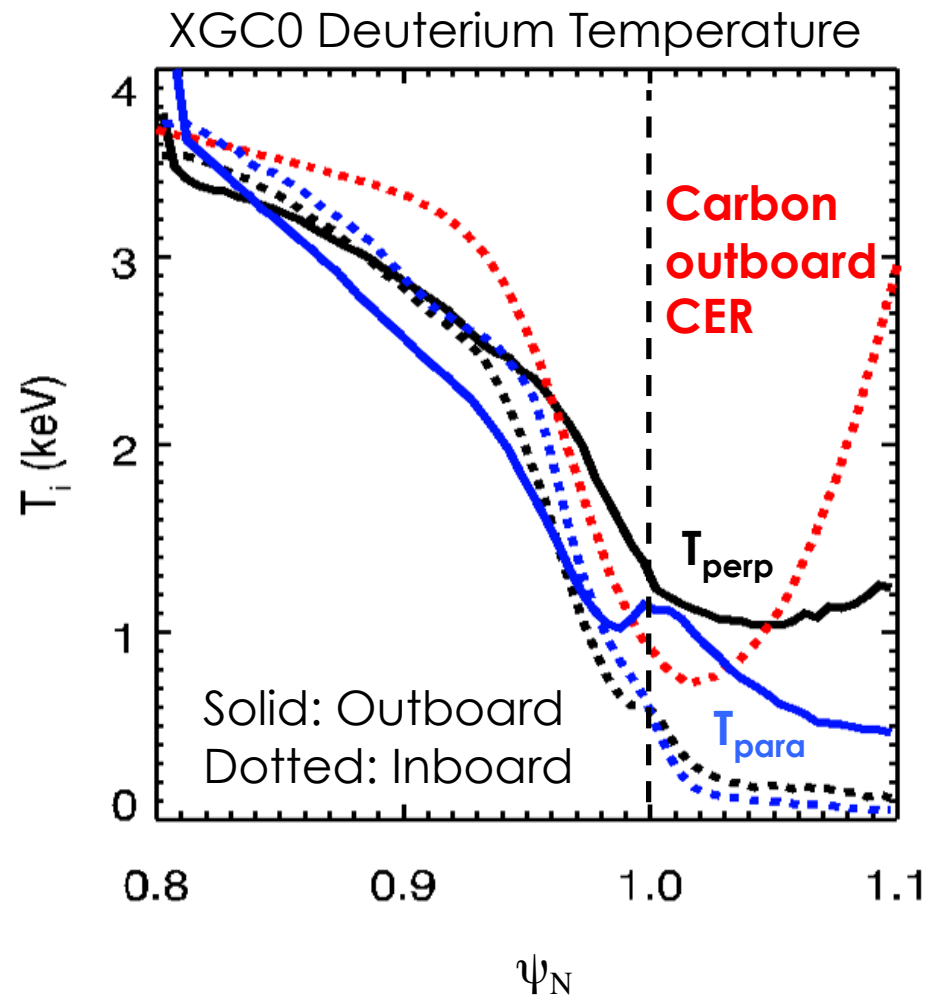
# Observation: Both Main Ion Toroidal Flow and Poloidal Flow Shear $\sim 0$ at $E_r$ well ... EHO Requirement?

- **Counter- $I_p$  torque from beams, Co- $I_p$  intrinsic edge torque**
  - Main Ion flux-surface-averaged parallel flow zero crossing aligns with bottom of  $E_r$  well
- **Low perpendicular flow shear, low parallel flow ...**
  - A recipe for resonant kink?
- **Also note ...**
  - Ions flowing into divertor in SOL
  - Large main-ion outboard flow



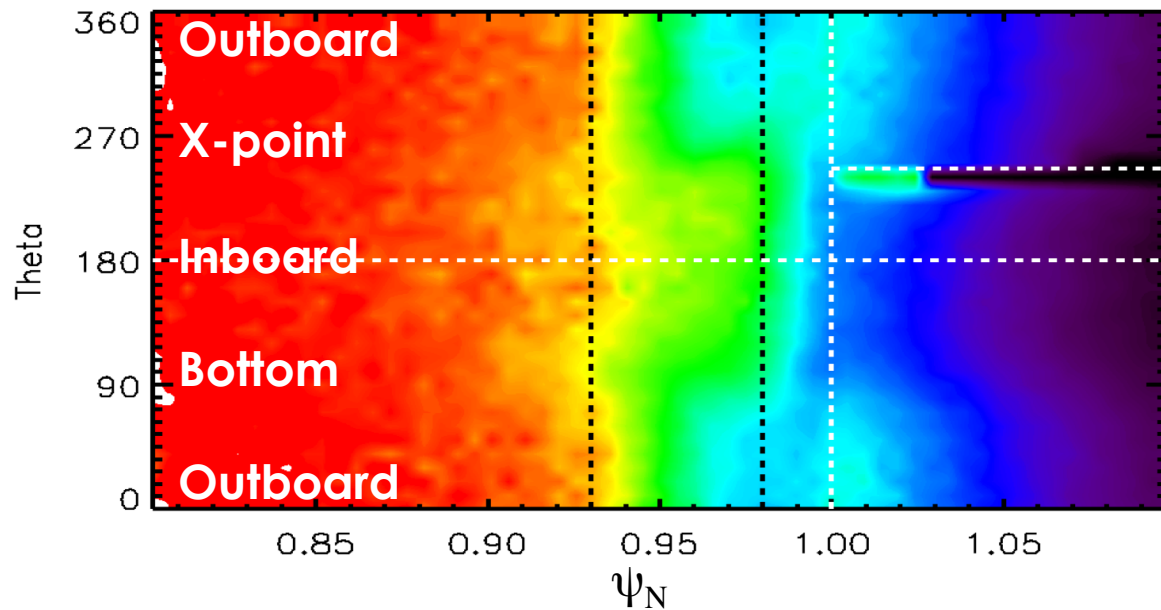
# Deuterium Temperature Anisotropy is Similar to Carbon

- **Deuterium temperature typically lower at top of pedestal**
  - Code or physics?
- $T_{\text{perp}} > T_{\text{para}}$  in outboard steep gradient region
  - Opposite for inboard
- **SOL temperatures different than carbon**
  - Large, but not soaring

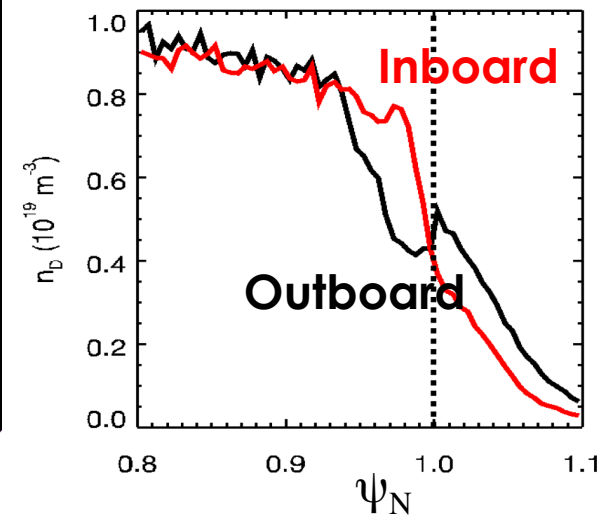




# Strong In-Out Asymmetry in GC Deuterium Density due to Orbit Physics



XGC0 Deuterium Density



- **Inboard and outboard density inverts around separatrix**
  - Inside separatrix: Loss hole of trapped counter- $I_p$  ions
  - Outside separatrix: Confinement hole of passing co- $I_p$  ions
  - Large ion temperatures and rotation enhance these effects
  - Outstanding question: How large is  $E_{||}$ ?