

# Recent Progress in EM-GTS code

**E.A. Startsev**

**Plasma Physics Laboratory, Princeton University  
Princeton, NJ, USA**

**NSTX seminar, June 26, 2018**

Collaborators: W.W. Lee, P. Porazik, W.X. Wang, J. Chen, A.K. Swamy, Z. Lu, Chenhao Ma, Brian Grierson, Arash Ashourvan and Raffi Nazikian.

## Abstract

---

- The Startsev-Lee (S-L) EM scheme has been reformulated for general geometry.
- The scheme shows a lot of promise for the simulation of the micro-tearing modes in ST tokamaks like NSTX.
- We have also implemented the Mishchenko's (MS) general geometry EM scheme into EM-GTS for cross-scheme-verification.
- The EM-GTS is currently being used to study the electromagnetic modes in the pedestal region of the DIIIID.
- The benchmarking of the code in the Cyclone and pedestal DIIIID geometry against published results is ongoing to better understand the resolution requirements of the implemented EM schemes.

# S-L EM scheme description: Vlasov Equations

---

- The linearized Vlasov equation is

$$\partial_t \delta f + \hat{L} \delta f = -(\delta \hat{L}) F_0$$

- Here  $\hat{L} = v_{\parallel} \partial_{\parallel} + \mathbf{v}_{dr} \cdot \nabla + \dot{v}_{\parallel} \partial / \partial v_{\parallel} + C_{col} \equiv v_{\parallel} \partial_{\parallel} + C_{col} + \hat{L}_{dr}$  .

- with  $\delta f = w F_0$ , equation for the weight  $w$  becomes

$$\partial_t w + \hat{L} w = -\frac{(\delta \hat{L}) F_0}{F_0} = -\frac{[E_{\parallel} v_{\parallel} - (\mathbf{v}_{dr} \cdot \nabla) \phi]}{T} + \kappa \cdot \mathbf{v}_E [\phi - v_{\parallel} A_{\parallel}] + O(\rho^*)$$

- Where,  $O(\rho^*)$  means all terms which are  $\rho^*$  time smaller than the kept terms. Here  $\kappa = -\nabla \log n - (v^2/2T - 3/2) \nabla \log T$ .

- Defining the new weight  $w = \hat{w} + \kappa \cdot \mathbf{v}_E [\int dt \phi]$ , equation for the new weight  $\hat{w}$  becomes

$$\partial_t \hat{w} + \hat{L} \hat{w} = -\frac{[E_{\parallel} v_{\parallel} - (\mathbf{v}_{dr} \cdot \nabla) \phi]}{T} + \kappa \cdot \mathbf{v}_E \left[ \int dt E_{\parallel} \right] - \hat{L}_{dr} \kappa \cdot \mathbf{v}_E \left[ \int dt \phi \right] \equiv RHS$$

- Defining the second weight  $\bar{w} = \hat{L} \hat{w}$ , we obtain the equation for  $\bar{w}$ :

$$\partial_t \bar{w} + \hat{L} \bar{w} = \hat{L} (RHS)$$

# S-L EM scheme description: Field Equations

---

- With the new weights the gyro-kinetic Poisson's equations becomes

$$\nabla^2 \phi = \langle w \rangle = \langle \hat{w} \rangle + \langle \kappa \cdot \mathbf{v}_E \left[ \int dt \phi \right] \rangle$$

- Using  $E_{\parallel} = -\partial_t A_{\parallel} - \partial_{\parallel} \phi$ , the time derivative of the Ampere's equation

$$\nabla^2 A_{\parallel} = \beta \langle v_{\parallel} w \rangle = \beta \langle v_{\parallel} \hat{w} \rangle$$

- becomes:

$$\nabla^2 (-E_{\parallel} - \partial_{\parallel} \phi) = \beta \langle v_{\parallel} \partial_t \hat{w} \rangle = -\beta \langle v_{\parallel} \hat{L} \hat{w} \rangle + \beta \langle v_{\parallel} RHS \rangle = \beta \langle v_{\parallel} (RHS - \bar{w}) \rangle$$

- In the single weight formulation

$$\langle v_{\parallel} \bar{w} \rangle \equiv \langle v_{\parallel} \hat{L} \hat{w} \rangle = \nabla \cdot \langle v_{\parallel} \mathbf{v} \hat{w} \rangle + \langle (\nu(v) v_{\parallel} - \dot{v}_{\parallel}) \hat{w} \rangle$$

- The double weight formulation avoids calculation of the divergence of the highly fluctuating quantity  $\langle v_{\parallel} \mathbf{v} \hat{w} \rangle$ .
- The source term for the Vlasov equation for  $\bar{w}$  can be calculated analytically or numerically as

$$\hat{L}(RHS) = \frac{RHS(\mathbf{z} + \dot{\mathbf{z}} dt, t) - RHS(\mathbf{z} - \dot{\mathbf{z}} dt, t)}{2dt}$$

# Alternative Approach

---

- Alternatively, define the new weight  $w_M$  as  $\hat{w} = w_M - v_{\parallel} \int dt E_{\parallel} / T$ , which satisfies the equation

$$\begin{aligned} \partial_t w_M + \hat{L} w_M &= \frac{[v_{\parallel} (\mathbf{v} \cdot \nabla) \int dt E_{\parallel} + (\dot{v}_{\parallel} - \nu(v) v_{\parallel}) \int dt E_{\parallel} + (\mathbf{v}_{dr} \cdot \nabla) \phi]}{T} \\ &+ \kappa \cdot \mathbf{v}_E \left[ \int dt E_{\parallel} \right] - \hat{L}_{dr} \kappa \cdot \mathbf{v}_E \left[ \int dt \phi \right] \end{aligned}$$

- With this weight the gyro-kinetic Poisson's equation becomes

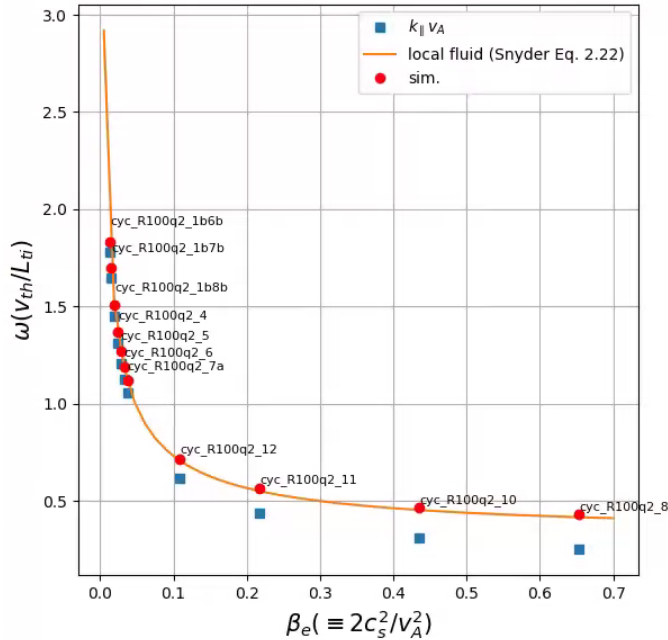
$$\nabla^2 \phi = \langle w_M \rangle + \langle \kappa \cdot \mathbf{v}_E \left[ \int dt \phi \right] \rangle$$

- Using  $\int dt E_{\parallel} = -A_{\parallel} - \partial_{\parallel} \int dt \phi$ , the Ampere's equation  $\nabla^2 A_{\parallel} = \beta \langle v_{\parallel} \hat{w} \rangle$  becomes:

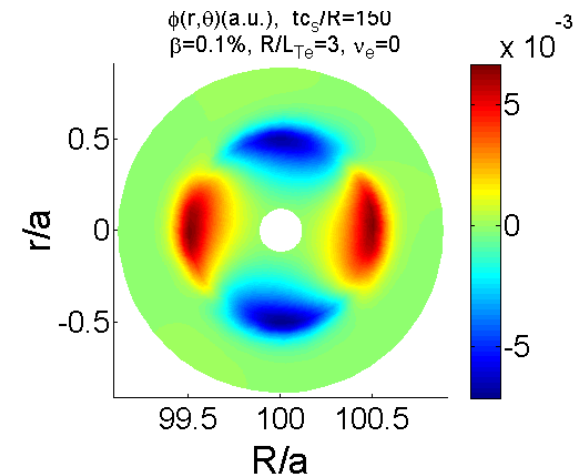
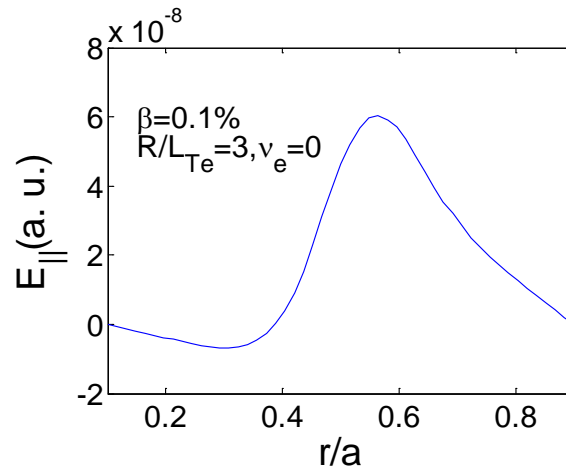
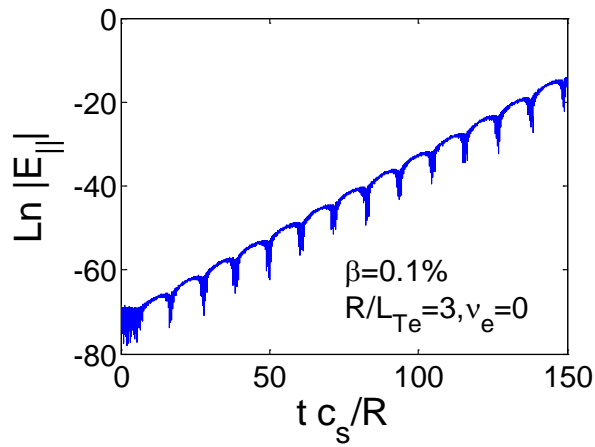
$$(\nabla^2 - \beta \langle v_{\parallel}^2 \rangle) \int dt E_{\parallel} = -\nabla^2 \left( \partial_{\parallel} \int dt \phi \right) - \beta \langle v_{\parallel} w_M \rangle$$

- This approach is similar to the Mishchenko's EM scheme.

# Alfven waves and Drift-tearing modes

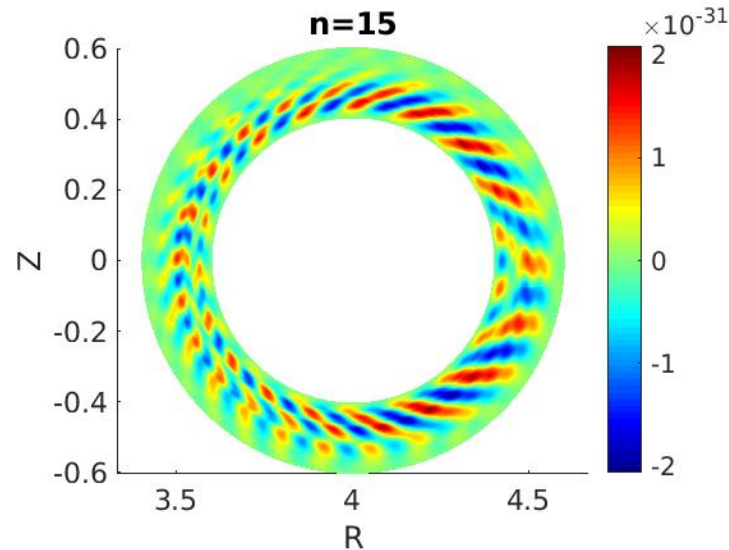


- $\beta_e$ -scan of alfvén mode frequency is shown for  $(m,n)=(2,2)$  mode in cylindrical geometry with flat  $q(r)=2$ .
- Simulations of global drift-tearing  $(m,n)=(2,1)$  mode is shown in cylindrical geometry and for electron beta  $\beta_e = 0.2\%$  and  $R/L_{Te} = 3$  and  $q(r) = 1.7/(1 - r^2/2a^2)$



# Micro-tearing mode

- Simulation of the semi-collisional micro-tearing mode in the annulus of the circular flux-surfaces tokamak with aspect ratio  $R/a=4$  and for electron beta  $\beta_e = 4\%$  and,  $a/\rho_s = 50$ ,  $\nu_{ei} = 0.8 c_s/a$ ,  $R/L_{Te} = R/L_{Ti} = 7$ ,  $R/L_n = 0.5$ .
- Electrostatic potential  $\phi$  is plotted in the poloidal plane. Classic MTM structure is apparent. The mode rotates in the electron diamagnetic direction (clock-wise).



# ITG to KBM transition simulation

- $\beta_e$ -scan of the Cyclone case,  $a/\rho_s = 50$ ,  $n = 6$ ,  $R/L_{Te} = R/L_{Ti} = 6.92$ ,  $R/L_n = 2.22$ .

The figure displays a MATLAB R2016a interface with several windows showing simulation results for an ITG to KBM transition simulation. The main window shows eight subplots (Figure 1-8) displaying circular cross-sections of the simulation at various time steps (istep = 526 and istep = 299). The plots show a transition from an initial state to a more complex, filamentary structure. A line plot (Figure 8) shows the evolution of a parameter over time. The bottom of the screen displays a terminal window with system logs and a command prompt.

The subplots show the evolution of the simulation at various time steps (istep = 526 and istep = 299). The plots show a transition from an initial state to a more complex, filamentary structure. The color bars indicate the magnitude of the simulated quantity, ranging from -4 to 4 (scaled by  $\times 10^{-26}$  or  $\times 10^{-27}$ ).

The terminal window at the bottom shows system logs and a command prompt:

```

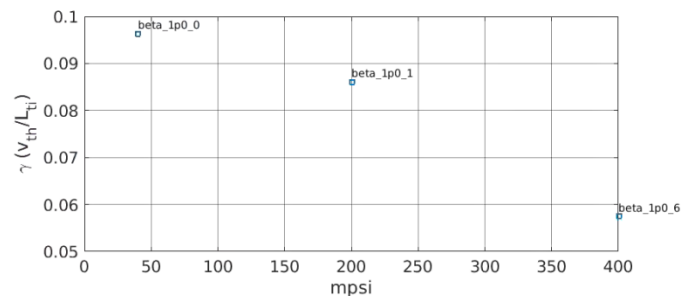
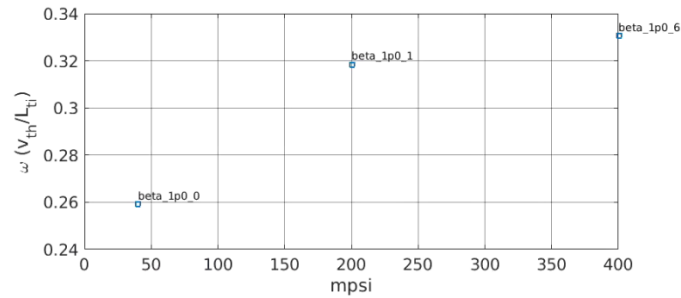
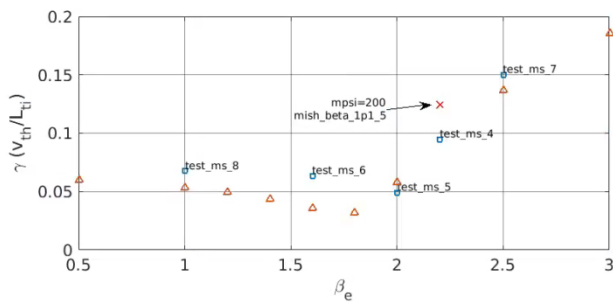
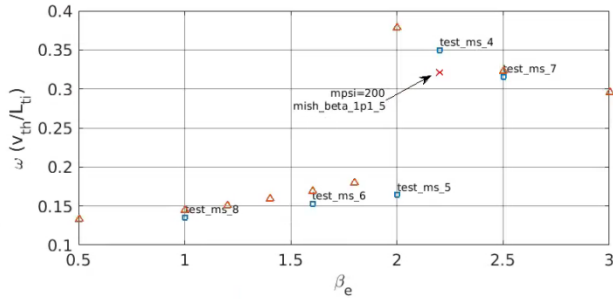
8347400 PJ startsev case_short 32 30:00 0:00 2018-01-24T20:12:32 debug N/A JobHeldUser
startsev/test_rg_214z ssp
JOBID ST USER NAME NODES REQUESTED USED SUBMIT QOS SCHEDULED_START REASON
8333724 PD startsev case_short 32 12:30:00 0:00 2018-01-23T21:14:52 regular 2018-01-27T18:00:00 Resources
8333725 PD startsev case_short 32 12:30:00 0:00 2018-01-23T21:15:11 regular 2018-01-27T18:00:00 Resources
8333726 PD startsev case_short 32 12:30:00 0:00 2018-01-23T21:15:24 regular 2018-01-27T18:00:00 Resources
8333727 PD startsev case_short 32 12:30:00 0:00 2018-01-23T21:15:42 regular 2018-01-27T18:00:00 Resources

8344117 PD startsev case_short 32 30:00 0:00 2018-01-24T12:34:17 debug N/A
8344282 PD startsev case_short 32 30:00 0:00 2018-01-24T13:28:14 debug N/A
8347400 PJ startsev case_short 32 30:00 0:00 2018-01-24T20:12:32 debug N/A
startsev/test_rg_211z cd ../test_rg_207
startsev/test_rg_207z grep beta job.out
Tc= 8.1500000000000004 in KeVbeta= 2.5000000000000005E-3 density= 1500000000000.0 a_ref= 0.5 vthc= 1.30474175609039418E-2 s0= 0.10000000000000001
00001 s1= 0.90000000000000002
startsev/test_rg_207z [

```

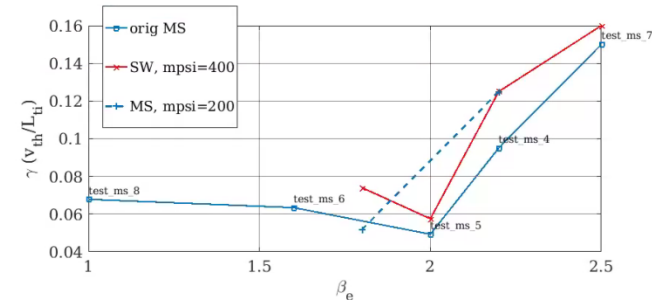
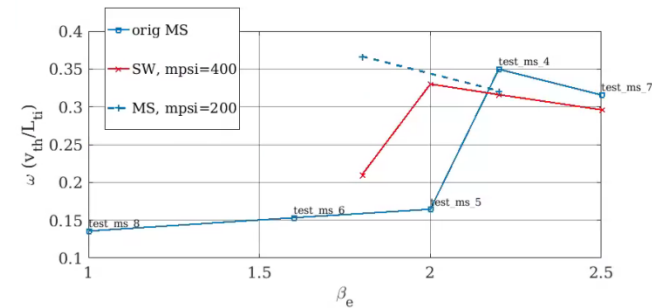


# ITG to KBM cross-benchmarking (MS vs. S-L)



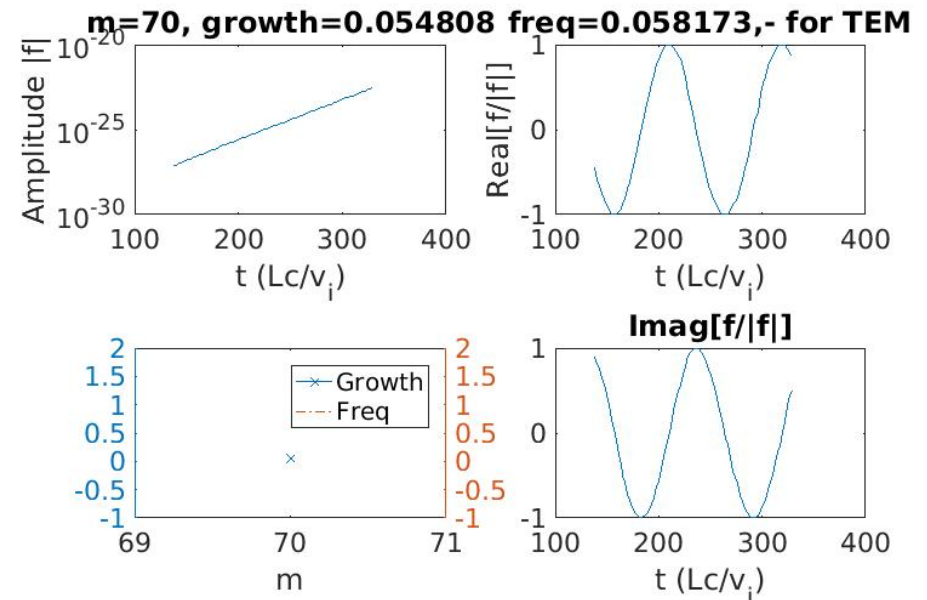
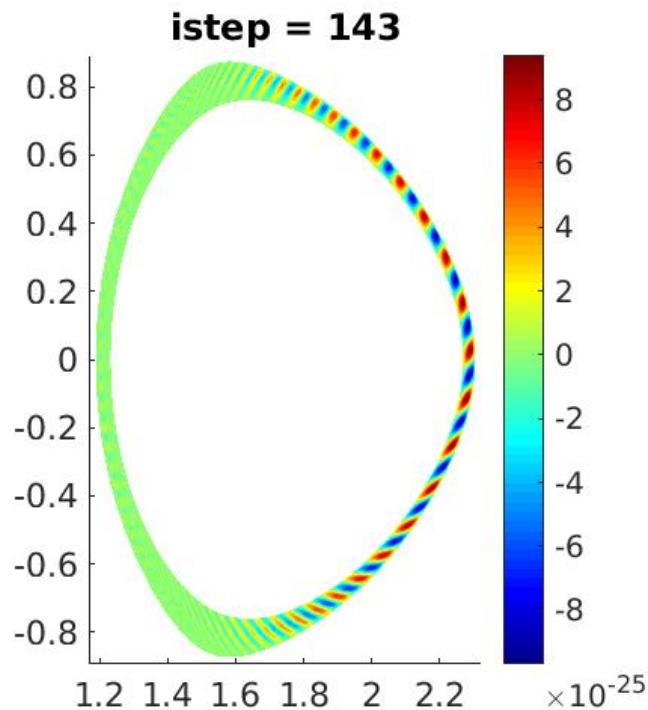
- Red squares are results of MS runs with resolution  $\frac{\Delta r}{\rho_s} = 1.0$ , ( $mpsi = 50$ ),  $\frac{\Delta l_\theta}{\rho_s} = 0.5$ .
- Blue triangles are results of MS runs with resolution  $\frac{\Delta r}{\rho_s} = 0.25$ , ( $mpsi = 200$ ),  $\frac{\Delta l_\theta}{\rho_s} = 0.5$ .

- (left) S-L radial resolution convergence runs.
- (right) Comparison of MS vs. S-L.



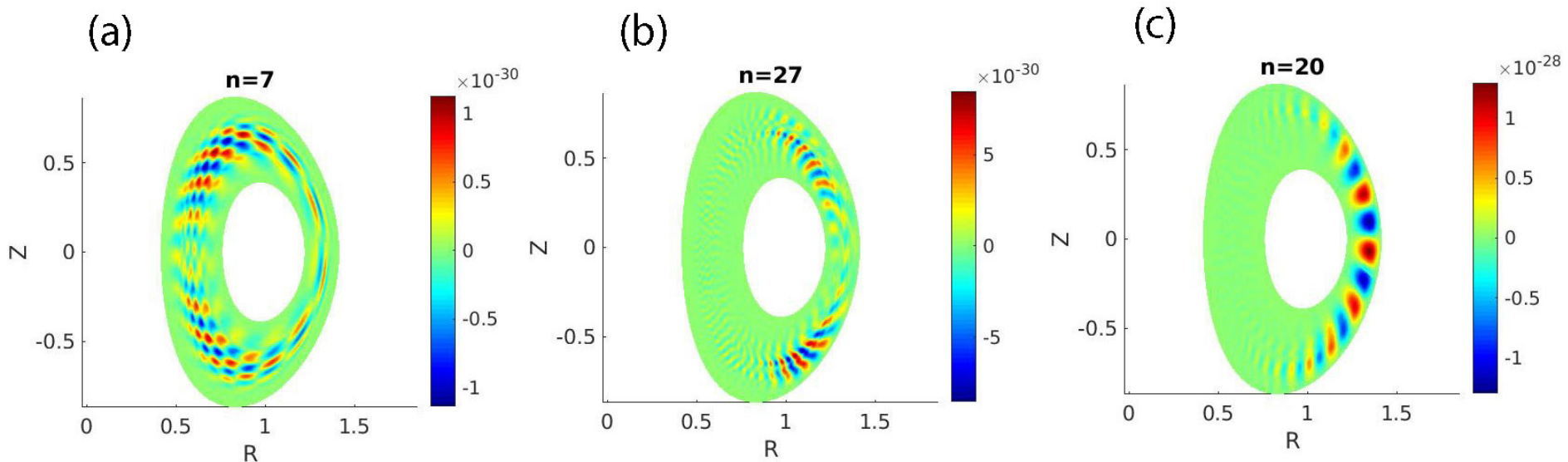
# DIII-D pedestal test simulation with EM-GTS

- Simulation of DIII-D test case with EM GTS in pedestal region  $0.9 < \psi < 1$ . Parameters are the same as in 2015 Holod&Lin paper  $\beta_e = 0.37\%$  and  $R/L_{te}=25$ ,  $R/L_n=2$ ,  $R/L_{ti}=30$ ,  $q(\psi=0.95)=3.5$ . The mode number  $n=20$ .
- The mode is ballooning and rotating in ion diamagnetic direction. The frequency and the growth rate are very close to Holod's result.
- Plotted are time history and 2-d poloidal plot of electrostatic potential, also showing frequency and growth rate in the units of  $c_s/L_{ti}$ .



# Development of electromagnetic capabilities in GTS relevant for NSTX

- Preliminary simulation of global KBM (Fig.a) and MTM (Fig.b) for NSTX equilibria which is the demonstration that the EM GTS code can operate in NSTX geometry and can produce modes with tearing and ballooning parities.
- Electrostatic potential  $\phi$  is plotted in poloidal plane of NSTX for electron beta increasing from  $\beta_e = 0.5\%$ . (a), to  $\beta_e = 1.6\%$ . (b), to  $\beta_e = 3.2\%$ . (c). As beta increases the unstable low-n micro-tearing mode (MTM) (a) which has its maximum on high-field side switches to high-n MTM (b) on low-field side. As beta is increased further, the kinetic ballooning mode (KBM) is destabilized in low field side of NSTX (c).



# Illustration of Previous Approaches

---

- In the simplest case with no gradients or collisions and neglecting the ion's gyro-center motion.
- Introducing particle weights  $\delta f_e = w_e F_{0e}$ , we need to solve the Poisson's and Ampere's law equations

$$\nabla_{\perp}^2 \phi = \int w_e F_{e0}, \quad \text{and} \quad \nabla_{\perp}^2 A = \beta \int w_e F_{e0},$$

- where Vlasov equation for electrons is

$$\frac{\partial w_e}{\partial t} + v_{\parallel} \partial_{\parallel} w_e = v_{\parallel} (\partial_{\parallel} \phi + \partial_t A).$$

- To eliminate  $\partial_t A$ , introduce new  $\bar{w}_e = w_e - v_{\parallel} A$ , so Equation for  $\bar{w}_e$  becomes

$$\frac{\partial \bar{w}_e}{\partial t} + v_{\parallel} \partial_{\parallel} \bar{w}_e = v_{\parallel} \partial_{\parallel} (\phi - v_{\parallel} A).$$

- Field equations become

$$\nabla_{\perp}^2 \phi = \int \bar{w}_e F_{e0}, \quad \text{and} \quad (\nabla_{\perp}^2 - \beta m) A = \beta \int \bar{w}_e F_{e0},$$

- Here  $m = m_i/m_e = \int v_{\parallel}^2 F_{e0}$ .

# Illustration of Previous Approaches, cont'd

---

- Solving linear Vlasov equations we obtain set of field equations

$$-k_{\perp}^2 \phi = n_e = -\frac{\omega A - k_{\parallel} \phi}{k_{\parallel}} (1 + X),$$
$$-(k_{\perp}^2 + \beta m) A = \beta j_e = \beta \left[ -mA - \frac{\omega}{k_{\parallel}^2} (\omega A - k_{\parallel} \phi) (1 + X) \right],$$

- Here  $X = X[\omega/(k_{\parallel} v_{the})] \approx 0$  for  $\omega/k_{\parallel} \ll v_{the}$ .
- To model the errors due to sources in these equations calculated using particles we write

$$-k_{\perp}^2 \phi = n_e = -C_n \frac{\omega A - k_{\parallel} \phi}{k_{\parallel}},$$
$$-(k_{\perp}^2 + C_N \beta m) A = \beta j_e = \beta C_j \left[ -mA - \frac{\omega}{k_{\parallel}^2} (\omega A - k_{\parallel} \phi) \right],$$

- where  $C_N$  is introduced by hand to cancel the error in calculating the sources. All  $C \approx 1$ .

# Illustration of Cancelation Problem

---

- The dispersion relation

$$\omega^2 = \frac{k_{\parallel}^2}{\beta} \left( \frac{C_n + k_{\perp}^2}{C_j} \right) \left[ 1 + (C_N - C_j) \frac{\beta m}{k_{\perp}^2} \right].$$

- To get correct alfvén wave dispersion we need

$$(C_N - C_j) \ll \frac{k_{\perp}^2}{\beta m} = (\lambda_e k_{\perp})^2.$$

- where  $\lambda_e = \rho_i / \sqrt{\beta m} \ll \rho_i$  is electron skin-depth.
- For  $k_{\perp} \sim 1/a$  and  $a/\rho_i = 100$ ,  $\beta = 0.01$  and  $m = m_i/m_e = 1836$

$$(C_N - C_j) \ll 5 \cdot 10^{-6}.$$

- Therefore, extreme care is required to cancel large terms in Ampère's law to obtain correct low (m,n) shear-alfven wave when  $\beta m_i/m_e \gg 1$ .

# A Better Way

---

- Instead of two equations for  $\phi$  and  $A$  with separately calculated sources

$$-k_{\perp}^2 \phi = n_e = -\frac{\omega A - k_{\parallel} \phi}{k_{\parallel}} (1 + X),$$

$$-(k_{\perp}^2 + \beta m) A = \beta j_e = \beta \left[ -mA - \frac{\omega}{k_{\parallel}^2} (\omega A - k_{\parallel} \phi) (1 + X) \right],$$

- Let's combine them into one equation for  $E_{\parallel} = i(\omega A - k_{\parallel} \phi)$

$$-(k_{\perp}^2 + \beta m) E_{\parallel} = \text{Source} \left\{ \beta \left[ -m E_{\parallel} - \frac{\omega^2}{k_{\parallel}^2} E_{\parallel} (1 + X) \right] + (1 + X) E_{\parallel} \right\},$$

- Where  $\text{Source}\{\text{something}\}$  means calculated using particles.

- The reason for using  $E_{\parallel}$  and not  $A$  and  $\phi$  is because for  $\beta m_i / m_e \gg 1$

$$k_{\parallel} \phi \sim \omega A \sim \frac{1}{(\rho_i k_{\perp})^2} E_{\parallel} > E_{\parallel}, \quad \text{and} \quad v_{\parallel}(k_{\parallel} A) \sim \sqrt{\beta \frac{m_i}{m_e}} \frac{1}{(\rho_i k_{\perp})^2} E_{\parallel} \gg E_{\parallel},$$

## A Better Way, cont'd

---

- For  $X \approx 0$ , and introducing C factors to model source errors we obtain

$$-(k_{\perp}^2 + C_N \beta m)E = C_s \left[ -\beta m E + \left( 1 - \beta \frac{\omega^2}{k_{\parallel}^2} \right) E \right],$$

- and dispersion relation

$$\omega^2 = \frac{k_{\parallel}^2}{\beta} \left( \frac{C_s + k_{\perp}^2}{C_s} \right) \left[ 1 + (C_N - C_s) \frac{\beta m}{C_s + k_{\perp}^2} \right].$$

- To get correct alfven wave dispersion we need

$$(C_N - C_s) \ll \frac{C_s}{\beta m} = \left( \frac{\lambda_e}{\rho_i} \right)^2.$$

- For arbitrary  $k_{\perp}$  and  $a/\rho_i = 100$ ,  $\beta = 0.01$  and  $m = m_i/m_e = 1836$

$$(C_N - C_s) \ll 0.05.$$

- This is easily achieved by using reasonable number of particles per-cell in addition to one-two iterations of field equations.

$$-(k_{\perp}^2 + \beta m)E_{\parallel} = \beta Source + \beta \left[ Source \left\{ \langle v_{\parallel}^2 E_{\parallel} \rangle \right\} - m E_{\parallel} \right],$$