



# Predicting frequency chirping of Alfvénic modes

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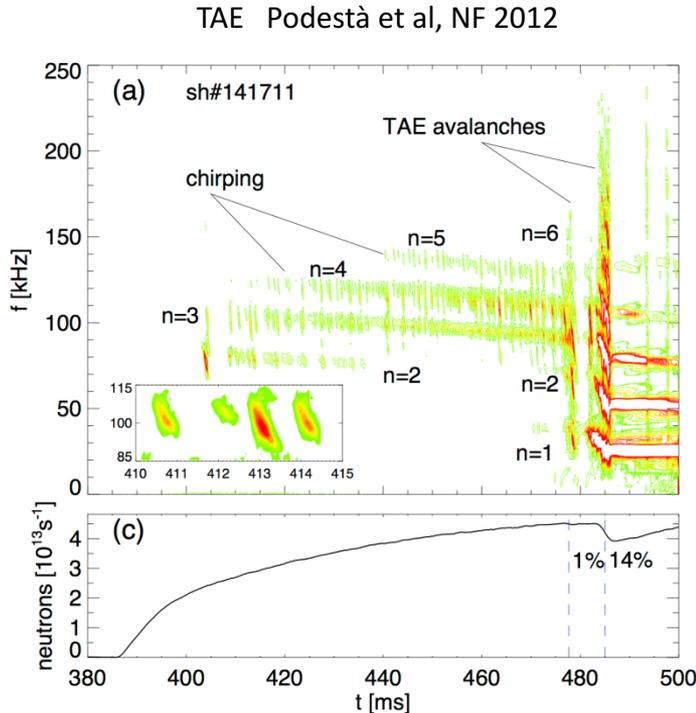
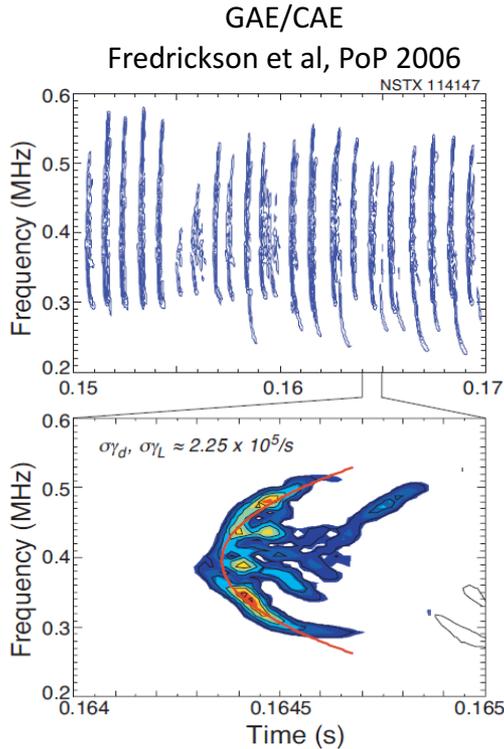
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# Transport induced by chirping modes can seriously degrade the confinement of energetic particles



Up to 40% of injected beam is observed to be lost in DIII-D and NSTX

Chirping behavior is observed to be a precursor to avalanches in NSTX

What is the dominant fast ion transport mechanism (convective or diffusive)? When is quasilinear theory applicable? [Gorelenkov's talk](#)

**Why chirping is ubiquitous in NSTX but rare in DIII-D?**

# What makes wave chirping likely to happen?

Starting point: **kinetic equation** plus **wave power balance** close to marginal stability

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**Cubic equation: lowest-order nonlinear correction to the evolution of mode amplitude  $C$**

$$\begin{aligned} \frac{dC(t)}{dt} - C(t) = & - \sum \int d\Gamma \mathcal{H} \int_0^{t/2} d\tau \tau^2 C(t - \tau) \times \\ & \times \int_0^{t-2\tau} d\tau_1 e^{-\hat{\nu}_{stoch}^j \tau^2 (2\tau/3 + \tau_1) + i\hat{\nu}_{drag}^2 \tau(\tau + \tau_1)} \times \\ & \times C(t - \tau - \tau_1) C^*(t - 2\tau - \tau_1) \end{aligned}$$

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- If nonlinearity is weak: linear stability, solution saturates at a low level and  $f$  merely flattens (system not allowed to further evolve nonlinearly).
- If  $C$  blows up: system enters a strong nonlinear phase with large mode amplitude and can be driven unstable (**precursor of chirping modes**).

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stabilizing
destabilizing (makes integral sign flip)

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# A general criterion for Alfvén wave chirping

(strongly dependent on competition between fast ion scattering and drag)

$$C_{rt} = \frac{1}{N} \sum_{j, \sigma_{\parallel}} \int dP_{\varphi} \int d\mu \frac{|V_j|^4}{\omega_{\theta} \nu_{drag}^4} \left| \frac{\partial \Omega_j}{\partial I} \right| \left| \frac{\partial f}{\partial I} \right| I_{nt} \quad \left. \begin{array}{l} >0: \text{fixed-frequency likely} \\ <0: \text{chirping likely} \end{array} \right\}$$

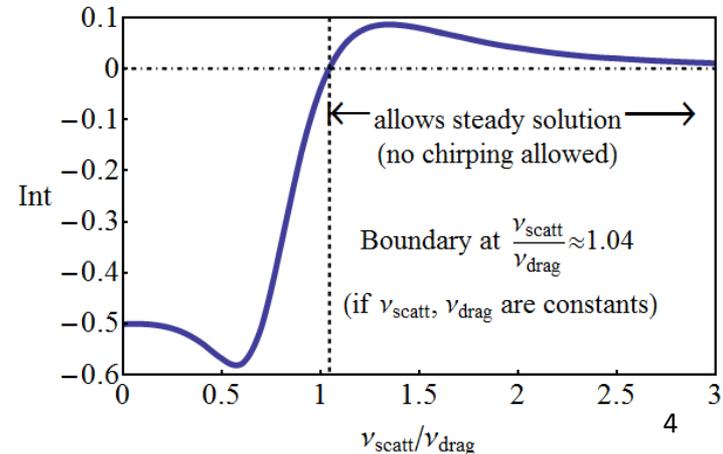
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$$\boxed{Int} \equiv \text{Re} \int_0^{\infty} dz \frac{z}{\frac{\nu_{stoch}^3}{\nu_{drag}^3} z - i} \exp \left[ -\frac{2}{3} \frac{\nu_{stoch}^3}{\nu_{drag}^3} z^3 + iz^2 \right]$$

$Crt$  accounts for collisional coefficients varying along resonances and particle orbits



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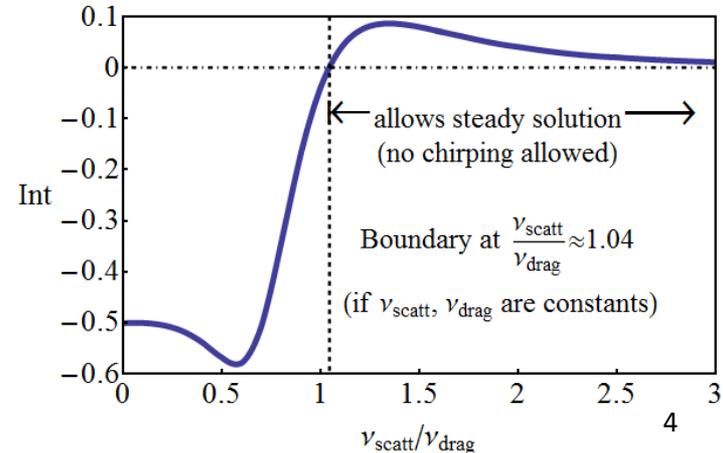
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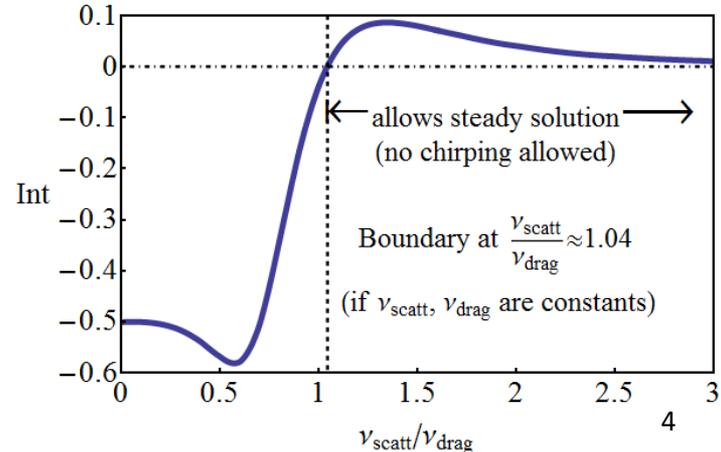
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Eigenstructure information:

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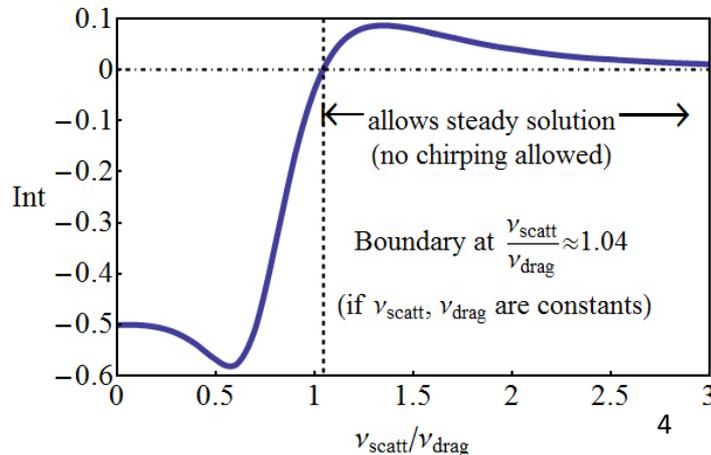
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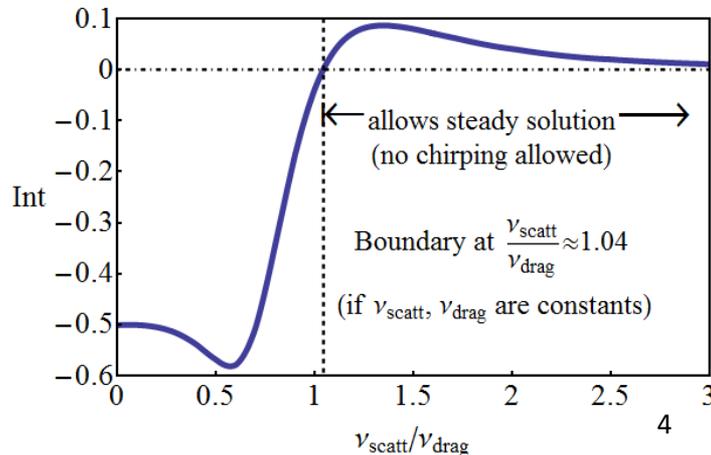
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**Criterion was incorporated into NOVA-K:  
nonlinear prediction from linear physics elements**

# Turbulence scattering explains why chirping is common in NSTX but rare in DIII-D

Proposed criterion for *Alfvén wave* chirping onset:

Duarte, Berk, Gorelenkov *et al*, PRL (submitted)

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## Inclusion of fast ion micro-turbulence

From GTC gyrokinetic simulations for passing particles (Zhang, Lin and Chen, PRL 2008):

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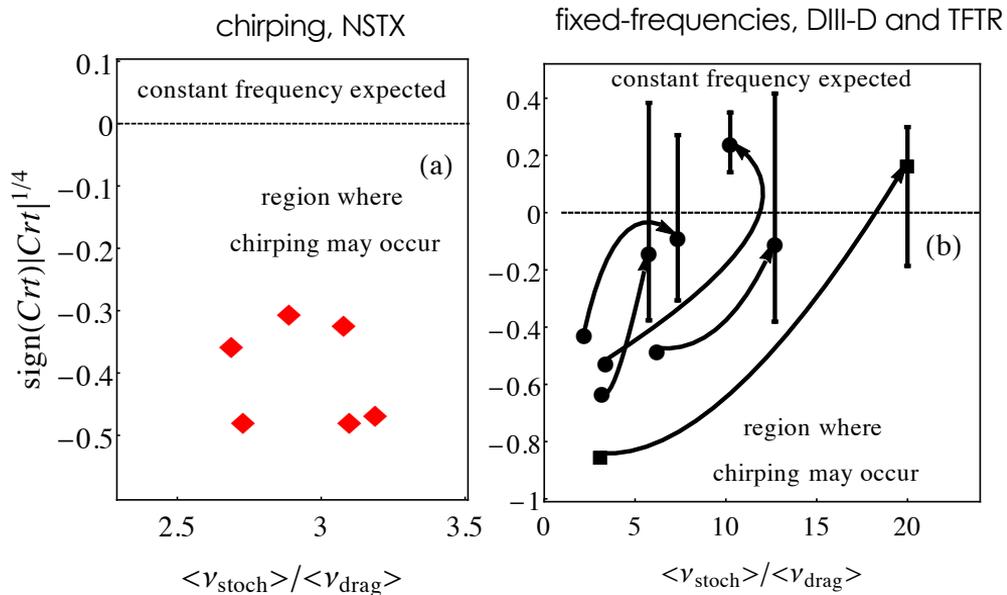
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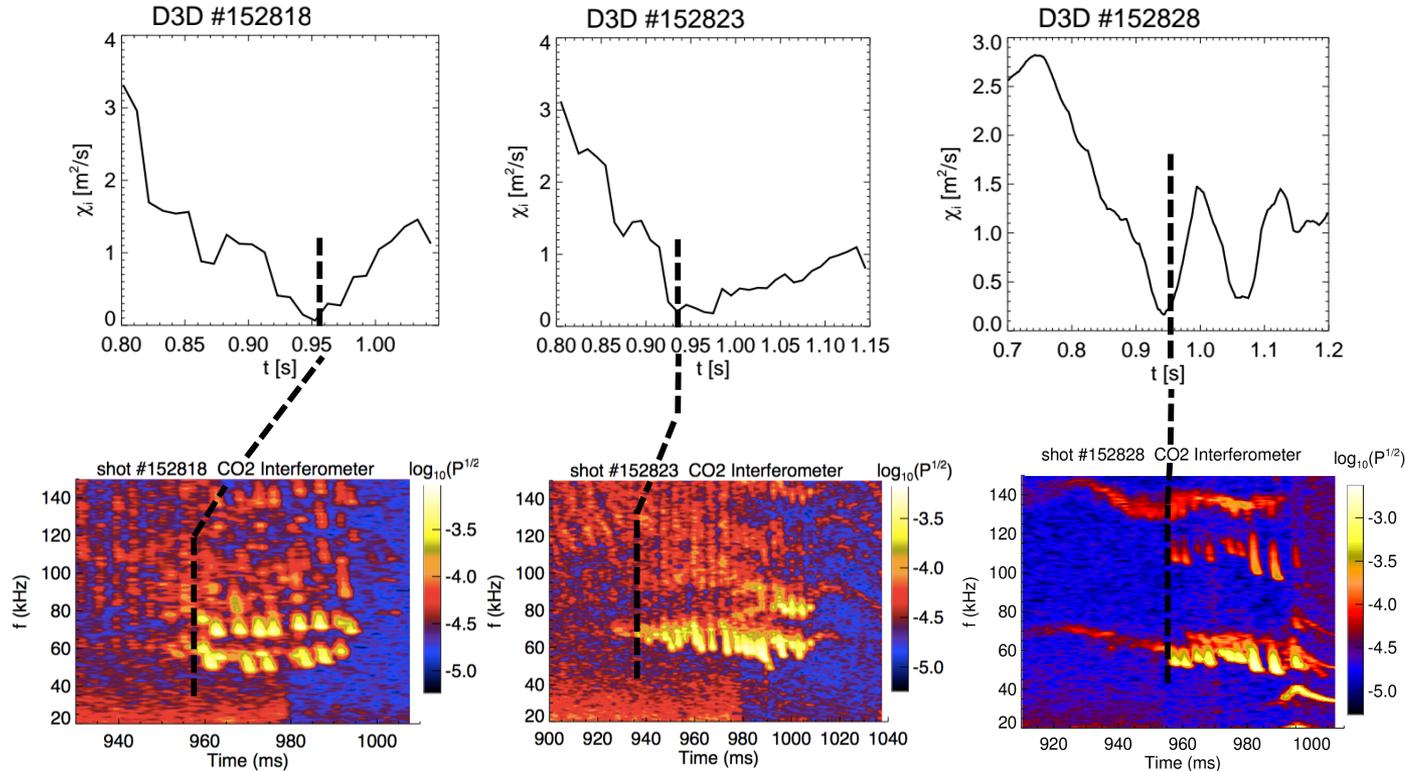
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Alfvén wave chirping quantitatively agrees with the criterion

Arrows represent the turbulent diffusion that adds up to pitch-angle scattering

# Correlation between the emergence of chirping and a substantial decrease of ion micro-turbulence in DIII-D:



# Conclusions

- Theory and experiments have indicated that wave chirping response is linked with low turbulent activity;
- Although micro-turbulence-induced fast ion transport is low compared with Alfvén wave-induced transport, it competes with collisional transport (e.g., during the early non-linear evolution);
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## Future possibilities

- Dedicated experiments with negative triangularity on DIII-D will explore the consequences of this chirping study;
- NSTX-U: possibility of use of HHFW and 3D fields to increase fast ion stochasticity;
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**The ultimate goal of this dedicated study is to identify the applicability of reduced models for fast ion transport**

Thank you