



Taking predict-first to the next level: opportunities, challenges and limits

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Why we love predict-first ...

- Predict-first can be a powerful tool in the control room
 - To optimize the experimental time
 - To support decision making (including unexpected failures)

Will show an example of successful application of predict-first:

achievement of sustained \mathbf{q}_{min} with early EC heating and CD



... and what we should be careful about

- In order to be successful it needs:
 - A whole device, comprehensive, time-dependent model (equilibrium, transport, MHD, fast ions)
 - Verified and validated physics models from high to low fidelity

We are not there yet ...

Will discuss limits of predictive models, challenges in experimental preparation and identify opportunities for improvement.



When things go well

Demonstrated sustained q_{min} with early EC heating and CD

100% success in use of resources with one shot planned and executed.



"Predict First" EC and NBI trajectory achieved high β access with no high gain feedback, obtaining smooth, elevated, sustained q_{min} with little MHD activity





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Significant Alfvenic activity present in higher q_{min} plasma





Due to the high(er) NBI power already in the ramp-up phase



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AE activity triggered by NBI at low plasma current

Linear AE stability performed with TRANSP + kick model [M. Podesta']Test:

•Use NBI waveform from #175286 keeping same profiles as in reference #172538
•How much does AE drive change?

4175286 has ~1MW additional NB nower after 1.2 sec

#175286 has ~1MW additional NB power after 1.2 sec
Enough to destabilize several AEs with respect to reference shot



Our success resulted from careful step-forward planning

Start with a well diagnosed case

Assessment of predictive models

Make a *small* change to the reference that can be predicted within the limit of the models

Run a *feedforward* experiment to validate simulation

Post-process analysis and validation: assess what is missing, what could have been done better



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Goal: modify the q_{min} trajectory to sustain flat q profile

Two reference discharges with feedback on β_N :

- \Rightarrow q profile relaxes to monotonic in the stationary phase
- \Rightarrow MHD in the flattop phase





improve q_{min} trajectory and MHD?

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Our success resulted from careful step-forward planning





Since the goal is to modify the q_{min} trajectory, the validation focusses on the q profile

- **Step 1**: evolve free-boundary magnetic equilibrium with prescribed n_e , n_C , T_e , T_i , v_{ϕ} , profiles
- Expect larger uncertainties in the early ramp-up, at low current (no good profile mapping available)
- => but initial condition important for full discharge predictions
- Assuming input profiles are 'good', differences due mostly to bootstrap current and neutral beam model
 - => initial condition important for full discharge predictions





Since the goal is to modify the q_{min} trajectory, the validation focuses on the q profile

Step2: evolve free-boundary magnetic equilibrium with prescribed n_e , n_C , v_{ϕ} , but predict T_e , T_i with GLF23 inside the pedestal

Our target is to change EC, which affects the electron temperature



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Predictions should include the pedestal region

PROBLEM: pedestal models are not designed for current ramp-up/ramp-down conditions

Step3: evolve free-boundary magnetic equilibrium with prescribed n_e , n_C , v_{ϕ} , but predicted T_e , T_i **including pedestal** (EPED1-NN)

⇒ Need to rescale the pedestal in the ramp-up phase (based on comparison between predicted and measured values)

TEPED_SCALE =
$$11.0 \left(\frac{I_P}{I_{flat}}\right)^3 - 26.0 \left(\frac{I_P}{I_{flat}}\right)^2 + 21.0 \frac{I_P}{I_{flat}} - 5.3$$





... except at low current (remember: initial condition is important)

Step4: evolve free-boundary magnetic equilibrium with prescribed n_e , n_c , v_{ϕ} , but predicted T_e , T_i including pedestal (rescaled according to plasma current)

Stick to this model and move to the fun part





Our success resulted from careful step-forward planning





We have used prior lessons learnt from ITER modeling

- H&CD from RF in ramp-up critical for access to steady-state => focus on core EC
- keep NBI waveform close to reference
- Predict equilibrium and temperature
 - \Rightarrow Sustains higher q_{min} \Rightarrow more flat q profile in the core







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Preliminary analysis after the experiment indicated that we achieved all our targets





Our success resulted from careful step-forward planning





Degradation in Energetic Particle confinement correlates with instabilities



- Measurements deviates from "classical predictions"
- Use neutron rate as global metric of EP confinement





MHD codes (NOVA/NOVA-K) used as starting point: compute mode structure, damping rates



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0.2

0.4

 $sqrt(\Psi_{m})$

0.6

0.8

0.0

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Reduced models (interpretive) reproduce loss of performance observed in experiments

- Interpretive analysis
 - Adjust mode amplitudes to match measured neutron rate
- Linear stability analysis (kick model) indicates large number of unstable AEs



Confinement degradation results in >50% dilution of EP density





Our success resulted from careful step-forward planning





New experiments should be predicted "from scratch"

How well can a discharge be reproduced with minimum input?

- Fix n_e , T_e , T_i and v_{ϕ} at the separatrix
- Predict everything inside
- Set n_c as a fraction of n_e, read radiation from data

Obtain a good agreement with data

HOWEVER

this is A FORTUITUOUS AGREEMENT





Challenge: pedestal predictions limited by available models



[anybody interested, please come and talk to me]

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Looking into details: the rotation profile is over-predicted

How well can a discharge be reproduced with minimum input?

- Fix n_e , T_e , T_i and v_{ϕ} at the separatrix
- Predict everything inside
- Set n_c as a fraction of n_e, read radiation from data

Opportunities for improvement:

Can a reduced model for rotation help? [see work by T. Stolfzuck-Duek and B. Grierson]





Experimental rotation results in poorer agreement

How well can a discharge be reproduced with minimum input?

- Fix n_e, T_e, T_i at the separatrix
- Predict everything inside
- n_c fraction of n_e, read radiation from data
- Read rotation from data

Believe it or not ...

The disagreement with the measured neutrons rate and with the measured impurity density increases when the rotation profile is taken from the experiment.

Plasmas are nonlinear systems => better predicting everything and then compare quantities one-to-one to identify problems, than constraining one quantity and let the code adjust the rest.





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Kick model brings down neutrons, but density is over-predicted

How well can a discharge be reproduced with minimum input?

- Fix n_e, T_e, T_i at the separatrix
- Predict everything inside
- n_c fraction of n_e, read radiation from data
- Read rotation from data
- Apply kick model to NBI calculations

Opportunities for improvement:

Self-consistent modeling of NBI and fast ion transport needed inside TRANSP [see work by M. Podesta']





My one cent lesson learnt

- We had a successful example of predict-first approach
 - went to the control room with a simulated discharge
 - achieved target using one single shot
 - have been able to design EC trajectories during a failure and even generate a solution in-between shot => not ready yet for applications in the control room.
- Fairly good predictions can be done with some assumptions on the boundary conditions
- However, large uncertainties still remain:
 - radiation is largely over-predicted => cannot use it in electron power balance
 - impurity predictions have large uncertainties => cannot predict n_e, n_{imp} together
 - we have used coil currents from the experiment => in reality we should use gaps
 - more robust boundary conditions for n_e , T_e , T_i , v_{ϕ} at the separatrix needed



The things that nobody says ... (our predictive models suck)

This was a nice exercise, but it is not going to work when the NBI waveform is modified

- \Rightarrow Because the beams fuel the plasma
- \Rightarrow Because the beams affect rotation
- thermal transport models do not include effect of fast ions among sources
- fast ion transport due to AEs/MHD needs to be included self-consistently
- present models for momentum transport are inadequate
- pedestal models do not separate among channels, do not predict density
- \Rightarrow semi-empirical models needed to guide theory development
- Boundary conditions at the separatrix are important => need reduced core-edge model
- with 2D neutral model
- self-consistent impurity transport with core+edge radiation
- Need more robust free-boundary equilibrium solver







Reduced models are used to include EP transport by instabilities in TRANSP

- A common framework has been developed and tested in FY18 to manage both kick and RBQ-1D models in TRANSP
 - Models compute phase-space resolved "transport probability" $p(\Delta E, \Delta P_{\zeta} | E, P_{\zeta} \mu)$ associated with each mode
 - Probabilities are used in Monte Carlo NUBEAM module of TRANSP to compute fast ion evolution



Two approaches explored in FY18: numerical vs theory-based (quasi-linear)

- Models use mode structure, damping rate from MHD codes such as NOVA-K
- Difference: how is $p(\Delta E, \Delta P_{\zeta} | E, P_{\zeta}, \mu)$ inferred?
 - "Kick model": compute p <u>numerically</u> using particle-following code ORBIT
 - Retain possibility of sub/super diffusive or convective transport (non-gaussian *p*)
 - Include kicks in both energy and canonical momentum; μ conserved
 - "Resonance broadened Quasi-linear model" RBQ-1D: use improved <u>quasi-linear</u> theory to compute p
 - Diffusive approximation -> gaussian $p(\Delta P_{Q})$; assume *E* and μ are conserved
 - More computationally efficient than kick model approach
- Improve ad-hoc diffusive models previously available in TRANSP
 - Physic based; enable both *interpretive* and *predictive* TRANSP simulations
 - Mode saturation levels inferred by balancing drive vs. damping



Approach: proceed from *interpretive* to *predictive* simulations, validate models

Let's first agree on the definitions...

- <u>Interpretive</u> simulations:
 - Constrain simulations with available experimental data
 - Validate main physics assumptions of the models
 - Benchmark among models
 - Validate models against additional experimental data
- <u>Predictive</u> simulations:
 - Remove constraints
 - Increase number of parameters to be determined ("predicted") self-consistently by the models
 - Assess predictive capability
 - Identify missing physics



Metrics for success: use neutron rate as global metric, available EP diagnostics data for more detailed validation

- Historical approach for TRANSP simulations:
 - Introduce "anomalous fast ion diffusivity" when instabilities are present
 - Adjust diffusivity to match measured neutrons -> good!
- Will use the same approach for a first estimate of "success"
 - Allows quick experiment/modeling comparison
 - OK to infer overall degradation in plasma performance
- Will turn to more detailed measurements for validation
 - Compare modeling results to phase-space resolved EP data (FIDA, NPA)





