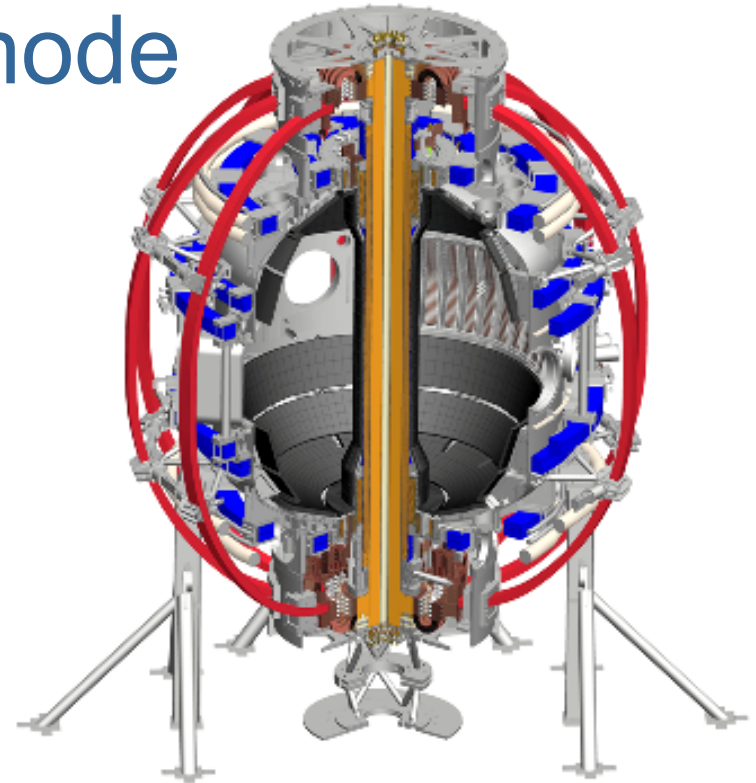


# New Insights into the Enhanced Pedestal H-mode Regime on NSTX

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NSTX-U Monday Science Meeting  
April 6, 2020



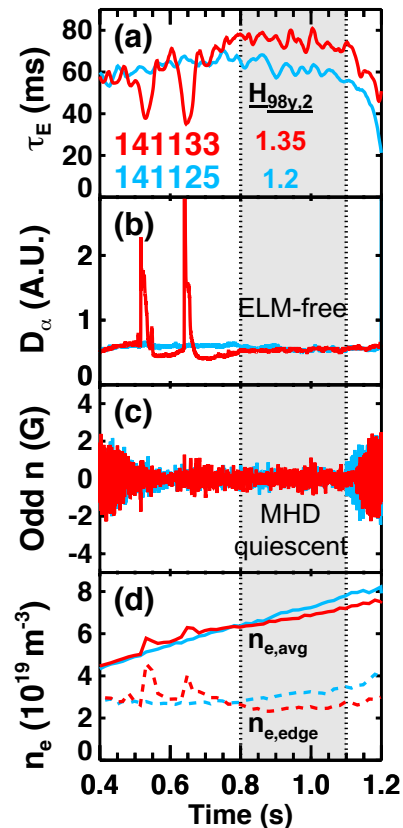
# Largest confinement time on NSTX achieved in the Enhanced Pedestal (EP) H-mode regime

- NSTX-U mission: develop solutions for a tokamak compact fusion pilot plant (CFPP)
  - Compact designs:  $H_{98y,2} > 1.5$  with small or no ELMs
  - AT approach: steady-state, high  $\beta_N$  at large  $f_{GW}$  and  $f_{BS}$
- EP H-mode on NSTX is attractive for a CFPP
  - Enhanced confinement ( $H_{98y,2}$  as large as 1.8)
  - ELM-free with slower density accumulation
  - $\beta_N \sim 6 - 7$ ,  $f_{GW} > 0.7$ ,  $f_{BS} > 0.6$ ,  $q_{min} \sim 2$ ,  $q_{95} \sim 9$
  - Defining feature is large edge  $\nabla T_i$

R. Maingi et al., J. Nucl. Mat. 390-1, 440-3 (2009)

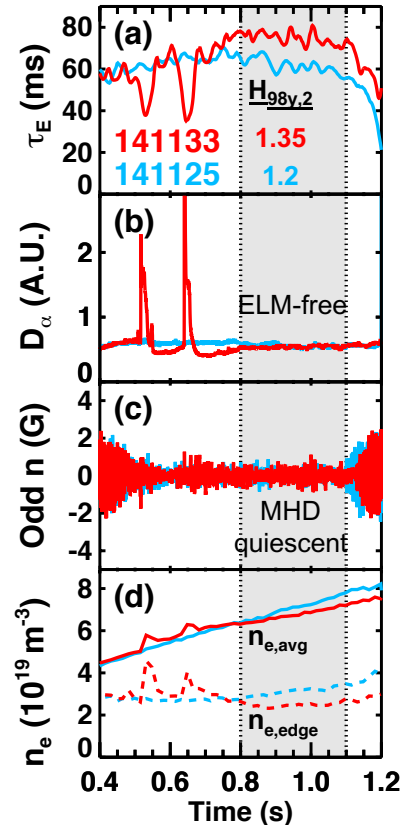
R. Maingi et al., PRL 105, 135004 (2010)

S. Gerhardt et al., NF 54, 083021 (2014)



# This talk aims to show ...

- EP H-mode transition: positive feedback between neoclassical ion energy transport and anomalous particle transport
  - Evolves to new state with improved energy confinement, reduced particle confinement
- Large ELM can trigger positive feedback
  - Due to temporary reduction in edge neutral density
- Anomalous particle transport changes in character in EP H-mode
  - Transport becomes more MHD-like (KBM, kink-peeling)



# Outline

- Comparison of wide-pedestal and EP H-mode
- Neoclassical ion thermal transport at low  $v_i^*$
- Bifurcation to EP H-mode following an ELM
- Anomalous transport in ELM-free pedestal
- Potential for EP H-mode on NSTX-U

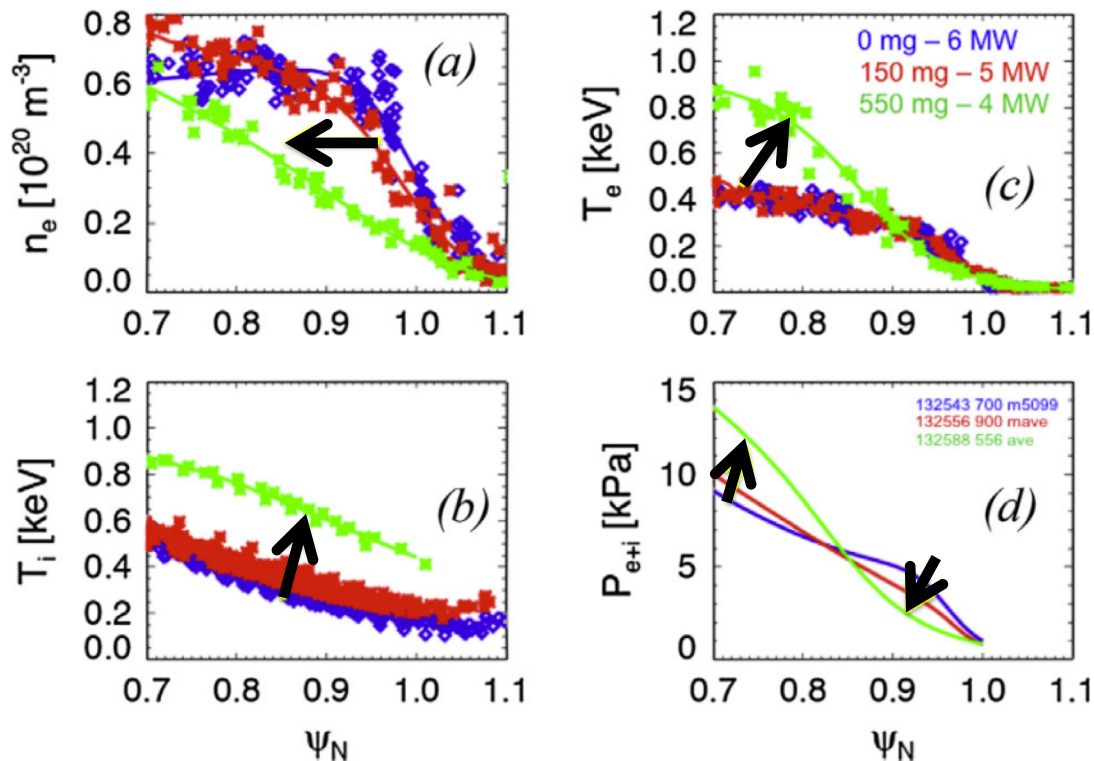


# NSTX achieved wide pedestal H-mode via reduction in recycling with lithium wall coatings

- Lower recycling reduces  $n_{e,sep}$  and  $\nabla n_e$ 
  - Improved confinement in ELM-free regime
  - Stabilize MTM  $\psi_N < 0.9$
  - Enhanced  $\chi_e \psi_N > 0.9$

J. M. Canik, et al. Nucl. Fusion 53 (2013)  
 R. Maingi, et al. Phys. Rev. Lett. 103 (2009)  
 M. Coury et al. Phys. Plasmas 23 (2016)

	$P_{NBI}$	Lithium
<b>ELMy H-mode</b>	<b>6 MW</b>	<b>0 mg</b>
<b>ELM-free H-mode</b>	<b>5 MW</b>	<b>150 mg</b>
<b>Wide ped. H-mode</b>	<b>4 MW</b>	<b>550 mg</b>



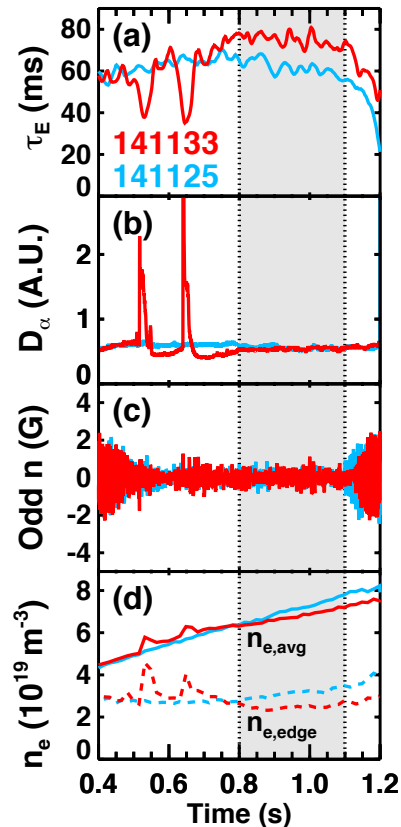
R. Maingi, et al. J. Nucl. Mater. 463 (2015)

# Matched discharges provide good comparison for wide-pedestal and EP H-mode

- WP  $\rightarrow$  EP H-mode via further reduction in edge  $n_e$
- Compare MHD-quietest periods at matched  $n_{e,avg}$ 
  - $B_T = 0.45T$ ,  $I_p = 0.9MA$ , 3 MW NBI,  $q_0 < 2$ ,  $q_{95} \sim 9$ , near DN

WP H-mode 141125 EFC + 400A n=3  
EP H-mode 141133 EFC + 500A n=3

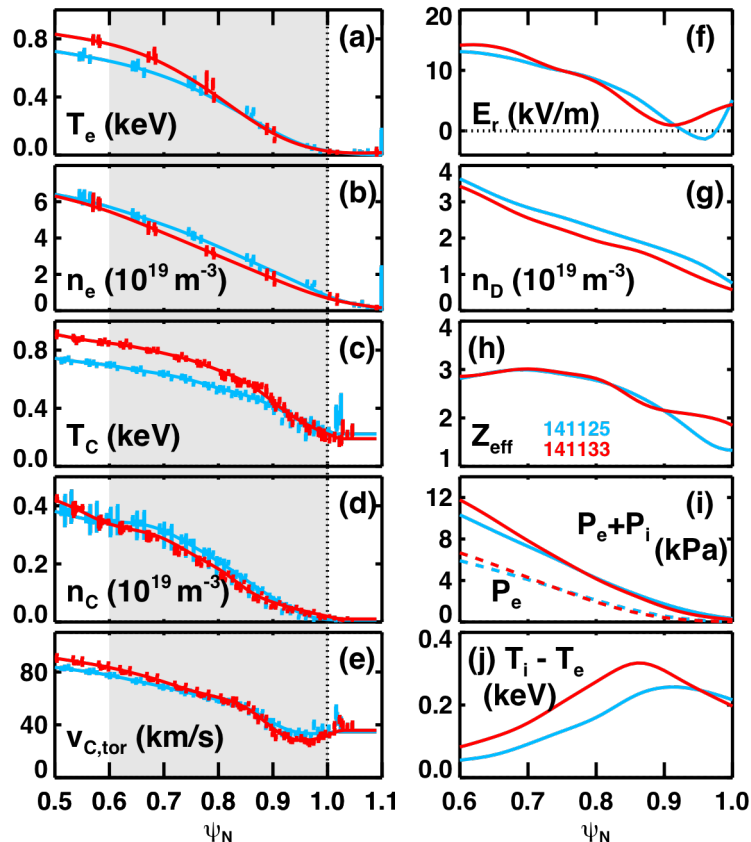
- Long ELM-free periods with lithium wall conditioning
  - Non-resonant 3D field alters edge, induces ELMs
  - 600A current in 3D coils induces regular ELMs
  - EP H-mode discharge has 2 ELMs, then ELM-free
- $H_{98y,2} = 1.2$  in WP,  $H_{98y,2} = 1.35$  in EP
  - Modest EP H-mode with  $\beta_N = 5.5$



# EP H-mode has lower edge $n_e$ , larger core $T_i$ and $T_e$

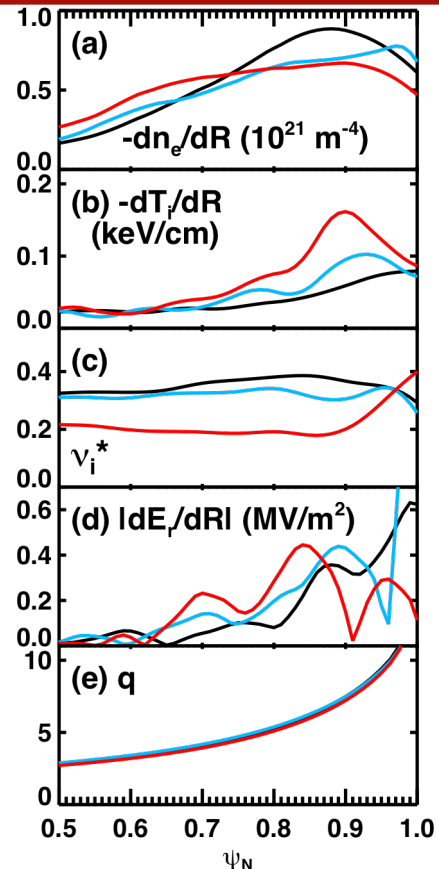
- Edge  $n_e$ ,  $n_D$  is reduced
  - $Z_{\text{eff}}$  larger for  $\psi_N > 0.9$
- Location of minimum  $E_r$  shifts inward
- Pressure similar for  $\psi_N > 0.8$
- Characteristic increase in edge  $\nabla T_i$ 
  - Larger core  $T_i$  and  $T_e$
  - Bigger increase in core  $T_i$

WP H-mode 141125 EFC + 400A n=3  
 EP H-mode 141133 EFC + 500A n=3



# EP H-mode realized with more peaked density profile and lower $\nu_i^*$

- EP H-mode: smallest  $-\nabla n_e$  for  $\psi_N > 0.9$ , largest for  $\psi_N < 0.7$ 
  - Density profile is more peaked
- EP H-mode profiles have largest edge  $-\nabla T_i$
- $\nu_i^* < 0.3$  near  $\psi_N = 0.9$  due to lower density
  - Farther in, smaller  $\nu_i^*$  due to larger  $T_i$
- $E_r$  shear peaks inside location of maximum  $-\nabla T_i$ 
  - Max  $-\nabla T_i$  typically close to  $E_r$  minimum in EP H-mode profiles
- Enhanced thermal confinement without reverse q-shear



**WP H-mode 141131 EFC only**  
**WP H-mode 141125 EFC + 400A n=3**  
**EP H-mode 141133 EFC + 500A n=3**

# Outline

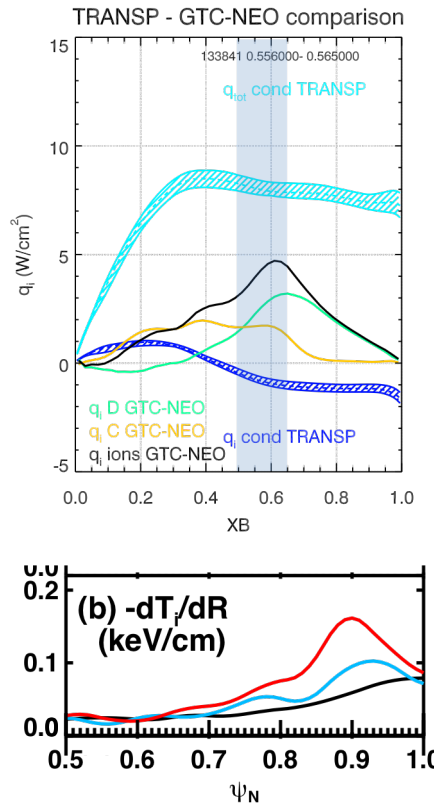
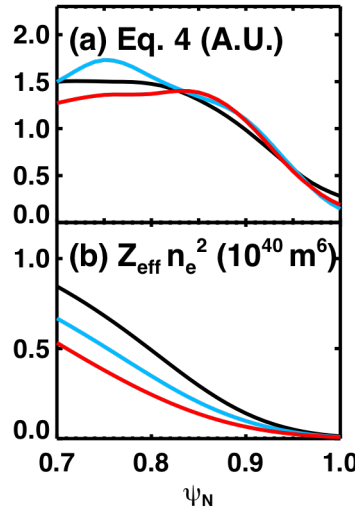
- Comparison of WP and EP H-mode
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# Improved $\nabla T_i$ consistent with neoclassical scaling

- Experimental  $q_i$  from **interpretive analysis** *smaller* than **neoclassical**  $q_i$ 
  - Resolving quantitative discrepancy is an on-going task
- This work focuses on demonstrating  $\nabla T_i$  agrees with *scaling* of neoclassical banana transport

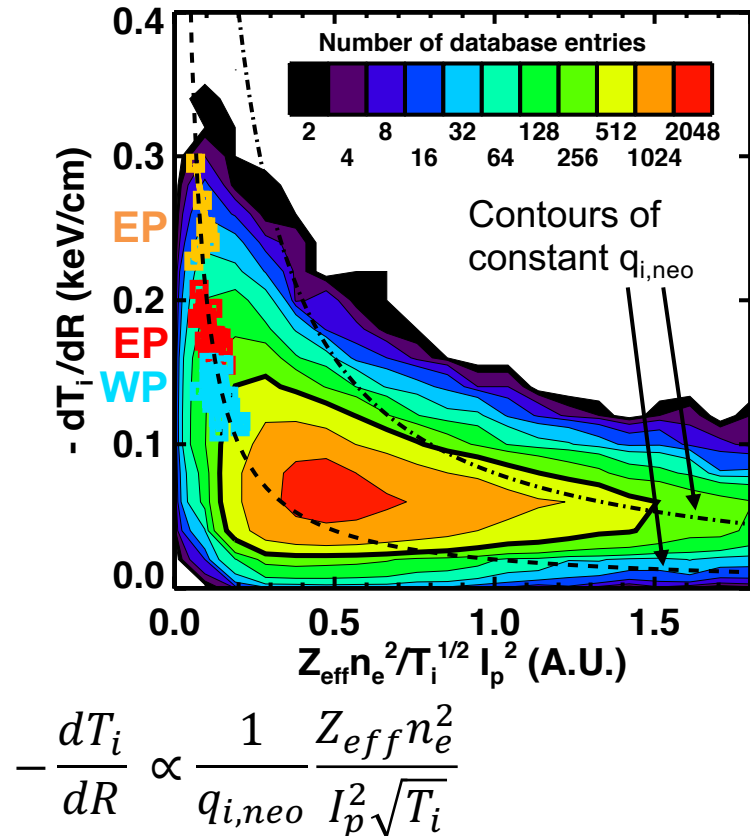
$$q_{i,neo} \propto - \frac{dT_i}{dR} \frac{Z_{eff} n_e^2}{I_p^2 \sqrt{T_i}} \quad (\text{eq. 4})$$

- EP H-mode has smaller edge  $Z_{eff} n_e^2$ 
  - Leading terms of  $q_{i,neo}$  similar despite differences in  $\nabla T_i$



# Database illustrates largest $-\nabla T_i$ achieved when neoclassical transport expected to be small

- Database of all NSTX CHERS profiles with  $P_{\text{NBI}} > 2 \text{ MW}$ ,  $Z_{\text{eff}} < 3$ 
  - Identify max  $-\nabla T_i$  for each profile
    - Form database of local parameters
  - Colors represent number of entries
    - 83% of entries reside inside thick black contour (yellow, orange, red)
- Largest  $-\nabla T_i$  achieved at small values along x-axis
  - Rapid increase in  $-\nabla T_i$  at constant  $q_{i,\text{neo}}$
  - Colored points are shots of interest



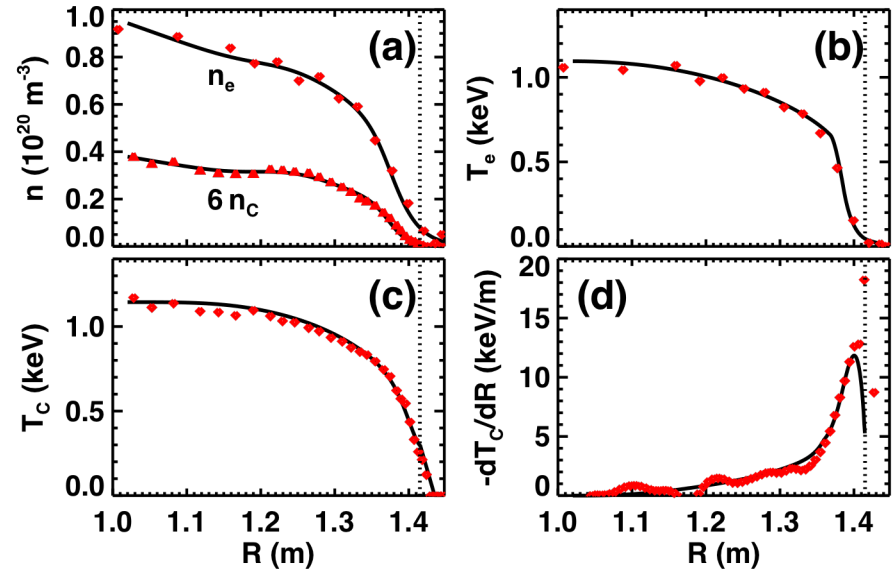
# Simple model developed to illustrate impact of edge density on core temperatures

- Use fixed equilibrium ( $B_T$ ,  $I_p$ ,  $q$ ,  $\kappa$  ...)
- Define  $n_e$ ,  $n_C$  that match EP H-mode
- Solve time-independent energy transport

$$q_{i,neo} = Q_i - n_D v_\varepsilon^{e/i} (T_i - T_e)$$

$$-n_e \chi_e \nabla T_e = Q_e + n_D v_\varepsilon^{e/i} (T_i - T_e)$$

- $Q_i$ ,  $Q_e$  approximated using TRANSP
- $q_{i,neo}$  proportional to analytic neoclassical
- Core  $\chi_e = 2.9 \text{ m}^2/\text{s}$

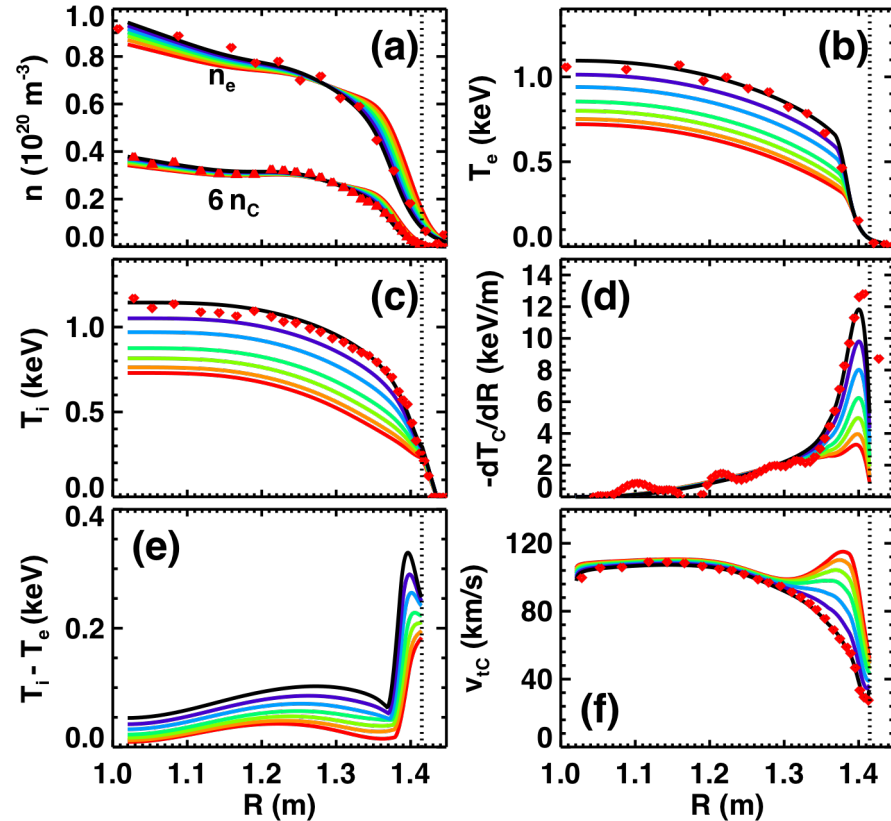


- Iterate to find  $T_i$  and  $T_e$  profiles with separatrix conditions:
  - $T_{i,sep}$  proportional to  $q_{i,neo}$  at separatrix
  - $T_{e,sep} = 60 \text{ eV}$  with fixed  $a/L_{Te}$  until  $T_e/T_i = 0.9$



# Core temperature decreases as density profiles become broader

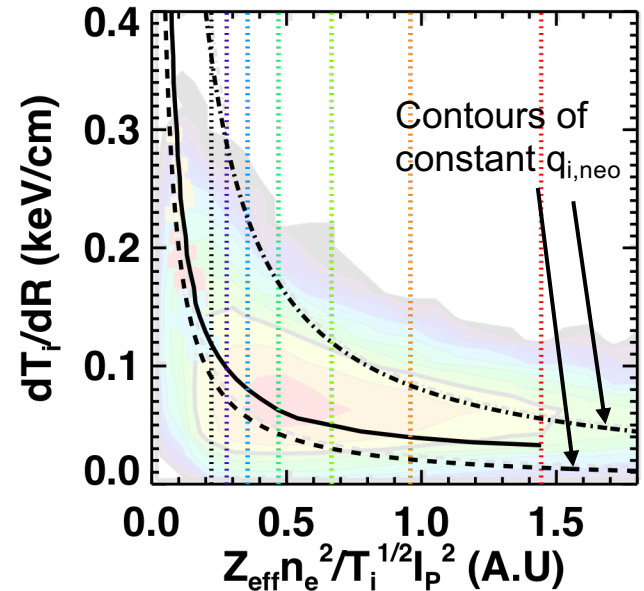
- Broaden  $n_e$  profile (Black  $\rightarrow$  red)
  - Maintain same line-averaged  $n_e$
  - Keep  $Z_{\text{eff}}$  profile constant
  - All other free parameters are the same
  - Repeat calculation of self-consistent temperature for each choice  $n_e$  profile
- Edge  $-\nabla T_i$  and core T decrease as edge  $n_e$  increases
  - Region of stiff  $T_e$  becomes narrower
  - $T_i - T_e$  becomes smaller
  - With fixed  $E_r$  (and  $v_{\text{pol}} = 0$ ) edge toroidal carbon rotation becomes more positive



# Simple model demonstrates relationship between edge density and core temperature

- Reproduces rapid increase in edge  $\nabla T_i$  as  $n_{e,sep}$  decreases
  - Due to  $\nabla T_i \propto 1/n_e^2$  at constant  $q_{i,neo}$
- Increasing difference in core  $T_i$  and  $T_e$  at lower  $n_{e,sep}$ 
  - Reduces edge  $q_{i,neo}$  as more ion energy is transferred to electrons via collisions

$$q_{i,neo} = Q_i - n_D v_{\epsilon}^{e/i} (T_i - T_e)$$

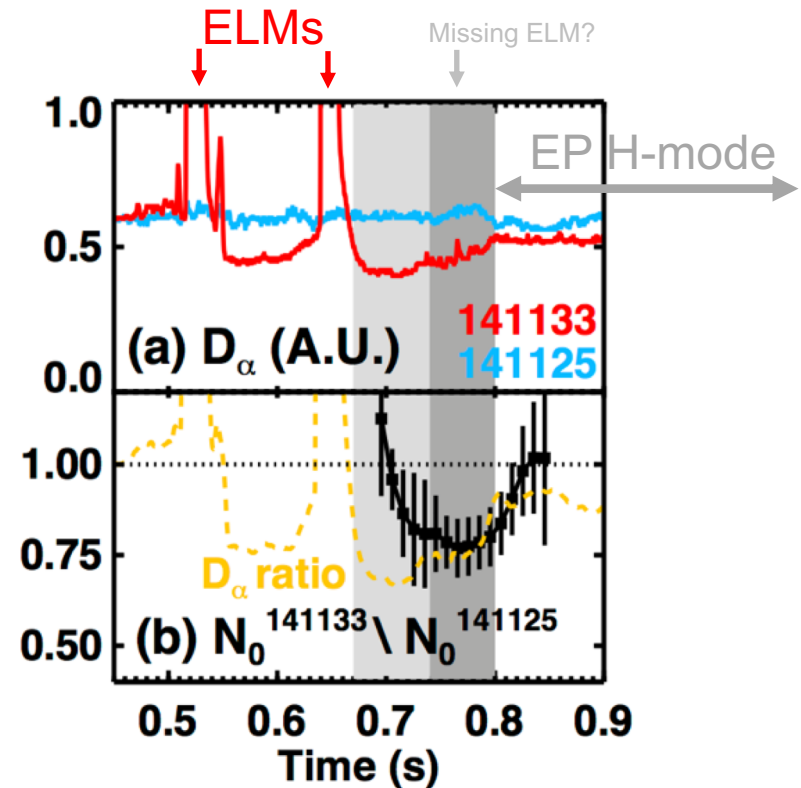


# Outline

- Comparison of WP and EP H-mode
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- **Bifurcation to EP H-mode following an ELM**
- Anomalous transport in ELM-free pedestal
- Potential for EP H-mode on NSTX-U

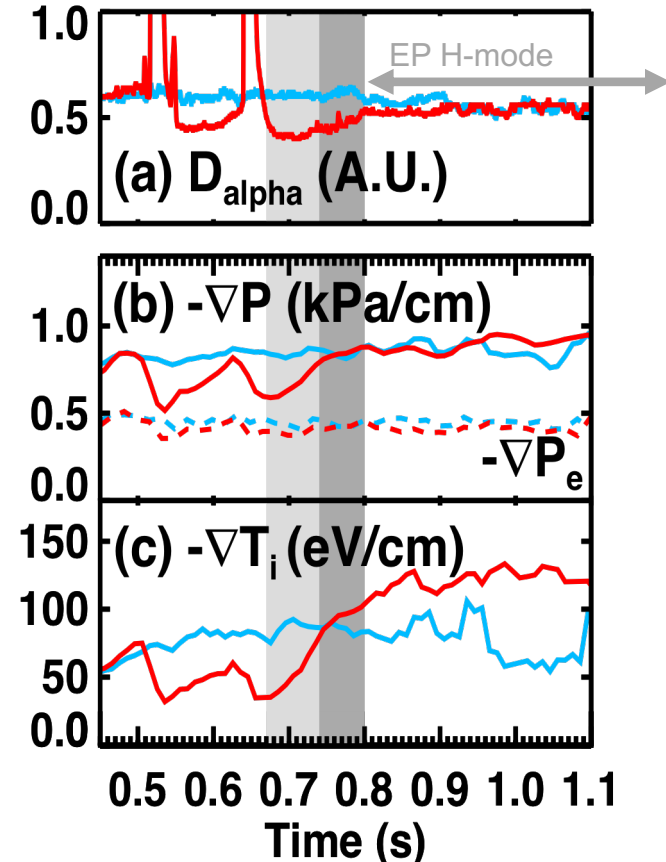
# A period of reduced neutral fueling occurs during the ELM recovery

- Divertor heat flux from ELM liberates neutrals from the wall
  - Neutral recycling reduced while wall inventory recovers
- Ratio of neutral density at  $T_e = 100\text{eV}$  between two shots (black) similar to ratio of divertor  $D_\alpha$  (gold)
  - Lower neutral density facilitates entry into EP H-mode
  - Measurement from passive CHERs



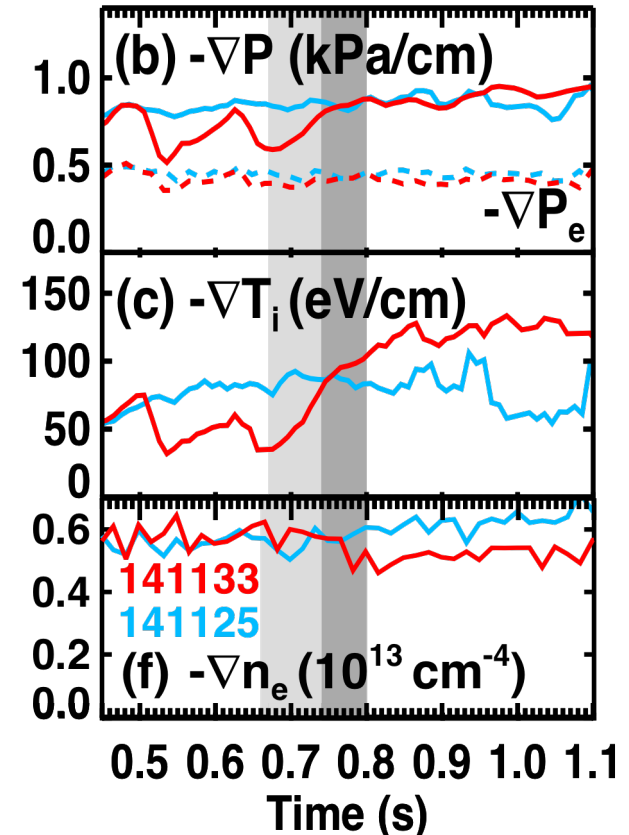
# Proposed trigger mechanism: Pressure gradient saturates prior to neutral density recovery

- KBM and/or saturated kink-peeling instability clamp  $\nabla P$ 
  - Dark gray phase
- Lower neutral density leads to a "T<sub>i</sub> overshoot" in this phase
  - Reduced charge-exchange losses

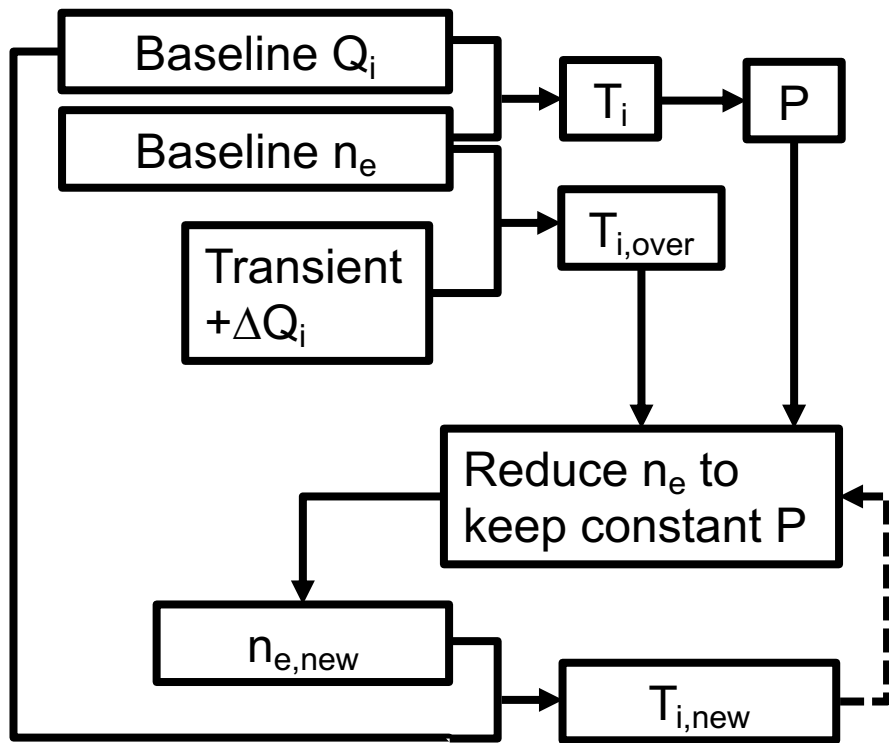


# Proposed trigger mechanism: pressure gradient saturates prior to neutral density recovery

- MHD-like instability reduces edge density gradient to maintain  $\nabla P$  during  $T_i$  overshoot
  - MHD-modes have a larger impact on the total particle transport than the total energy transport in the pedestal
    - $D/\chi \sim 1$  for MHD-like transport
    - $D/\chi \ll 1$  for ETG, MTM
    - $D/\chi < 0.1$  in pedestal
- Increased  $\nabla T_i$  gets “locked in” by reduction in edge density
  - Maintain neoclassical heat flux at lower edge density
  - Persists after full recovery of neutral density



# Simple model demonstrates positive feedback between neo. energy transport and MHD-like particle transport

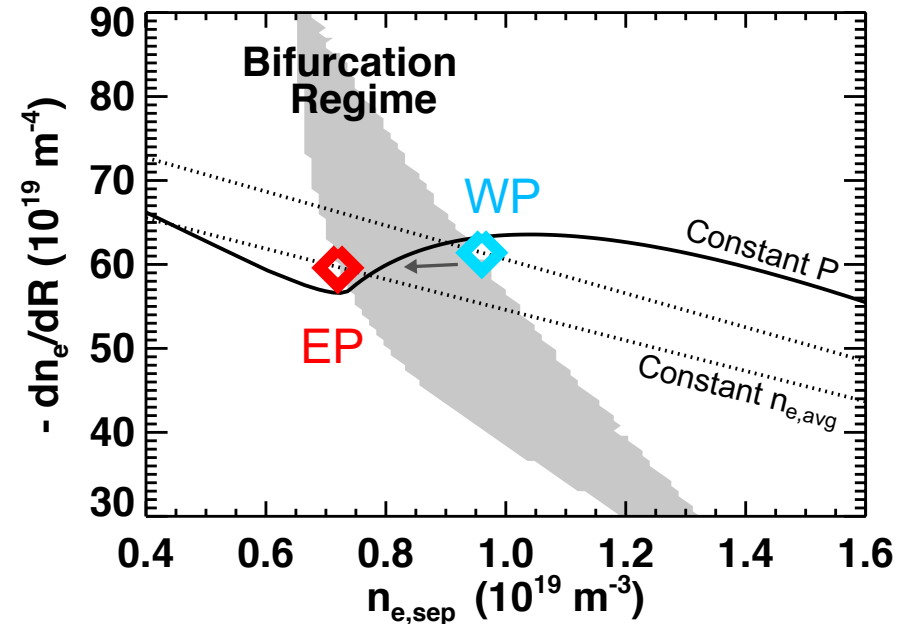


- Use simple model for  $0.85 < \psi_N < 1$   
 $q_{i,neo} = Q_i - n_D v_\varepsilon^{e/i} (T_i - T_e)$ 
  - Fixed  $T_e$  profile (constant  $a/L_{Te}$ )
  - Simplify  $n_e$  profile
    - Two parameters:  $n_{e,sep}$ ,  $\nabla n_e$
    - $Z_{eff} = 2$  with  $C^{6+}$  only impurity
- Increase  $Q_i$  slightly
  - Recalculate  $T_i$  profile ( $T_{i,over}$ )
  - Reduce  $n_e$  to maintain constant  $P$  ( $n_{e,new}$ )
- Calculate  $T_i$  with lower  $n_e$  profile and original  $Q_i$  ( $T_{i,new}$ )
  - Is  $T_{i,new} > T_{i,over}$  ?

# Simple model demonstrates positive feedback between neo. energy transport and anomalous particle transport

- Lower  $n_{e,sep}$  facilitates bifurcation with a constant  $n_{e,avg}$  (dotted lines)
  - i.e. more peaked density profiles
- Left-side boundary of gray region (stable EP solutions) requires damping mechanism
  - Imposed in model by defining a max  $\nabla T_i$
  - Possible damping mechanisms include:
    - Growing  $T_i/T_e$  in core, reducing  $q_{i,neo}$
    - Increasing  $\chi_{i,anom} / \chi_{i,neo}$
    - Evolving equilibrium,  $Z_{eff}$
- Simple model illustrates WP  $\rightarrow$  EP bifurcation with  $n_{e,sep} < 10^{19} \text{ m}^{-3}$ 
  - New state at constant P (solid line)

Gray region indicates  $n_e$  profiles where positive feedback is initiated ( $T_{i,new} > T_{i,over}$ )



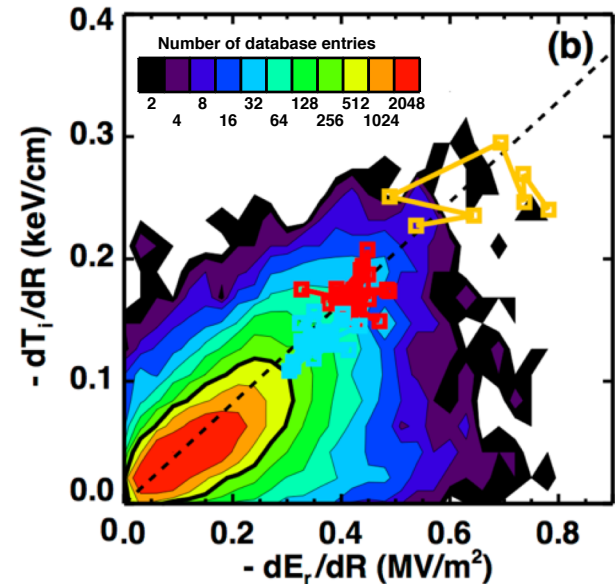
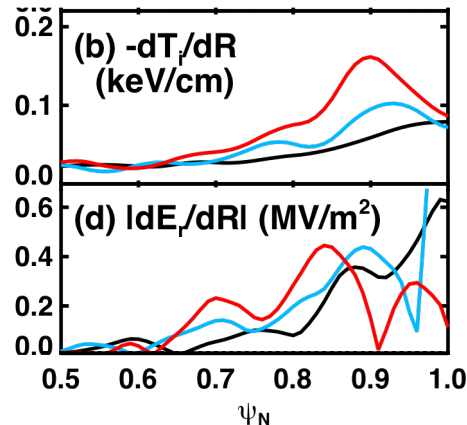


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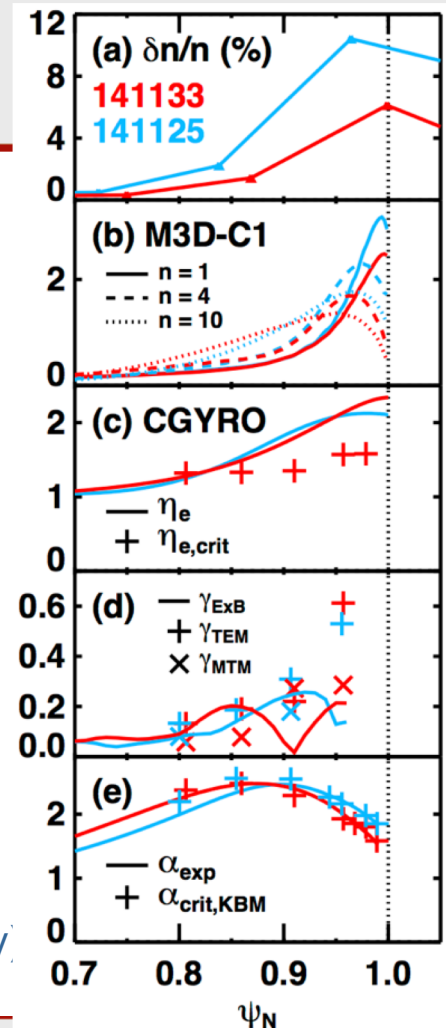
# Maximum $-\nabla E_r$ and maximum $-\nabla T_i$ increase concurrently

- Location of max  $-\nabla E_r$  at smaller R compared to  $-\nabla T_i$ 
  - Max  $-\nabla T_i$  typically aligns with minimum in  $E_r$  ( $\nabla E_r = 0$ ) in EP H-mode
- Magnitudes grow concurrently
  - Location of max  $-\nabla E_r$  shifts inward with EP H-mode
- May impact anomalous transport
  - For example, improved electron energy confinement



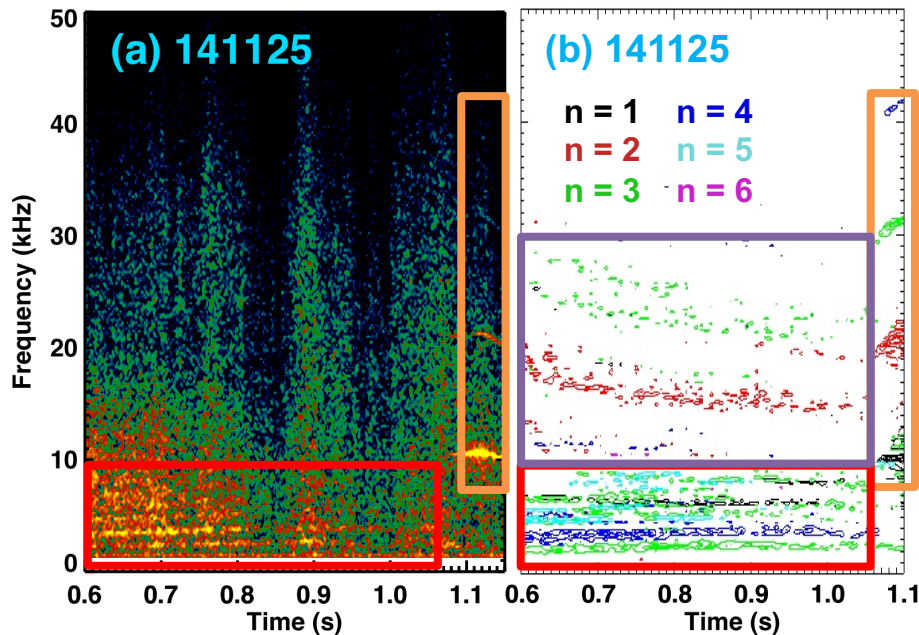
# WP and EP phases have similar linear stability properties

- BES shows  $dn/n$  perturbations increasing toward separatrix
  - Large flux compression limits channel radial resolution ( $\Delta\psi_N \sim \pm 0.1$ )
  - Normalized density perturbations ( $dn/n$ ) larger in WP than EP
- M3D-C1 predicts unstable kink-peeling modes
  - $n = 1 - 20$  predicted to be linearly unstable in both discharges
  - Initial two-fluid calculations: co- $I_p$  in lab frame with  $n \times 11$  kHz
- CGYRO linear results similar to previous WP analysis
  - ITG robustly stable across profile, ETG unstable in region of stiff  $\nabla T_e$
  - TEM unstable for  $\psi_N > 0.9$ , MTM subdominant in this region
  - TEM, MTM near marginal stability for  $\psi_N < 0.9$
- Experimental profiles near critical onset for KBM ( $\alpha_{crit}$ )
  - Scale  $\beta$  and identifying rapid increase in  $\gamma$  and switch to ion-directed
  - No onset if local equilibrium scaled self-consistently (i.e. second-stability)



# BES: Ion-scale fluctuations are predominately below 10 kHz in wide-pedestal H-mode

## Wide pedestal H-mode



BES  $\psi_N \sim 0.84$

Magnetics

- Core modes as  $q_0 \rightarrow 1$ 
  - Coupled 1/1, 2/1

Gerhardt *et al.* *NF* 51, 073031 (2011)

- Low frequency, coherent edge modes in ELM-free or small-ELM regimes

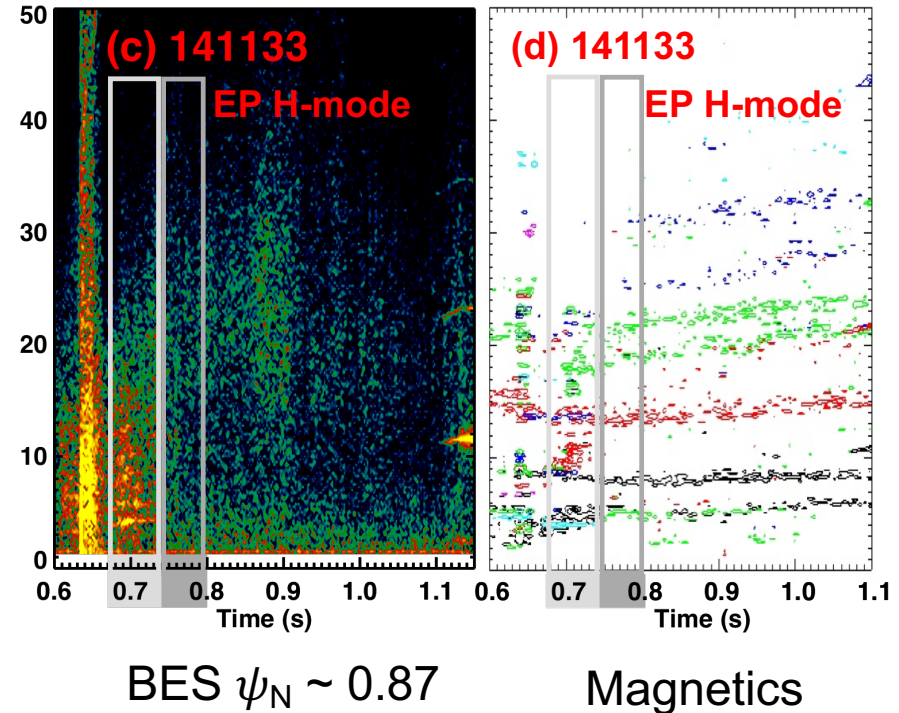
- Peak in amplitude at separatrix but exist throughout pedestal
- Sometimes observed with harmonics,  $\Delta f \sim 2$  kHz
- Shown to broaden heat flux

Sontag *et al.* *NF* 51, 103022 (2011) Park *et al.* *NF* 54, 043013 (2014)  
Gan *et al.* *NF* 57, 126053 (2017) Zweben *et al.* PoP, submitted (2020)

- Faint detection of EHO in mag.
  - $\Delta f = 7 - 10$  kHz co- $I_p$

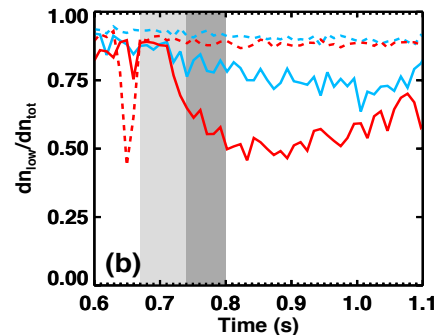
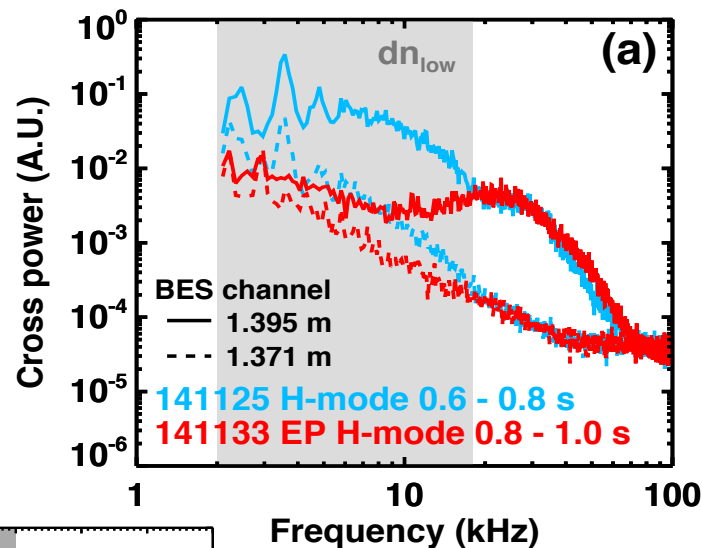
# Low-frequency modes appear early in ELM recovery, significantly reduced in EP H-mode phase

- Gray boxes correspond to two phases of ELM recovery
  - Saturation of  $\nabla P$  in dark gray phase
- Low frequency, quasi-coherent edge modes reduced in EP phase
  - Observed early in recovery (light gray) at saturation of  $1/L_{Te}$ ,  $1/L_{ne}$
  - Onset of TEM and/or MTM (?)
  - Sharp reduction at saturation of  $\nabla P$
- Stronger detection of EHO
  - $\Delta f = 6 - 7$  co- $I_p$  kHz
  - Resembles broadband EHO



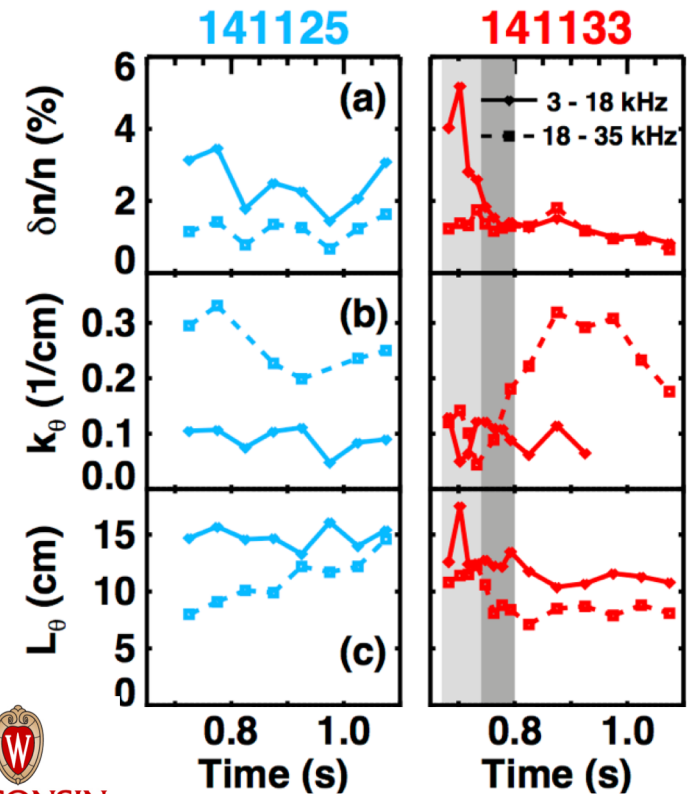
# Biggest difference in BES spectra between WP and EP H-mode occurs below 20 kHz

- Average BES spectra over a 200ms time window for 2 edge channels
  - Broad modes from 2 – 80 kHz on channels imaging  $\psi_N > 0.8$  (solid lines)
    - Not observed  $\psi_N < 0.8$  (dashed lines)
  - Low frequency (2 – 18 kHz) modes suppressed in EP H-mode (red)
    - Including coherent modes
- Time evolution of ratio of low frequency spectra (2 – 18 kHz) to total spectra (2 – 80 kHz)
  - Suppression begins near  $\nabla P$  saturation



# Poloidal BES array at $\psi_N \sim 0.85$ suggests the poloidal correlation of ion-scale modes is reduced in EP H-mode

- Single vertical array in BES system images above midplane  $0.75 < \psi_N < 0.95$ 
  - Solid lines are low-f modes, dashed are mid-f modes
- Panel (a) shows drop in low-f (solid)  $dn/n$  for EP H-mode compared to WP
- Panel (b) shows mid-f  $k_\theta$  about 2-3 times low-f except during ELM recovery
- Panel (c) shows poloidal correlation length lower in EP H-mode for all frequencies

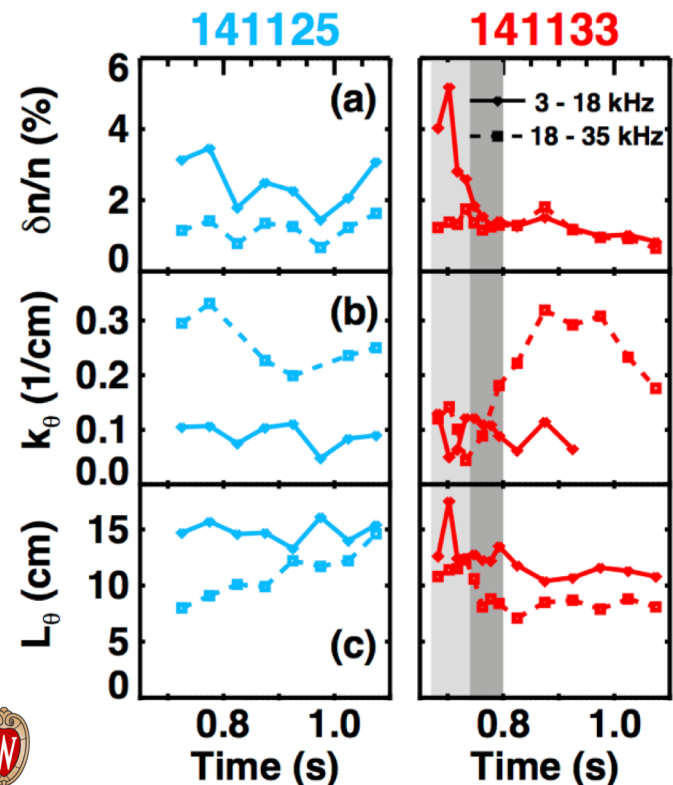
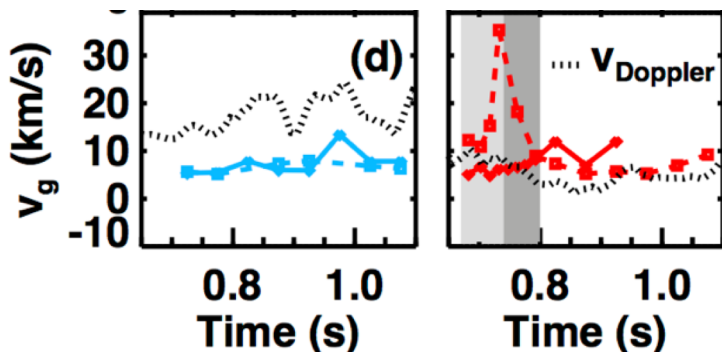


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# Mode direction shifts from electron to ion diamagnetic directed in EP H-mode

- **WP H-mode**: group velocity is 10 km/s in e-dia direction in plasma frame
  - Consistent with TEM, MTM
- **EP H-mode**:  $v_g$  near Doppler velocity, slightly in i-dia direction
  - Large jump in  $v_g$  near onset of grad-P saturation
  - Consistent with MHD-like: KBM, kink-peeling



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# Characterizing edge instabilities and associated transport is an ongoing activity (R20-1 milestone)

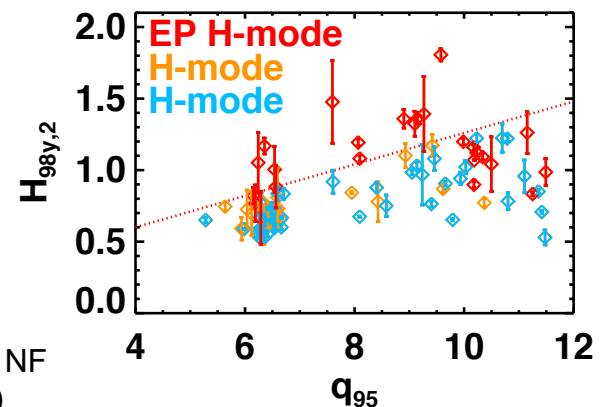
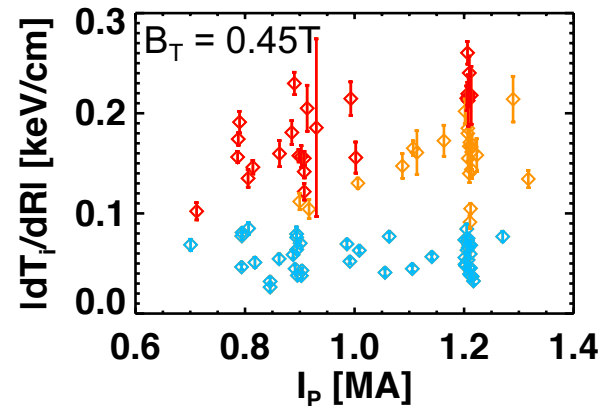
- Hypothesis is that MHD-like activity clamps  $\nabla P$  by inducing transport, with outsized impact on total D
- WP and EP H-mode have similar linear stability thresholds
  - No “slam dunk” in terms of crossing a stability threshold
- BES shows lower  $dn/n$ , smaller poloidal correlation in EP H-mode
  - Appears to counter hypothesis of increased transport
  - Low-f modes impact SOL; suppression in EP H-mode may change SOL
- BES and magnetics suggest edge modes become more MHD-like
  - Propagation shifts toward ion-diamagnetic direction in the plasma frame
  - Stronger detection of EHO-like oscillations in magnetics with  $\Delta f \sim 10$  kHz

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# Best EP H-mode performance achieved on NSTX with $I_p/B_T = 2\text{MA/T}$ at $q_{95} \sim 9$

- Highest normalized confinement achieved with  $q_{95} \sim 9$ ,  $I_p \sim 0.9\text{MA}$ 
  - Smaller  $q_{95}$  (larger  $I_p$ ): easier to get steep edge  $\nabla T_i$ ,
    - $q_0 \rightarrow 1$  soon after entering EP H-mode
  - Larger  $q_{95}$  (smaller  $I_p$ ): need a lower edge density and harder to get long ELM-free periods
- High performance target on NSTX-U is  $I_p = 2\text{MA}$ ,  $B_T = 1\text{T}$  with  $q_{95} \sim 9$



Data set from:  
S. Gerhardt et al., NF  
54, 083021 (2014)

# New tools on NSTX-U should improve access and sustainment of EP H-mode

- Double  $I_p$ ,  $B_T$ ,  $n_e$ ,  $P_{\text{NBI}}$  (same  $n_{\text{GR}}$ ) in simple models
  - Bifurcation regime accessed at larger edge  $n_{\text{GR}}$  due to  $\chi_{i,\text{neo}} \propto T_i^{1/2}$
  - Saturated EP H-mode phase sensitive to assumed anomalous electron and ion thermal transport levels
- More tangential NBI + expanded control of non-axisymmetric fields will improve sustainment and provide actuator for optimizing discharges
  - Drive off-axis current to avoid  $q_0 \rightarrow 1$
  - Increase edge rotation shear for modifying edge stability through  $E_r$  shear
- Expanded wall conditioning capabilities
  - Improved bake-out system and evaporative lithium coverage
  - Longer inter-shot helium GDC
  - Possible reduction in carbon production with fish-scaled tiles
  - Intra-shot conditioning with lithium injection (granule and dropper)
- Expanded diagnostic capability

# Summary

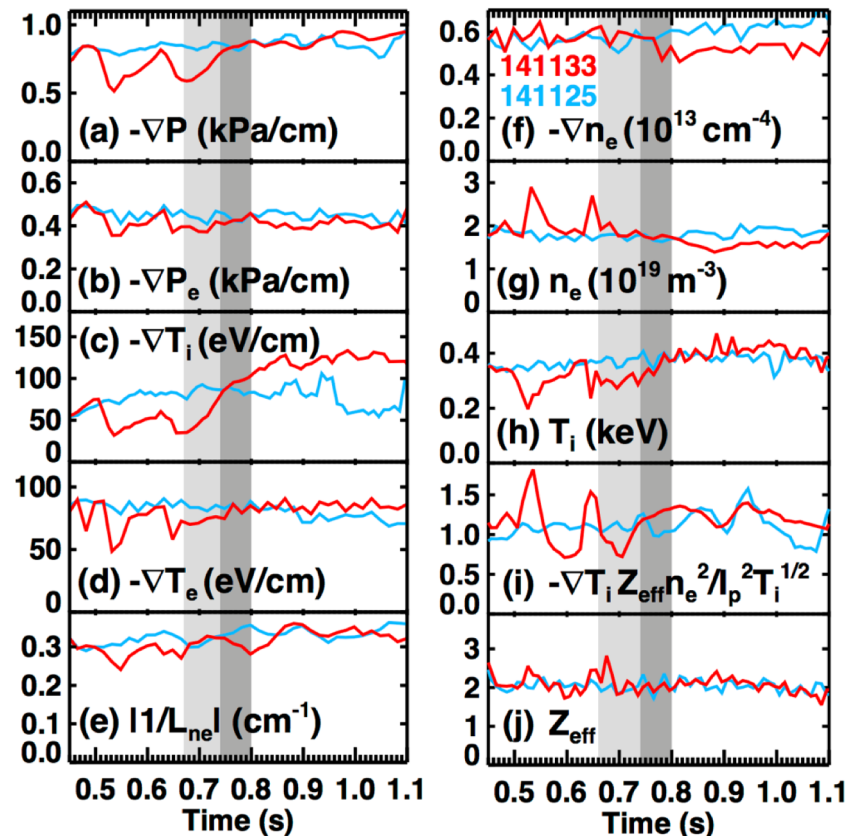
- EP H-mode is an attractive operating regime for compact fusion devices
  - ELM-free with enhanced edge particle transport (similar to wide-pedestal QH-mode)
  - Enhanced thermal confinement at high  $\beta_p$  with large  $f_{GW}$  and  $f_{BS}$
  - NSTX-U will have new tools to sustain, optimize and understand scenario
- Improved thermal confinement and bifurcation following ELM consistent with neoclassical ion thermal transport
  - Simple models and database analysis demonstrates sensitivity to edge density and positive feedback between neo transport and pressure-driven particle transport
- Nature of anomalous transport changes in EP H-mode
  - ETG, MTM, TEM, KBM, K-P predicted to be linearly unstable in edge
  - BES and magnetic measurements suggest ion-scale density perturbations become more MHD-like (KBM, K-P) in EP H-mode
  - Low-frequency coherent perturbations are suppressed in EP H-mode

# Backup and Extra material

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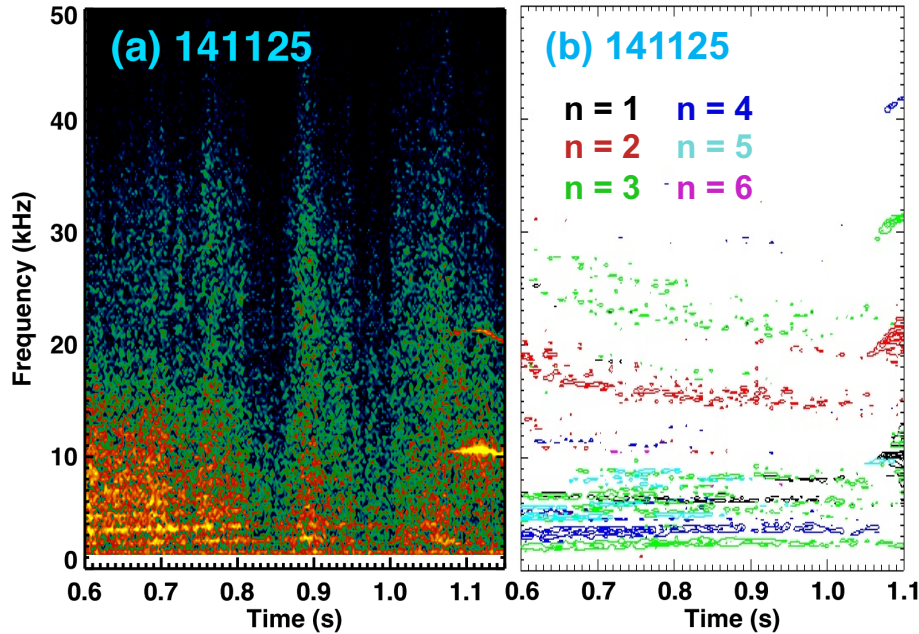
# Hypothesis: reduced neutral density leads to “ $\nabla T_i$ overshoot” during ELM recovery

- Plot shows time evolution of local parameters for  $\psi_N \sim 0.9$  ( $T_e = 140$  eV)
- At  $\nabla P$  saturation (dark gray),  $\nabla n_e$  decreases with  $\nabla T_i$  overshoot
  - Assume onset of MHD-like instabilities (KBM, K-P) induce transport
    - Consistent with larger impact on total particle transport compared to total energy transport
  - Later ( $t > 0.8$ s) edge  $n_e$  decreases
- Larger  $-\nabla T_i$  “locked in” after full  $D_\alpha$  recovery by lower edge  $n_e$ 
  - Consistent with neoclassical scaling



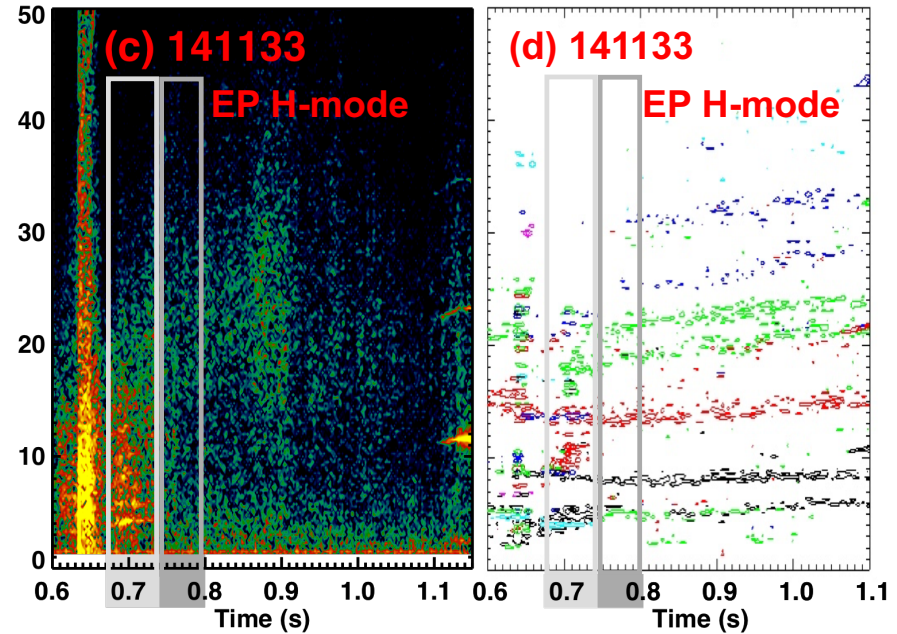
# The biggest difference occurs below 10 kHz

## Wide pedestal H-mode



BES  $\psi_N \sim 0.84$

Magnetics

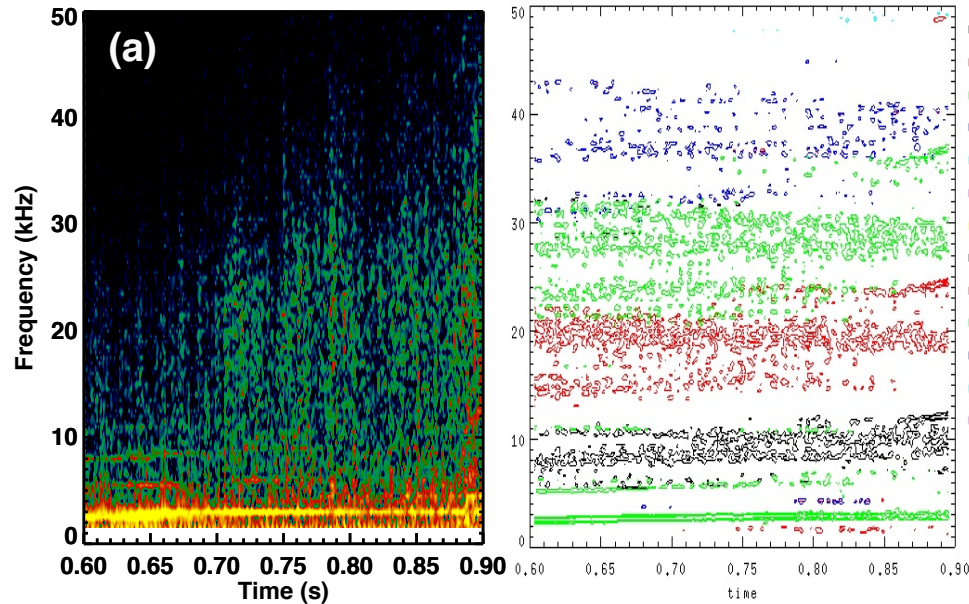


BES  $\psi_N \sim 0.87$

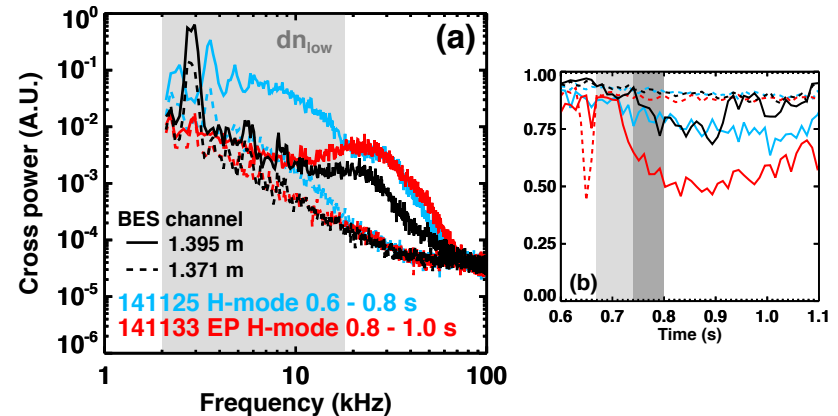
Magnetics



# 141131: H-mode with EFC only

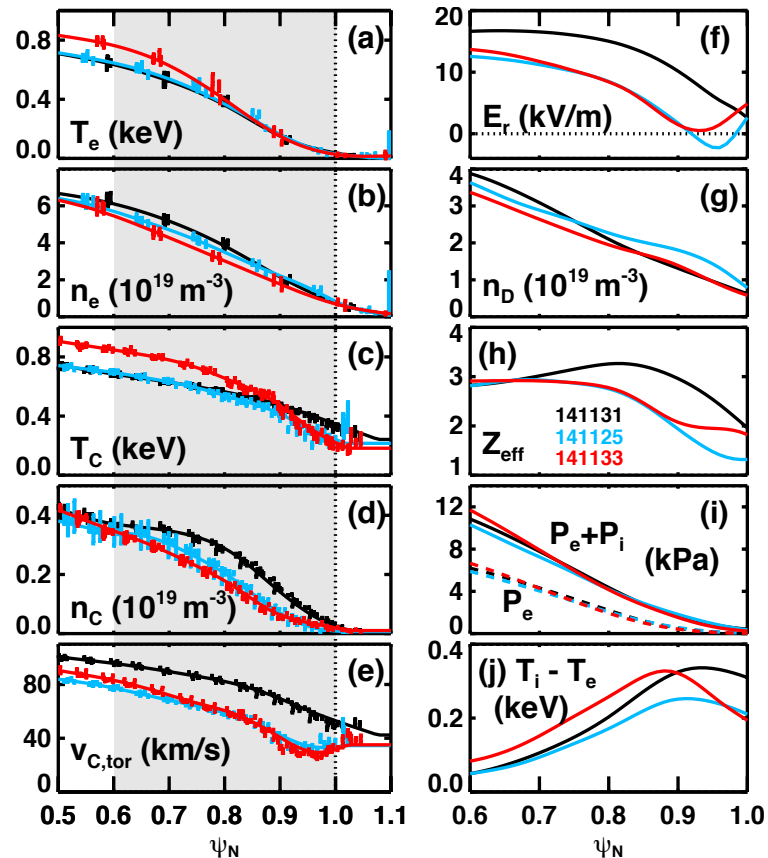


- Well defined 2.5 – 3 kHz edge mode
  - Fewer harmonic components detected
  - Similar frequency to 141125 despite differences in  $E_r$ , rotation
  - No broad spectra observed below 18 kHz, but  $dn_{low}/dn_{tot}$  similar to 141125
- Broadband EHO detected, but no broad spectra below 18 kHz

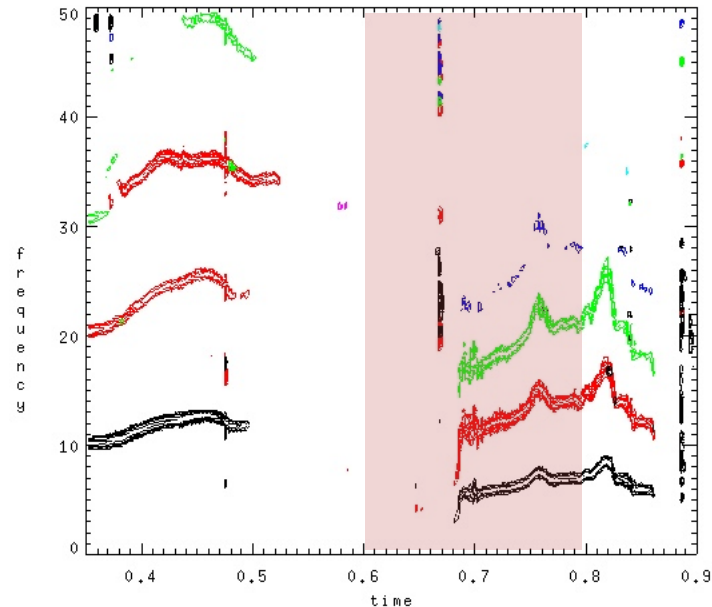
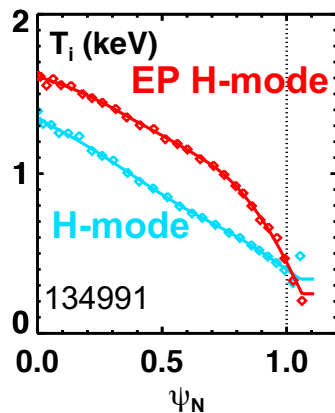
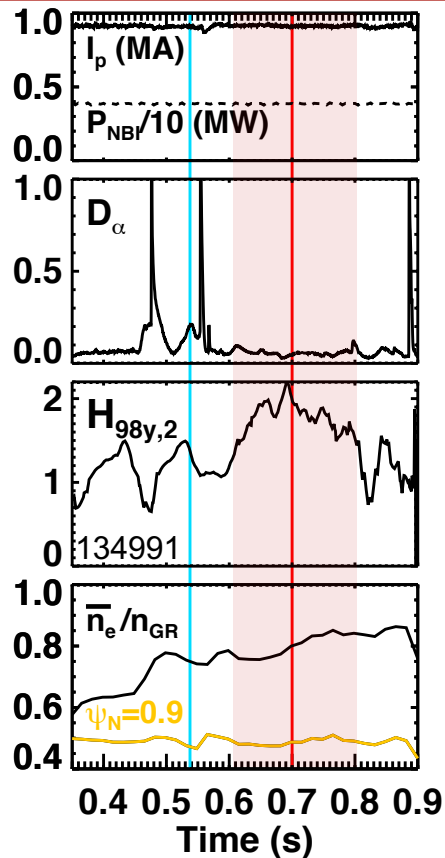


# Three matched discharges provide good comparison for wide-pedestal and EP H-mode

**WP H-mode 141131 EFC only**  
**WP H-mode 141125 EFC + 400A n=3**  
**EP H-mode 141133 EFC + 500A n=3**



# Example of EP H-mode with higher normalized confinement (and a coherent EHO??)

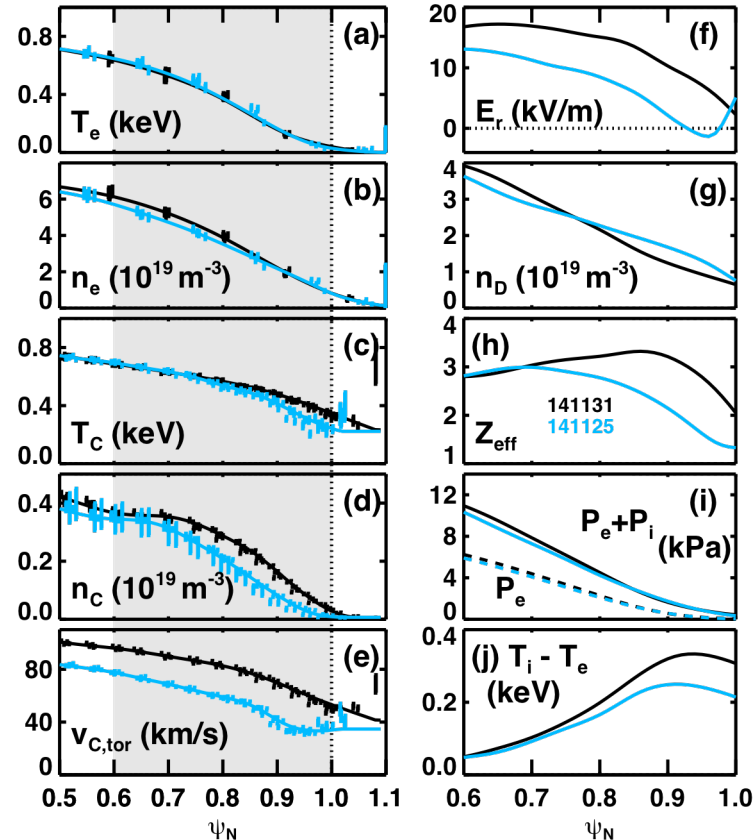


Preliminary hint that modes between 0.7 – 0.87s are edge localized (no BES available).  
 Observed when shaping comes closer to DN

# Non-resonant 3D fields in WP regime alters edge $E_r$ , $Z_{\text{eff}}$

- Small impact on electron profiles
  - Little change to  $T_e$
  - $n_e$  slightly broader in edge region
- Bigger impact on ion profiles
  - Rotation,  $E_r$  reduced via NTV
  - $T_i$  smaller in edge, matched in core
  - $n_D$  broader,  $n_C$  pedestal shifts inwards
    - Significant reduction in edge  $Z_{\text{eff}}$
- Pressure profiles are similar

**WP H-mode 141131 EFC only**  
**WP H-mode 141125 EFC + 400A n=3**  
**EP H-mode 141133 EFC + 500A n=3**



# Three matched discharges provide good comparison for wide-pedestal and EP H-mode

- Compare MHD-quiescent periods at matched  $n_{e,avg}$ 
  - $B_T = 0.45T$ ,  $I_p = 0.9MA$ , 3 MW NBI,  $q_0 < 2$ ,  $q_{95} \sim 9$ , near DN

**WP H-mode 141131 EFC only**

**WP H-mode 141125 EFC + 400A n=3**

**EP H-mode 141133 EFC + 500A n=3**

- Long ELM-free periods with lithium wall conditioning
  - Non-resonant 3D field alters edge, induces ELMs
  - 600A current in 3D coils induces regular ELMs
  - **EP H-mode discharge** has 2 ELMs, then ELM-free
- $H_{98y,2} = 1.2$  in WP,  $H_{98y,2} = 1.35$  in EP
  - Modest EP H-mode with  $\beta_N = 5.5$

