

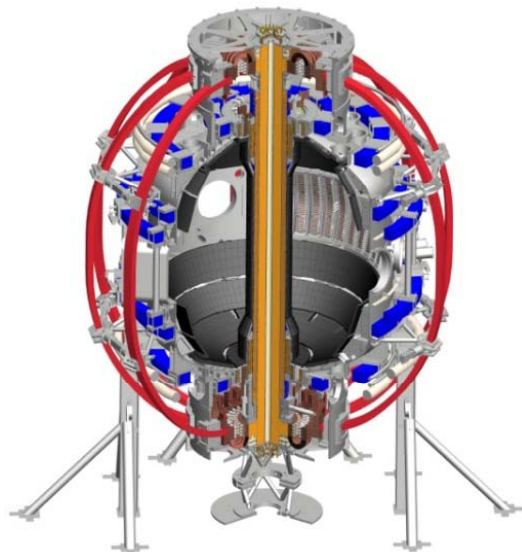
# Recent Progress in Understanding Anomalous Electron Thermal Transport in NSTX

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# Outline

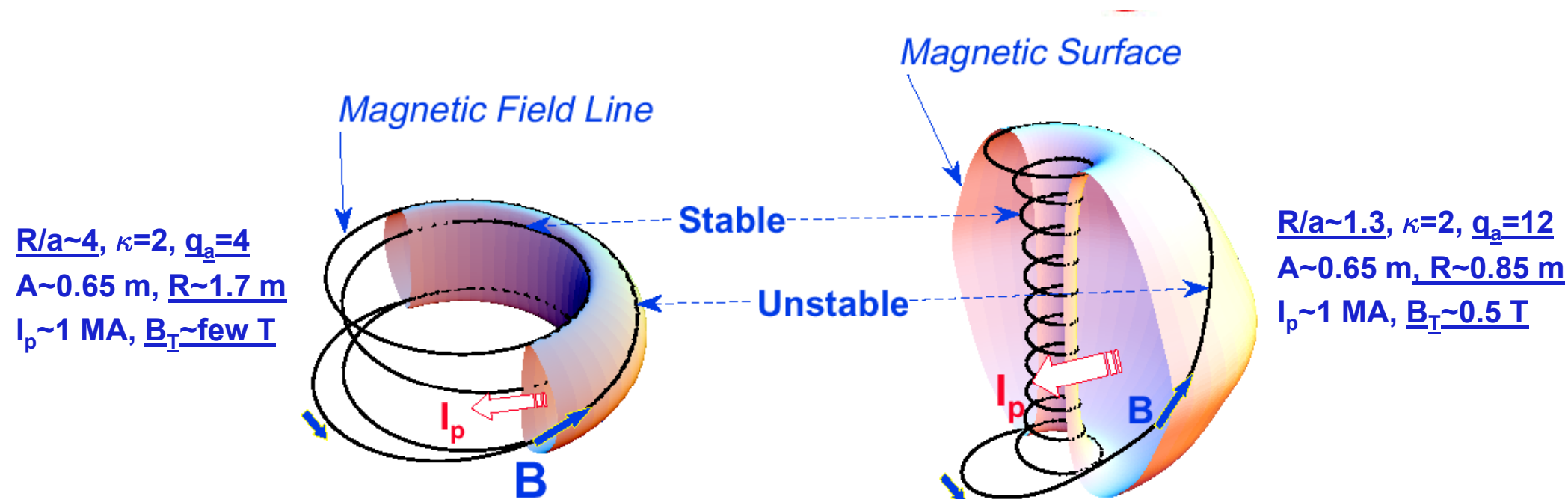
- Motivations
- First nonlinear gyrokinetic simulation of micro-tearing turbulence in a NSTX high collisionality H-mode plasma
  - Predicting experimentally relevant level of electron thermal transport
- Studies of parametric dependence of high-k turbulence using a microwave scattering diagnostic in NSTX H-mode plasmas
  - Density gradient stabilization of ETG turbulence
  - Suppression of ETG turbulence in reversed shear L-mode plasmas
  - ExB shear induced reduction of electron thermal transport and electron-scale turbulence
- Mechanisms underlying the flattening of central  $T_e$  profile in NSTX high-power NBI-heated H-mode plasmas
- Summary

# NSTX is in an Unique Regime for Studying Electron Thermal Transport

- Understanding and controlling electron thermal transport important for future devices, e.g. ST-FNSF and ITER
  - Dominant electron heating from NBI and/or  $\alpha$  particle heating

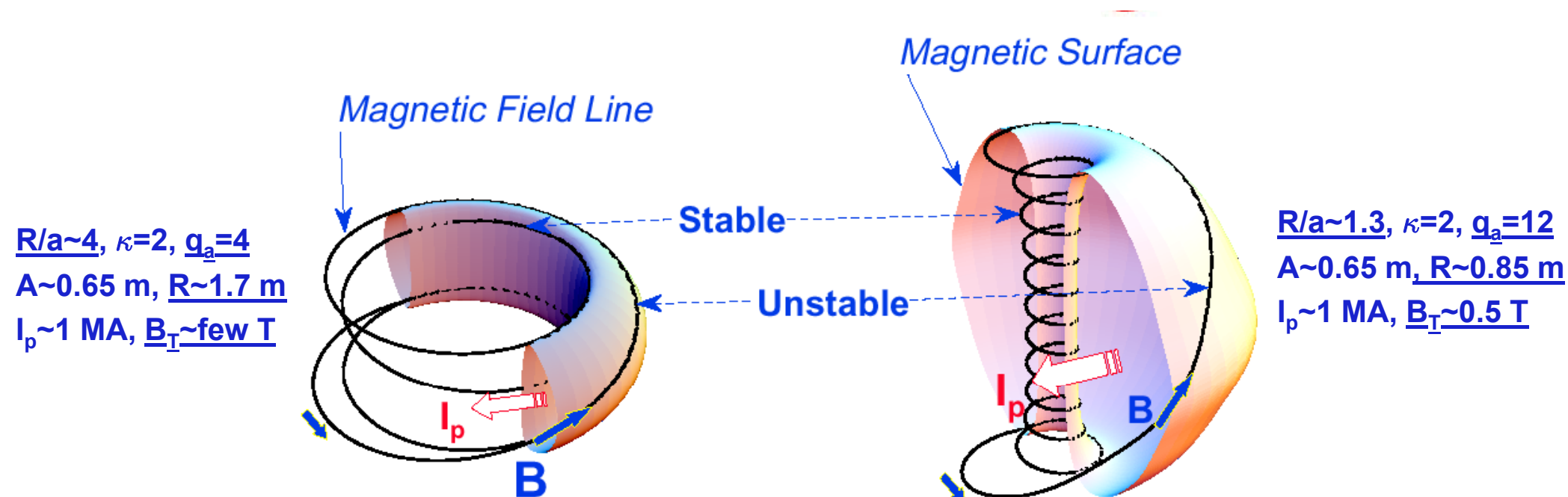
# NSTX is in an Unique Regime for Studying Electron Thermal Transport

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- Strong ExB flow shear and low aspect ratio stabilizing low-k turbulence: ions close to neoclassical in H-mode



# NSTX is in an Unique Regime for Studying Electron Thermal Transport

- Understanding and controlling electron thermal transport important for future devices, e.g. ST-FNSF and ITER
- Strong ExB flow shear and low aspect ratio stabilizing low-k turbulence: ions close to neoclassical in H-mode
- Achievable range of  $\beta_T$  can lead to significant EM contribution: assessing magnetic flutter effect, e.g. micro-tearing turbulence
- Large  $\rho_e$  makes localized electron-scale measurement possible



# Multiple Mechanisms should be Responsible for Anomalous Electron Thermal Transport

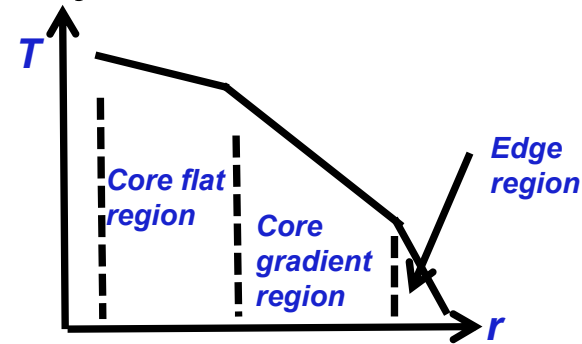
- Different mechanisms needed to account for the always anomalous electron thermal transport

- Different radial regions

- Core flat region (small gradient drive)
- Core gradient region (large gradient drive)
- Edge region (steepest gradient, connection to SOL, e.g. H-mode pedestal)

- Different parametric regimes

- Large/small plasma beta/collisionality, magnetic shear, ExB shear, etc.

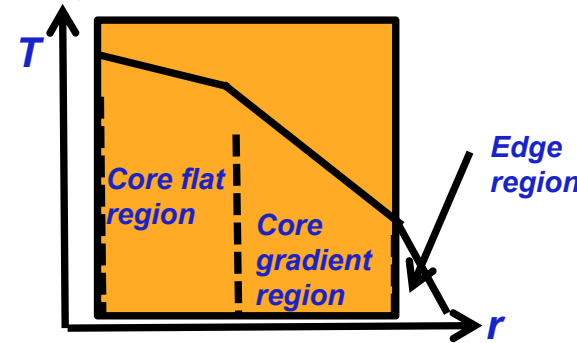


# Multiple Mechanisms should be Responsible for Anomalous Electron Thermal Transport

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- Core gradient region (large gradient drive)
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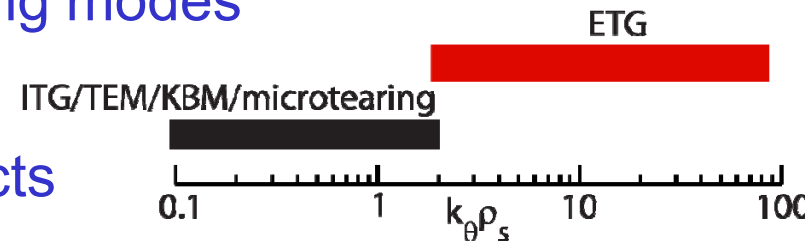
- Large/small plasma beta/collisionality, magnetic shear, ExB shear, etc.

- Gradient driven electrostatic and electromagnetic ballooning drift instabilities:

- Low-k (ion-scale): ITG/TEM/KBM/microtearing modes

- High-k (electron-scale): ETG modes

- Turbulent ExB drift and magnetic flutter effects

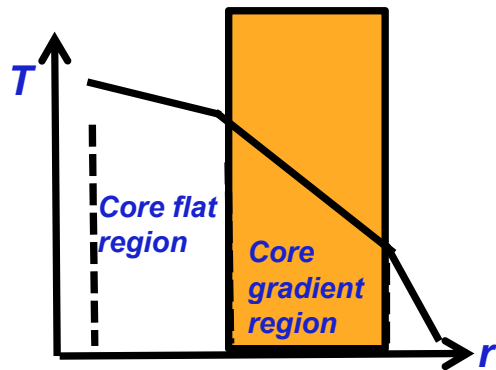


- Fast ion driven Alfvénic eigenmodes

- GAE and/or CAE modes

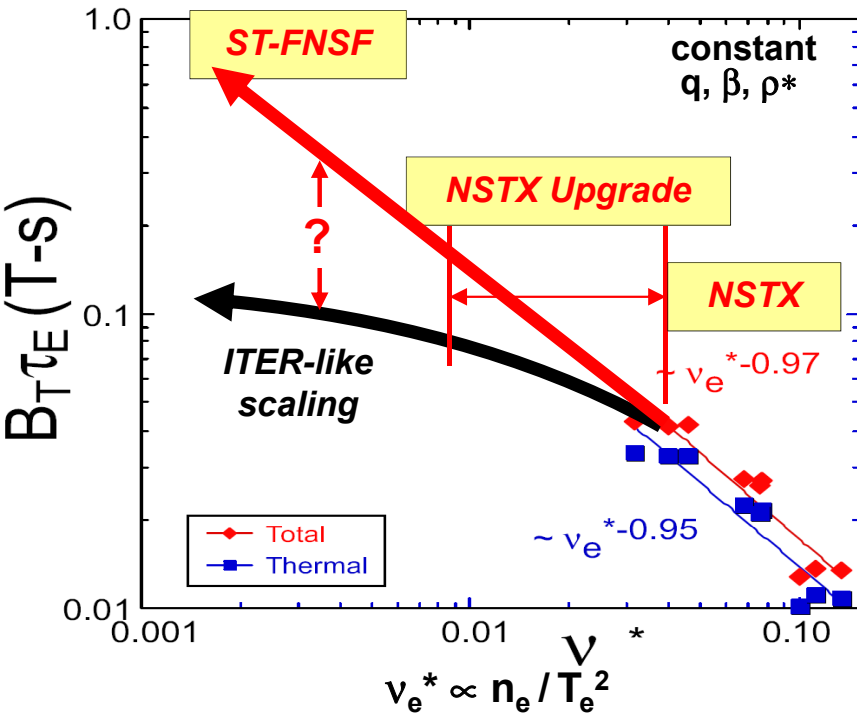
- Alfvénic eigenmode induced electron phase space stochasticity

# First nonlinear gyrokinetic simulation of micro-tearing turbulence in a H-mode plasma

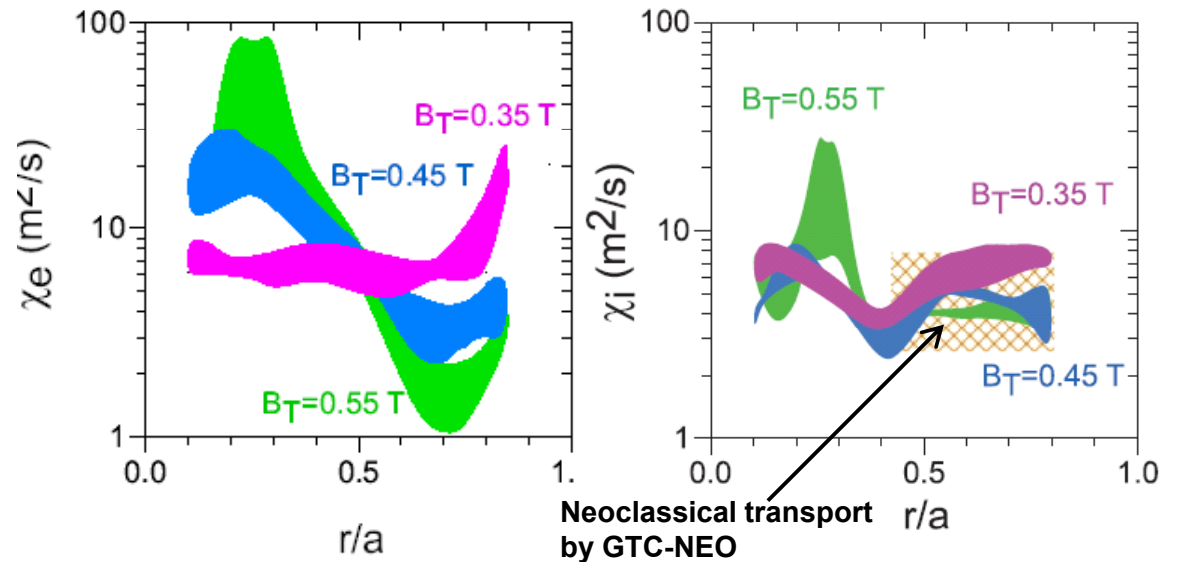




# NSTX Thermal Confinement has Strong Collisionality Scaling in H-mode Plasmas



- NSTX  $\Omega \tau_E^{\text{th}} \sim v_{*e}^{-0.95}$  (Kaye et al. (Nucl. Fusion, 2007))
- MAST  $\Omega \tau_E^{\text{th}} \sim v_{*e}^{-0.82}$  (Valovič et al., Nucl. Fusion, in press)
- ITER  $\Omega \tau_E^{\text{th},04(2)} \sim v_{*e}^{-0.2}$  (Doyle et al., Nucl. Fusion 2007)



- Ion transport is neoclassical, consistent with strong toroidal flow and flow shear
- What is the cause of anomalous electron thermal transport?
- Will favorable  $\tau_E$  scaling hold at lower  $v_*$  envisioned for ST-FNSF?

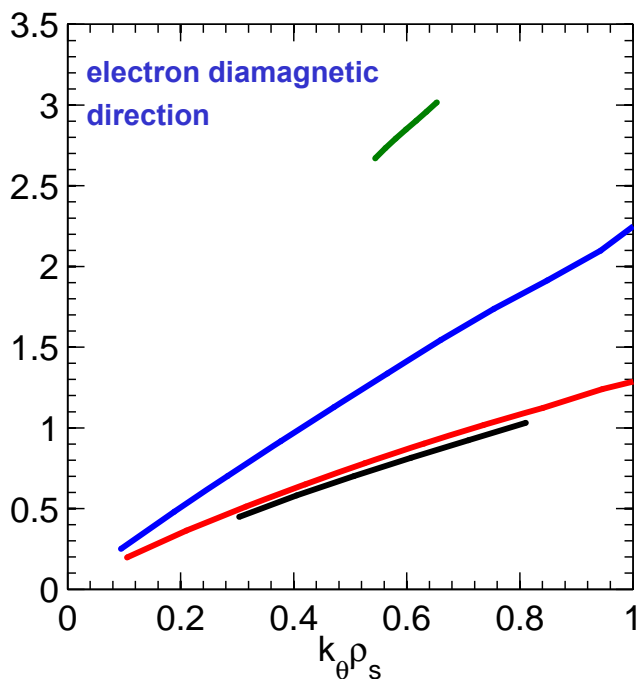
# Microtearing Modes are Found to be Unstable in Many High $v_*$ H-mode Discharges

- Microtearing dominates over  $r/a=0.5-0.8$ ,  $k_\theta \rho_s < 1$  ( $n \approx 5-70$ )
- Real frequencies in electron diamagnetic direction,  $\omega \approx \omega_{*e} = (k_\theta \rho_s) \cdot (a/L_n + a/L_{Te}) \cdot (c_s/a)$
- ETG mostly stable due to larger  $Z_{\text{eff}} \approx 3$ ,  $(R/L_{Te})_{\text{crit,ETG}} \sim (1 + Z_{\text{eff}} T_e/T_i)$

$B_T=0.35$  T,  $I_p=700$  kA,  $P_{\text{NBI}}=4$  MW

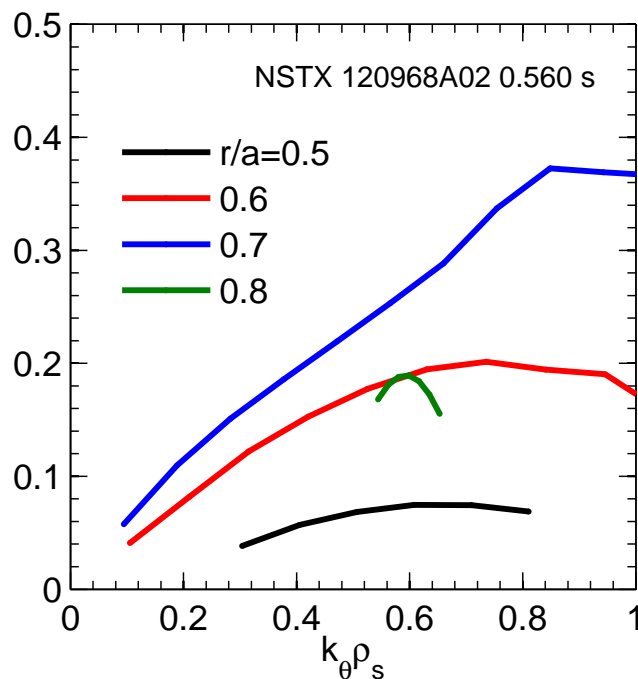
real frequencies

$\omega_r$  ( $c_s/a$ )

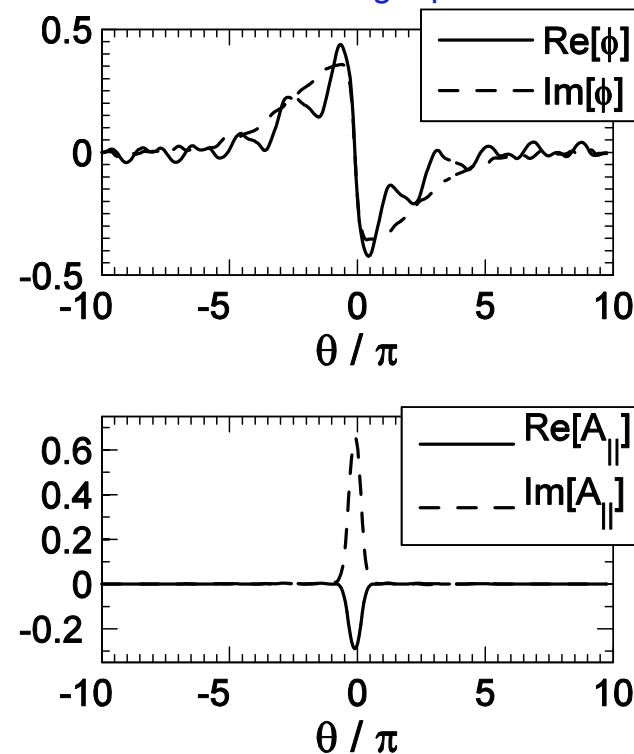


growth rates

$\gamma$  ( $c_s/a$ )

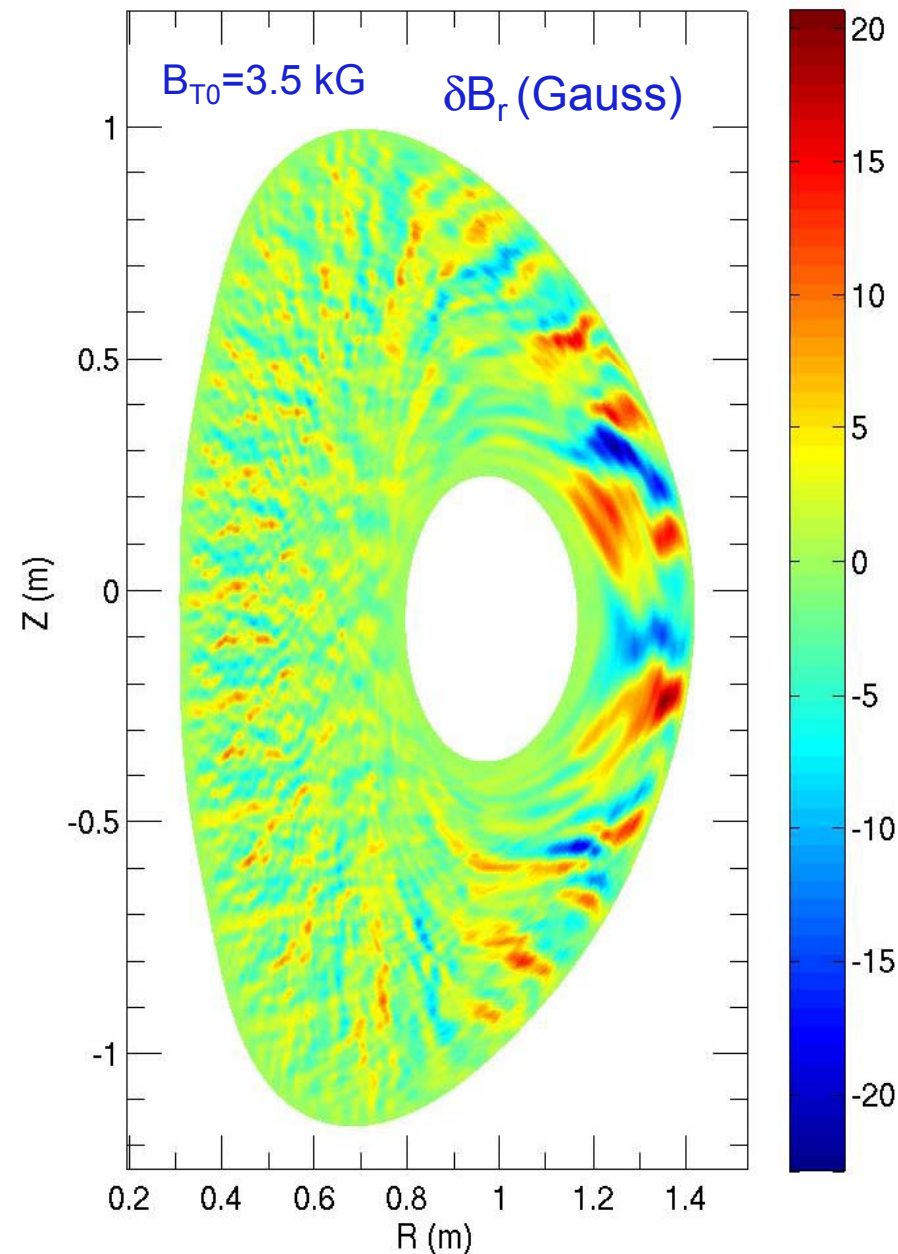


eigenfunctions in "ballooning" space

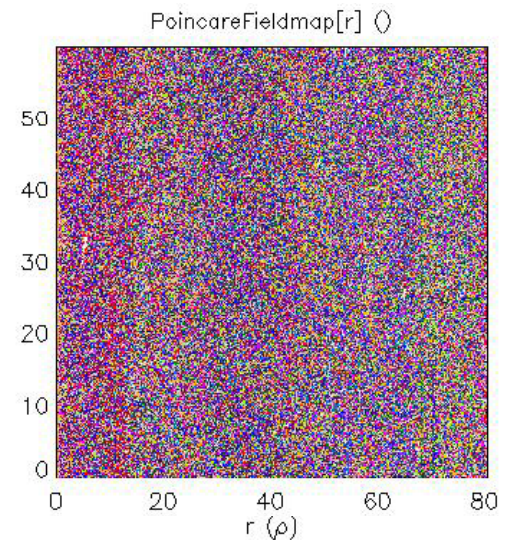
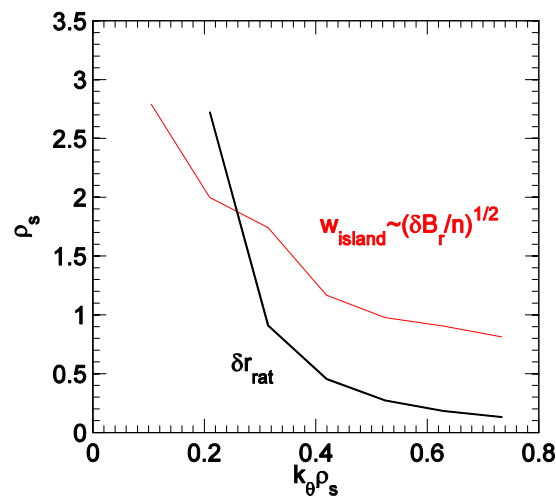


- Linear GYRO simulations [Candy & Waltz, Phys. Rev. Lett. (2003); <https://fusion.gat.com/theory/Gyro>] using local general equilibrium, kinetic ions (D+C) and electrons, collisions, fully electromagnetic

# First Successful Nonlinear Microtearing Simulations for NSTX



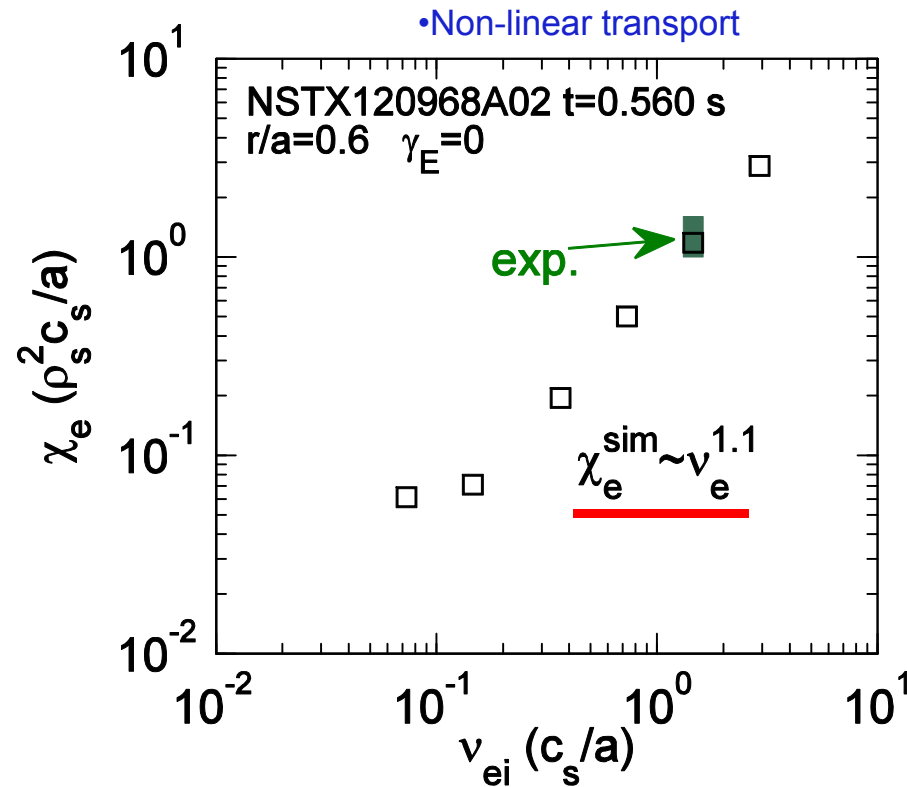
- ~98% of transport due to magnetic “flutter” contribution
- $w_{\text{island}}(n) > \delta r_{\text{rat}}(n)$ , island overlap  $\rightarrow$  **perturbed field line trajectories are stochastic\***
- $\chi_{e,EM} = 1.25 \rho_s c_s^2 / a$  close to *collisionless* Rechester-Rosenbluth\*\* ( $\lambda_{\text{mfp}} = 12 \text{ m}$ ,  $L_c \approx 2.5 \text{ m}$ )



\*E. Wang et al., PoP (2011).

\*\*A.B. Rechester & M.N. Rosenbluth, PRL (1978)

# Near Linear Scaling of Transport with $v_e$ Consistent with Experimental Scaling

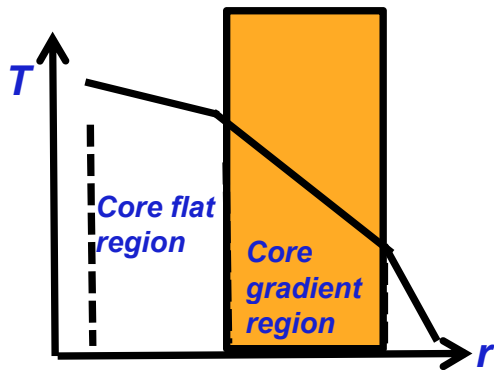


- NSTX experimental scaling
- (Kaye et al., 2007)

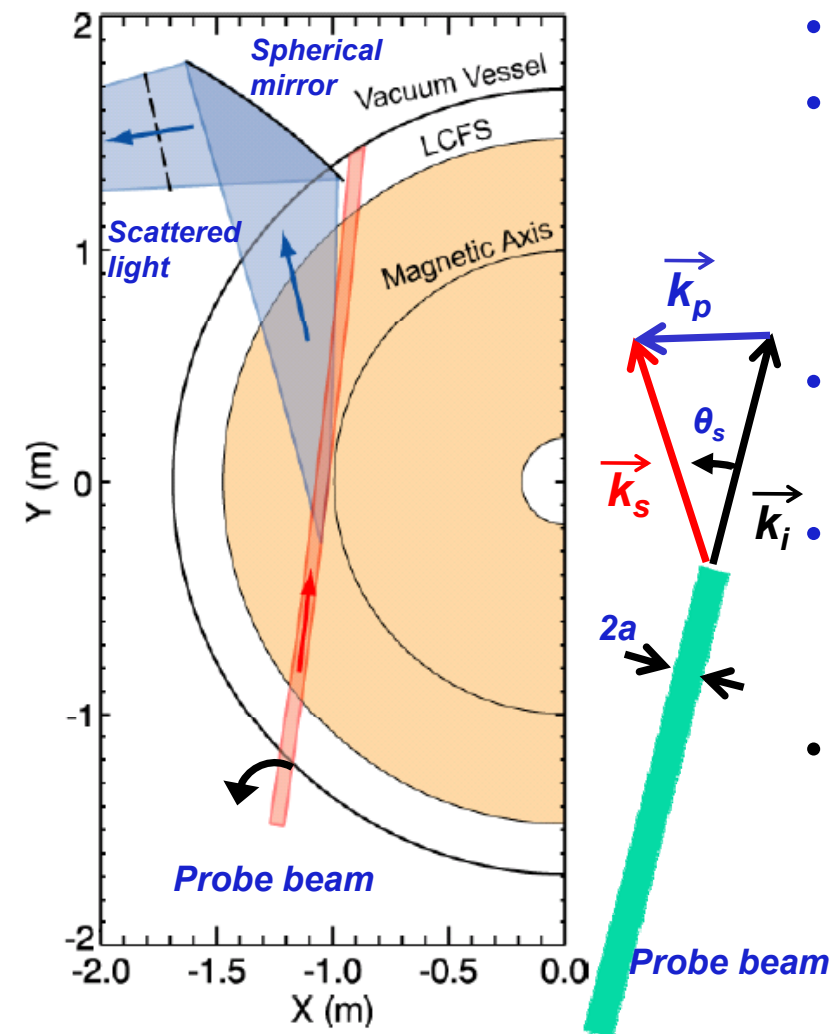
$$\underline{\Omega_i \tau_F \sim v_*^{-0.95}}$$

- As transport drops,  $a/L_{Te}$  will increase (for fixed heat flux), at some point ETG (TEM/KBM?) should become important
- This transition likely to determine limit of “favorable”  $v_*$  scaling

# Density gradient stabilization of ETG turbulence



# High-k microwave scattering system capable of measuring electron-scale turbulence



- 280 GHz microwave is launched as the probe beam.
- Coherent scattering by plasma density fluctuations occurs when the three-wave coupling condition is satisfied:

$$\vec{k}_s = \vec{k}_p + \vec{k}_i$$

- Bragg condition determines  $k_p$ :

$$k_p = 2k_i \sin(\theta_s/2)$$

- The scattered light has a frequency of:

$$\omega_s = \omega_p + \omega_i$$

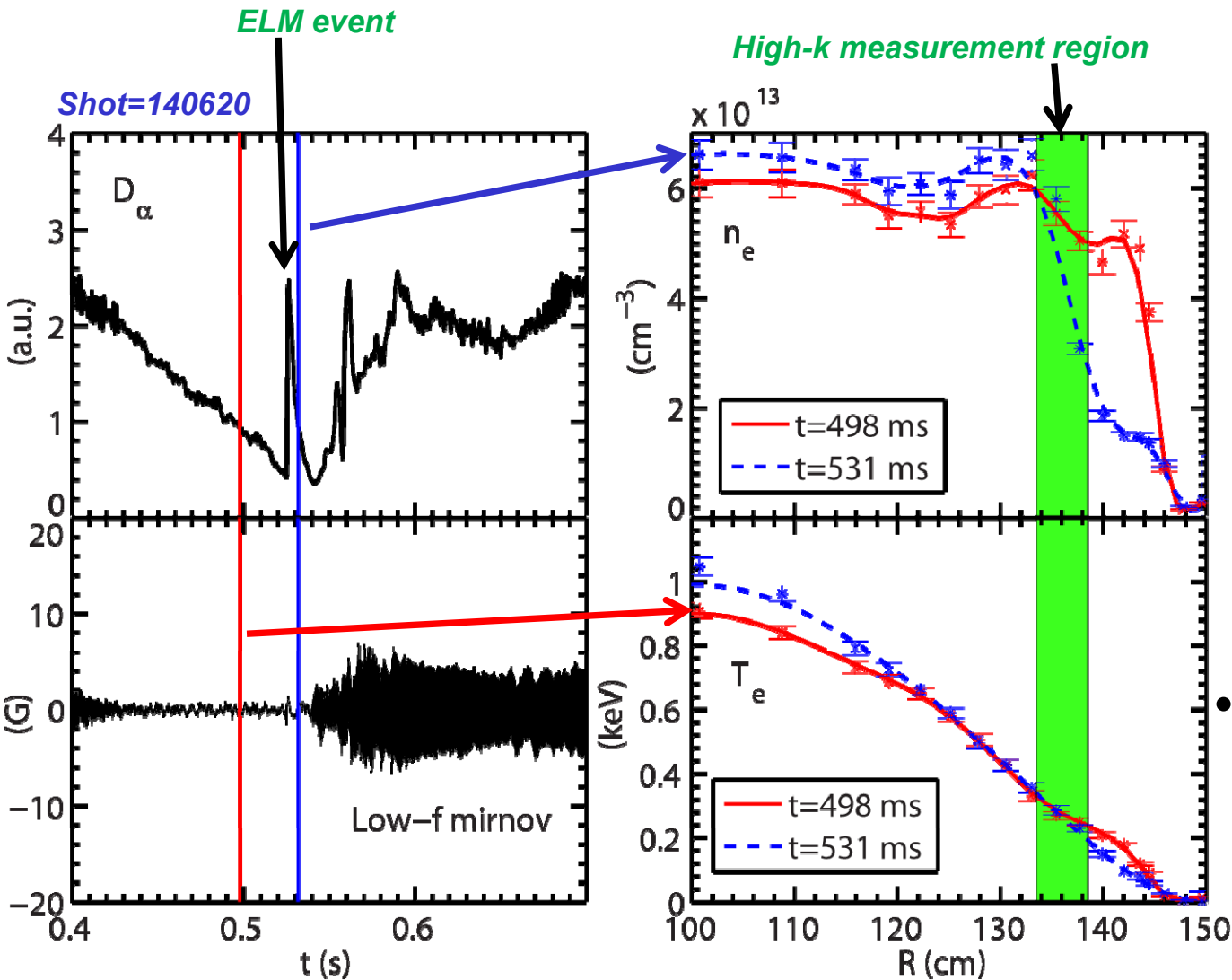
with  $\omega_s$  and  $\omega_i \gg \omega_p$

- The scattering system characteristics are:

- Frequency bandwidth: 5 MHz
- Heterodyne receiver: Wave propagation direction resolved
- Measurement:  $k_r$  spectrum
- Wavenumber resolution:  $0.7 \text{ cm}^{-1}$  ( $2/a$  with  $a \approx 3 \text{ cm}$ )
- **Wavenumber range ( $k_r$ ):  $5\text{-}30 \text{ cm}^{-1}$  ( $\sim 5\text{-}30 \rho_s^{-1}$ )**
- Radial resolution:  $\pm 2 \text{ cm}$
- Tangential resolution: 5-15 cm
- Radial range: R=106 – 144 cm
- Minimal detectable density fluctuation:  $|\delta n_e(k)/n_e|^2 \approx 2 \times 10^{-11}$

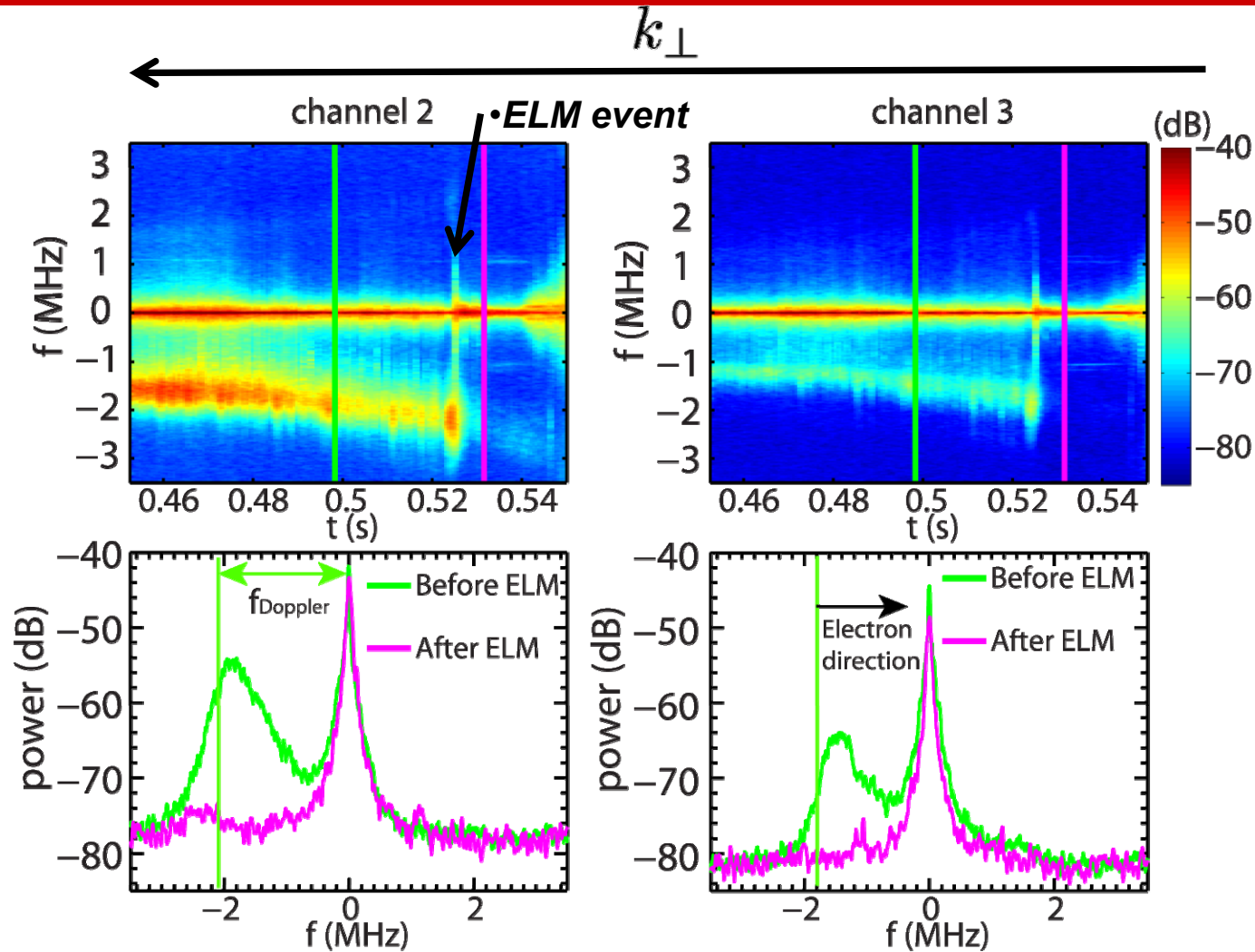
D.R. Smith, PhD thesis, 2009

# Using increased density gradient induced by a large ELM as a tool for local turbulence studies



- After the ELM event:
  - Large density gradient developed in the high-k measurement region.
  - Electron temperature gradient also increases
  - Electron density has only a moderate decrease
  - Electron temperature remains essentially constant
- No large MHD mode appears before and right after the ELM event

# Significant decrease in scattering signal power observed after the ELM



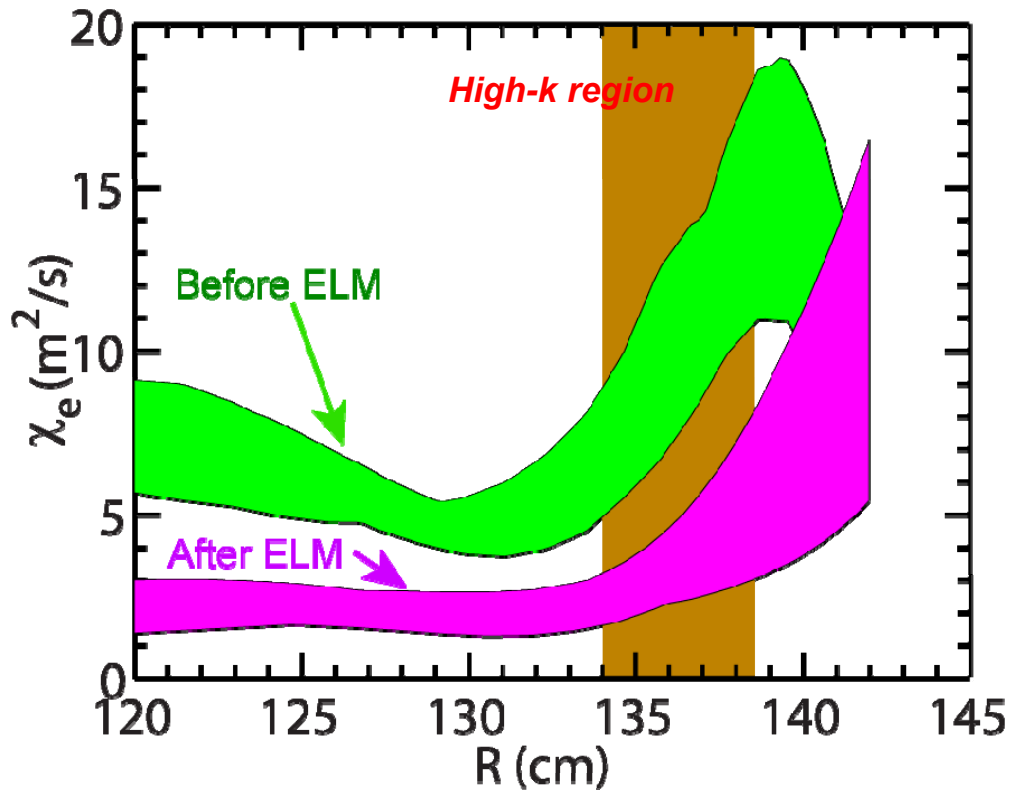
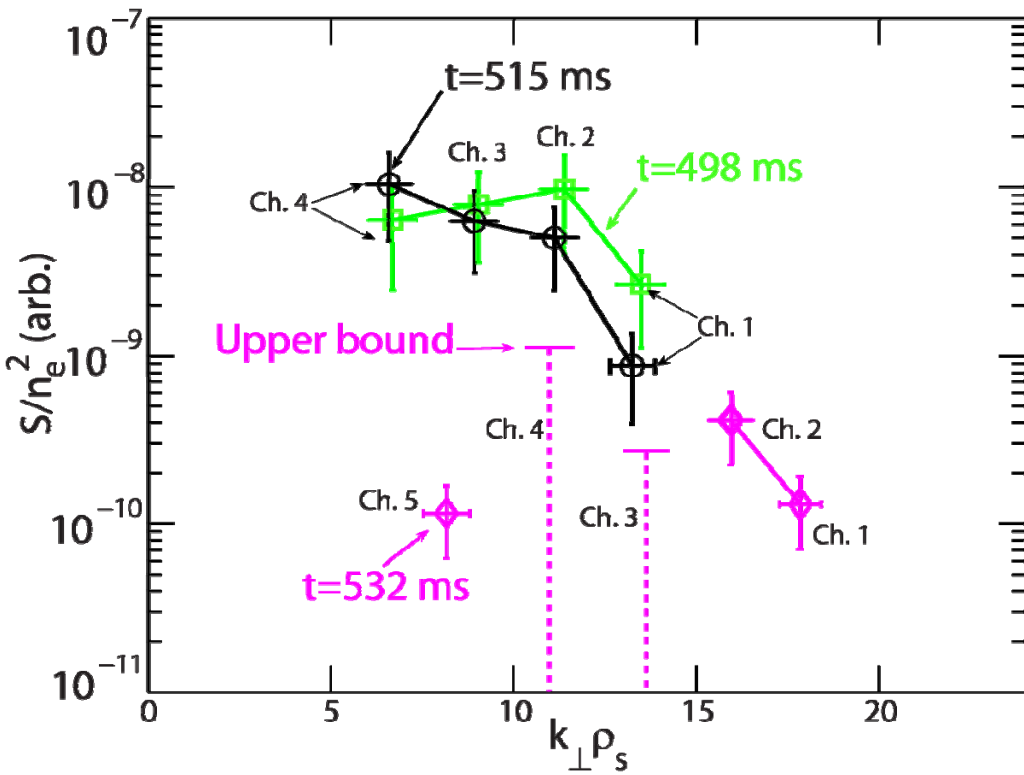
- Significant fluctuations before ELM, in electron diamagnetic direction
- Interpretation has to take into account the change of wavenumber measured by each channel due to the increase density gradient & refraction after the ELM event



# Correlation between Reduced Measured Turbulence Intensity and Improved Plasma Thermal Confinement\*

- Significant decrease in spectral power observed for  $k_{\perp}\rho_s \lesssim 10$

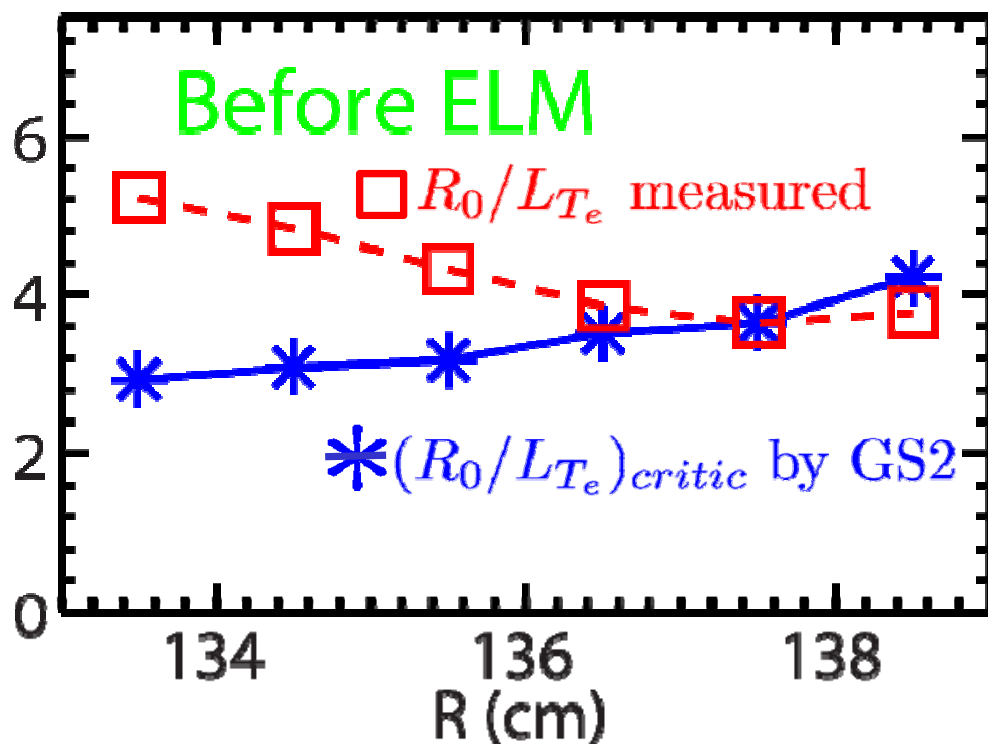
- Electron thermal diffusivity is decreased by a factor of  $\sim 2$  after the ELM event



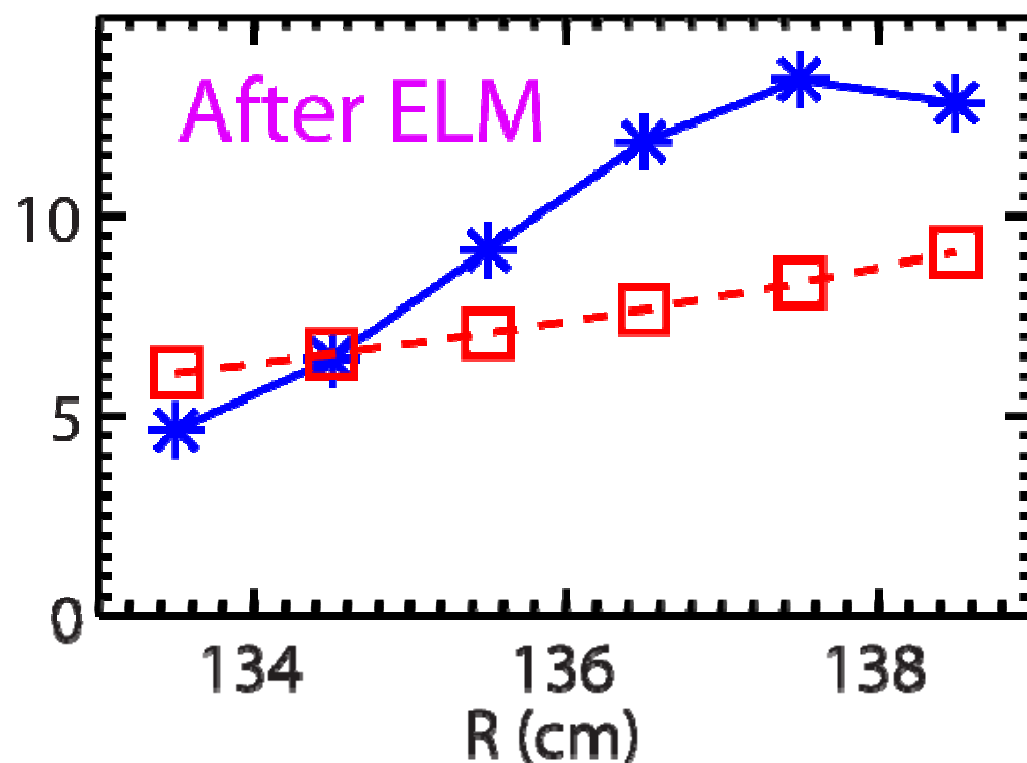
\*Y. Ren et al., PRL 106, 165005 (2011)

# Threshold Gradients for ETG modes are much Higher after the ELM

- Before ELM, ETG is largely unstable



- After ELM, ETG is largely stable

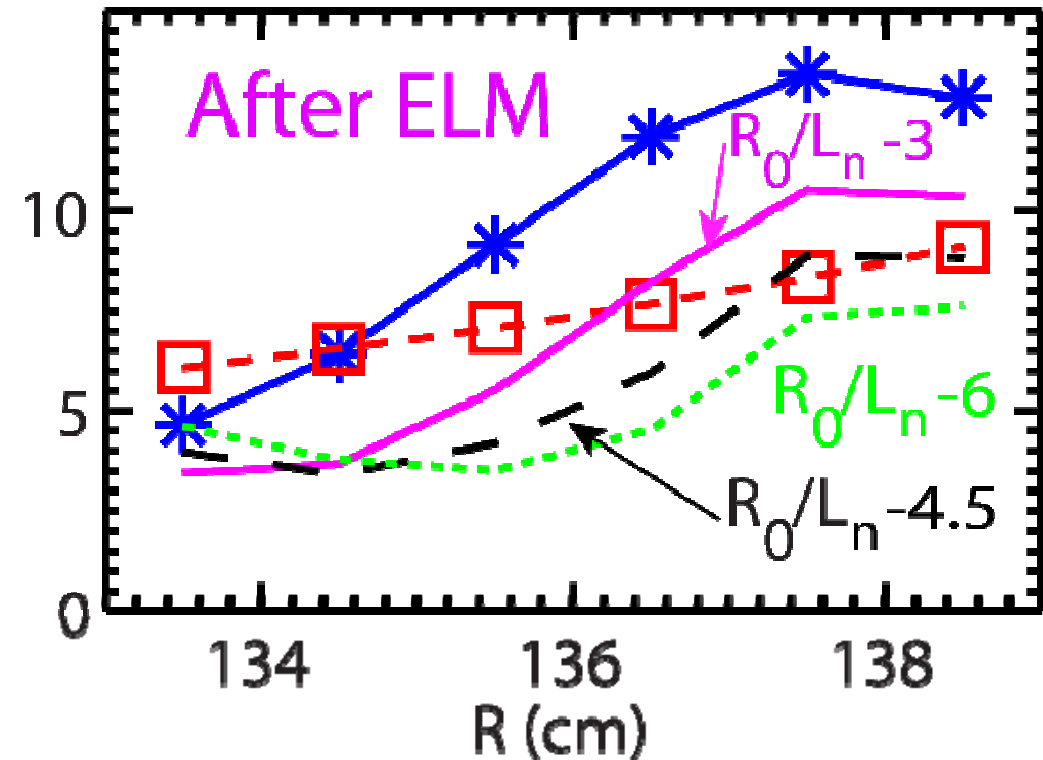
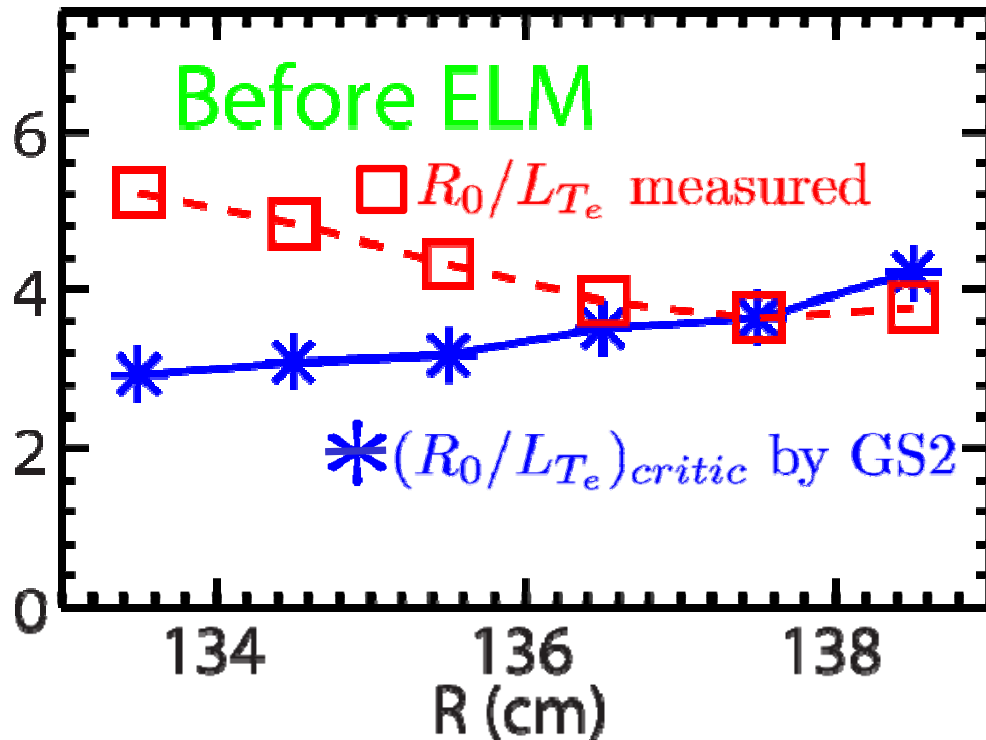


- Stability analysis performed with GS2 code (Kotschenreuther et al., 1995)

# Increase in ETG Threshold Gradient is due to Large Density Gradient

- Before ELM, ETG is largely unstable

- After ELM, ETG is largely stable



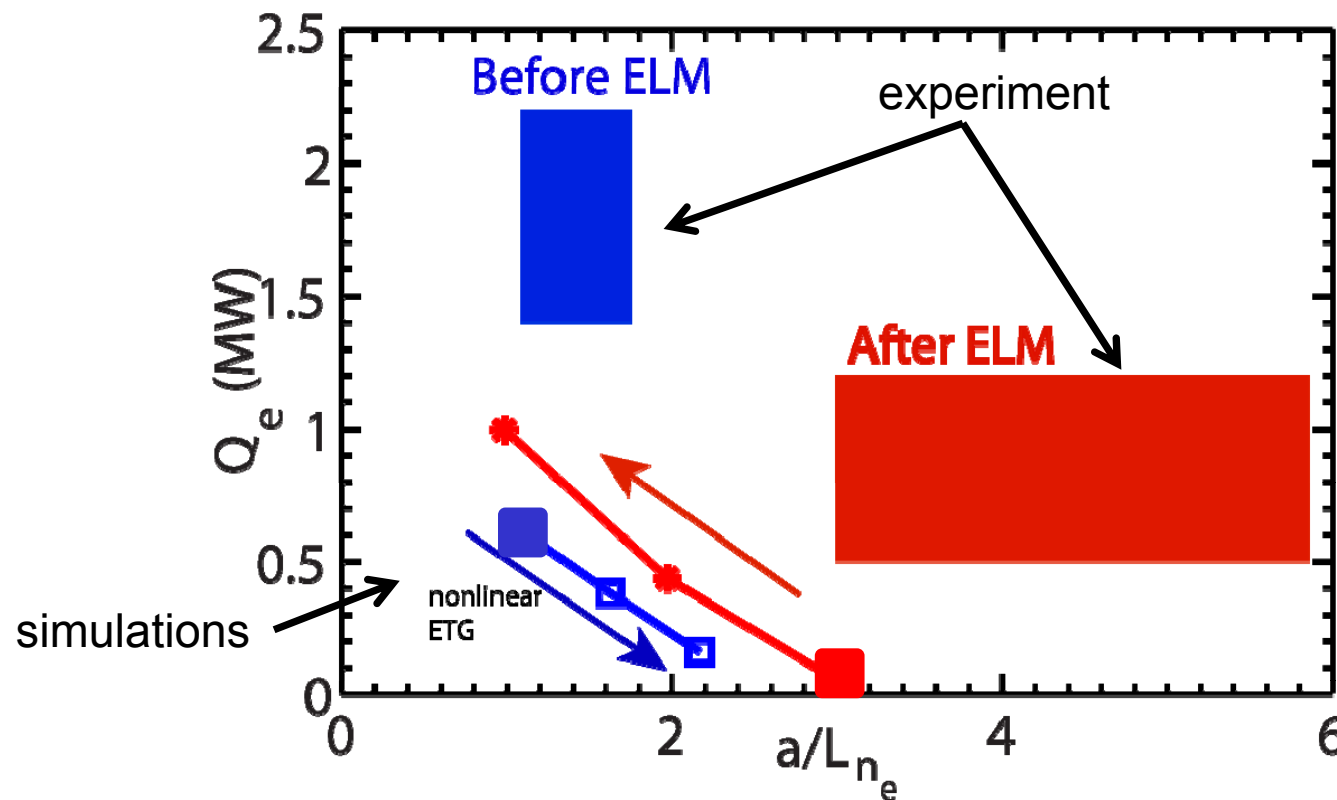
- Manually decreasing  $R/L_{n_e}$  brings down critical gradient as expected from linear theory (e.g. Jenko et al, 2001)

$$(R_0/L_{T_e})_{crit} = \max\left\{ \left(1 + Z_{eff} \frac{T_e}{T_i}\right) (1.33 + 1.99\hat{s}/q) f(\epsilon, \kappa, \delta, \dots), \underline{0.8R_0/L_{n_e}} \right\}$$

- Stability analysis performed with GS2 code (Kotschenreuther et al., 1995)

# Nonlinear ETG Simulations Reproduce Observed Dependence of Electron Transport on Density Gradient

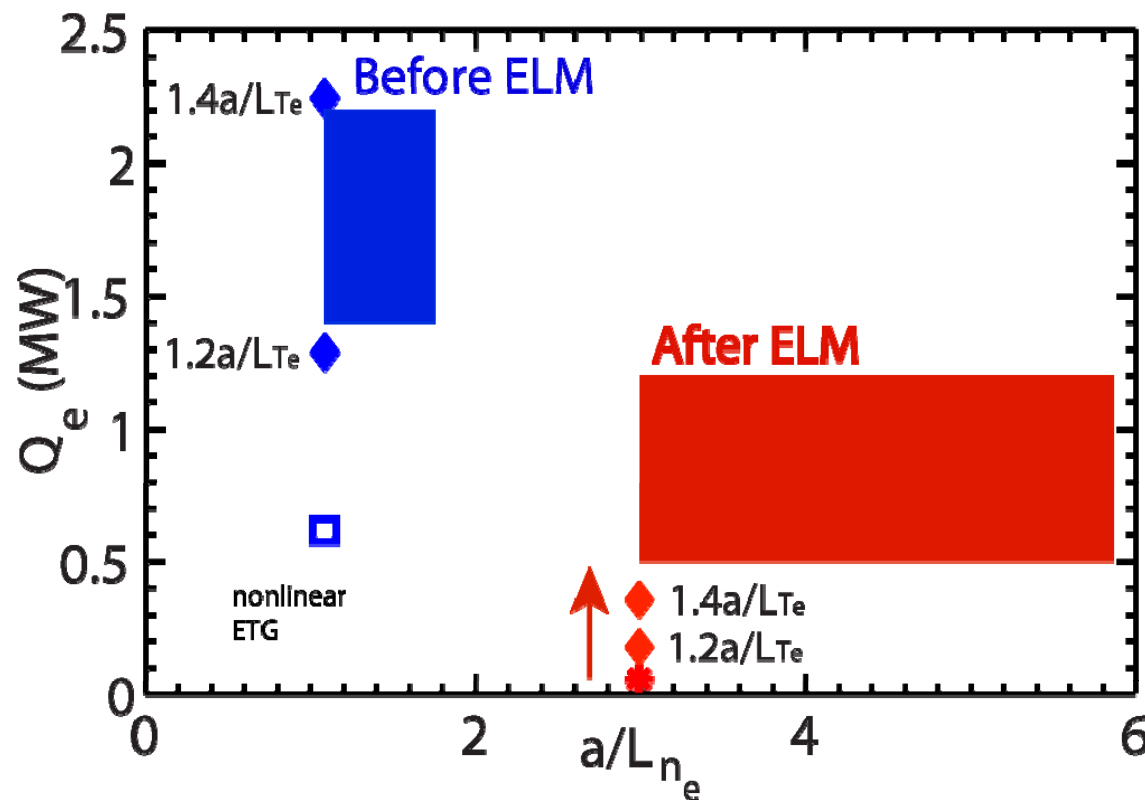
- Experimental  $Q_e$  is found to decrease after the ELM event with large density gradient
- The same trend is found from nonlinear ETG simulations, but does not agree quantitatively



Nonlinear GYRO ETG simulations with: local general equilibrium, kinetic ions and electrons, collisions, electromagnetic, flow and flow shear

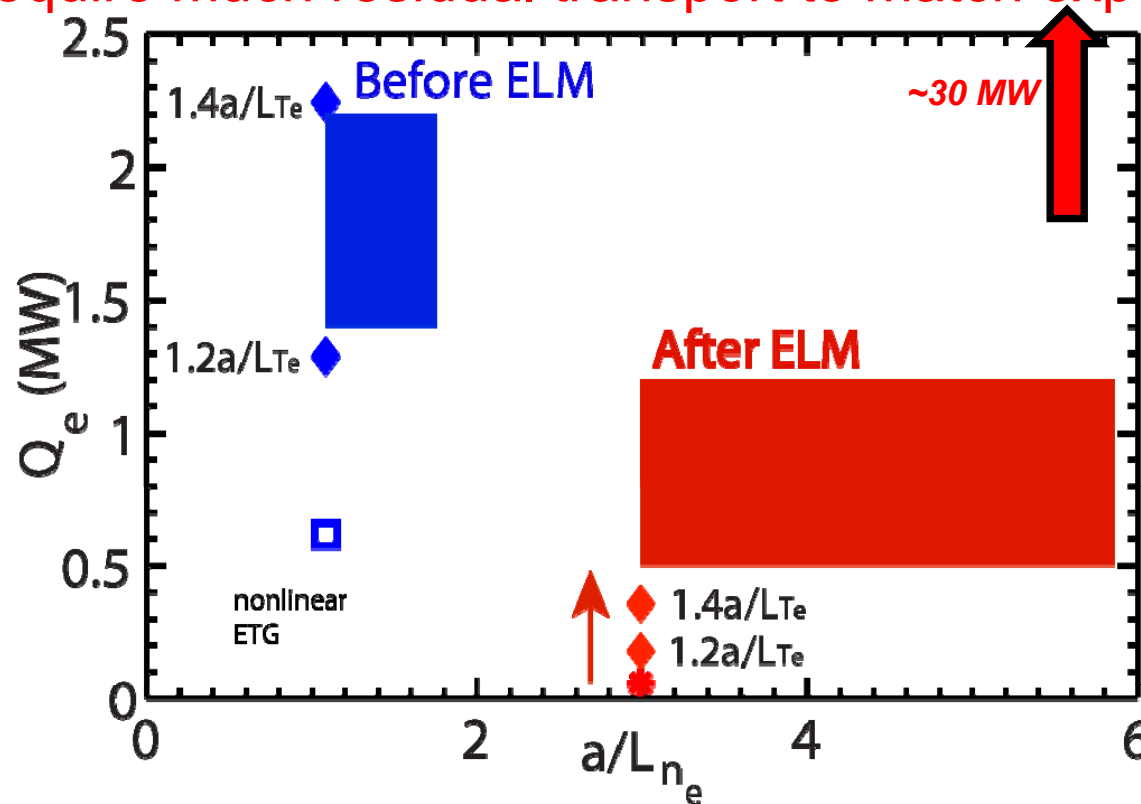
# Predicted $Q_e$ Sensitive to Temperature Gradient

- Before ELM, a 20-30% increase in  $a/L_{Te}$  is able to match the experimental  $Q_e$
- After ELM, increasing  $a/L_{Te}$  by 40% after still cannot match experimental  $Q_e$

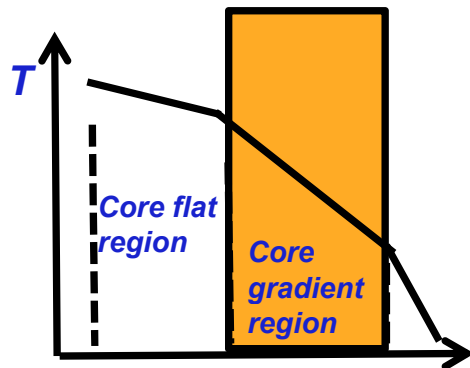


# Trapped Electron Mode (TEM) Destabilized by Large Density Gradient may Contribute to Transport

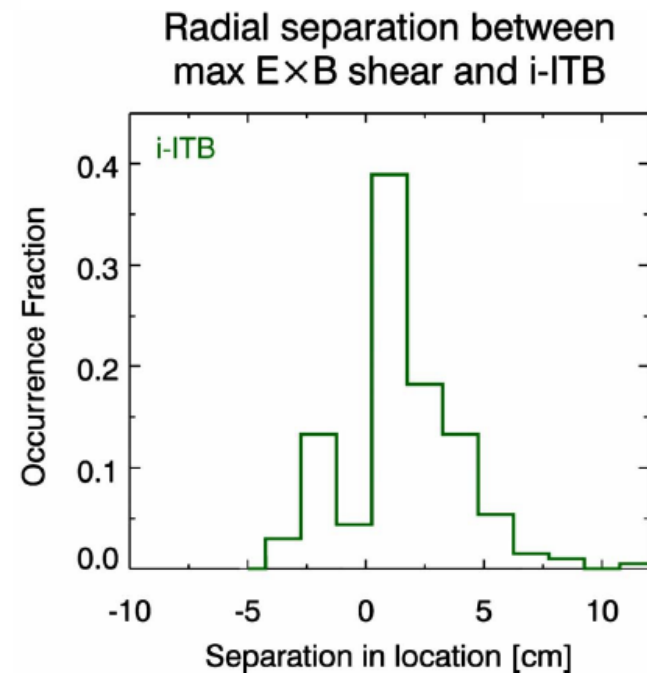
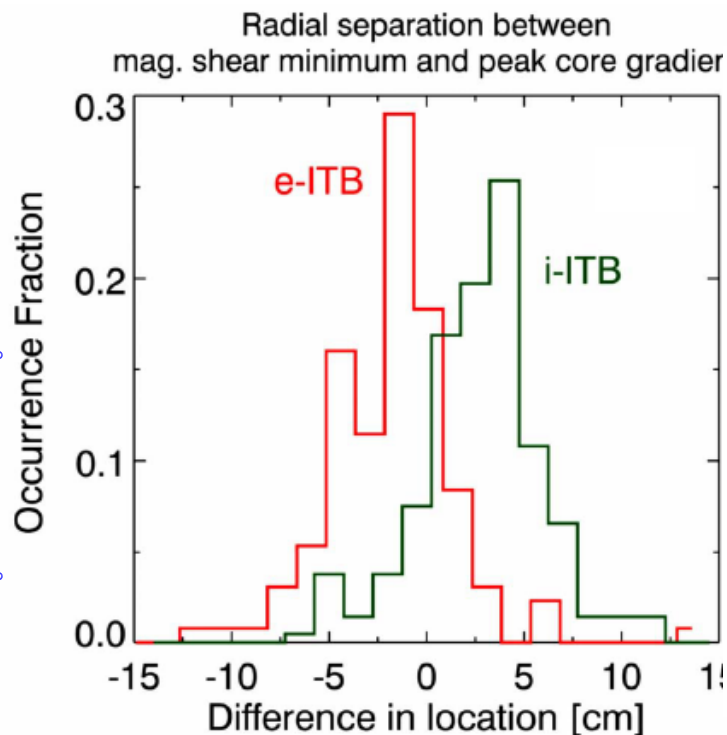
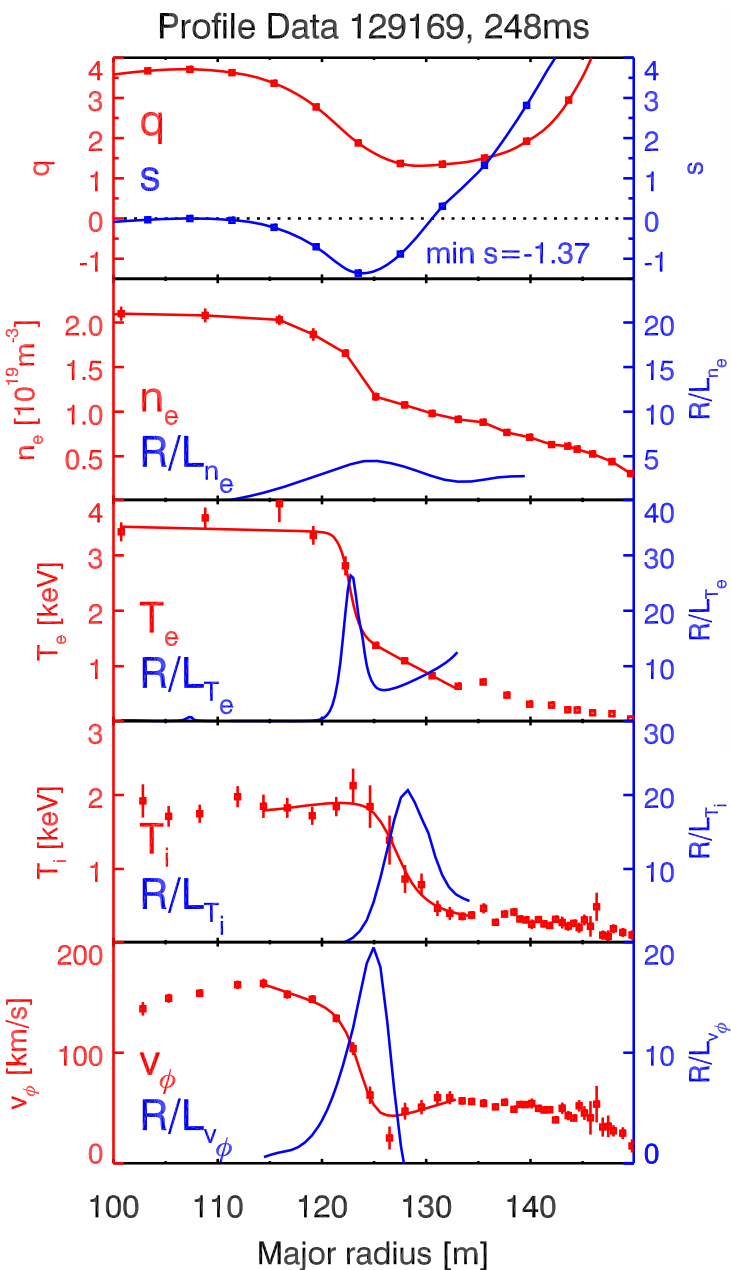
- Before ELM, a 20-30% increase in  $a/L_{Te}$  is able to match the experimental  $Q_e$
- After ELM, increasing  $a/L_{Te}$  by 40% after still cannot match experimental  $Q_e$
- Large TEM-induced transport ( $\sim 30$  MW) is predicted after ELM without  $E \times B$  shear stabilization
- Using experimental  $E \times B$  shear almost completely suppresses transport  
→ does not require much residual transport to match experimental  $Q_e$



# Suppression of ETG turbulence in reversed shear L-mode plasmas



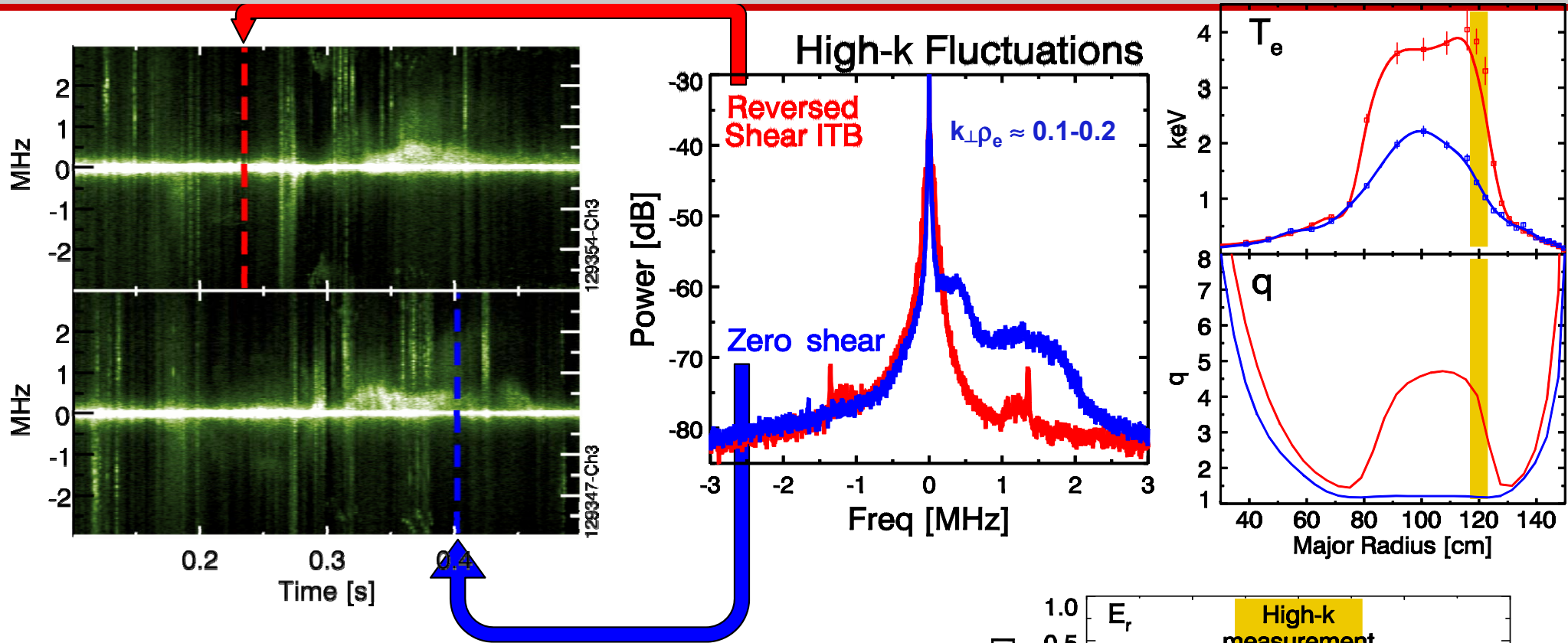
# Electron Internal Transport Barrier Location is better Correlated with $S_{\min}$ Location



- In e-ITBs, local  $\chi_e$  can improve by nearly two orders of magnitude ( $> \sim 0.1 \text{ m}^2/\text{s}$  and  $> \sim 10 \text{ m}^2/\text{s}$ )
- For these lower  $\beta$ , L-mode discharges, Electron Temperature Gradient (ETG) mode suppression may be responsible for improvement

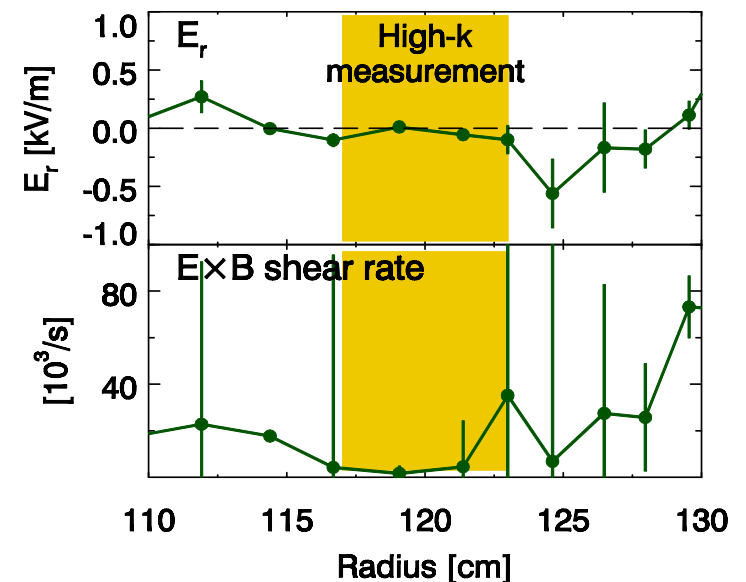


# Negative Magnetic Shear can Suppress Electron Thermal Turbulence without Flow Shear



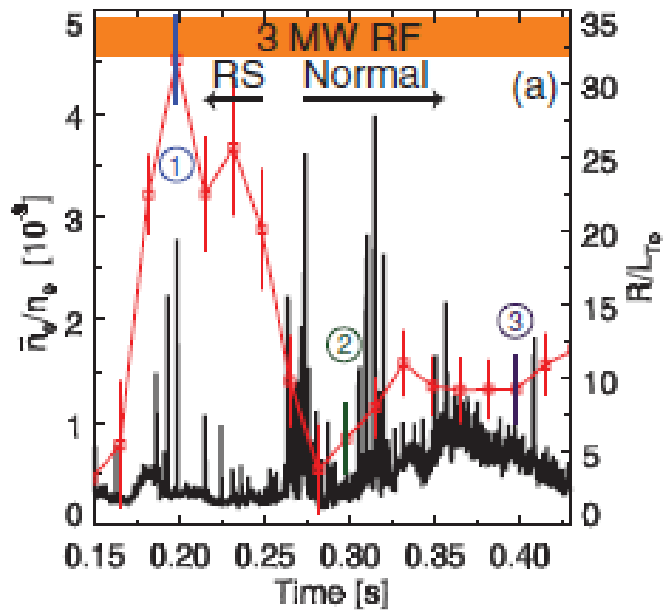
- HHFW only with beam blips
- Minimal ExB shearing rate due to cold ions with low toroidal rotation

**Magnetic shear alone suppresses electron turbulence**

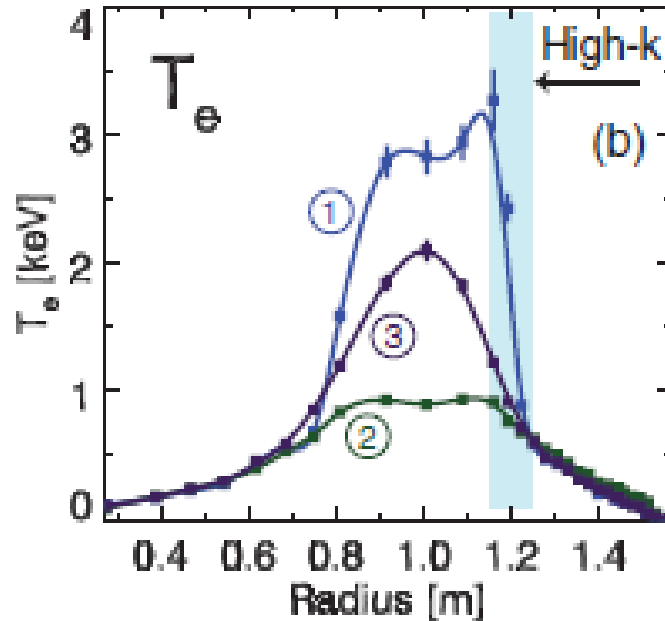


# eITB occurs in L-mode with large reverse magnetic shear, $s \ll 0$ , and Low/ bursty High-k Fluctuations

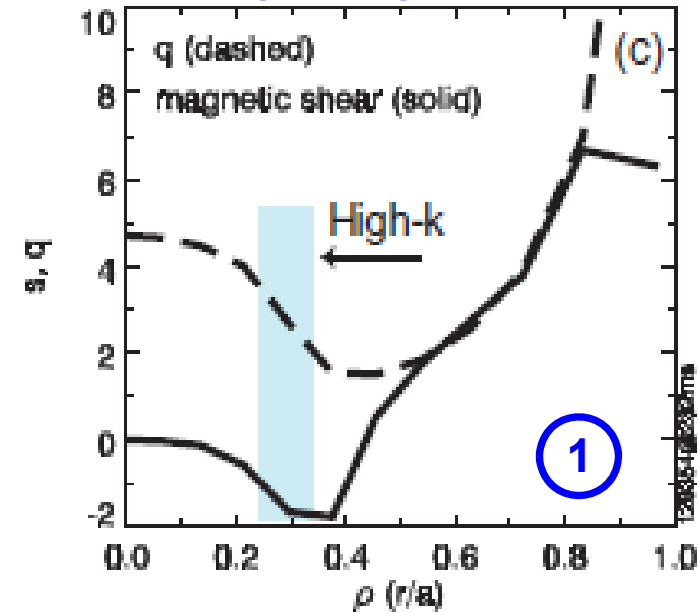
$\delta n/n$  and  $R/L_{Te}$  vs. time



$T_e$  profiles at three times



$q$  and  $s$  profiles

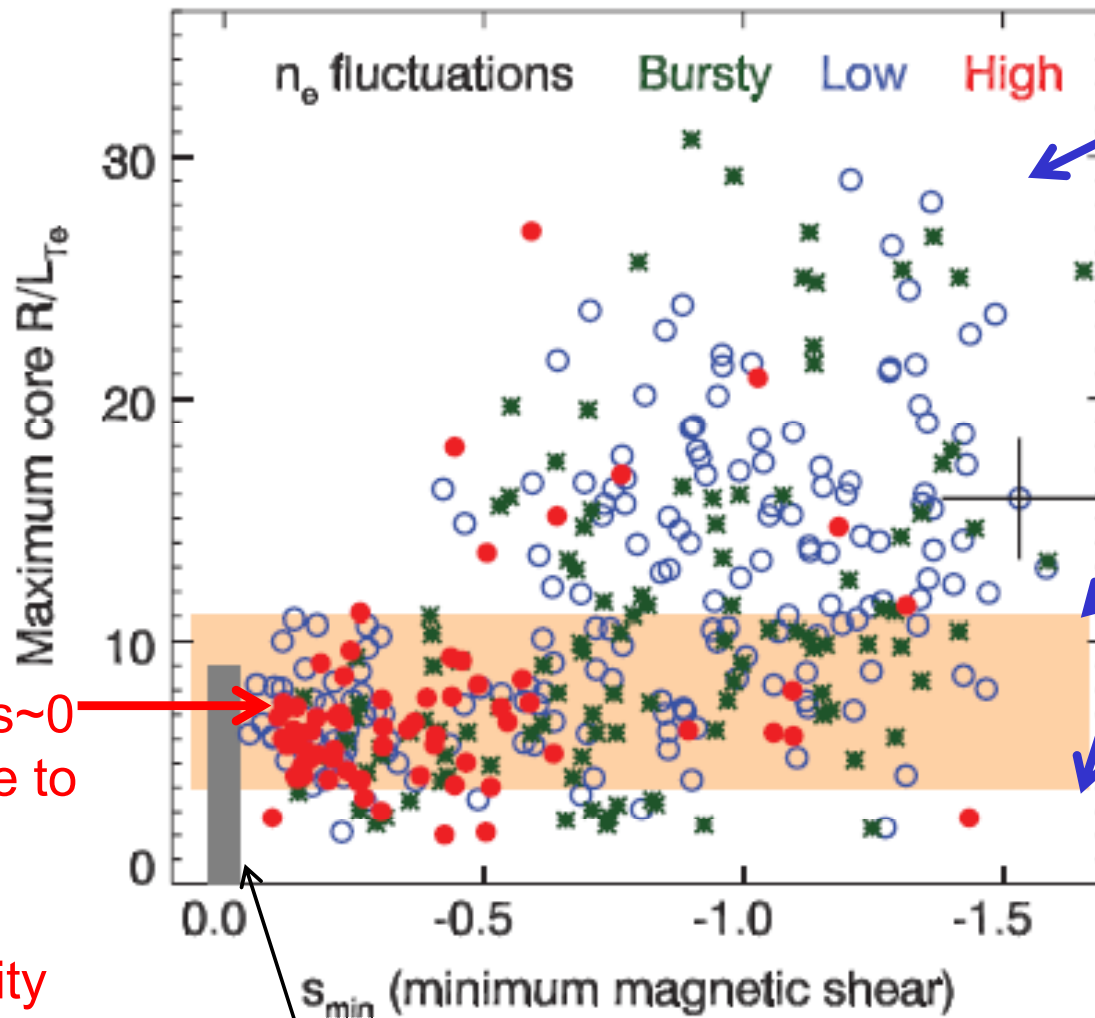


- ① e-ITB occurs only during reversed shear portion of discharge, even in the absence of  $E \times B$  shear  
Very low, or bursty, high-k fluctuations in e-ITB
- ② Current is suddenly redistributed by MHD leading to monotonic  $q$  profile
- ③  $\rightarrow$  near zero or positive  $s$ , larger high-k fluctuations, smaller maximum gradient

# Supercritical $T_e$ Gradients are Correlated Weak High-k Turbulence with Largest Negative Shear

Many discharges  
 2 MW NBI  
 0-3 MW RF  
 $1-4 \times 10^{19} \text{ m}^{-3}$   
 $Z_{\text{eff}} = 1.1-4$  (D, He)

Minimum  $s$  vs maximum  $T_e$  Gradient



- For weak shear,  $s \sim 0$   $R/L_{Te}$  limited close to linear threshold
- Large high-k fluctuation intensity

- Highest  $R/L_{Te}$  occur for  $s \ll 0$
- Low or bursty high-k fluctuation intensity
- Well above linear thresholds (supercritical)

Characteristic H-mode values

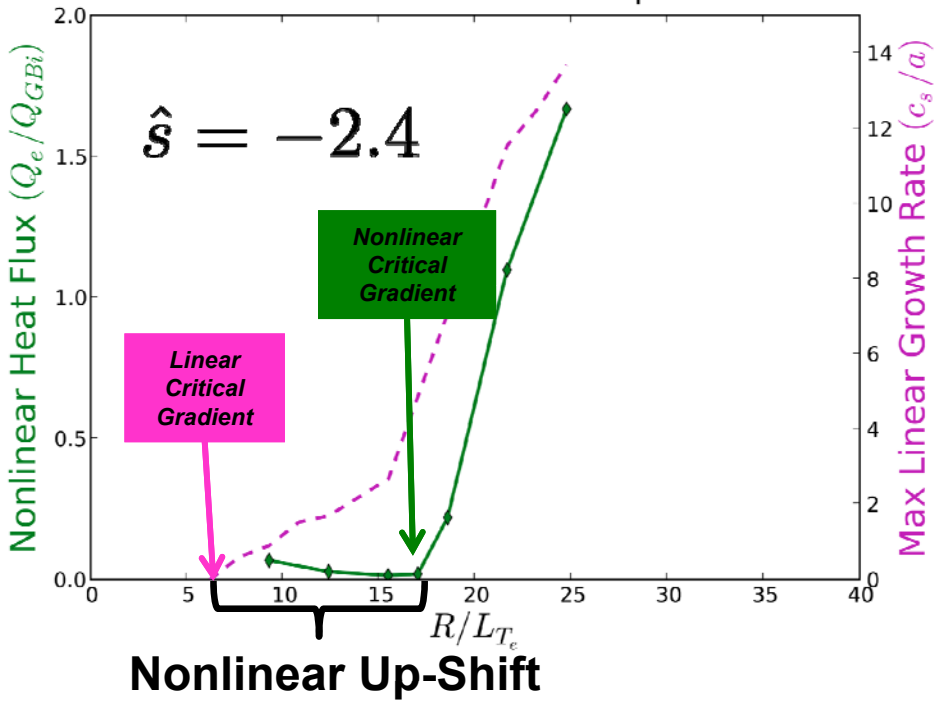
H. Yuh, Phys. Rev. Lett. 106, 055003 (2011)

# Nonlinear ETG GYRO Simulations Demonstrate the Role of ETG in e-ITB Formation

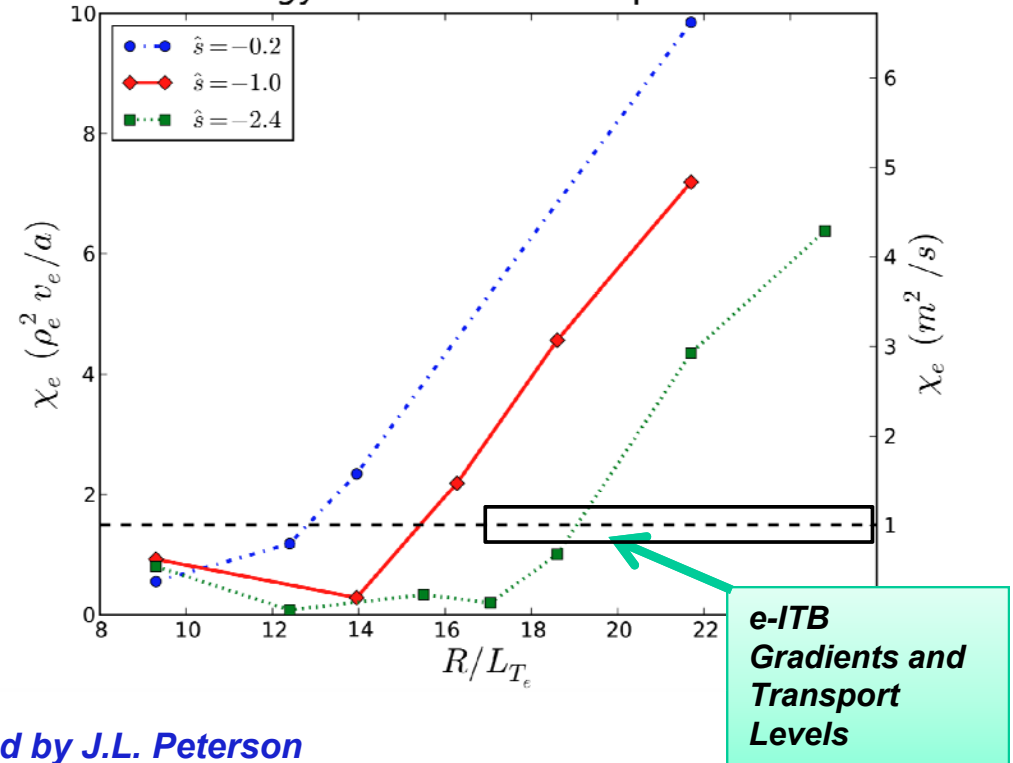
- Large nonlinear up shift in critical gradient with negative magnetic shear
- In agreement with supercritical ETG gradients observed in experiments

- Transport threshold is shown to increase with reversed shear
- The predicted transport trends in agreement with experiments

Electron Heat Flux vs. Electron Temperature Gradient

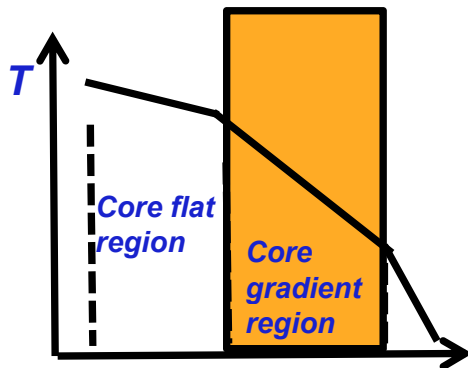


Electron Energy Diffusion vs. Temperature Gradient



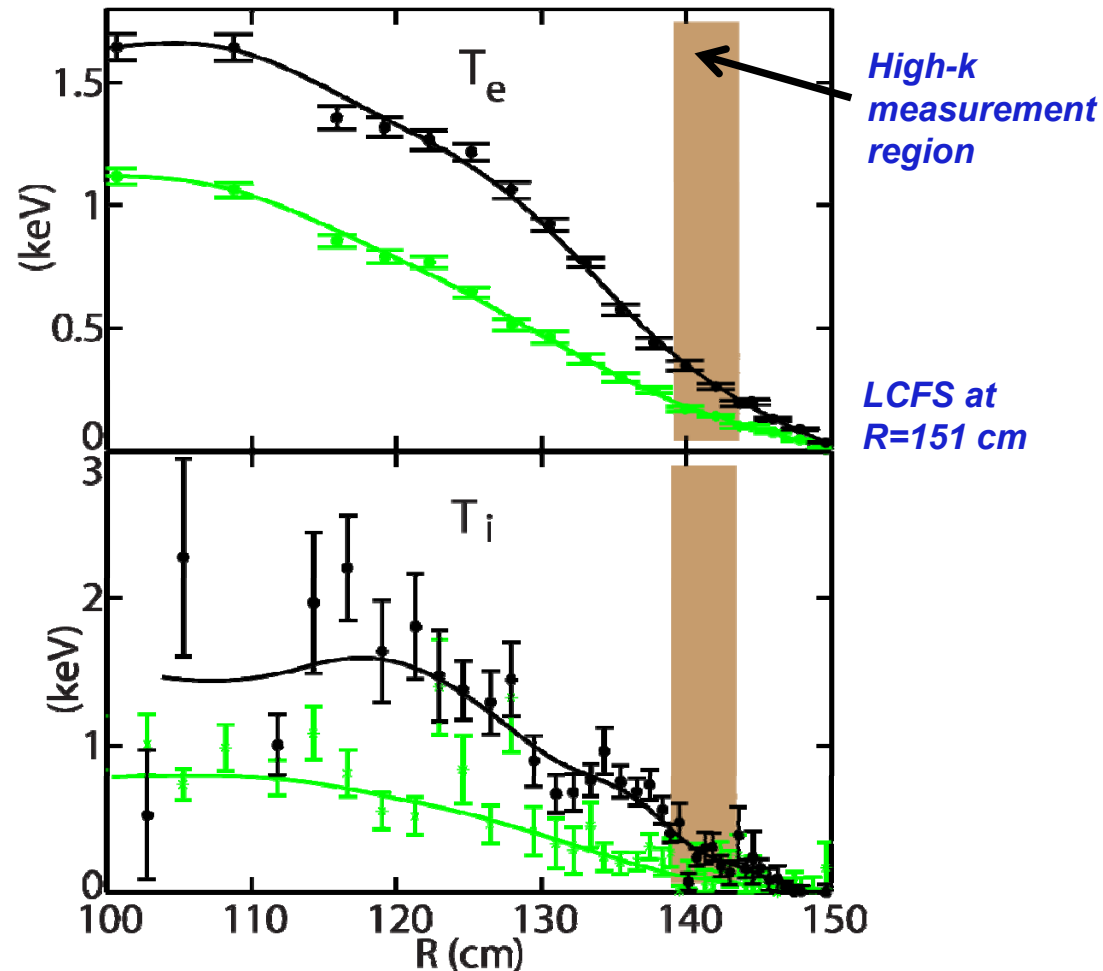
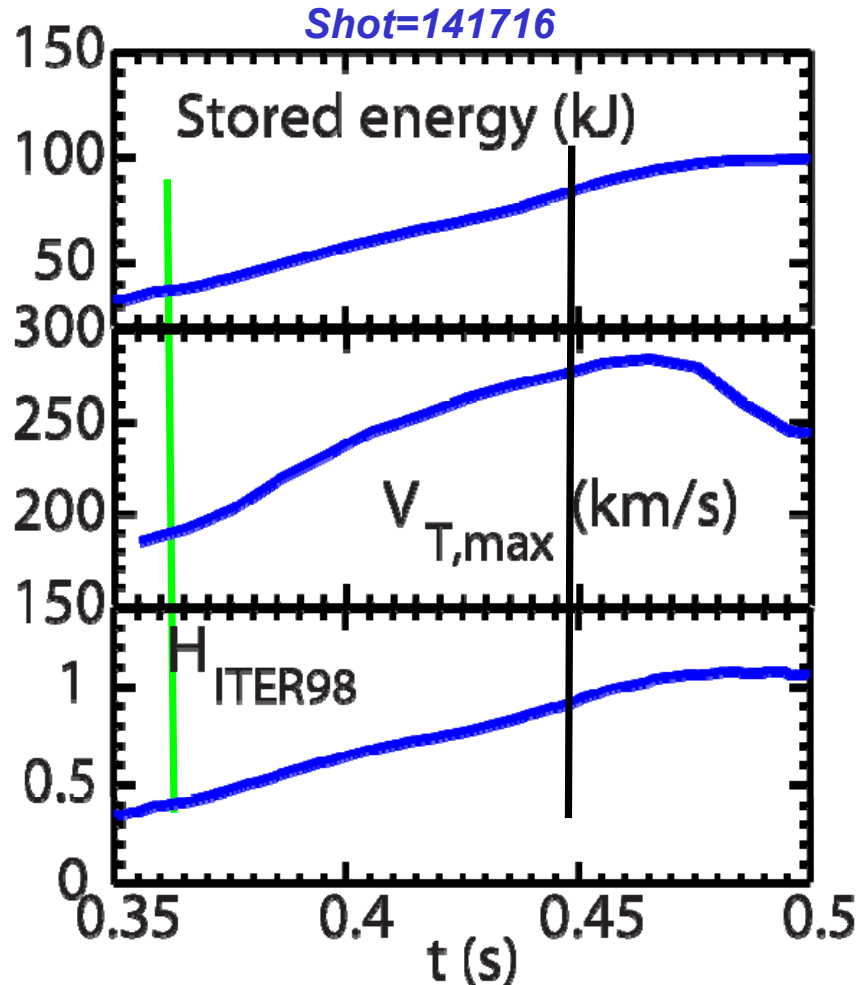
•Simulation performed by J.L. Peterson

# ExB shear induced reduction of electron thermal transport and electron-scale turbulence



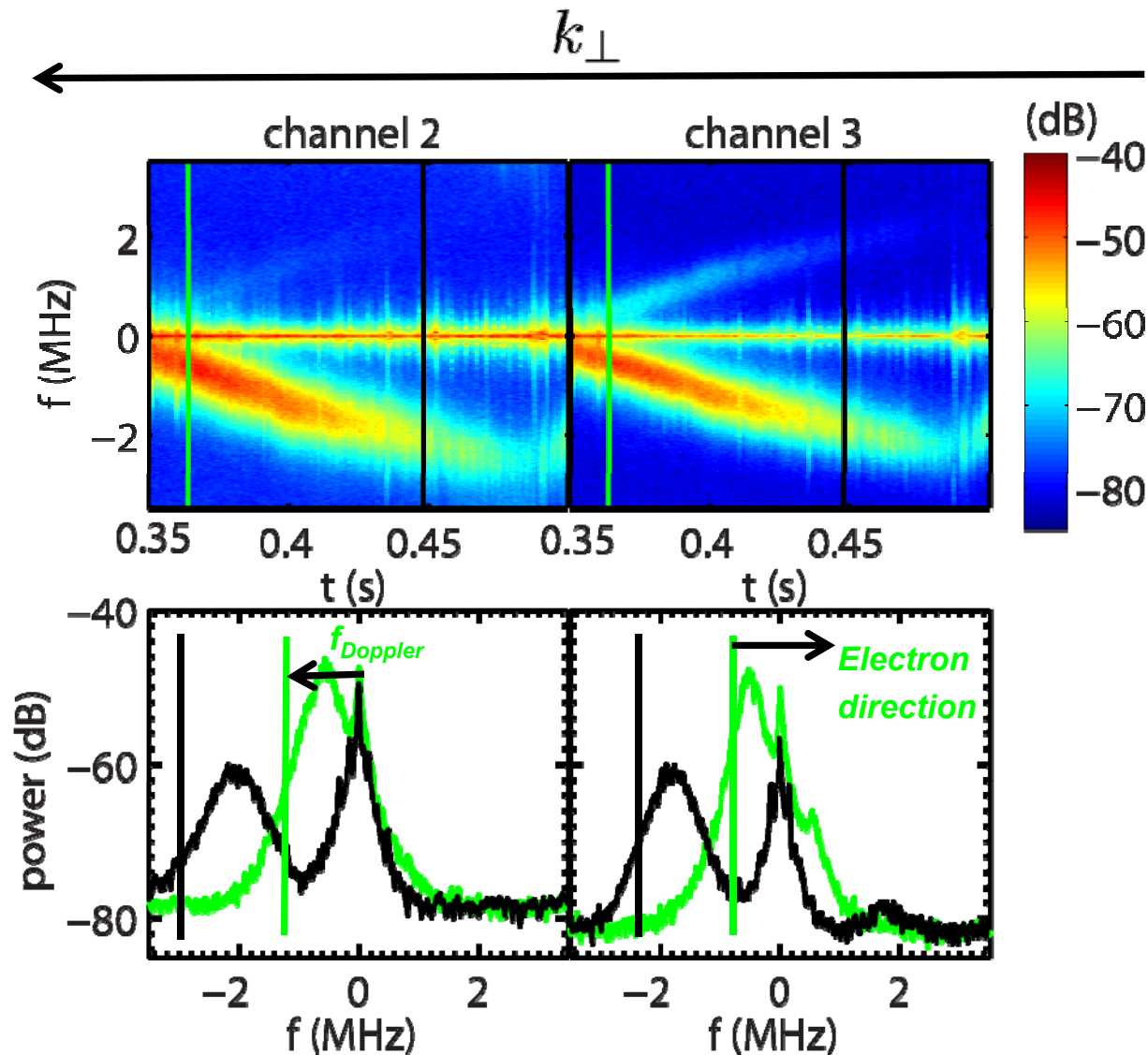
# L-mode Plasma Confinement Reaches that of the H-mode of Conventional Tokamaks

- Center-stack limited and NBI-heated L-mode plasmas with  $B_T = 5.5$  kG,  $I_p = 900$  kA,  $P_{NBI} = 2$  MW
- Both  $T_i$  and  $T_e$  increases as plasma poloidal velocity increases
- No formation of a transport barrier observed



# Decrease in Scattering Power has been Observed as Plasma Spins up

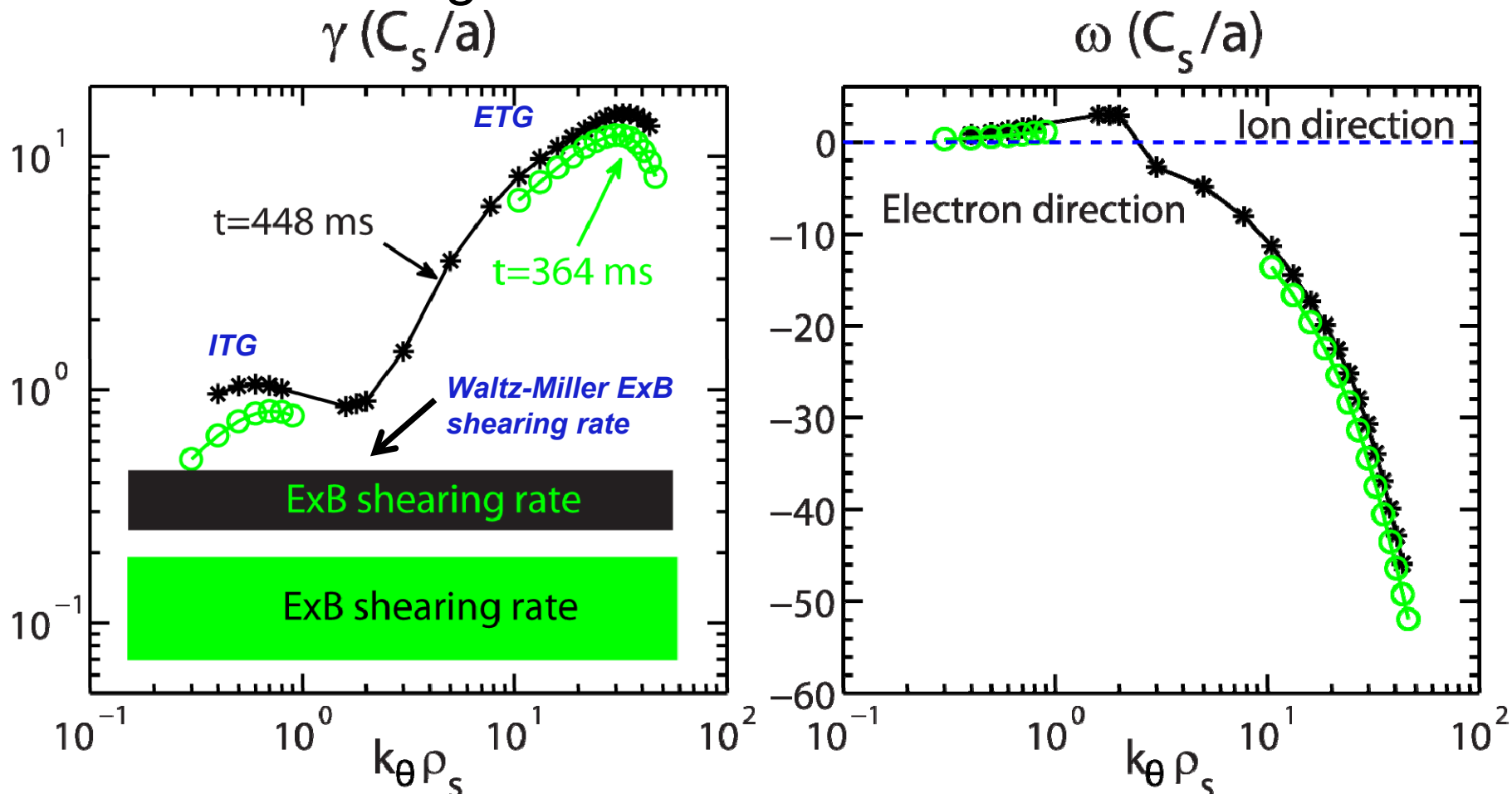
- Plasma rotation leads to large Doppler shift frequency



- *Off-center peak denotes the scattered signal*
- *$f=0$  peak is from stray radiation*

# Linear Stability Analysis Shows that ITG and ETG are both Unstable

- The maximum ITG growth rate is comparable to the ExB shearing rate
- The maximum ETG growth rate is more than 10 times larger than the ExB shearing rate

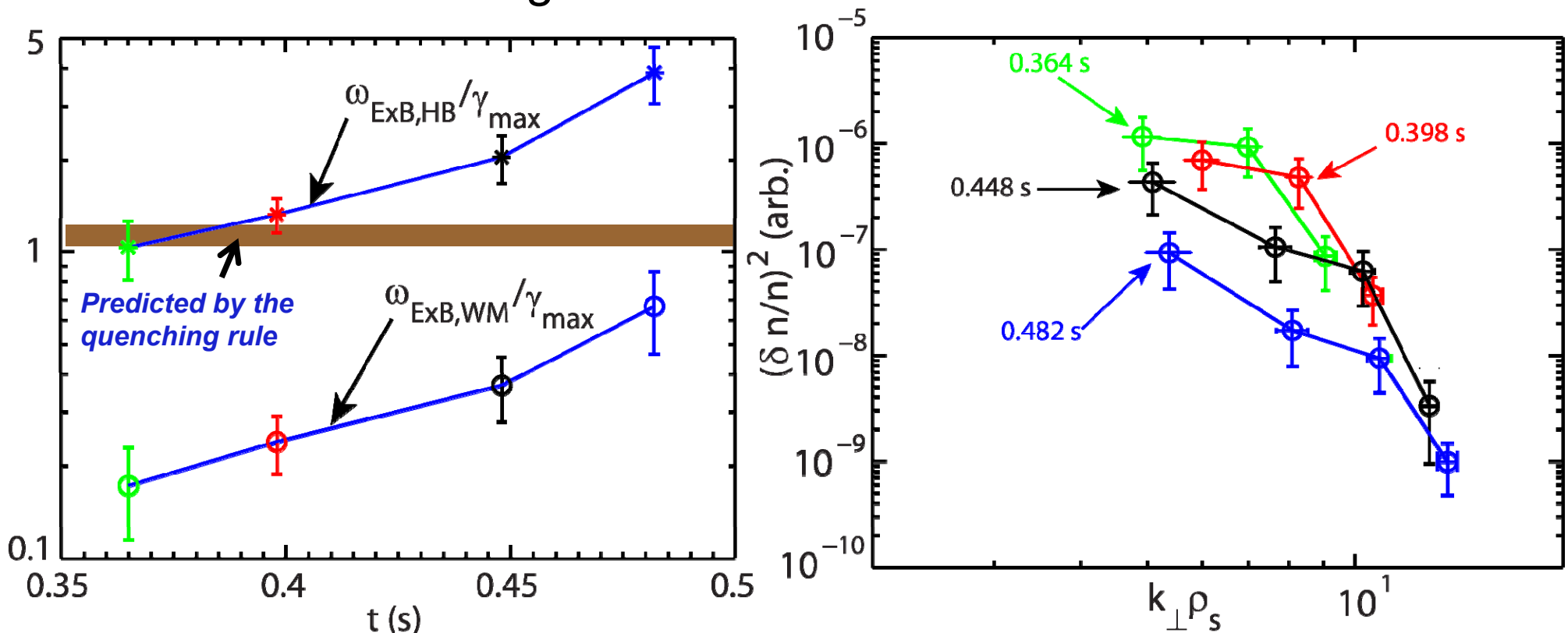


Stability Analysis was performed with the GS2 code (Kotschenreuther et al., 1995) with Miller local equilibrium



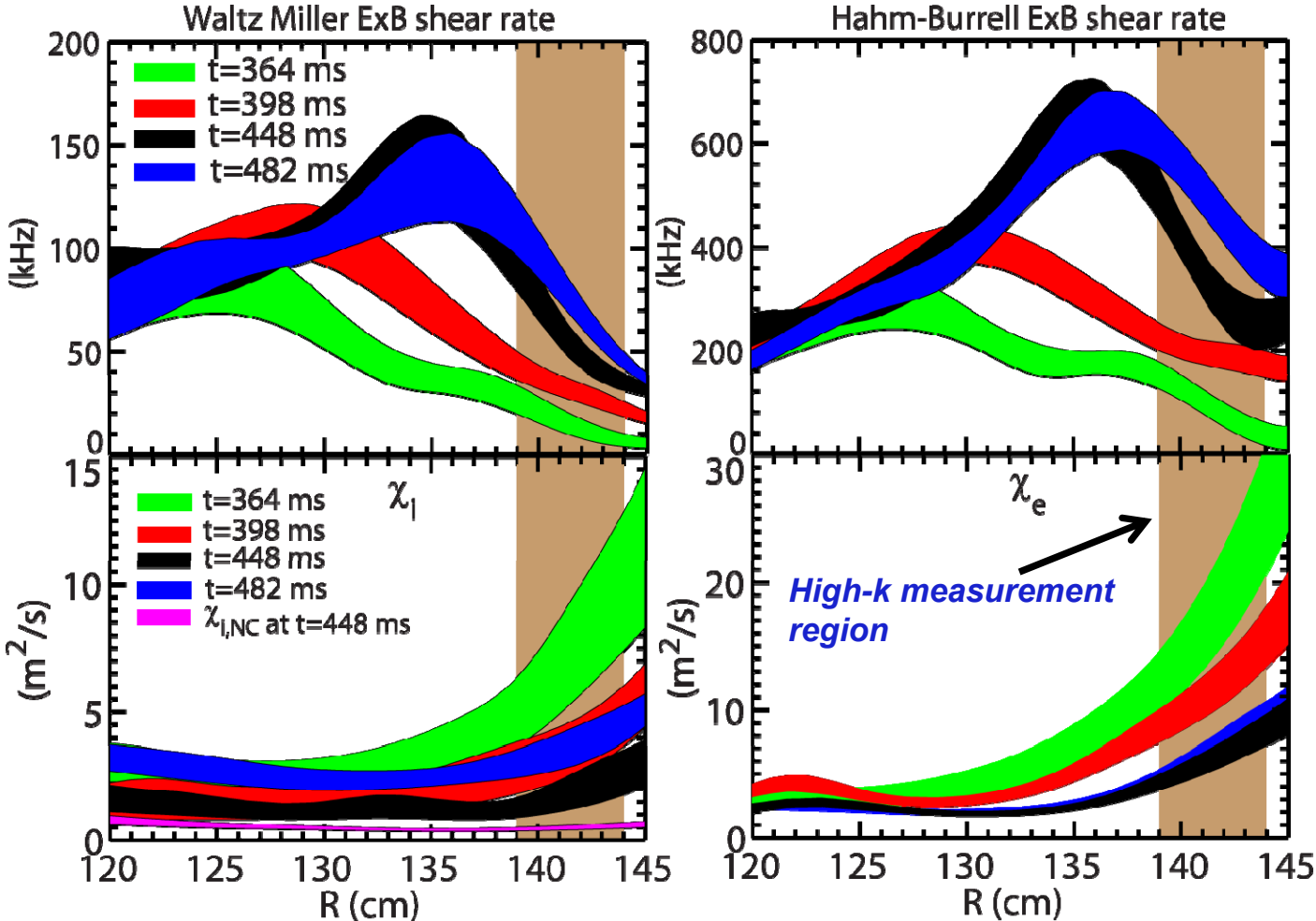
# Reduction in Peak Spectral Power in the High-k Measurement Region is Correlated with Increase in $\omega_{E \times B} / \gamma_{max}$

- Quenching rule for ion-scale turbulence for shaped plasma is shown as  $\omega_{E \times B, WM} / \gamma_{max} \approx 1.41(A/3)^{0.6} / (\kappa/1.5)$  *Kinsey et al., PoP 2007*
- $\omega_{E \times B, WM} / \gamma_{max}$  continuously increases to approach 1.1-1.2 predicted by the quenching rule with  $A_{local} \approx 1.9-2.1$  and  $\kappa_{local} \approx 1.5$
- Observed reduction in the high-k turbulence indicates a coupling between low-k and high-k



# Decrease in Thermal Diffusivities is Correlated with Increase in the ExB Shearing Rate ( $r/a \gtrsim 0.5$ )

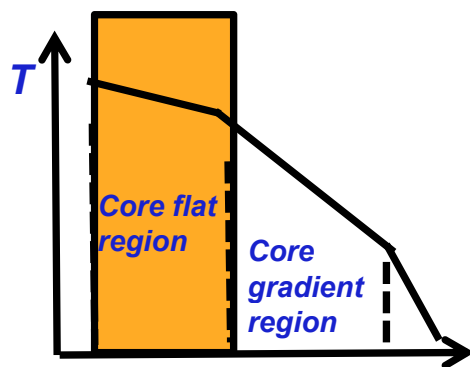
- Large decrease in electron and ion thermal diffusivity in the outer half of the plasma,  $R \gtrsim 130$  cm ( $r/a \gtrsim 0.5$ )
- Decrease in  $\chi_i$  and  $\chi_e$  correlates with the decrease in peak spectral power in the high-k measurement region



- Increase in  $\chi_i$  at t=482 ms may be due to MHD activities

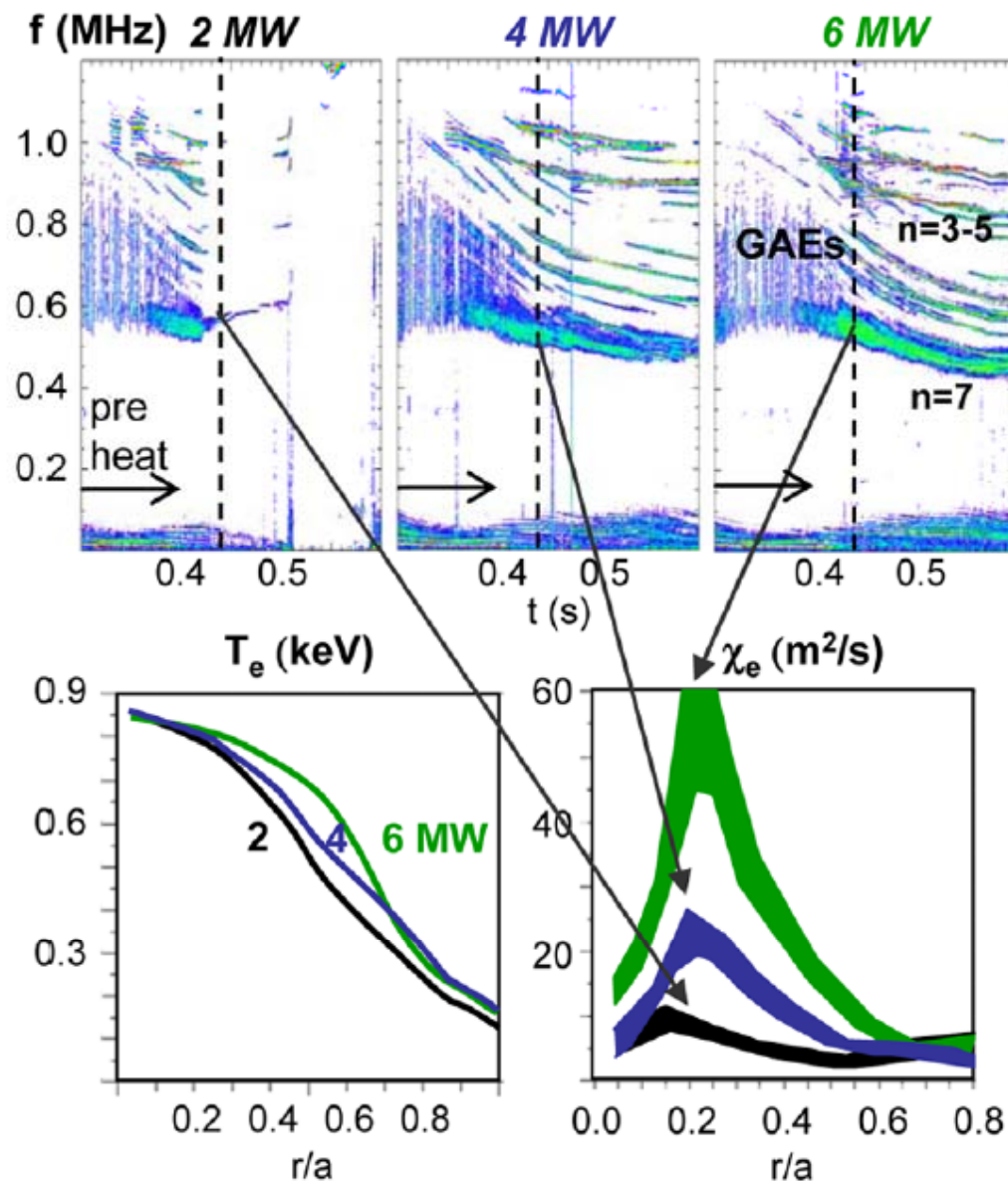
- From TRANSP analysis
- The range in  $\chi_i$  and  $\chi_e$  due to uncertainties in ohmic heating and plasma equilibrium profiles

# Mechanisms underlying central electron thermal transport in NSTX high-power NBI-heated H-mode plasmas



# Core $T_e$ Flattening in High-power NBI H-mode plasmas is Observed to be Correlated with \*AE Activities

- Core  $T_e$  flattening correlated with NBI power
  - No simultaneous increase in central  $T_e$
- Almost a factor of 10 increase in core  $\chi_e$  ( $r/a \sim 0.2$ )
  - $\chi_e$  calculated with TRANSP power balance analysis
  - Calculated neutron rate with classical fast particle slowing-down in good agreement with measured neutron rate
- Increased \*AE (GAE/CAE) activity observed from edge Mirnov measurement

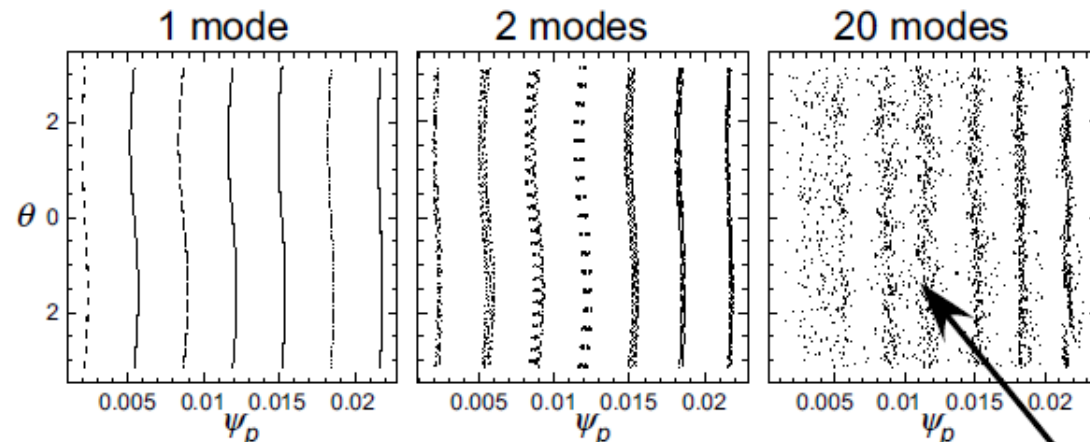
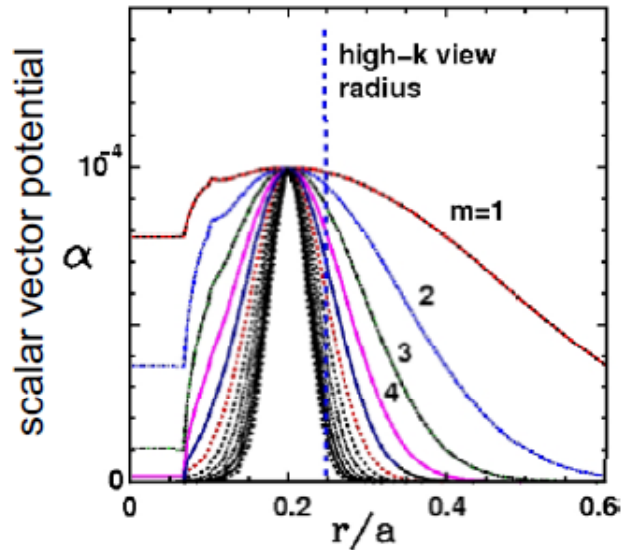


D. Stutman et al., PRL (2009)

# ORBIT Guiding Center Code is Used to Simulate GAE Effects on Electron Thermal Transport

*N. Gorelenkov Nucl. Fus. 2010*

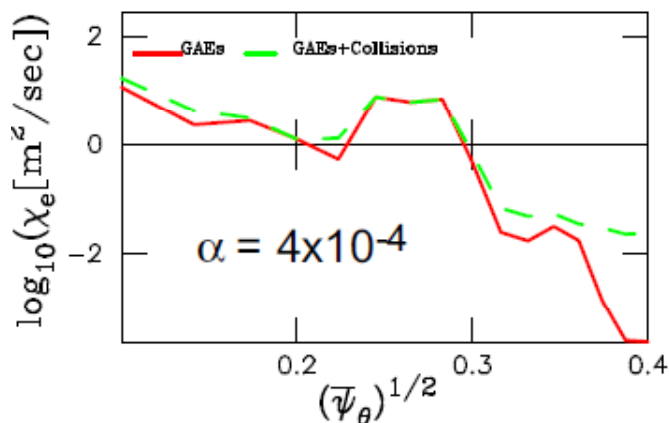
GAE Model used in ORBIT calculations



Poincaré plot of electron trajectory

stochastic particle trajectories

Simulated electron transport



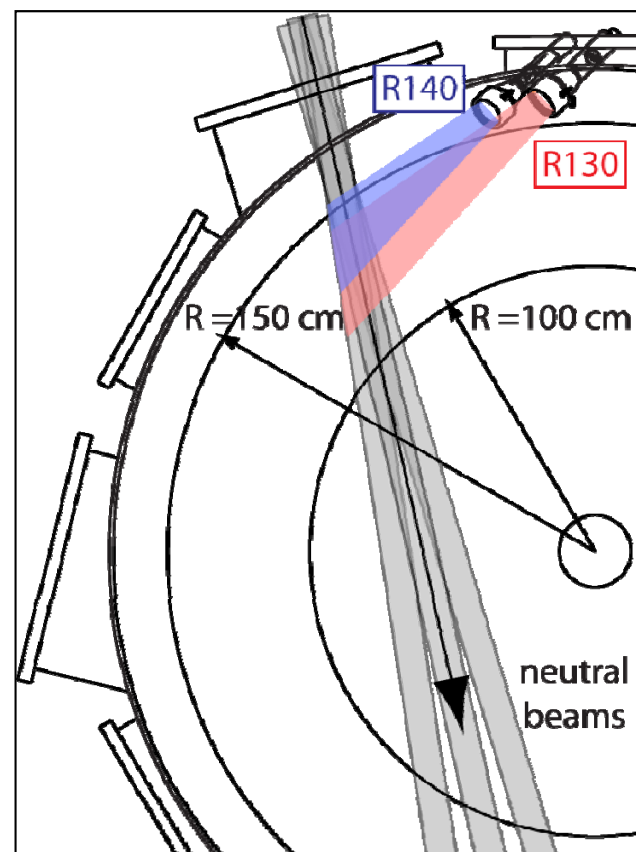
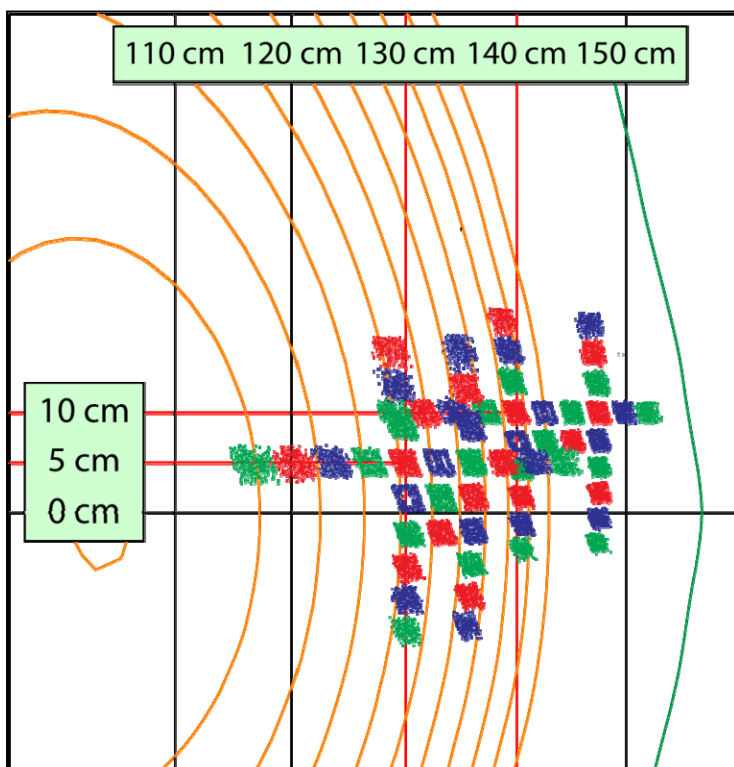
- Ad-hoc model used to study transport vs. mode amplitude and number
- $\chi_e > 10 \text{ m}^2/\text{s}$  for GAE mode amplitude:  
 $\alpha > 4 \times 10^{-4}$ , number:  $N > 16$
- 'stochastic' transport sensitive to mode structure and amplitude ( $\sim \alpha^6$ )

# Beam Emission Spectroscopy (BES) Diagnostic Provides the Capability of Measuring Internal \*AE Mode Structure

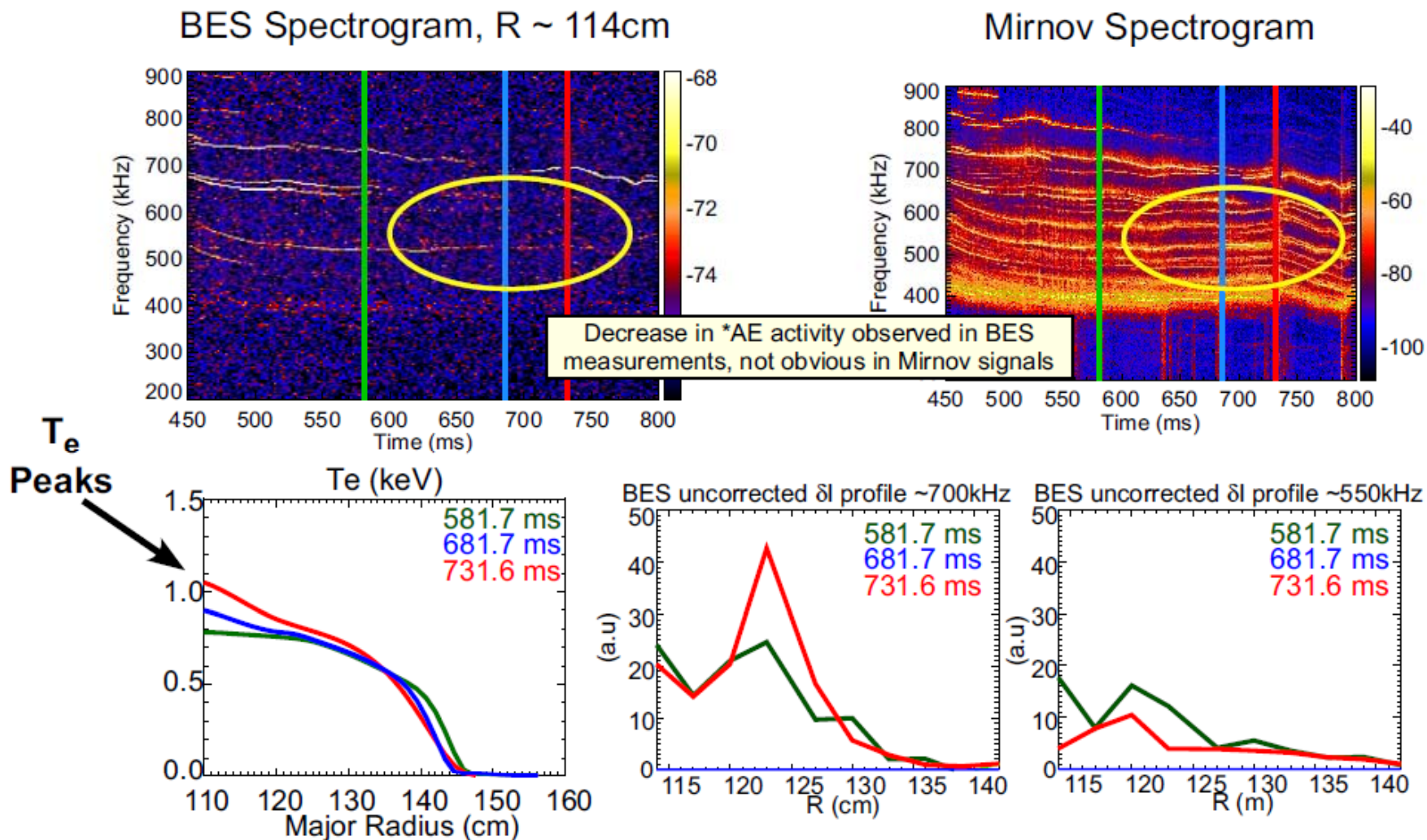
- Presently 32 detection channels
- 56 sightlines in radial and poloidal arrays spanning core to SOL
- 2 MHz sampling
- $k_{\perp} \rho_i \leq 1.5$  & 2-3 cm spot size
- Field-aligned optics with high throughput (etendue = 2.3 mm<sup>2</sup>-ster)

$$\frac{\delta I_{D\alpha}}{I_{D\alpha}} = \frac{\delta n}{n} \times C(E_{NB}, n, T_e, Z_{eff})$$

$\nearrow$  neutral beam  $D_{\alpha}$  emission  
 $\nwarrow$  density fluctuation  
 $C \approx 1/2$



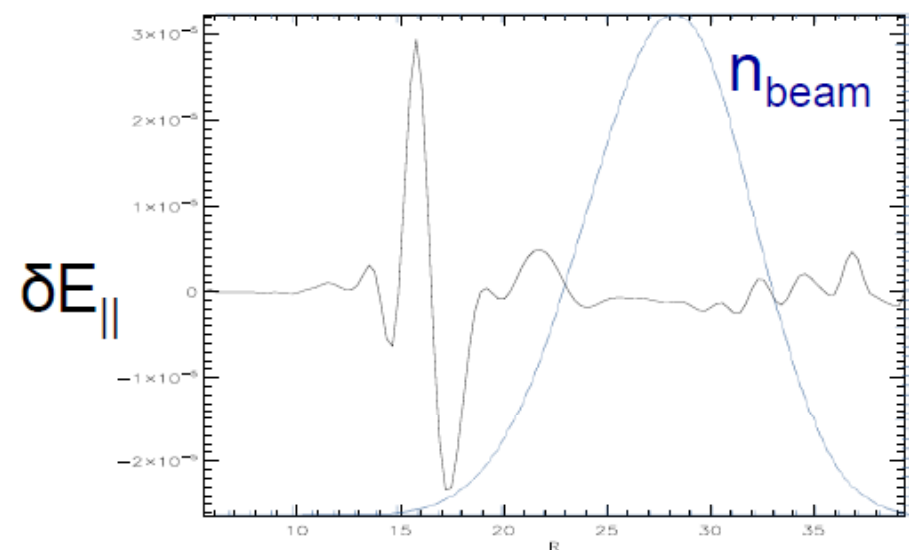
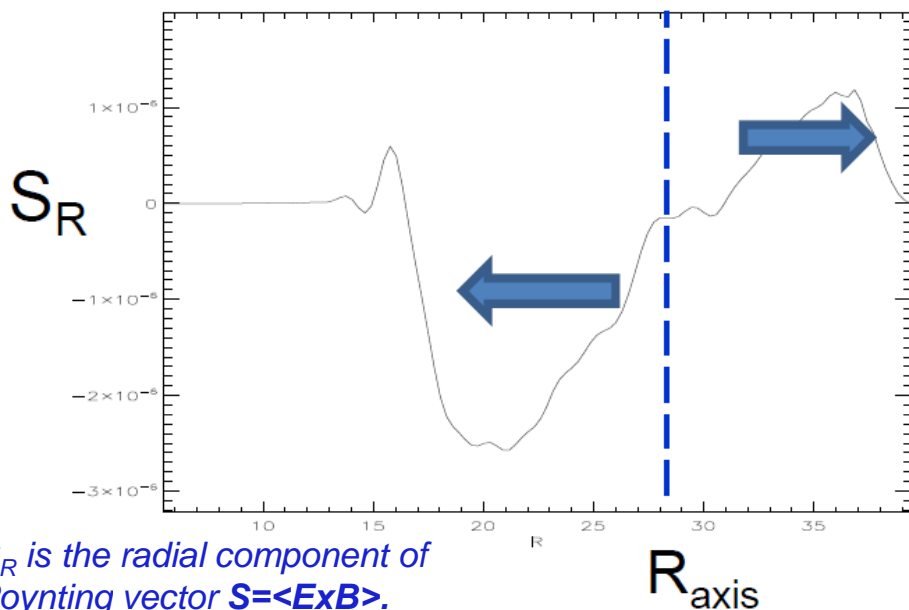
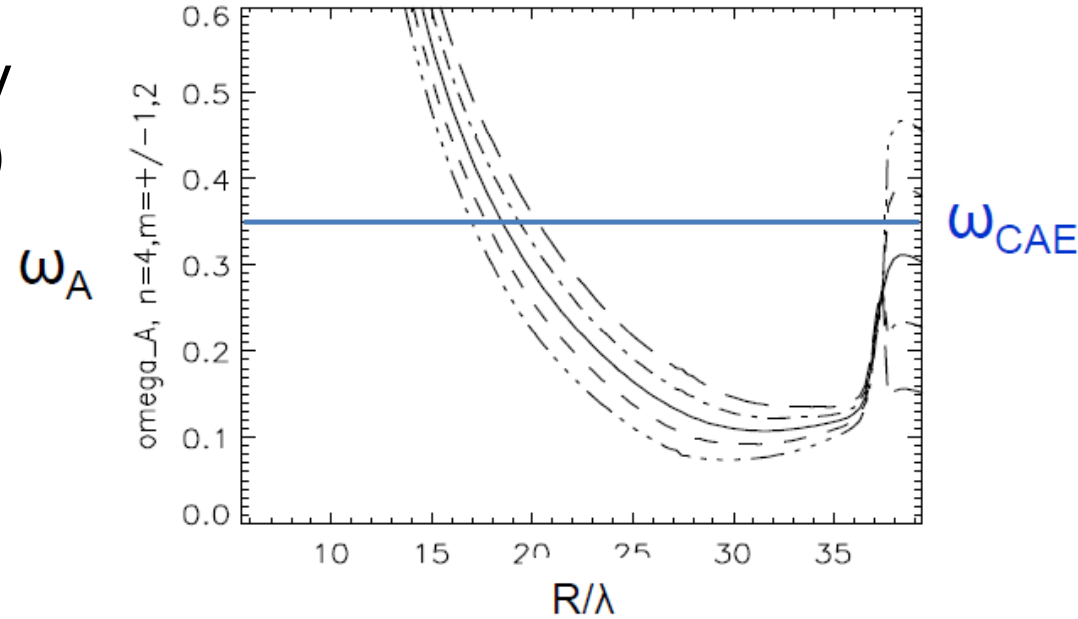
# Decrease in \*AE Activity Measured by BES Corresponds with Peaking of Central Electron Temperature



- $T_e$  remains peaked even with large single mode (bulk \*AEs still largely suppressed)
- BES sensitivity to \*AEs marginal at later times, density rise limits reflectometer data
- Need high-k core data to determine if high-k turbulence limits central  $T_e$  gradient

# Coupling of CAE Modes to KAW may Lead to a Redistribution of Electron Heating Profile

- CAE frequency and Alfvén continuum overlapping resonantly excites kinetic Alfvén wave (KAW)
- KAW can have strong effect on electron due to finite  $\delta E_{\parallel}$
- Energy flux is directed away from magnetic axis and dissipated at the resonant location (work ongoing)



$S_R$  is the radial component of Poynting vector  $\mathbf{S} = \langle \mathbf{E} \times \mathbf{B} \rangle$ .



# Summary

- NSTX has made significant progress towards understanding anomalous electron thermal transport
  - First nonlinear gyrokinetic simulation of microtearing turbulence to produce experimental confinement scaling and transport in NSTX H-mode plasmas
  - Density gradient stabilization of ETG turbulence and the correlation with electron thermal transport reduction, supported by linear and nonlinear gyrokinetic simulations
  - Suppression of ETG turbulence leading to the formation of eITB in reversed shear L-mode plasmas, reproduced by nonlinear GYRO simulations
  - ExB shear stabilization of electron-scale turbulence and thermal transport observed in L-mode plasmas, indicating a low-k and high-k coupling
  - \*AE-induced core  $T_e$  flattening, consistent with electron stochastic transport from ORBIT simulations; Alternative mechanism of coupling CAE to KAW also presented
- Electron thermal transport will be signified in the transport and turbulence research plan for NSTX-U
  - $I_p \sim 2$  MA,  $B_T \sim 1$  T, 2<sup>nd</sup> NBI ( $\sim 12$  MW), new high-k scattering system etc.