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# Extending the gyrokinetic divertor heat-flux width study to NSTX-U

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# on behalf of the XGC Team, and

in collaboration with ITER and JET

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#### FY2016 Theory Milestone: XGC1 validation on NSTX, DIII-D & C-Mod, and prediction for 15MA ITER

- XGC1 prediction agreed well with the existing experimental results from the three large US tokamaks.
- Divertor heat-flux width was dominated by the ion neoclassical dynamics
  - X-point orbit-loss type of ion-drift dynamics is the dominant mechanism
  - Turbulent e-transport is a "follower," for ambipolar transport & determining E(2D)
- $\lambda_q$  physics agreed with the previous picture presented by
  - XGC0: Report on 2010 US-DOE Joint Research Target study
    [A. Pankin et al., Phys. Plasmas 22, 092511 (2015)]
  - Heuristic ion-drift model: R. Goldston, NF 52, 013009 (2012).
- XGC1: ubiquitous blobby edge turbulence  $\lambda_{a}$

However, the same code on 15MA ITER produced  $\lambda_q^{Eich} \gtrsim 6\lambda_q^{Eich}$ . Why???

- → Triggered a deeper study
  - Size effect: parallel and neutral physics
  - $\rho_{ip}$ /a effect: perpendicular physics



#### XGC1 can study divertor heat-flux at unprecedented detail.

- Ion X-loss from warm pedestal: λ<sub>NEO</sub> ≈ 2(a/R) ρ<sup>w</sup><sub>iPOL</sub>
  ~2(a/R)ρ<sub>iPOL</sub>(at separatrix): Basis for λ<sub>q</sub> phyiscs in the present tokamaks
- Turbulence widens the orbit-loss hole and also spreads the orbit width



# Prediction on full-current JET: $\lambda_q^{XGC}$ from the 4.5MA discharge follows $\lambda_q^{Eich}$ , as other existing tokamaks do [JET will measure $\lambda_q$ (experiment)]

Could not conclude if the effect is from the size or  $a/\rho_{ip}$  effect, since both of them are smaller in the 4.5MA Jet than in the full-current ITER



Similarly to other existing tokamak cases,  $\lambda_{q,i}^{XGC} > \lambda_{q,e}^{XGC}$  in the JET 4.5MA discharge (and the edge turbulence is blobby)



## XGC study on a 15MA ITER model plasma

- The MHD-limited pedestal was too narrow: too strong turbulence → too high a heat flow across the separatrix and to divertor target ~700MW.
  - But  $\lambda_q^{MHD}$  was still ~6mm
- XGC1 eventually found a selforganized plasma profile; which satisfies, approximately, turbulence saturation across sepratrix, power balance between separatrix and divertor, and  $\lambda_q$  saturation.





#### Input comparison between the "MHD/fluid-limited ITER-standard" pedestal and an electrostatic-XGC1 relaxed pedestal at 15MA

- The XGC1-obtained (approximate) pedestal width at ITER 15MA is  $\gtrsim$  2x MHD/Fluid pedestal width
  - EM effect needs to be studied
- $\lambda_q \sim 6$ mm in both cases



# XGC finds λ<sub>q,e</sub>≳λ<sub>q,i</sub>: 15MA ITER is different from the present tokamaks. Heat flux is completely dominated by the electrons in both magnitude and width.



 To check if the enhanced λ<sub>q</sub> in the full-current ITER is from the "absolute size effect" or from the "p<sub>i</sub>/a effect," a 5MA "first-phase" ITER has been simulated

 $\rightarrow \lambda_q$  agrees with the present tokamaks  $\rightarrow$  clearly not the size effect

 $\rightarrow$  Difference in turbulence is from the  $\rho_{ip}/a$  effect.

- The "absolute size effect" is related to the parallel physics and the neutral particle transport
- The "p<sub>ip</sub>/a effect" is mostly from the perpendicular physics



# Evidence for an edge physics bifurcation between the higer and lower $\rho_i/a$ values.

In the low-current ITER, edge tubulence across the separatrix is blob type and the ExB shearing rate is high. In the high-current ITER, the turbulence is streamer type and the ExB shearing rate is low.





## In 15MA ITER edge at Ψ<sub>N</sub>~1, the plasma pedestal is supported by toroidal flow ~0.1 V<sub>i</sub>, generated by X-loss



# Unlike for the blobby turbulence in present tokamaks, the full-current ITER contains a strong non-adiabatic electron response across the magnetic separatrix,

as evidenced by a large phase difference between  $\delta n$  and  $\delta \Phi$  ( $\geq \pi/2$ ) (left) and a strong de-correlation between their amplitudes (right).



#### There appears to be a "bifurcation" from Blob- to Streamer-type edge turbulence somewhere between JET and ITER, arising from nonlinear interaction between weaker $V_{ExB}$ and TEM turbulence.

0.32

0.24

0.22

0.2

- Weaker X-loss driven ExB-shearing-rate from the size effect  $\gamma_{ExB}^{X-loss} \propto (v_i/a) \rho_i/a$
- Failure to stablize TEM turbulence:  $\gamma_{ExB}^{X-loss}/\gamma_{mode} \propto \rho_i/a$
- TEM turbulence induces large particle flux
- Weakens the ExB shearing rate further.
- Turbulence becomes stronger
- $\rightarrow$  Nonlinear bifurcation.

When the ion neoclassical X-loss becomes too weak, the edge plasma self-organizes to expell the heat through microturbulence.



### Sensitivity of $\lambda_q$ to initial plasma profile in JET edge

The left-figure case has ~2X narrower pedestal width, but yields a similar  $\lambda_q$  at the end, due to the turbulence & background self-organization capability of the total-f XGC1.



#### The flux-tube picture is problematic: The upstream-

#### downstream "SOL width" relation needs to be re-considered.

- The upstream-downstream plasma density relation is not explained by fluid momentum balance equation along the field lines, even in sheath-limited regime
  - Experimental: J. Canik et al, PoP 2017
  - Gyrokinetic: Churchill et al, NF 2017
- New: ExB circulation around the X-point, breaking the parallel relationship
  - Chang, Ku, Churchill, to be submitted to PoP, gyrokinetic XGC, X-loss
  - Schaffer et al., PoP 2001, experimental fast probe



### Study of $\lambda_{q}$ in NSTX-U model plasmas

- 1.5MA and 2MA NSTX-U plasma profiles are projected
- Unlike other tokamaks, NSTX-U with δ<sub>X</sub>≈0.8 shows enhanced λ<sub>q</sub>, and a reduction by divertor-chamber cooling
   → A good testbed for a λ<sub>q</sub> physics study: a NSTX-U team formed



#### In the high current (2MA) NSTX-U case without the divertorchamber cooling, where $\lambda_q \sim 2.5 \lambda_q^{Eich}$ , the edge turbulence is not the usual blobs and $\phi_{00}$ is almost flat across the separatrix



At lower I<sub>P</sub> (=1MA) NSTX, the edge turbulence becomes blobby and  $\varphi_{00}$  is more sheared across the separatrix surface  $\leftarrow$  higher  $\rho_{ip}/a$ 

# In the NSTX 1MA reference case with the same plasma shape as for NSTX-U, turbulence is blobby.

Turbulence property across separatrix is sensitive to the local ExB shearing rate

![](_page_18_Figure_2.jpeg)

# High triangularity NSTX-U has a different ExB circulation near the X-point.

- X-loss of ions, thus the orbit stagnation, occurs mostly on the right-hand side of X-point
- The ExB circulation is not from inside the separatrix surface, but localized to the outboard SOL

How does this affect SOL physics and  $\lambda_q$ ? Under investigation.

![](_page_19_Figure_4.jpeg)

 $\Phi_{0,m>0}$  in a model 1.5MA NSTX-U plasma

# Disccusion

- The high triangularity, high current NSTX-U plasmas could be a good test bed for understanding the relation between  $\lambda_q$ , turbulence, and the sheared ExB flow.
  - We may have a reasonable understanding on the effect of the large  $B_p$ , thus small  $\rho_{ip}/a$ , and large TEM drive (from tight aspect ratio)
  - Does the high triangularity play a role here?
  - In the mean time, we use TCV to study the high triangularity effect?
    - Will the plasma current be high enough in TCV? Equivalently, will  $\rho_{ip}/a$  be low enough to see the effect?
- Besides looking at the problem from the TEM point, can we look at it from the ExB shearing point?
  - How can we reduce the ExB shearing rate across the separatrix surface in the present conventional tokamaks? Weak RMP?

#### Thank you!

## Extra slides

#### By definition, a gyrokinetic simulation has ambipolar flux. But, the heat-flux width can be different between e & i,

mostly from non-Maxwellian *f*: warm tail particles.

![](_page_22_Figure_2.jpeg)

### GK simulation of Divertor heat-flux width: Prediction and Validation on present devices

- Discharges are selected for wide distribution of B<sub>pol,OM</sub>.
- Experimental eqdsk data are imported into XGC.

Shot	Time (ms)	$B_{T}(T)$	$I_P(MA)$	$B_{pol,OM}(T)$	
NSTX 132368	360	0.4	0.7	0.20	he FY
DIII-D 144977	3103	2.1	1.0	0.30	2016 OF
DIII-D 144981	3175	2.1	1.5	0.42	
C-Mod 1100223026	1091	5.4	0.5	0.50	
C-Mod 1100223012	1149	5.4	0.8	0.67	
C-Mod 1100212023	1236	5.4	0.9	0.81	
JET 79692		3.56	4.5	0.89	demand

![](_page_23_Picture_4.jpeg)

# The XGC1 Gyrokinetic Code

#### Total-f PIC, combined with continuum technology

- Continuum grid used for nonlinear collision, f<sub>0</sub> evaluation, gyroaveraging, ...
- In contact with material wall, having heat and momentum source in the core
  - Far-from-equilibrium (non-Maxwellian)
  - Neutral particle recycling & transport, atomic interact.

#### Magnetic X-point and separatrix (q→∞)

- X-point orbit loss from pedestal
- Total-f: Overlapping multi-scale, multiphysics in space-time: big physics per simulation time step.
- Unstructured triangular mesh
- Solver: PETSc with Hypre and multigrid (only ~2% of total computing time)
- Large simulation-size (≳10k particles per grid-vertex) per time-step: ideal for extreme scale computing
- Most of the production runs are large-scale: on >50% Titan, >50% Theta, and ~50% Cori.

![](_page_24_Figure_13.jpeg)

![](_page_24_Figure_14.jpeg)

#### Time-scale issue: In the core plasma, f evolves slowly

For this argument, let's use the drift kinetic equation for simplicity  $\partial f/\partial t + (\mathbf{v}_{||} + \mathbf{v}_{d}) \cdot \nabla f + (e/m)E_{||}v_{||} \partial f/\partial w = C(f,f) + Sources/Sinks.$ 

In near-thermal equilibrium, take the "transport ordering" (= diffusive ordering):  $\partial f/\partial t = O(\delta^2)$ ,  $S = O(\delta^2)$ , with  $\delta < <1$ 

• Let  $f=f_0+\delta f$ , with  $\delta f/f_o=O(\delta)$ ,  $\delta <<1$ ,  $v_d/v_{||} = O(\delta)$ ,  $E_{||}/m = O(\delta \text{ or } \delta^2)$ 

 $O(\delta^0): \quad v_{||} \cdot \nabla f_0 = C(f_0, f_0) \rightarrow f_0 = f_M:$  H-theorem

- $O(\delta^{1}): \quad \partial \delta f / \partial t + v_{\parallel} \cdot \nabla \delta f + v_{d} \cdot \nabla f_{0} + (e/m) E_{\parallel} v_{\parallel} \partial f_{o} / \partial w = C(\delta f)$ 
  - ♦ Perturbative kinetic theories then yield transport coefficients = $O(\delta^2)$
  - ♦ In this case, fluid transport equations ( $f_o \rightarrow n, T$ ) can be used with analytic or delta-f kinetic closures
- →  $\delta f$ -GK simulation is cheaper per physics time (small computers), but equilibrates on a slow time scale  $O(\delta^1 \omega_{bi})^{-1} \sim ms$ : Core GK simulation time scale

A meaningful time evolution of  $f_0$  can only be obtained in a long "transport-time" scale  $O(\delta^2 \omega_{bi})^{-1}$ : Not yet reachable by GK simulation; Multiscale time integration is needed.

## In edge, f equilibrates in zeroth-order time-scale

- Ion radial orbit excursion width (~10ρ<sub>i</sub>) ~ pedestal & scrape-off layer width; unconfined orbits with neutral recycling → Non-Maxwellian
  All terms can be large: ~ either O(ω<sub>bi</sub>) or O(v<sub>C</sub>)
  - $\mathbf{v}_{||} \cdot \nabla f \sim \mathbf{v}_d \cdot \nabla f \sim C(f, f) \sim eE_{||} v_{||} / m \partial f / \partial w \sim O(\omega_{bi}) \sim 0.05 \text{ ms in DIII-D}$
  - *f* equilibrates very fast and stiff:  $\partial f/\partial t + (\mathbf{v}_{||} + \mathbf{v}_{d}) \cdot \nabla f (e/m) + E_{||}v_{||}\partial f/\partial w = C(f,f) + S$
  - Higher order corrections are unimportant
- Fast-evolving non-equilib. kinetic system
  - Fluid equations (with diffusive closure) yields an artificially long time scale.

Edge turbulence around the separatrix saturates before the central core turbulence has even started to form.

Ideal for extreme scale computing: big physics in small number of time steps.

![](_page_26_Figure_9.jpeg)

#### Fully Implicit EM XGC1 will answer the EM effect on $\lambda_q$

- We first implemented Chen-Parker's split weight scheme
  - The "cancellation problem" was an issue when XGC stresses the long-wave length physics, too.
- We then implemented two other EM algorithms that do not suffer from the cancellation problem
  - Hybrid EM algorithm for fluid-electron type turbulence (fluid electrons + GK ions)
    - In production
  - Fully implicit EM algorithm by L. Chacon
    - At the moment, the electron time-stepping algorithm is ~5X more expensive than the present ES time-stepping
    - Wating for Summit

![](_page_27_Figure_9.jpeg)

Top: Dispersion relation for low-wavenumber Alfven modes, demonstrating the absence of cancellation issues. Right: Snapshots of electrostatic potential and electron density.