



U.S. DEPARTMENT OF
ENERGY

Office of
Science



Motivations for Spherical Torus research and initial results from NSTX Upgrade

Jonathan Menard (PPPL)

Thanks to many contributions from
ST community and NSTX-U Researchers

PPPL – MBG Auditorium

January 11, 2017

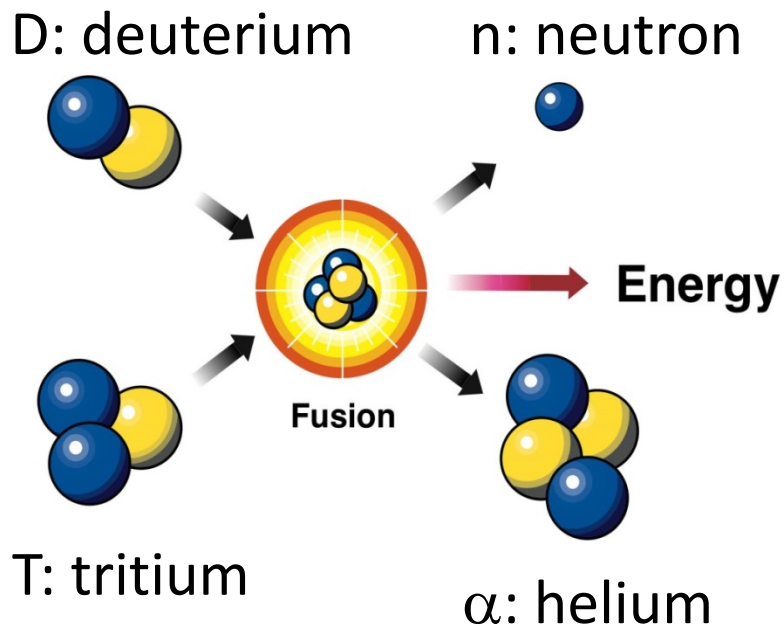


Outline

- Introduction
- Why spherical tori / tokamaks (STs)
- NSTX-U initial results, long-term directions
- Summary

Why fusion?

"D-T" fusion reaction:

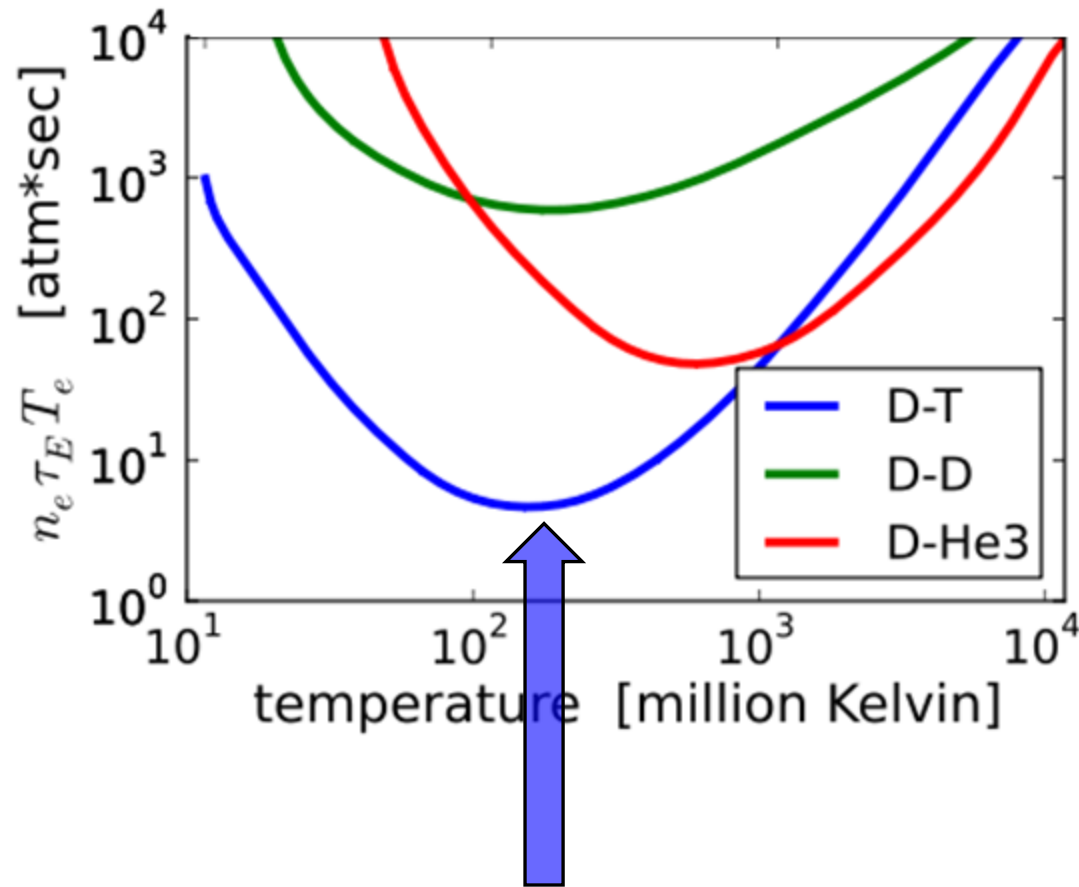


$$E = mc^2$$

- High energy gain $\approx 1000 \times$
- No runaway reactions
- Abundant fuel supply
- Waste short-lived, low-level
- No CO_2 production

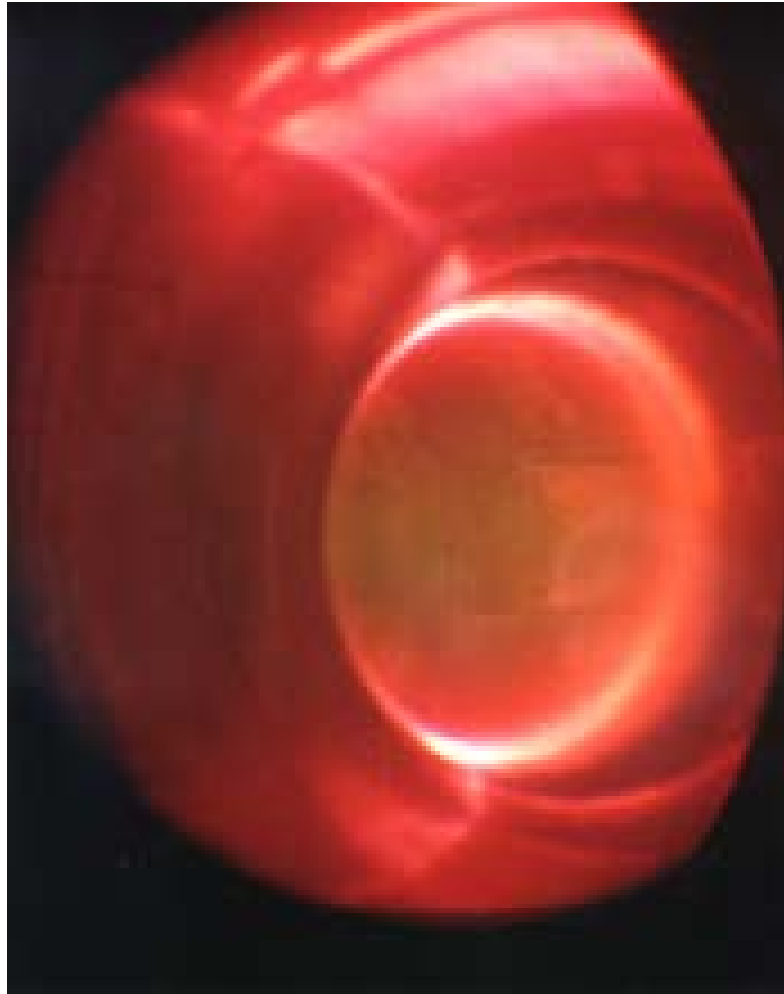
Fusion requires very high temperatures

**Fusion
difficulty**
(pressure ×
confinement)



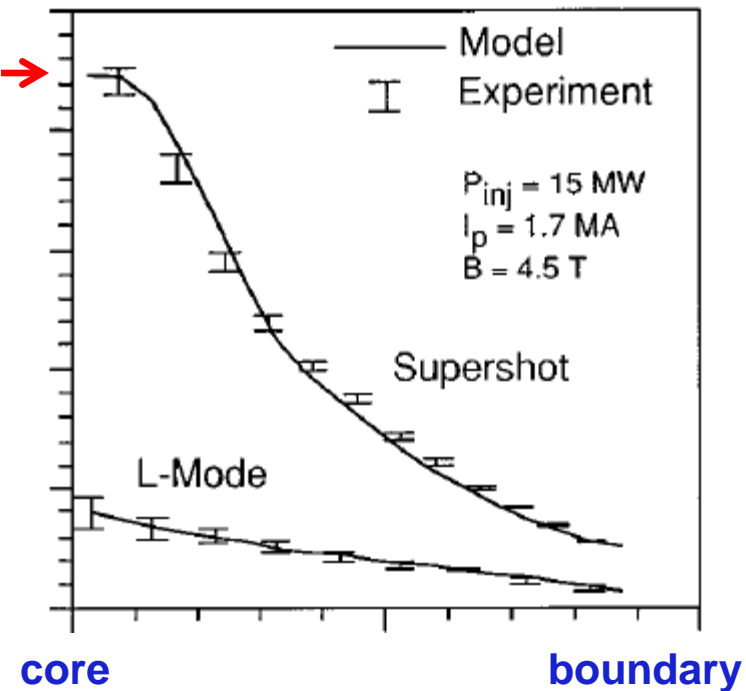
- Fusion is easiest here at 200 million °C (!!) (350 million °F)
 - Requires lowest pressure nT and energy confinement time τ_E
 - Minimum fusion “triple-product” value: 8 atmosphere-seconds

Magnetic fusion has already achieved the necessary very high temperatures!



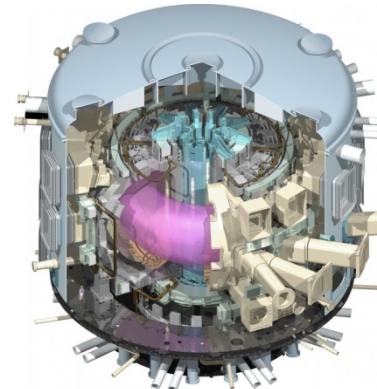
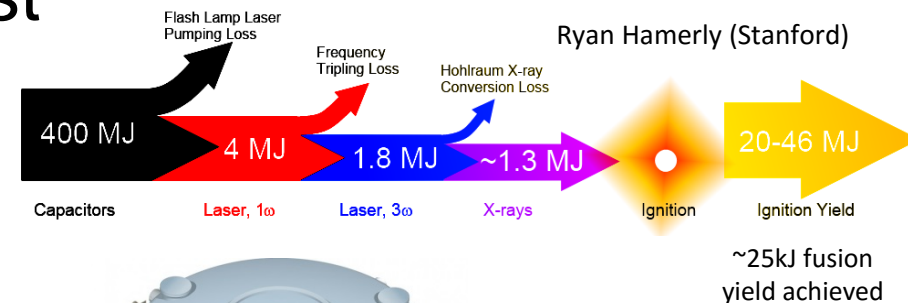
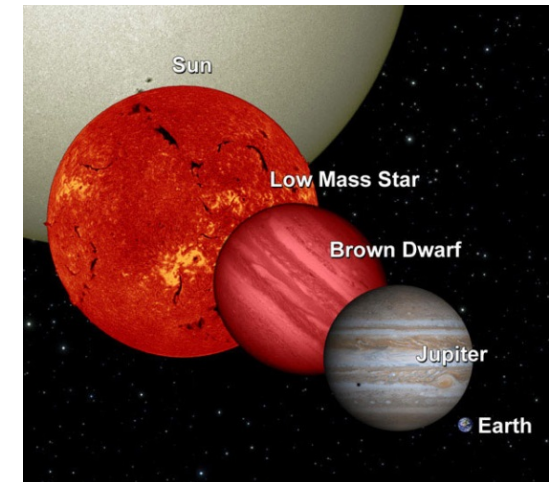
~250 million C →

TFTR at PPPL (1990's)



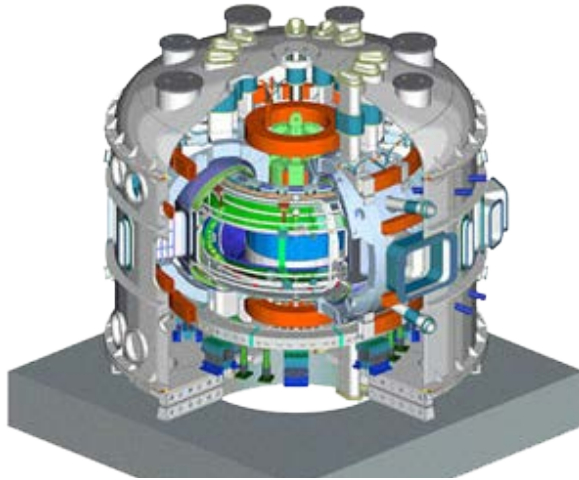
Magnetic fusion is arguably closest to ultimate goal of electricity generation

- Gravitational confinement fusion requires large device
 - Need 7-8% of mass of our sun
 - Approximately 10x diameter of Earth
- Laser fusion ala NIF at best has $E_{\text{fusion}} / E_{\text{electrical}} \sim 5\%$
 - So far, 0.006% efficient
- Magnetic fusion in ITER:
 - Goal: 500MW fusion power for $\leq 600\text{MW}$ electrical input for 400s
 - Industrial levels of fusion power



Tokamaks and stellarators are the leading configurations in magnetic fusion

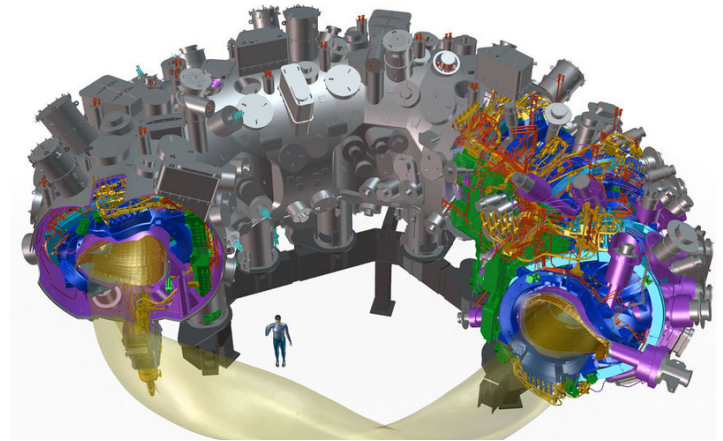
Superconducting tokamak



KSTAR (South Korea)

- Tokamak advantages:
 - Best confinement, closest to “breakeven”
 - Simpler planar coils and power/particle exhaust
- Disadvantages:
 - Must drive multi-mega-ampere plasma current
 - More prone to rapid loss of plasma = “disruption”
 - Potential show-stopper for tokamak power-plant

Superconducting stellarator



W7-X (Germany) – 1st run campaign in 2016

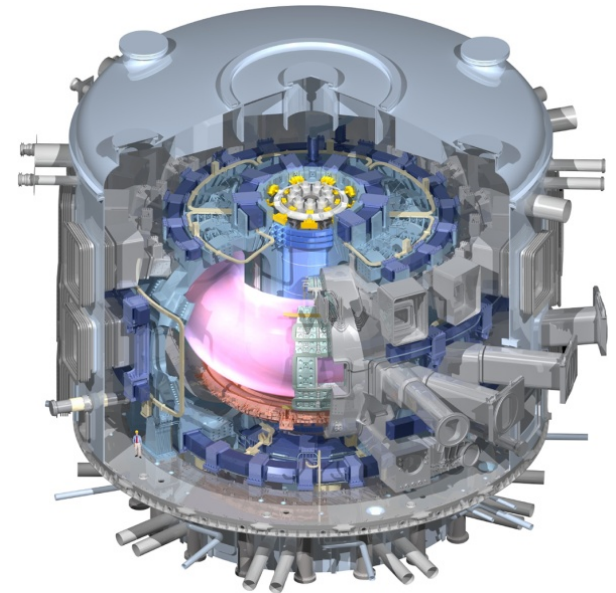
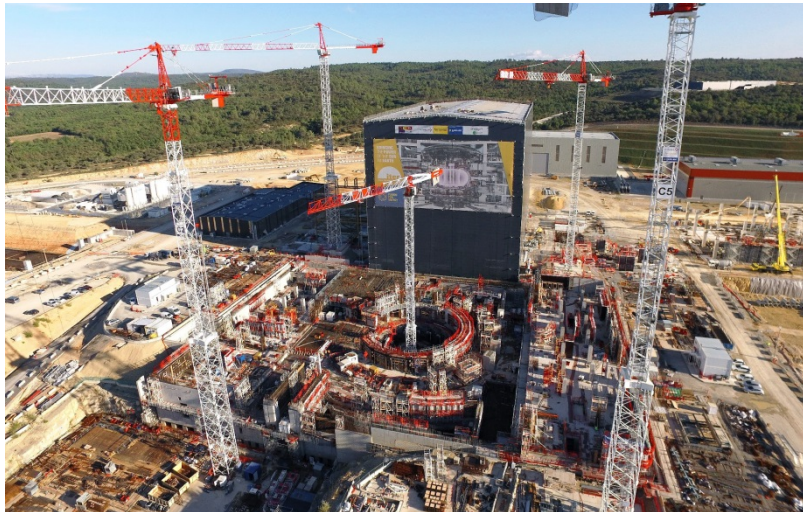
- Stellarator advantages:
 - No plasma current drive necessary
 - More stable, steady-state
- Disadvantages:
 - More complex coils and exhaust
 - Confinement < tokamaks (so far...)

ITER will be first device to access “burning plasma”

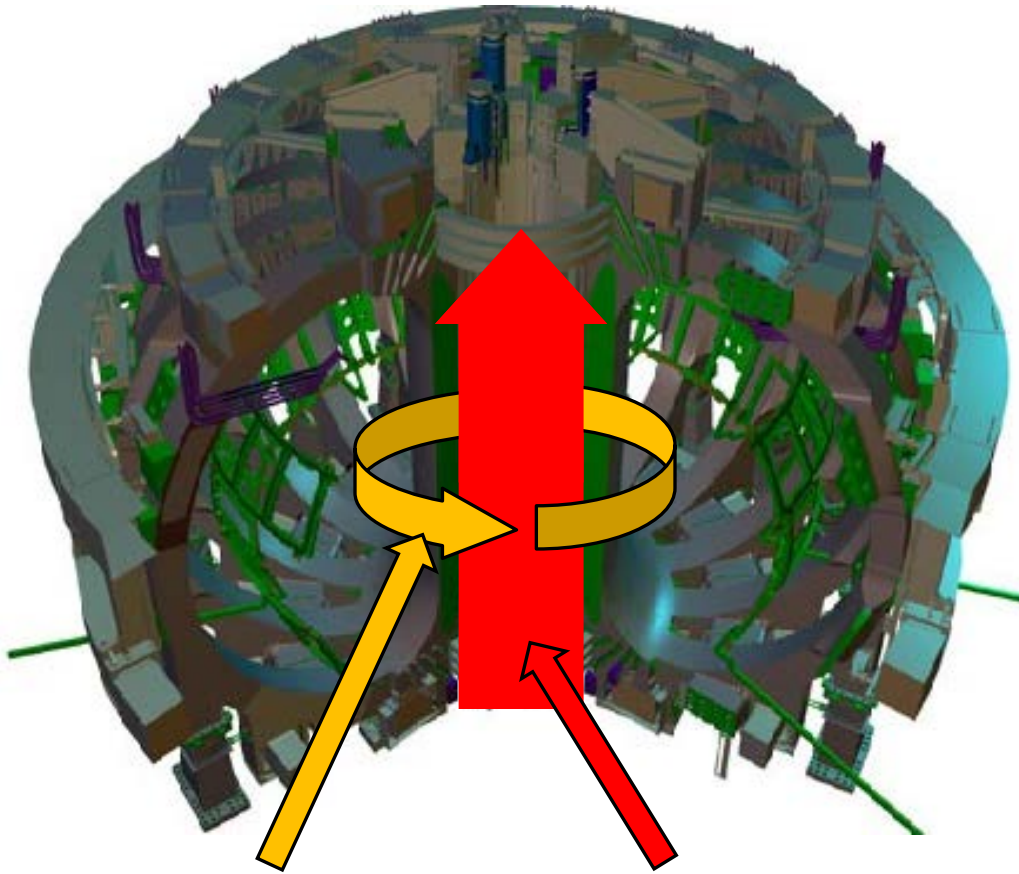
- Burning plasma: majority of plasma heating power comes from fusion alpha particles from DT reactions
 - DT reaction energy split: 1/5 in alphas, 4/5 in neutrons
- ITER goal $Q = P_{\text{fusion}} / P_{\text{external heating}} = 10$
- $Q = 10 \rightarrow P_{\text{alpha}} / P_{\text{external}} = 2$
- $P_{\text{alpha}} / P_{\text{alpha} + \text{external}} = 2 / 3 > 50\%$

$A=3.1$, $R=6.2\text{m}$, $B_T=5.3\text{T}$, $I_p=15\text{MA}$

ITER under construction in Cadarache, France



ITER magnets will be largest ever built



Plasma current:
15 million amps

Toroidal field current
165 million amps

- 18 toroidal field magnets
- 12 Tesla at coil
- Weight: 6500 tons
- 80,000 km of Nb₃Sn superconducting strand in total length

These
are large
numbers

Perspective

- Studying burning plasmas is essential to fusion development, and ITER is presently the best approach
- But as we look beyond plasma self-heating toward economical electricity production, how might we improve?

Assuming cost \propto size \rightarrow need higher fusion power / volume = high fusion power density

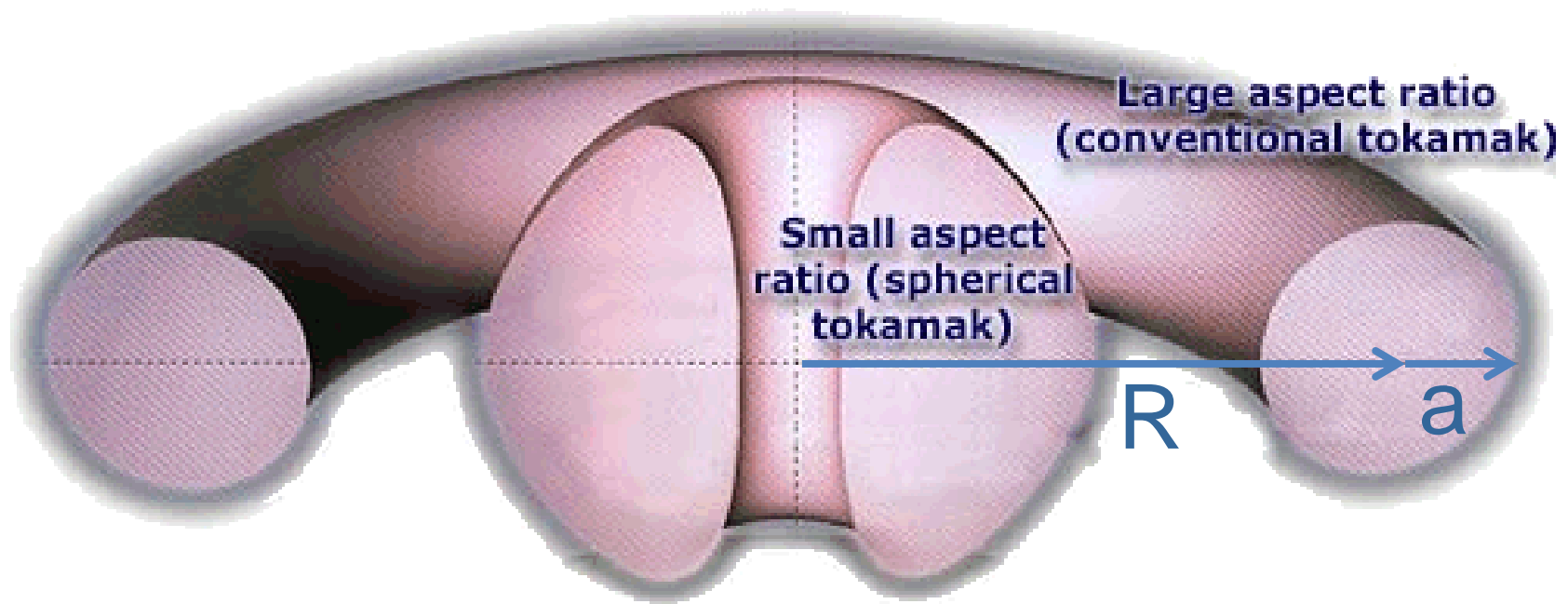
- Fusion power density \propto (plasma pressure)²
- $\beta \equiv$ plasma pressure / magnetic pressure = $p/(B^2/2\mu_0)$
- Maximum β limited by MHD instabilities
- B limited by magnet stress, cooling, quench
- Fusion power density \propto $\beta^2 B^4$

Maximize / optimize this product

Aspect ratio is important free parameter

$$\text{Aspect ratio } A = R / a$$

R = major radius a = minor radius

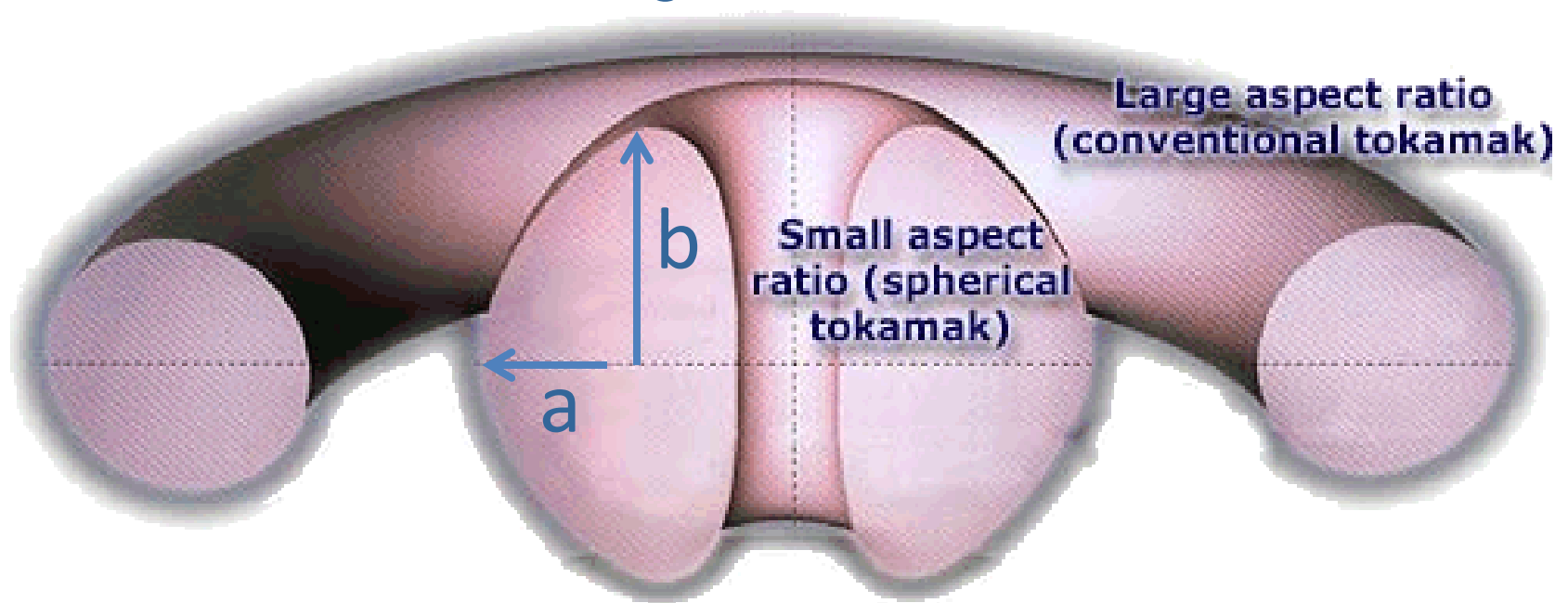


Spherical torus/tokamak (ST) has $A = 1.1\text{-}2$
Conventional tokamak typically $A = 2.5\text{-}4$

STs have higher natural elongation

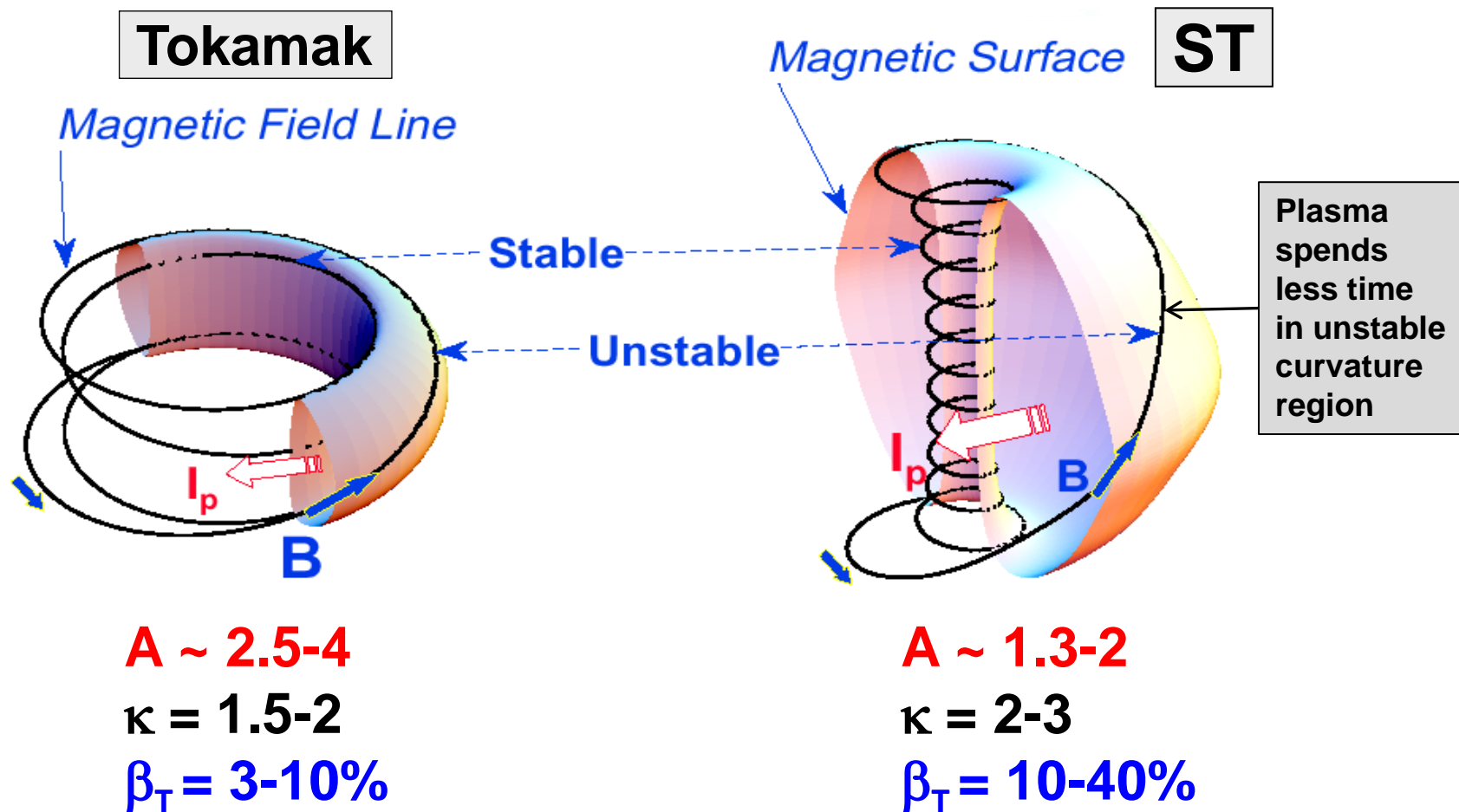
$$\text{Elongation } \kappa = b / a$$

b = vertical $\frac{1}{2}$ height a = minor radius



Higher elongation improves stability, confinement

Favorable average curvature improves stability



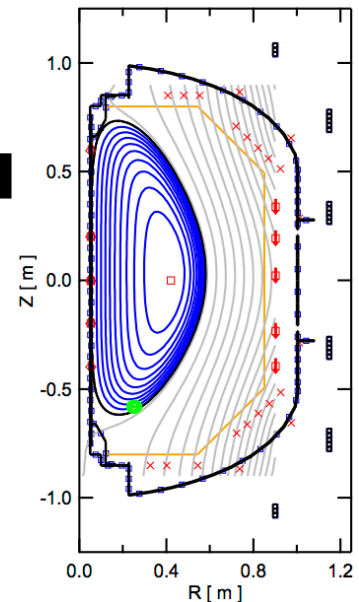
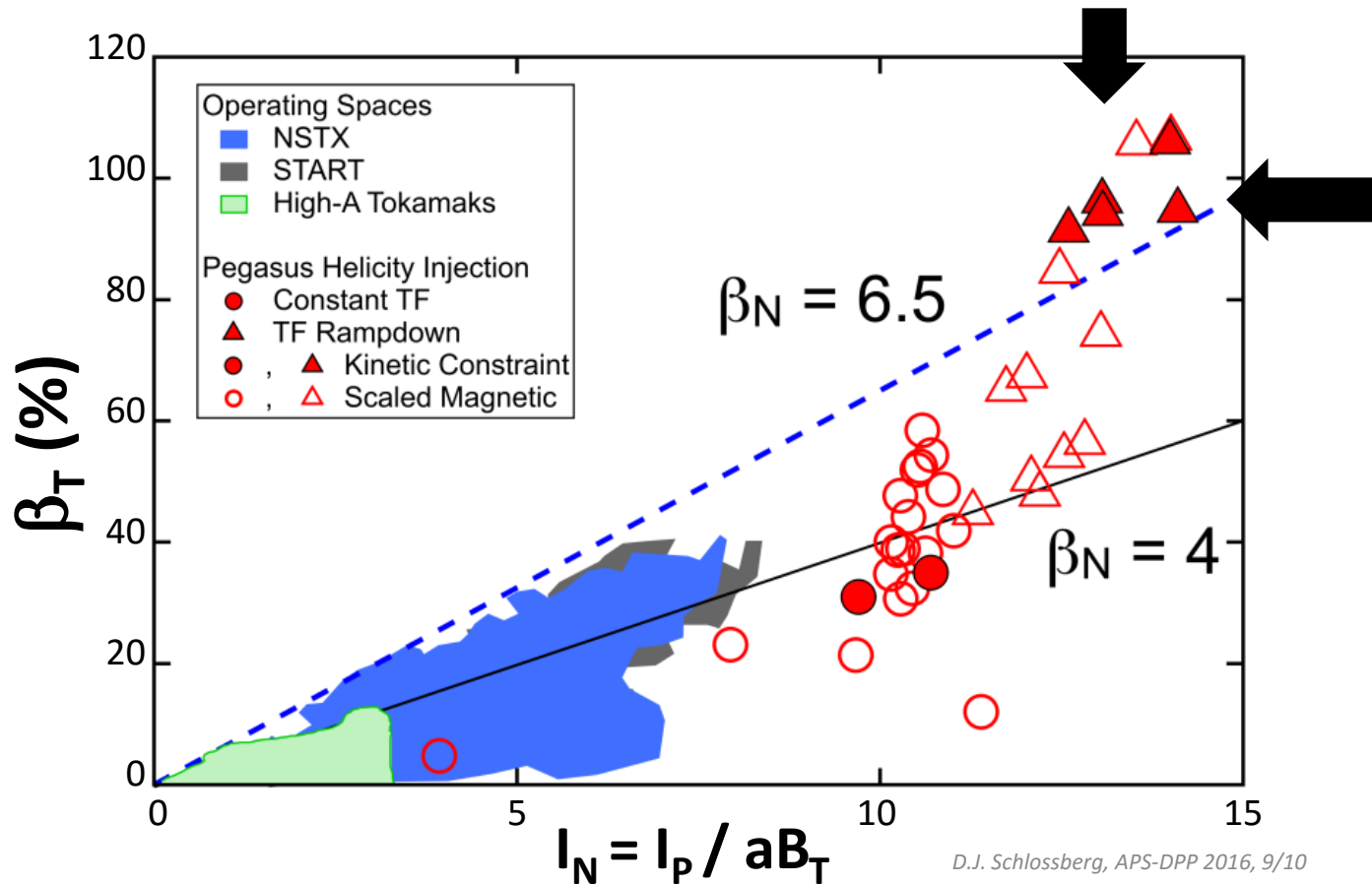
Aspect Ratio $A = R/a$

Elongation $\kappa = b/a$

Toroidal beta $\beta_T = \langle p \rangle / (B_{T0}^2/2\mu_0)$

STs can access very wide range of β_T

Pegasus ST recently accessed $\beta_t \sim 50-100\%$



Equilibrium Parameters
Shot 87332, 24.50 ms

I_p	102 kA	R_0	0.317 m
β_t	0.95	a	0.263 m
ℓ_i	0.22	A	1.21
β_p	0.45	κ	2.6
W	545 J	δ	0.54
B_{T0}	0.0249 T	q_{95}	7.24

β_T for sustained, low- ℓ_i , high- κ , LHI-driven plasmas

D.J. Schlossberg, APS-DPP 2016, 9/10

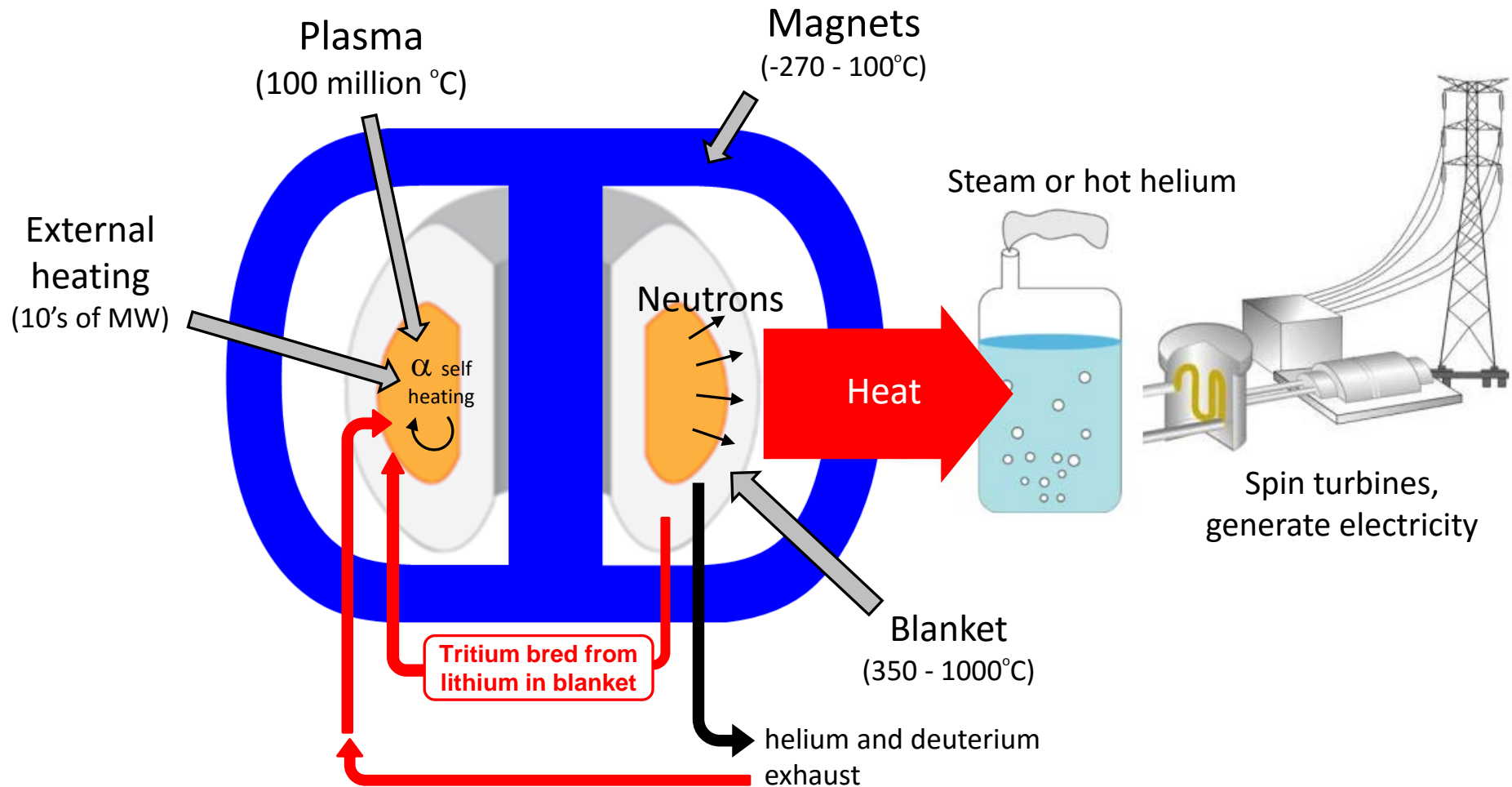
Why explore spherical torus/tokamak?

- Potentially attractive for electricity production
 - Requires accompanying magnet innovations
- High neutron wall loading in small device
 - Well suited for fusion nuclear component R&D
- Improve toroidal physics predictive capability
 - High β and high temperature at low collisionality
 - Understand confinement, fast-ion physics for ITER

Why explore spherical torus/tokamak?

- Potentially attractive for electricity production
 - Requires accompanying magnet innovations
- High neutron wall loading in small device
 - Well suited for fusion nuclear component R&D
- Improve toroidal physics predictive capability
 - High β and high temperature at low collisionality
 - Understand confinement, fast-ion physics for ITER

How would magnetic fusion make electricity?



Electricity gain Q_{eng} determined primarily by engineering efficiencies and fusion gain

$$Q_{eng} \equiv \frac{\text{Electricity produced}}{\text{Electricity consumed}} = \frac{\eta_{th} (M_n P_n + P_\alpha + P_{aux} + P_{pump})}{\frac{P_{aux}}{\eta_{aux}} + P_{pump} + P_{sub} + P_{coils} + P_{control}}$$

$$Q_{eng} = \boxed{\eta_{th} \eta_{aux} Q} \times \frac{(4M_n + 1 + 5/Q + 5P_{pump} / P_{fus})}{5(1 + \eta_{aux} Q P_{extra} / P_{fus})}$$

η_{th} \equiv thermal power conversion efficiency
 η_{aux} \equiv injected power wall plug efficiency
 $Q \equiv P_{fus} / P_{aux}$ = fusion power / auxiliary power

Parameter Assumptions:

- $M_n = 1.1$, $P_{pump} = 0.03 \times P_{th}$
- $P_{sub} + P_{control} = 0.04 \times P_{th}$
- $\eta_{aux} = 0.3$
- $\eta_{CD} = I_{CD} R_0 n_e / P_{CD} \approx 0.3 \times 10^{20} \text{ A/W/m}^2$

For more details see J. Menard, et al., Nucl. Fusion 51 (2011) 103014

Gain is very strong function of confinement: $Q_{DT} \propto H^{2 \rightarrow 5}$ from low \rightarrow high gain

Fusion power density $\equiv \Gamma_{DT} = n_D n_T \langle \sigma v \rangle_{DT} E_{DT} \propto p^2$

$$P_{fusion} \propto (P \tau_E)^2 / V$$

$$\tau_E \propto H I_P^{\alpha_I} B_T^{\alpha_B} n_e^{\alpha_n} P^{-\alpha_P} R^{\alpha_R} \kappa^{\alpha_\kappa} \epsilon^{\alpha_\epsilon} \quad \epsilon \equiv A^{-1}$$

$$P = P_{aux}(1 + \lambda_{DT} Q_{DT}) \quad Q_{DT} \equiv P_{fusion} / P_{aux} \quad \lambda_{DT} = 0.2$$

$$Q_{DT}^* \equiv Q_{DT} / (1 + \lambda_{DT} Q_{DT})^{2(1-\alpha_P)}$$

$$\propto H^2 I_P^{2\alpha_I} B_T^{2\alpha_B} n_e^{2\alpha_n} P_{aux}^{1-2\alpha_P} R^{2\alpha_R-3} \kappa^{2\alpha_\kappa-1} \epsilon^{2\alpha_\epsilon-2}$$

Fix current, field, density, geometry, auxiliary power, $\alpha_P = 0.7$:

$$Q_{DT} \leq 1 \rightarrow Q_{DT} \approx Q_{DT}^* \propto H^2 \quad Q_{DT} \gg 1 \rightarrow Q_{DT} \propto Q_{DT}^{*2.5} \propto H^5$$

Gain vs. physics & engineering constraints

- **Steady-state tokamaks:** current-driven kink limit less relevant
- Normalized β (β_N) and “bootstrap” fraction (f_{BS}) more important
- Relevant variables are β_N / f_{BS} and normalized density $f_{gw} \rightarrow$

Exponent	98y2	Petty-08
C_β	2.68	2.14
C_B	2.98	2.74
C_{gw}	0.82	0.64
C_P	-0.38	0.06
C_R	1.98	2.04
C_κ	5.92	5.04
C_ϵ	1.54	1.61

C. Petty, et al., Phys. Plasmas 15 (2008) 080501

$$Q_{DT}^* \propto H^2 (\beta_N / f_{BS})^{C_\beta} B_T^{C_B} f_{gw}^{C_{gw}} P_{aux}^{C_P} R^{C_R} \kappa^{C_\kappa} \epsilon^{C_\epsilon}$$

Use electrostatic gyro-Bohm τ_E scaling with no β degradation (NSTX, JET, DIII-D)

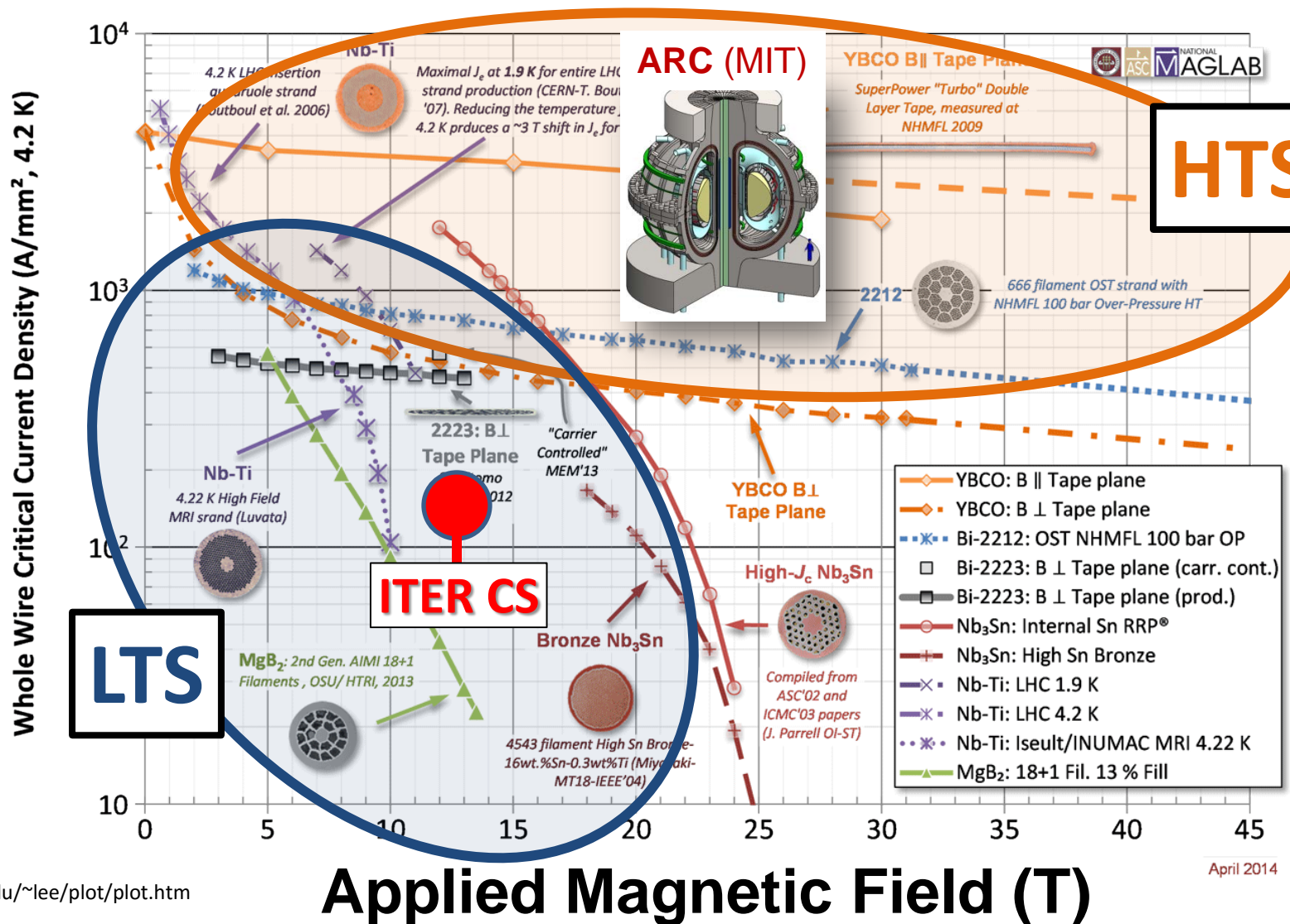
$$Q_{DT}^* \propto R^2 H^2 (1 - f_{CD})^{-2} f_{gw}^{0.7} B_T^3 \kappa^{3-5} \beta_N^2 \epsilon^{1.6}$$

External current drive fraction

Need to optimize this product vs. aspect ratio

High temperature superconductors (HTS) could substantially expand fusion magnet performance

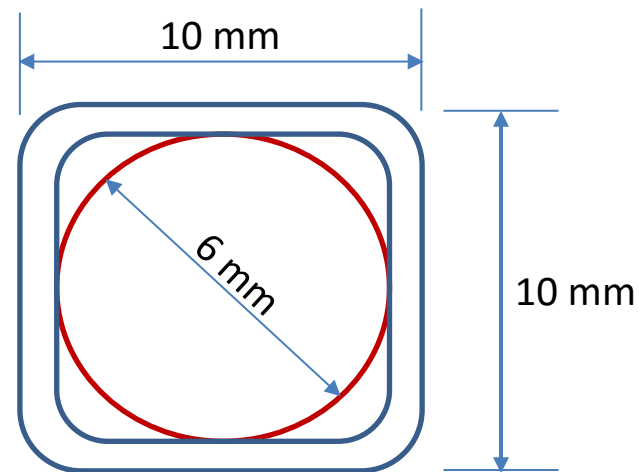
Current
Density



April 2014

Cables formed from HTS tapes achieving high winding pack current density at high B

Conductor on Round Core Cables (CORC)
 $J_{WP} \sim 70 \text{ MA/m}^2$ 19T



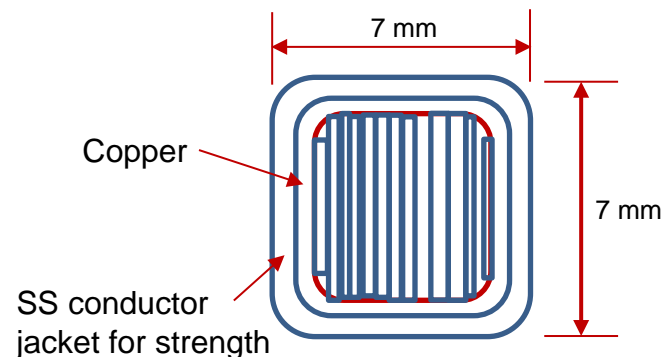
7 kA CORC (4.2K, 19 T) cable

Base cable: 50 tapes YBCO Tapes with 38 mm substrate (Van Der Laan, HTS4Fusion, 2015)

Higher J_{cable} HTS cable concepts under development:



**Base Conductor
He Gas Cooled
8kA,
 $J_{WP} \sim 160 \text{ MA/m}^2$**



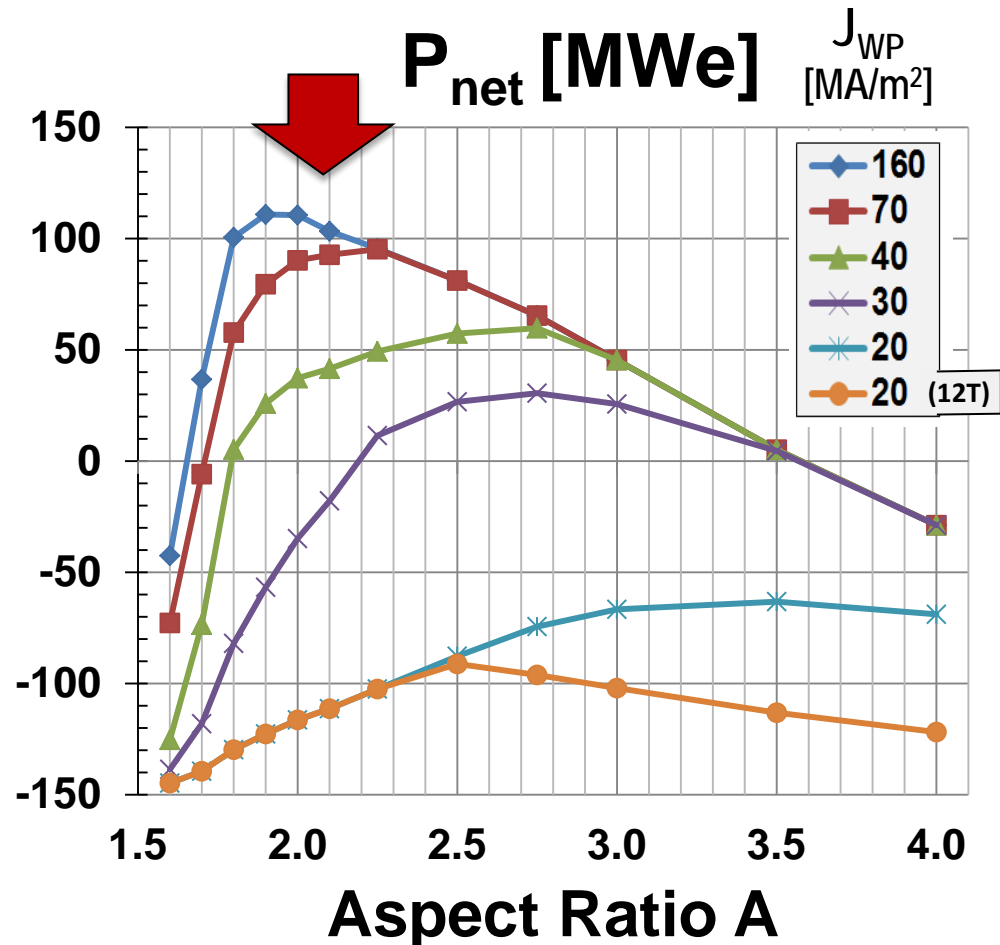
High current density HTS cable motivates consideration of lower-A tokamak pilot plants

Fix plasma major radius $R_0=3\text{m}$, heating power $P_{\text{NNBI}}=50\text{MW}$

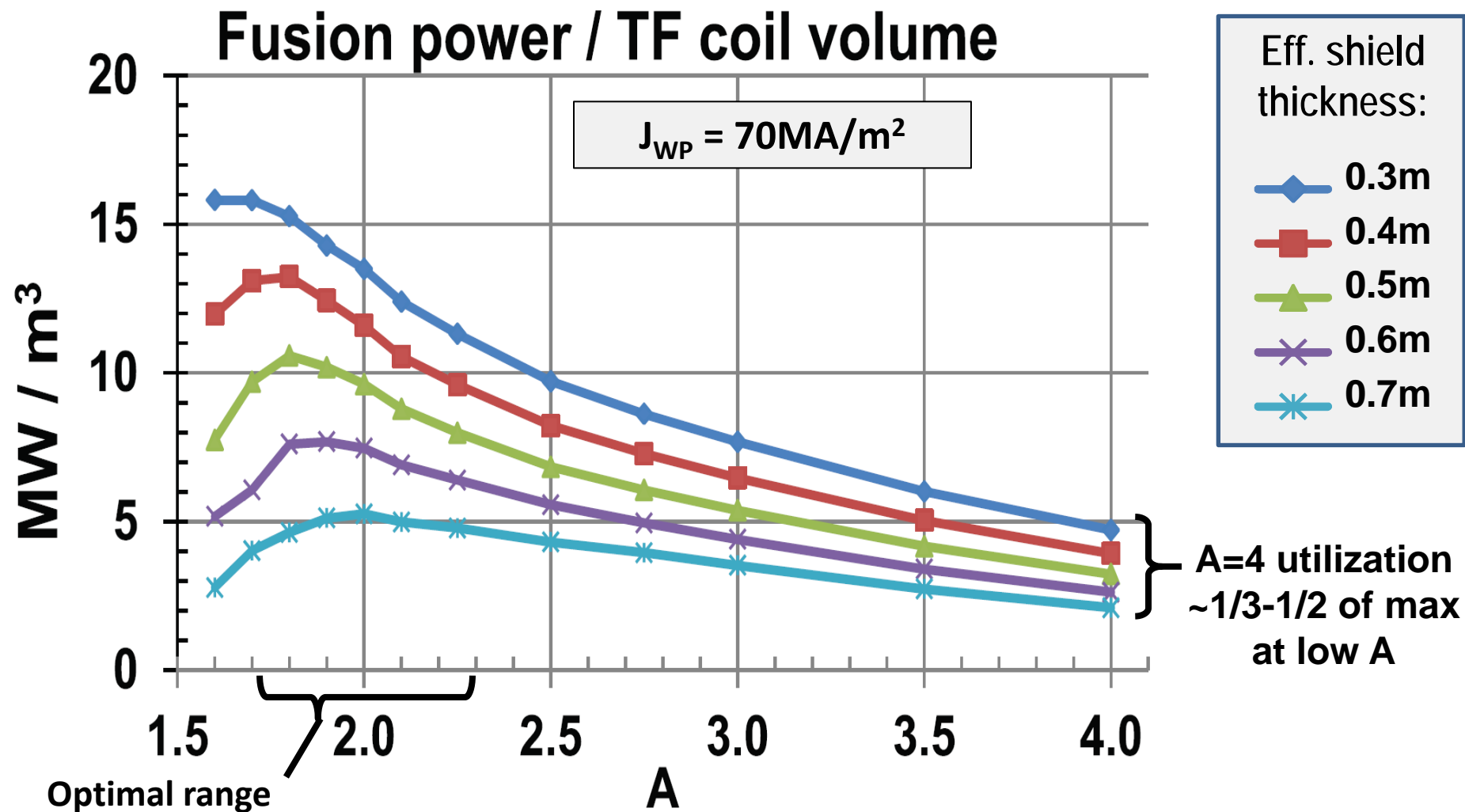
- ITER-like TF magnets:
 - $J_{\text{WP}}=20\text{MA/m}^2$, $B_{\text{max}} \leq 12\text{T}$
 - $P_{\text{fusion}} \leq 130\text{MW}$, $P_{\text{net}} < -90\text{MW}$
- $J_{\text{WP}} \sim 30\text{MA/m}^2$, $B_{\text{max}} \leq 19\text{T}$
 - $P_{\text{fusion}} \sim 400\text{MW}$
 - Small P_{net} at $A=2.2\text{-}3.5$
- $J_{\text{WP}} \geq 70\text{MA/m}^2$, $B_{\text{max}} \leq 19\text{T}$
 - $P_{\text{fusion}} \sim 500\text{-}600\text{MW}$
 - $P_{\text{net}} = 80\text{-}100\text{MW}$ at $A=1.9\text{-}2.3$



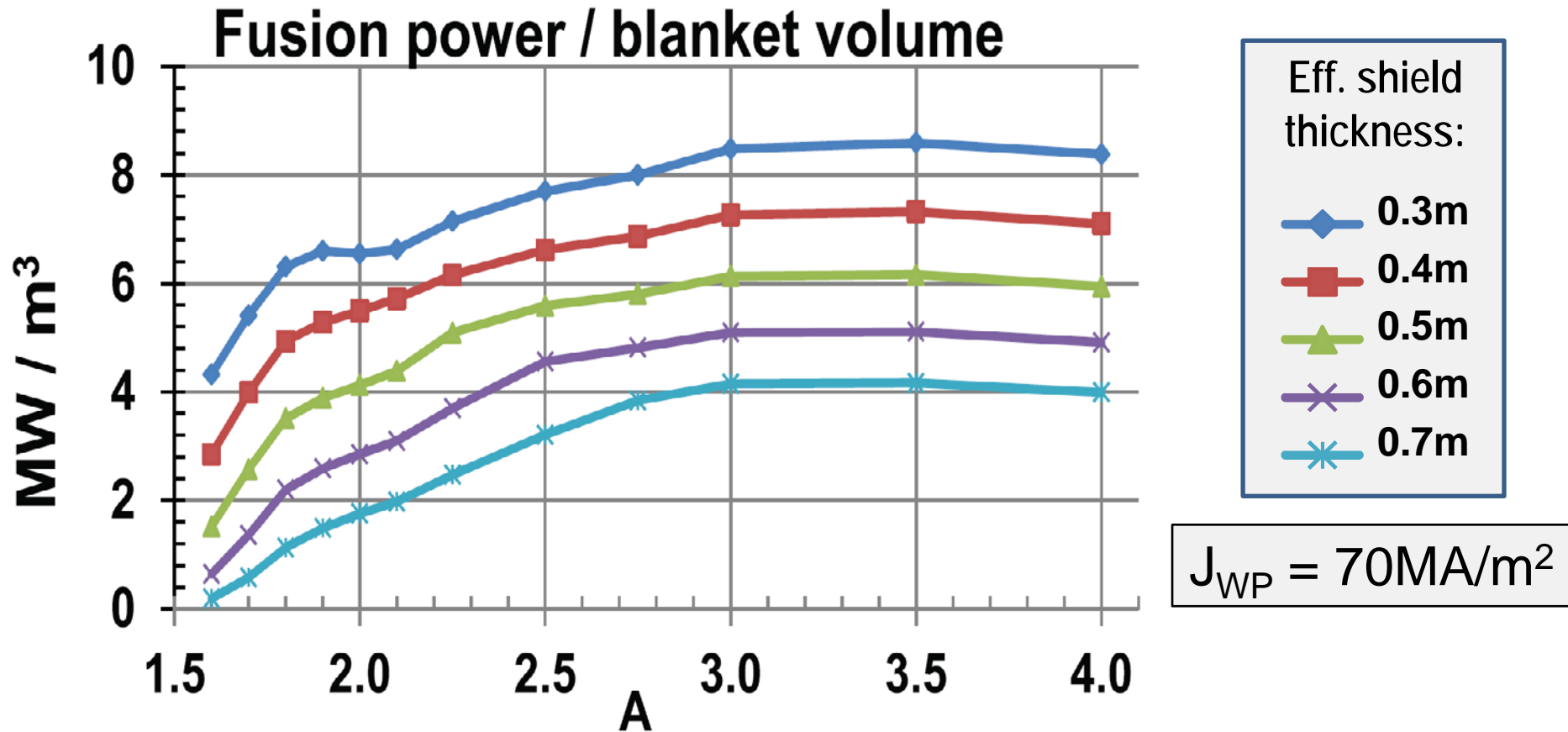
$A \sim 2$ attractive at high J_{WP}



$A = 1.8-2.3$ maximizes TF magnet utilization, and TF will be significant fraction of core cost

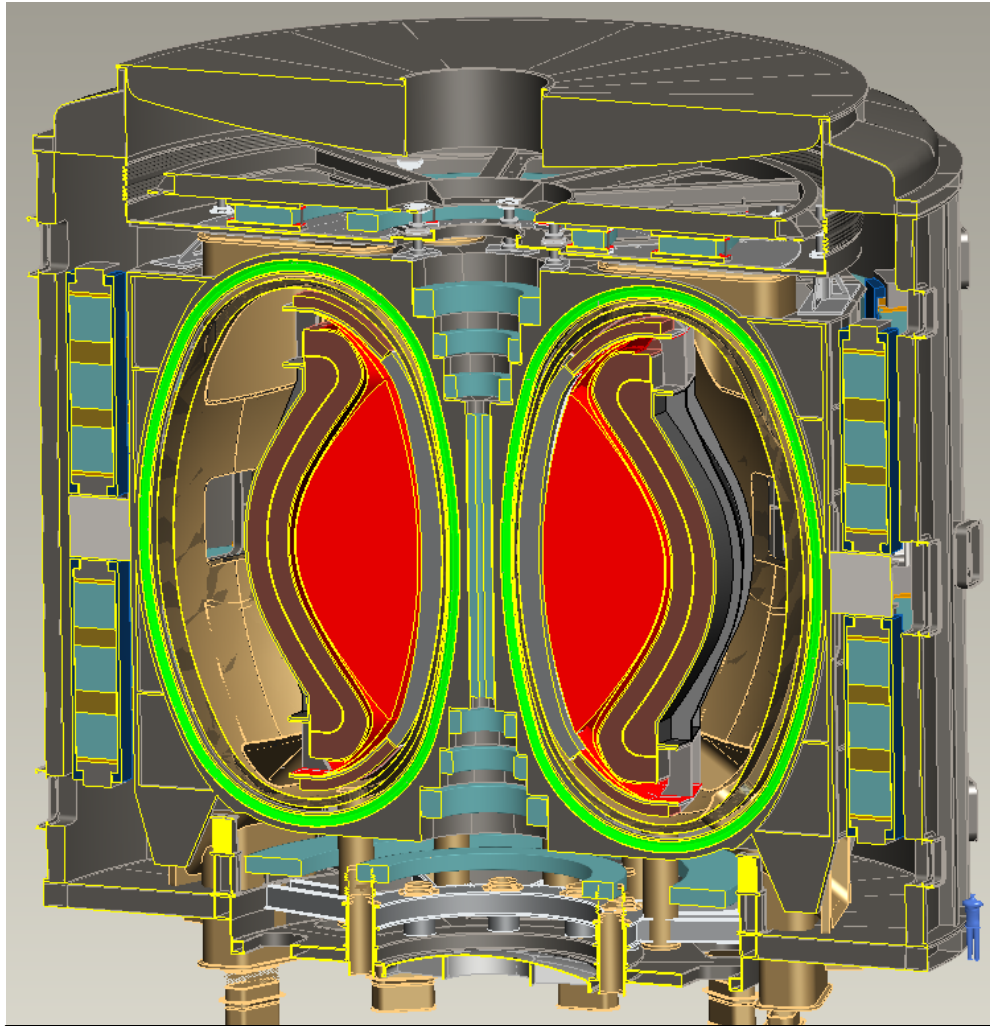


$A \geq 3$ maximizes blanket volume utilization



Which components - magnets, blankets, ... dominate cost ?
Costing of these (never-been-built) objects has large uncertainty

A=2, $R_0 = 3\text{m}$ HTS-TF FNSF / Pilot Plant



Cryostat volume ~ 1/3 of ITER

$B_T = 4\text{T}$, $I_p = 12.5\text{MA}$

$\kappa = 2.5$, $\delta = 0.55$

$\beta_N = 4.2$, $\beta_T = 9\%$

$H_{98} = 1.8$, $H_{\text{Petty-08}} = 1.3$

$f_{\text{gw}} = 0.80$, $f_{\text{BS}} = 0.76$

Startup I_p (OH) ~ 2MA

$J_{\text{WP}} = 70\text{MA/m}^2$

$B_{T\text{-max}} = 17.5\text{T}$

No joints in TF

Vertical maintenance

$P_{\text{fusion}} = 520\text{ MW}$

$P_{\text{NBI}} = 50\text{ MW}$, $E_{\text{NBI}} = 0.5\text{MeV}$

$Q_{\text{DT}} = 10.4$

$Q_{\text{eng}} = 1.35$

$P_{\text{net}} = 73\text{ MW}$

$\langle W_n \rangle = 1.3\text{ MW/m}^2$

Peak n-flux = 2.4 MW/m^2

Peak n-fluence = 7 MWy/m^2

Why explore spherical torus/tokamak?

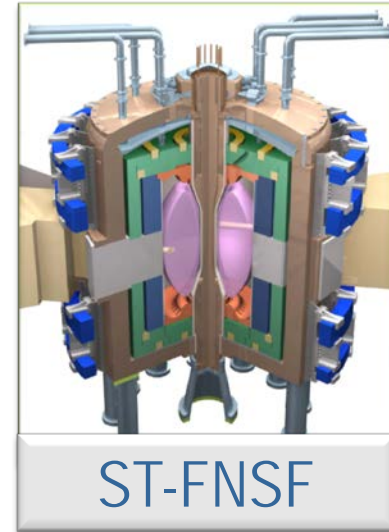
- Potentially attractive for electricity production
 - Requires accompanying magnet innovations
- High neutron wall loading in small device
 - Well suited for fusion nuclear component R&D
- Improve toroidal physics predictive capability
 - High β and high temperature at low collisionality
 - Understand confinement, fast-ion physics for ITER

Fusion technology development is major challenge

Fusion Nuclear Science Facility (FNSF) could aid development

Need to develop reliable and qualified nuclear components unique to fusion:

- Divertor and plasma facing components
- Blanket and first wall
- Vacuum vessel and shielding
- Tritium fuel cycle
- Remote maintenance



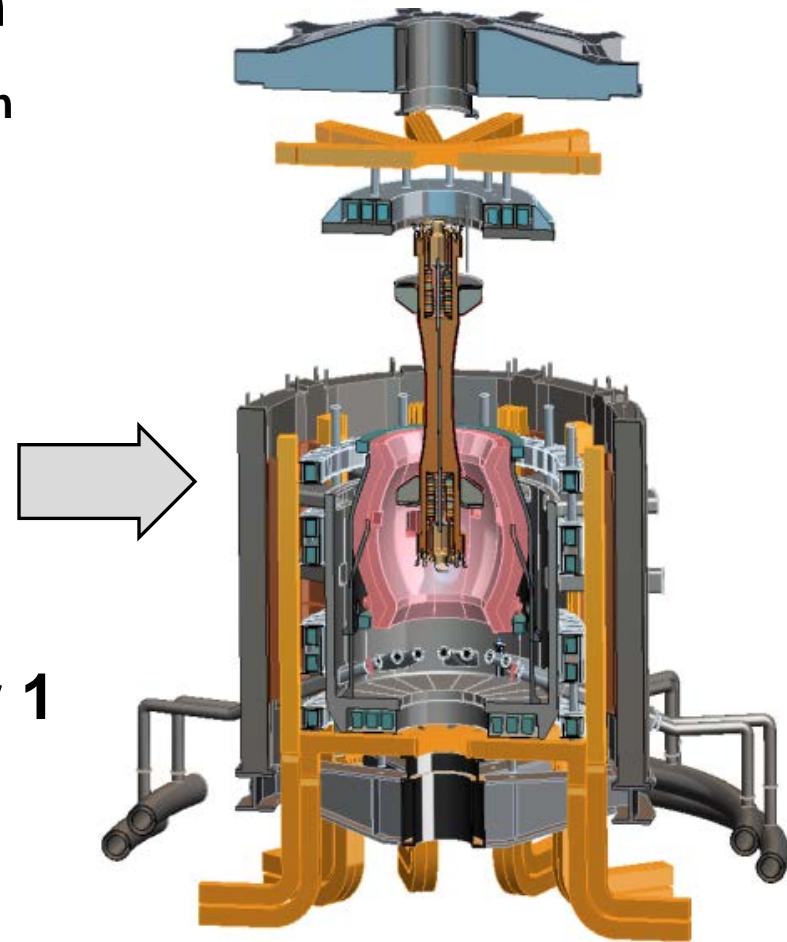
Y.-K.M. Peng (ORNL)

- **Without R&D, fusion components could fail prematurely, requiring long repair/down time.**
- This would cripple power plant operation
- FNSF can help develop reliable fusion components
- Such FNSF facilities must be: **modest cost, low T, reliable**

Design studies show ST potentially attractive as FNSF

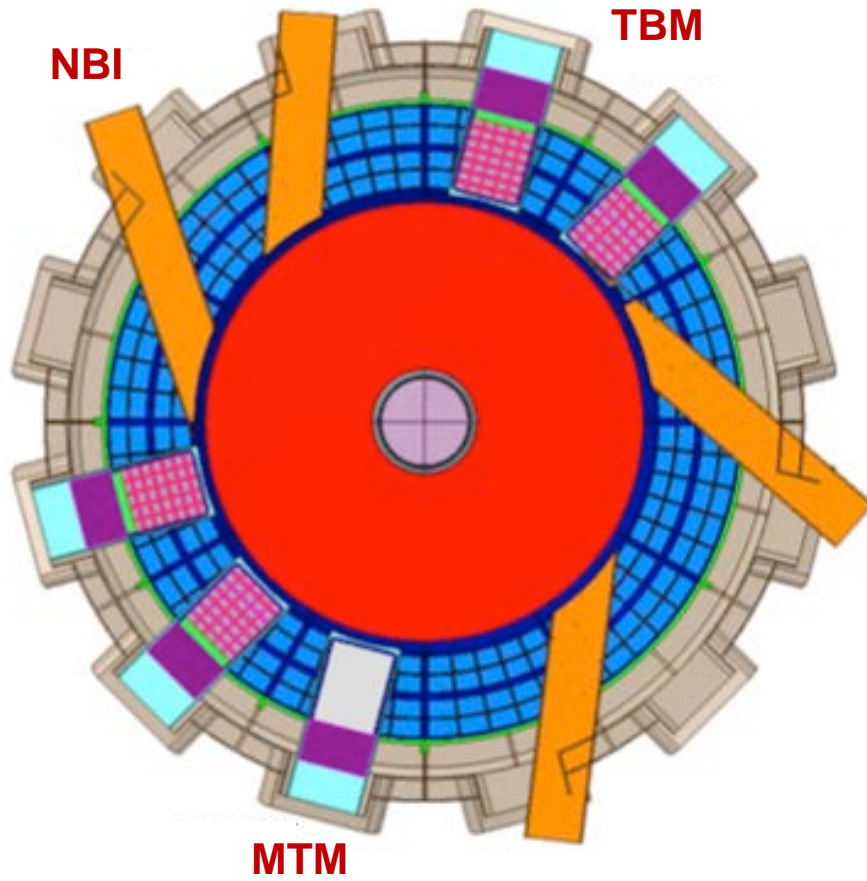
- Projected to access high neutron wall loading at moderate R, P_{fusion}
 - $W_n \sim 1\text{-}2 \text{ MW/m}^2$
 - $P_{\text{fus}} \sim 50\text{-}200\text{MW}$
 - $R \sim 0.8\text{-}1.8\text{m}$
- Modular design, maintenance
- Tritium breeding ratio (TBR) near 1
 - Requires sufficiently large R
 - Careful layout / design

PPPL ST-FNSF concept



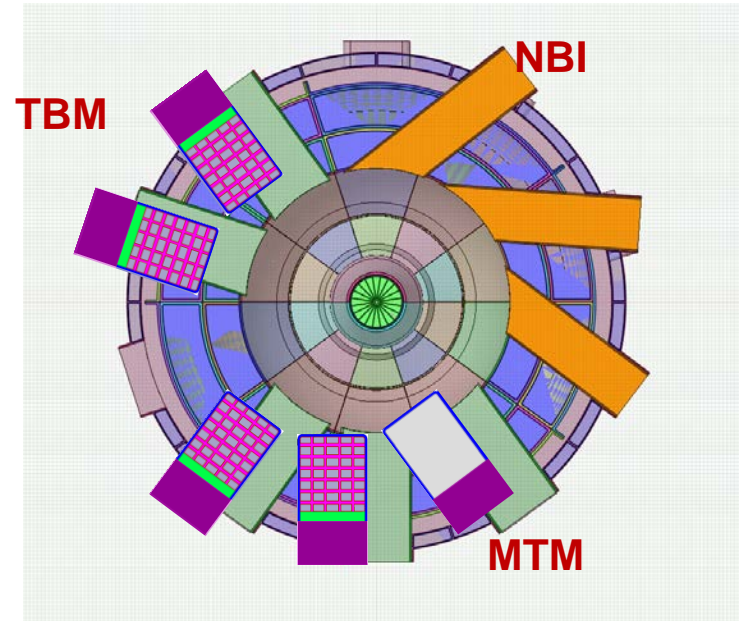
$R \geq 1.7\text{m}$ necessary for net breeding at $A=1.7$

$R=1.7\text{m}$: **TBR ≥ 1**



TBM = Test Blanket Module
MTM = Materials Testing Module

$R=1.0\text{m}$: **TBR < 1 (≈ 0.9)**

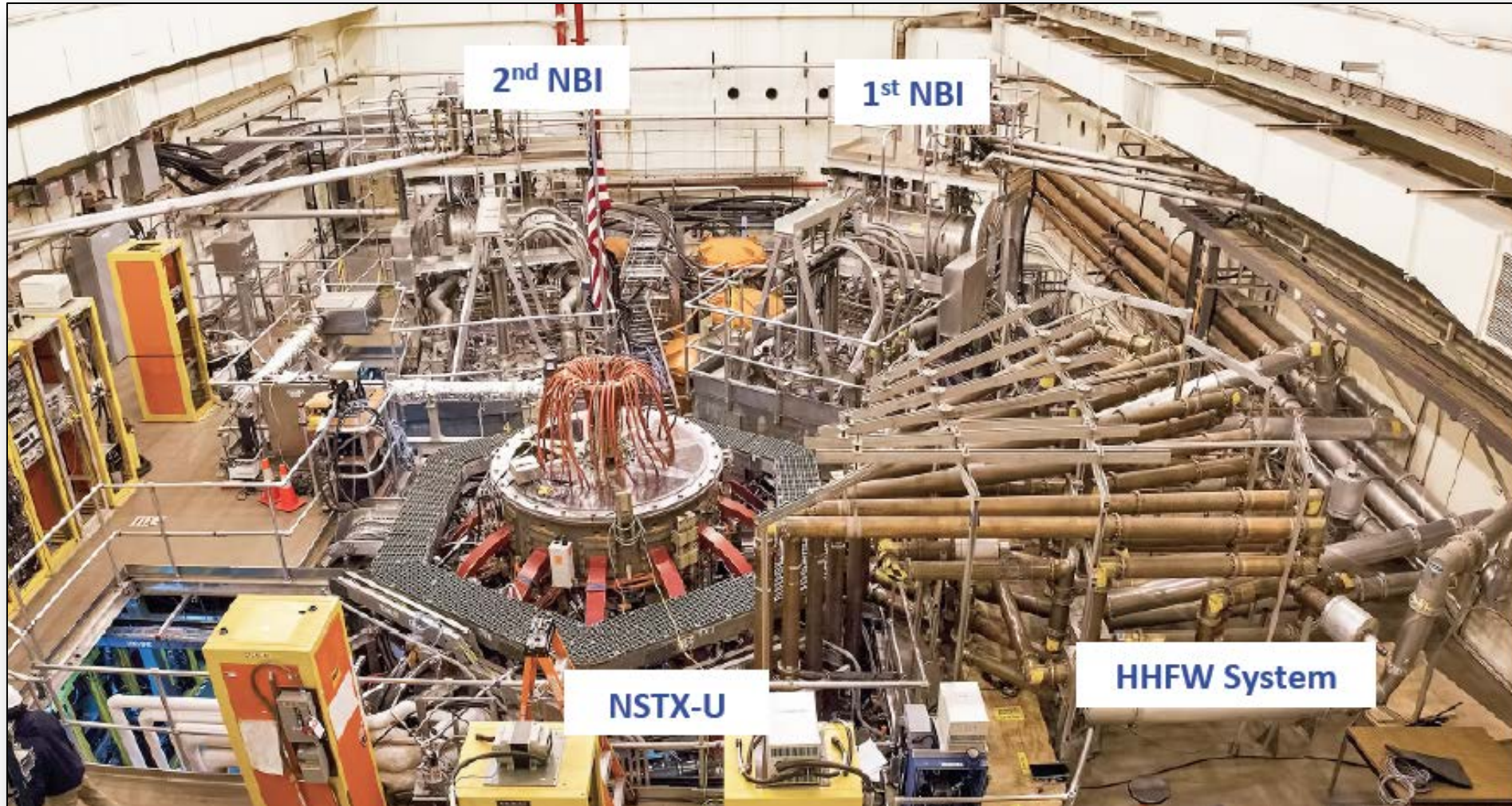


- Need to purchase Tritium from outside sources:
– \$12-55M / full power year (FPY)

Why explore spherical torus/tokamak?

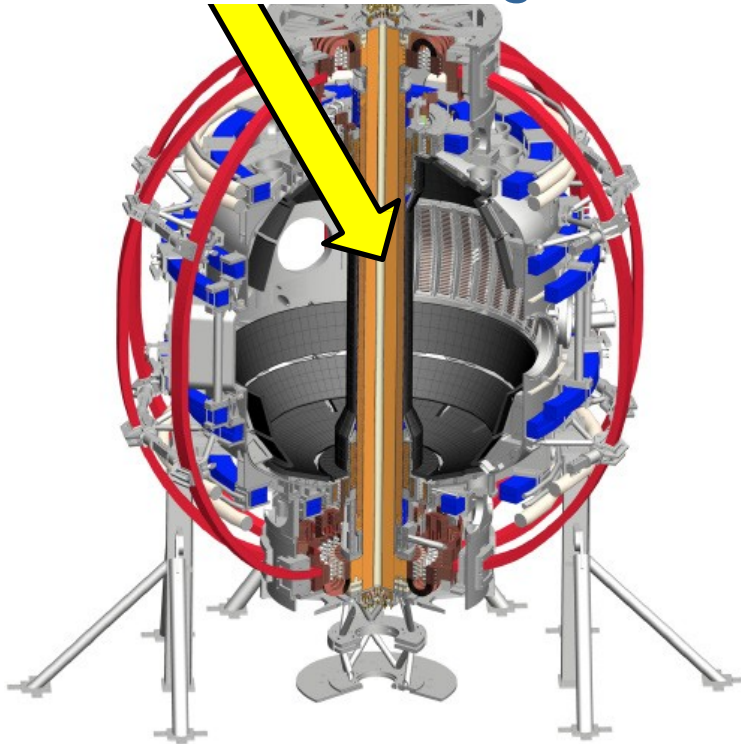
- Potentially attractive for electricity production
 - Requires accompanying magnet innovations
- High neutron wall loading in small device
 - Well suited for fusion nuclear component R&D
- Improve toroidal physics predictive capability
 - High β and high temperature at low collisionality
 - Understand confinement, fast-ion physics for ITER

NSTX Upgrade Device and Test Cell – Aerial View



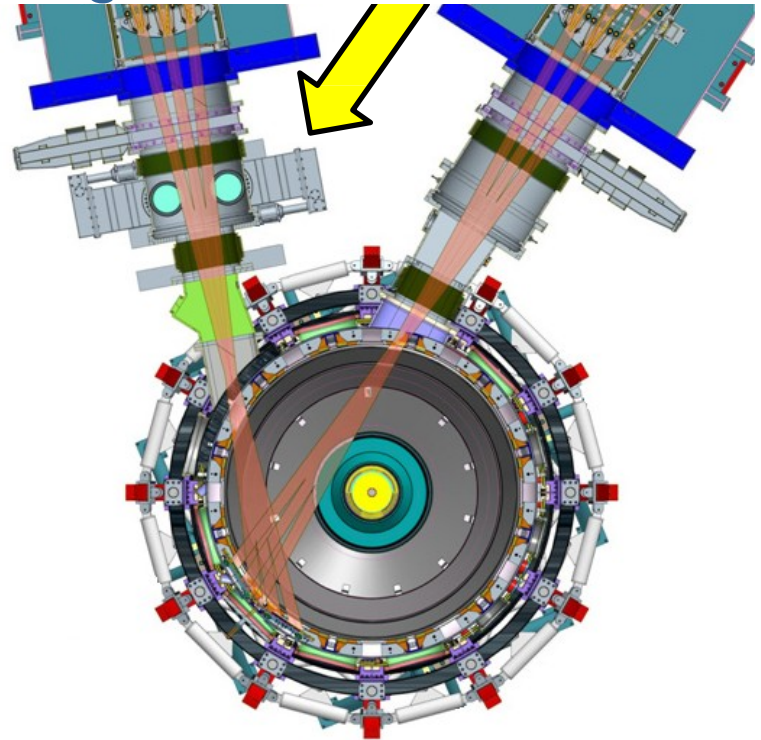
NSTX Upgrade will access new physics with 2 major new tools:

1. New Central Magnet



Higher T, low v^* from low to high β
→ Unique regime, study new transport and stability physics

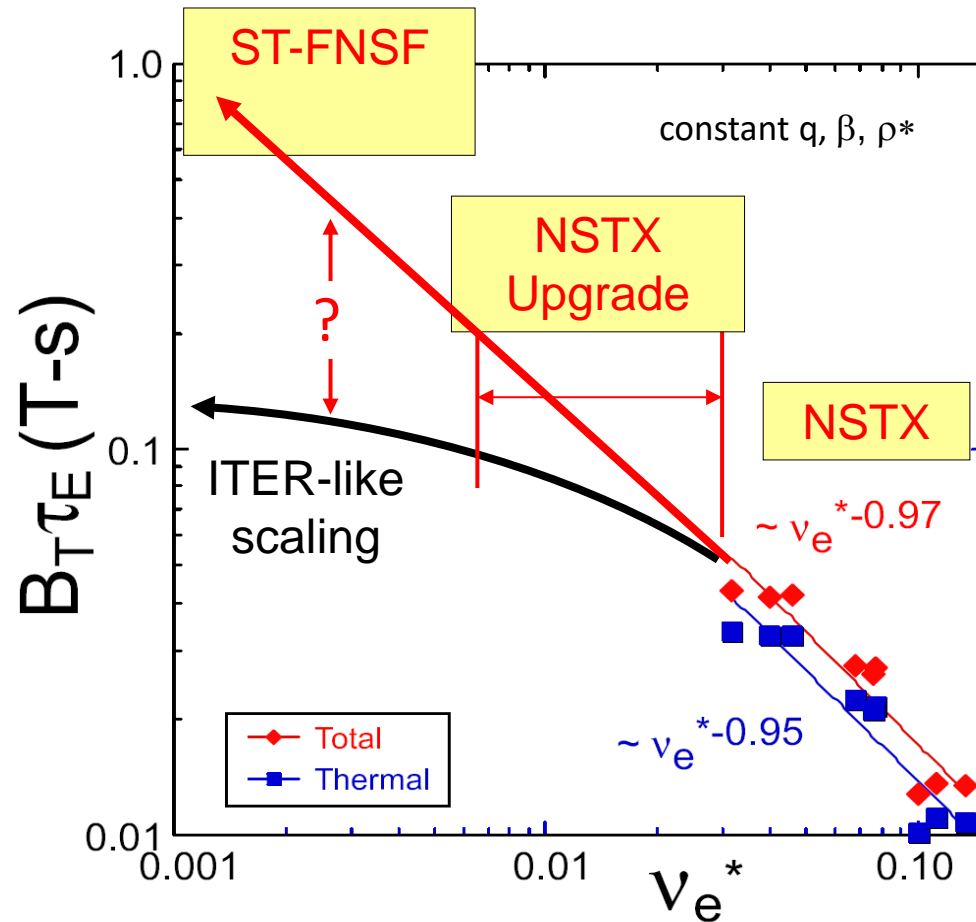
2. Tangential 2nd Neutral Beam



Full non-inductive current drive
→ Not demonstrated in ST at high- β_T
Essential for any future steady-state ST

NSTX / MAST confinement increased at higher T_e (!)

Will confinement trend continue, or look like conventional A?



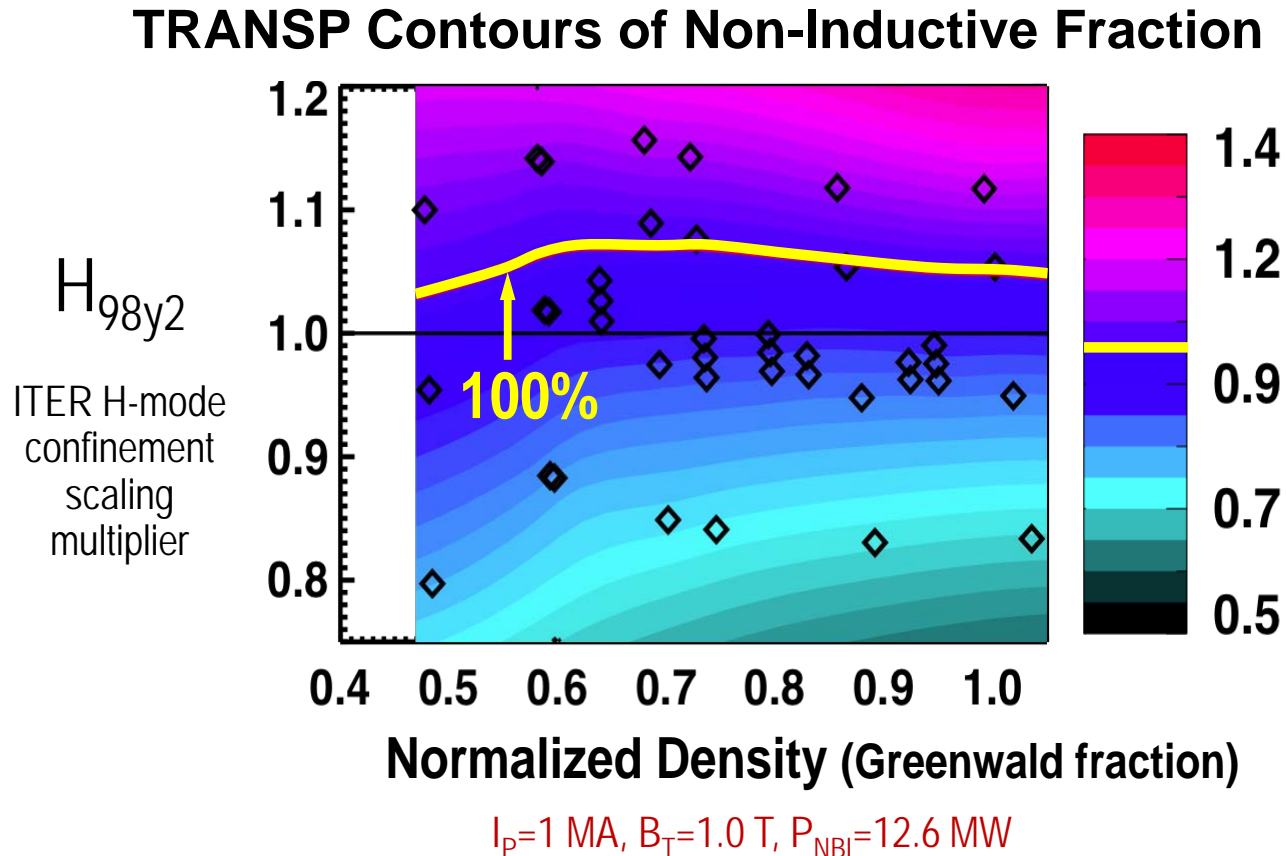
Favorable confinement results could lead to more compact ST reactors

Normalized electron collisionality $\nu_e^* \propto n_e / T_e^2$

Low $\nu^* \rightarrow$ need higher plasma current, toroidal field, heating power, density control

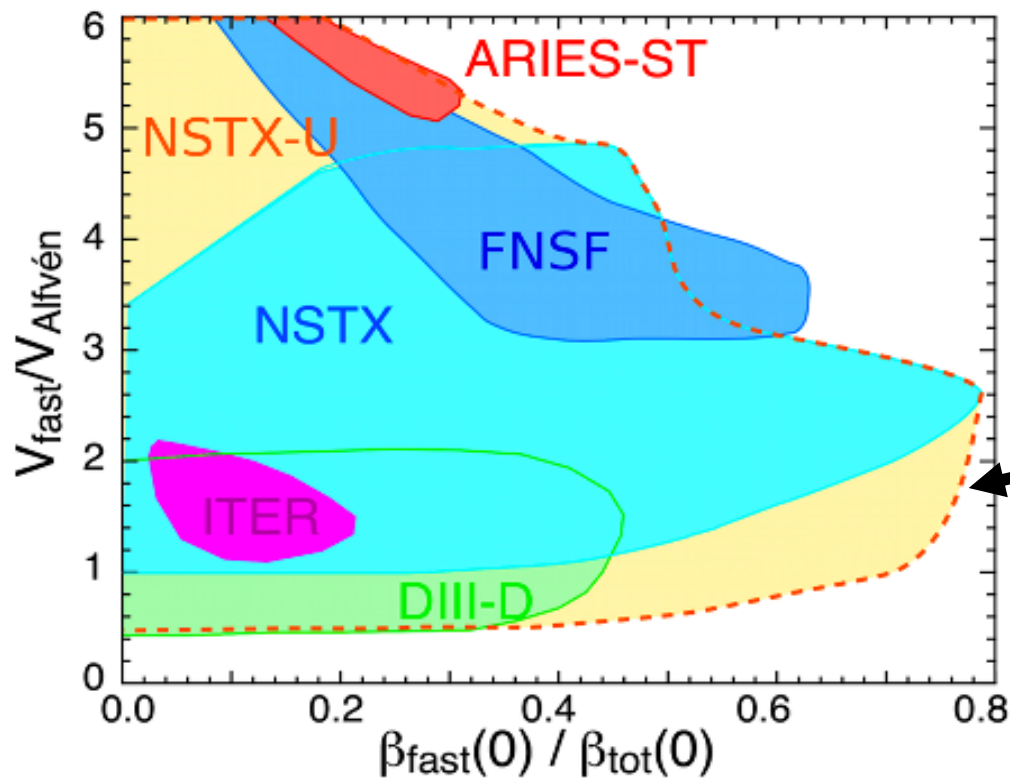
NSTX achieved 70% “transformer-less” current drive

Will NSTX-U achieve 100% as predicted by simulations?



Steady-state operation required for ST, tokamak, or stellarator FNSF

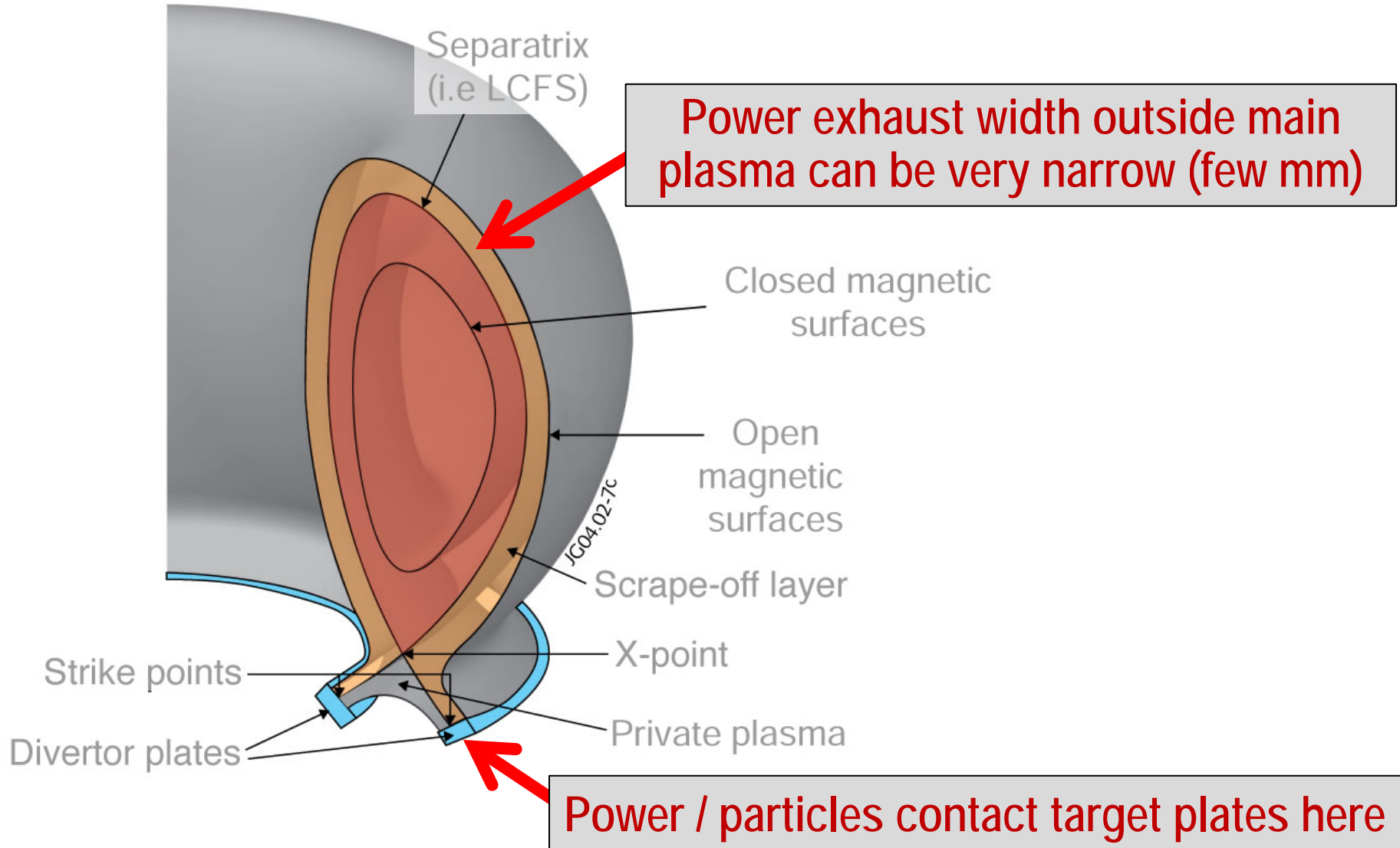
NBI-heated STs excellent testbed for α -particle physics



- NSTX-U: large fast-ion dynamic range spanning ST and conventional A
 - **Toroidal field 2× NSTX** → $V_{\text{fast}} < V_A \rightarrow$ stabilize modes
 - **Tangential 2nd NBI** → very flexible fast-ion distribution
 - Vary pitch angle, pressure profile

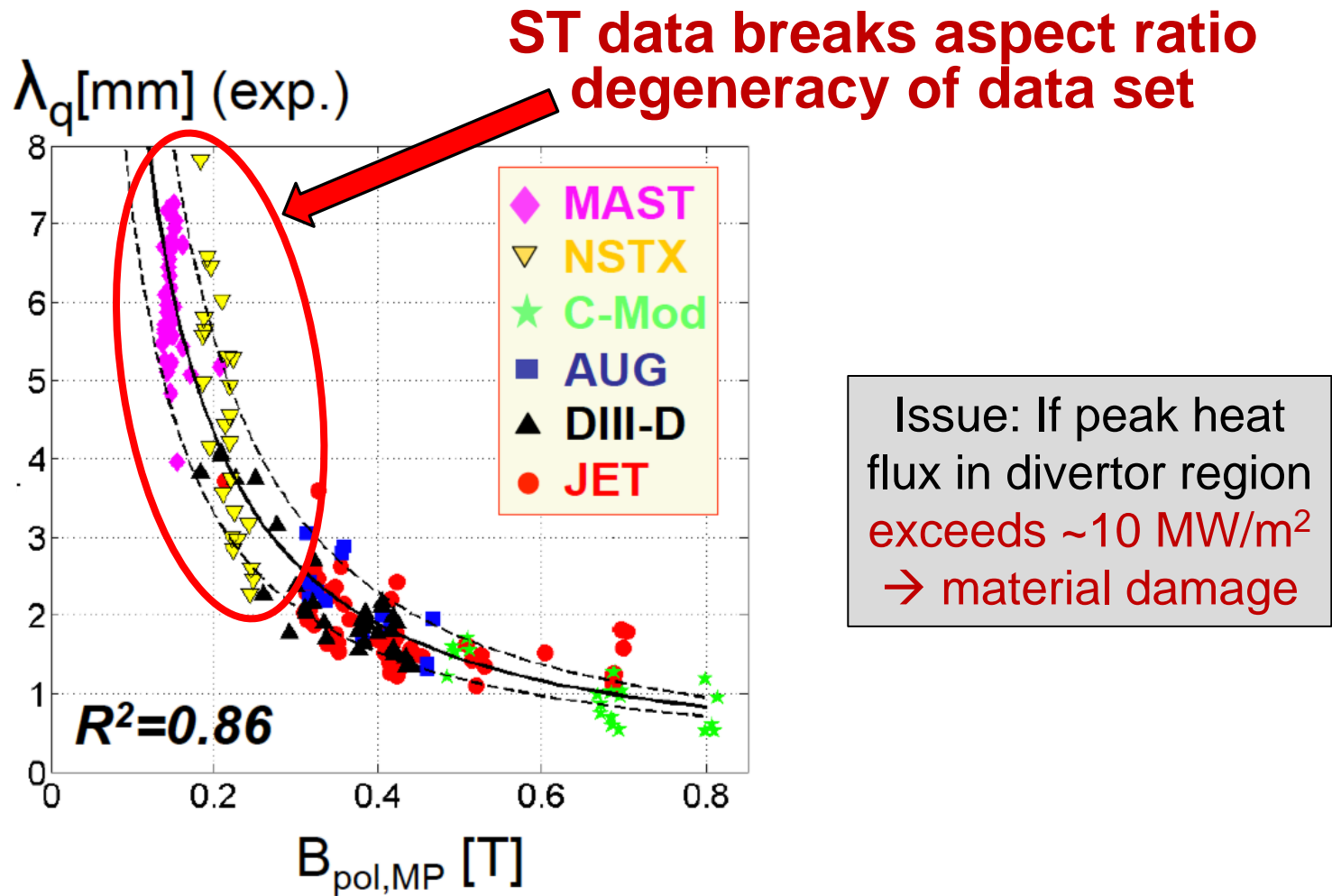
- Can we find TAE-quiescent, high-performance regimes in NSTX-U?
- And predict fast-ion confinement for ITER scenarios?

All modern tokamaks / STs use a “divertor” to control where power and particles are exhausted



Tokamak + ST data: power exhaust width varies as $1 / B_{\text{poloidal}}$

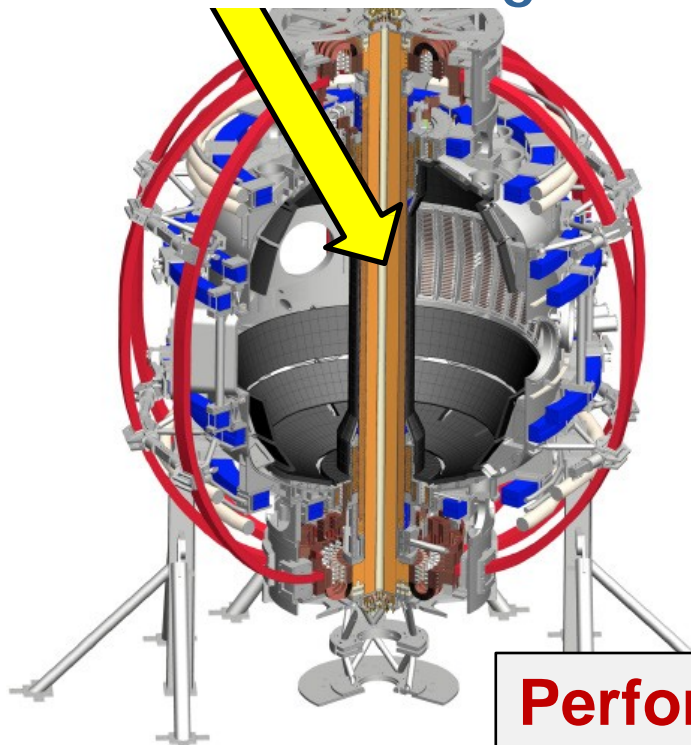
Will previous ST trend continue at $2 \times I_P$, B_P , B_T , power?



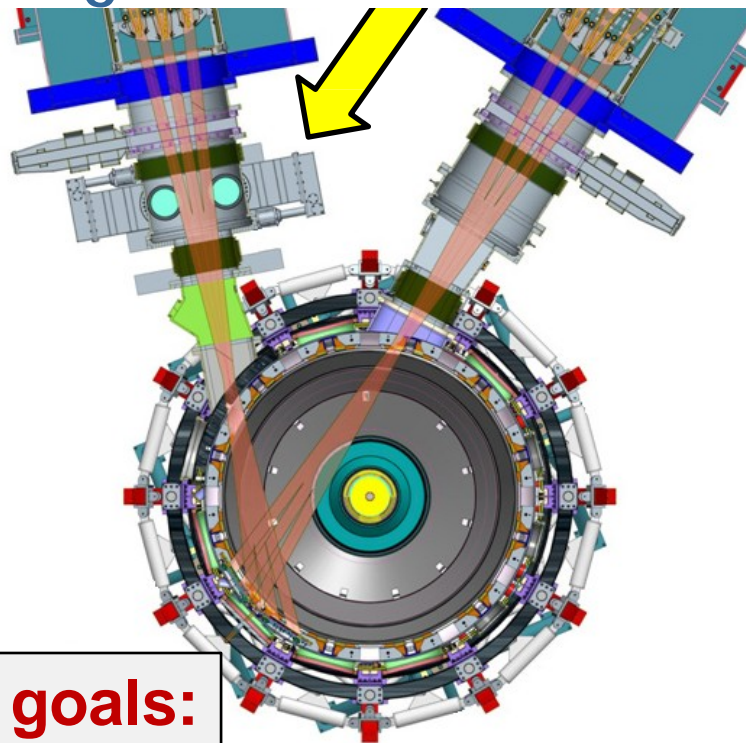
Wider heat-flux width may offset smaller R \rightarrow maybe better than tokamak

NSTX-U will have major boost in performance

1. New Central Magnet



2. Tangential 2nd Neutral Beam



Performance goals:

- 2× toroidal field ($0.5 \rightarrow 1\text{T}$)
- 2× plasma current ($1 \rightarrow 2\text{MA}$)
- 5× longer pulse ($1 \rightarrow 5\text{s}$)

- 2× heating power ($5 \rightarrow 10\text{MW}$)
 - Tangential NBI \rightarrow 2× current drive efficiency
- 4× divertor heat flux (\rightarrow ITER levels)
- Up to 10× higher $nT\tau_E$ (\sim MJ plasmas)

Outline

- Introduction
- Why spherical tori / tokamaks (STs)
- NSTX-U initial results, long-term directions
- Summary

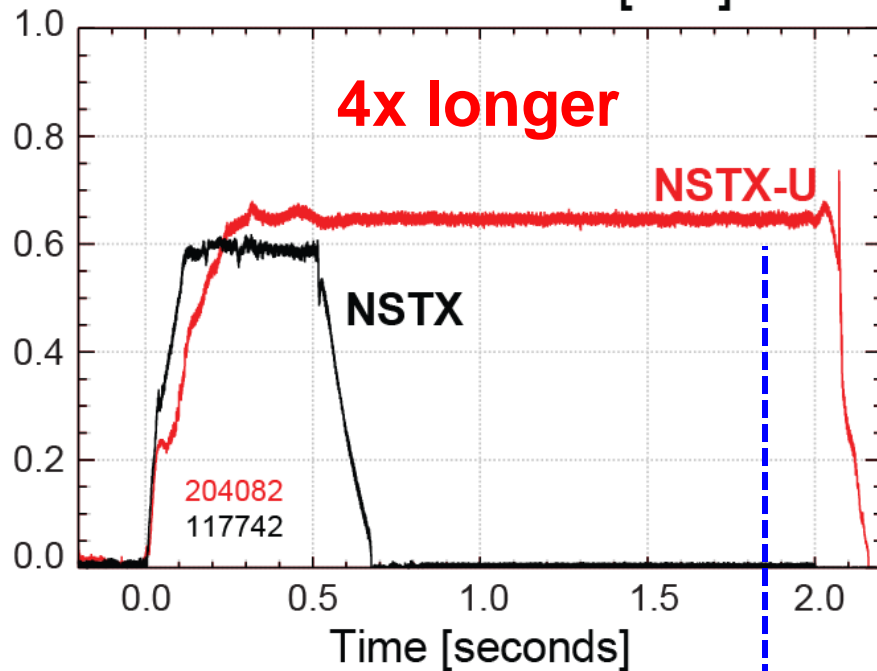
NSTX-U had scientifically productive 1st year

- Achieved H-mode on 8th day of 10 weeks of operation
 - Surpassed magnetic field and pulse-duration of NSTX
 - Matched best NSTX H-mode performance at ~1MA
 - Identified and corrected dominant error fields
 - Commissioned all magnetic and kinetic profile diagnostics
 - Injected up to 12MW NBI power into armor by end of run
 - Discovered new 2nd NBI modifies several fast-ion modes
 - Implemented techniques for controlled plasma shut down, disruption detection, commissioned new tools for mitigation
-
- 2016 run ended prematurely due to fault in divertor PF coil
 - Coil forensics, Extent of Condition → new coil fab, other repairs
 - Aim to resume plasma operation during 2018 – but timing still TBD

NSTX-U has surpassed maximum pulse duration and magnetic field of NSTX

Compare similar **NSTX** / **NSTX-U** Boronized L-modes, $P_{\text{NBI}}=1\text{MW}$

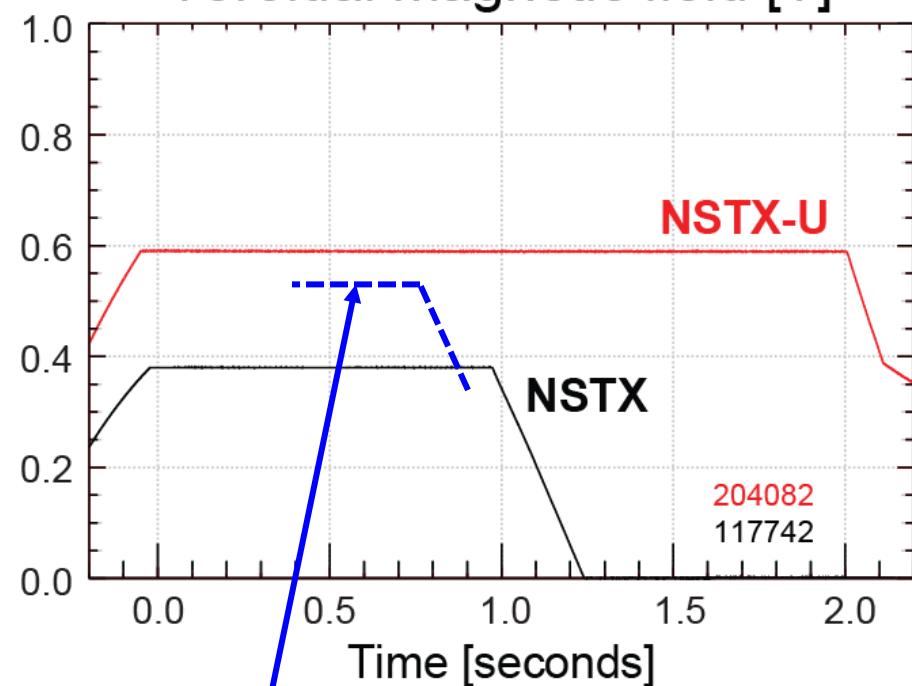
Plasma current [MA]



NSTX-U L-mode duration exceeds longest NSTX H-mode

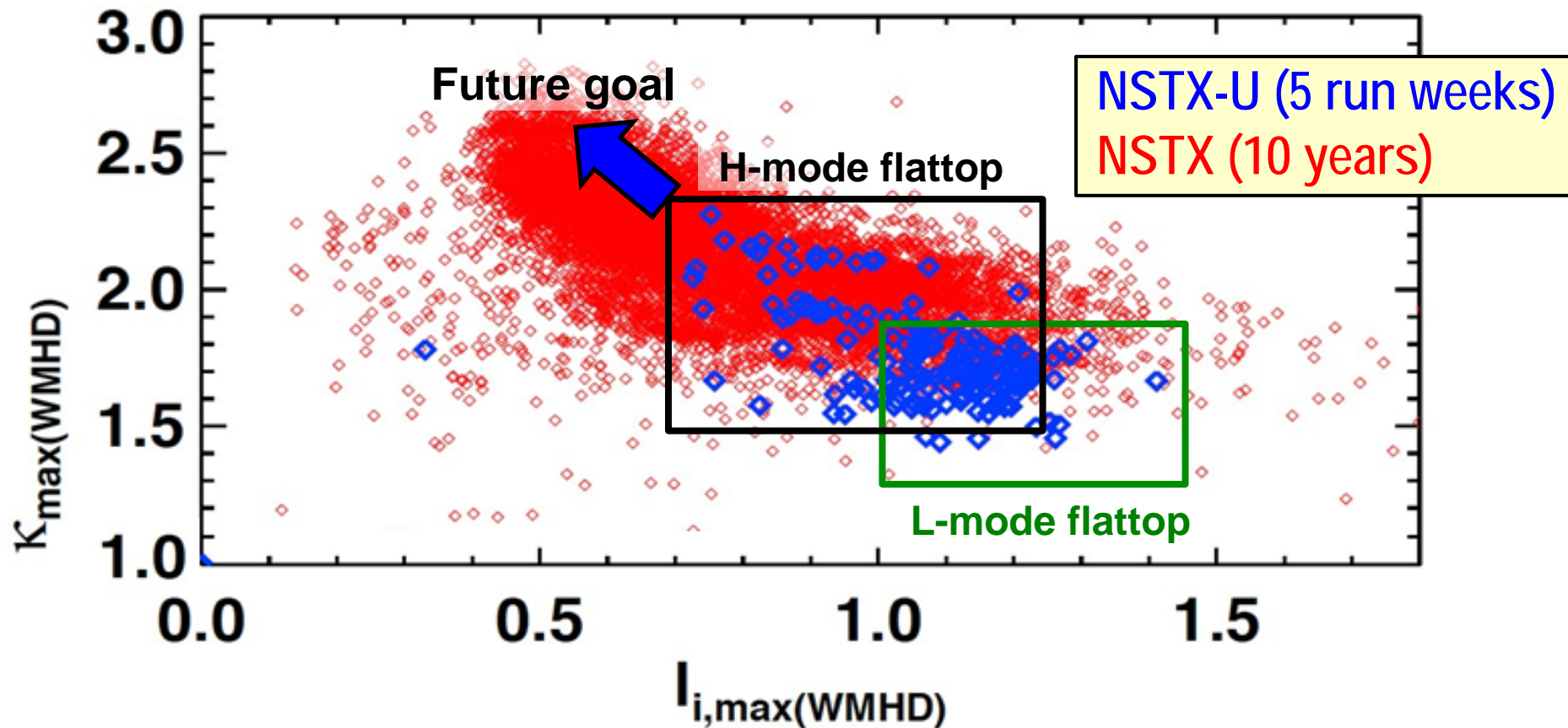


Toroidal magnetic field [T]



NSTX-U B_T > highest NSTX B_T

Accessed high elongation κ using progressively earlier H-mode and heating + optimized EFC

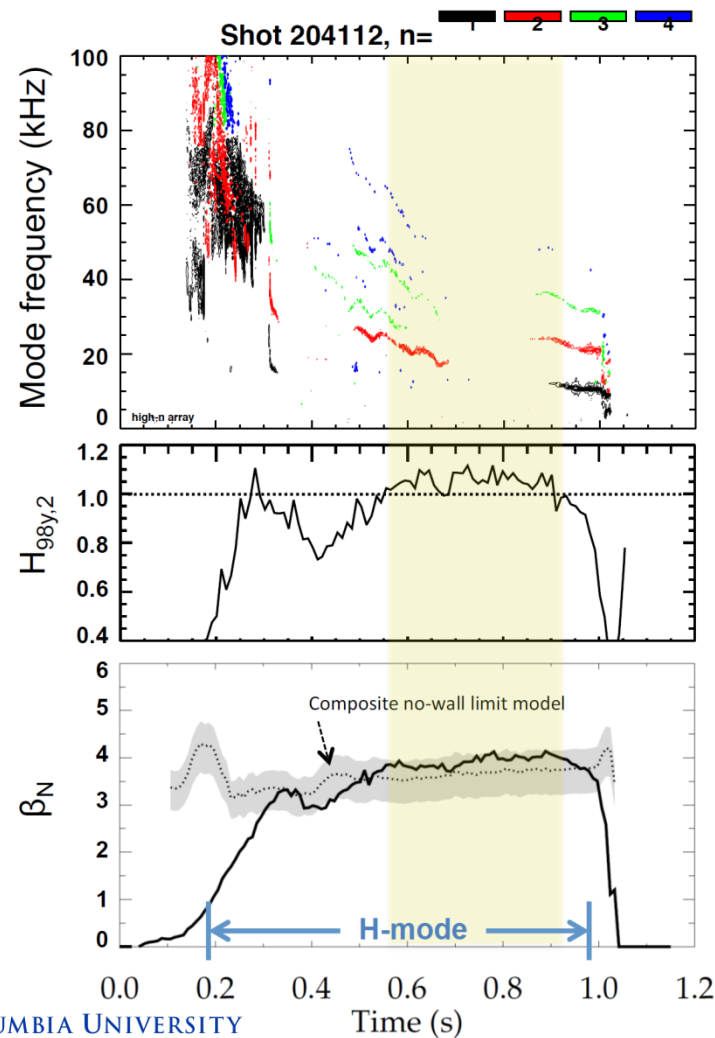
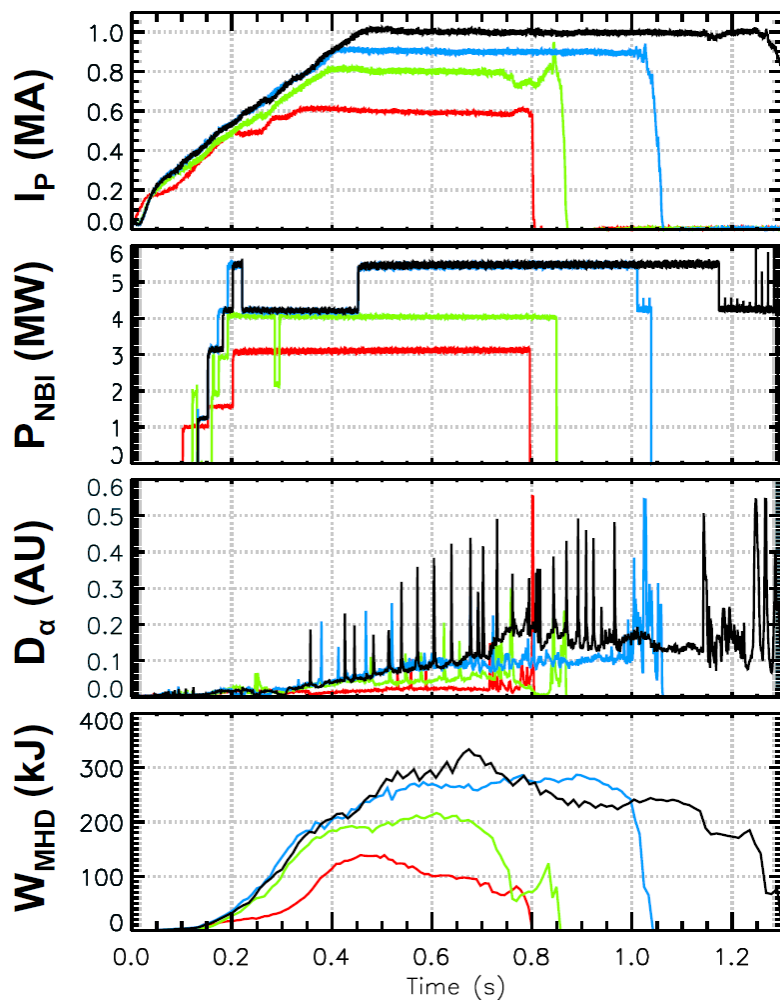


- Goal: Internal inductance $I_i = 0.5-0.7 \rightarrow \kappa = 2.4-2.7$

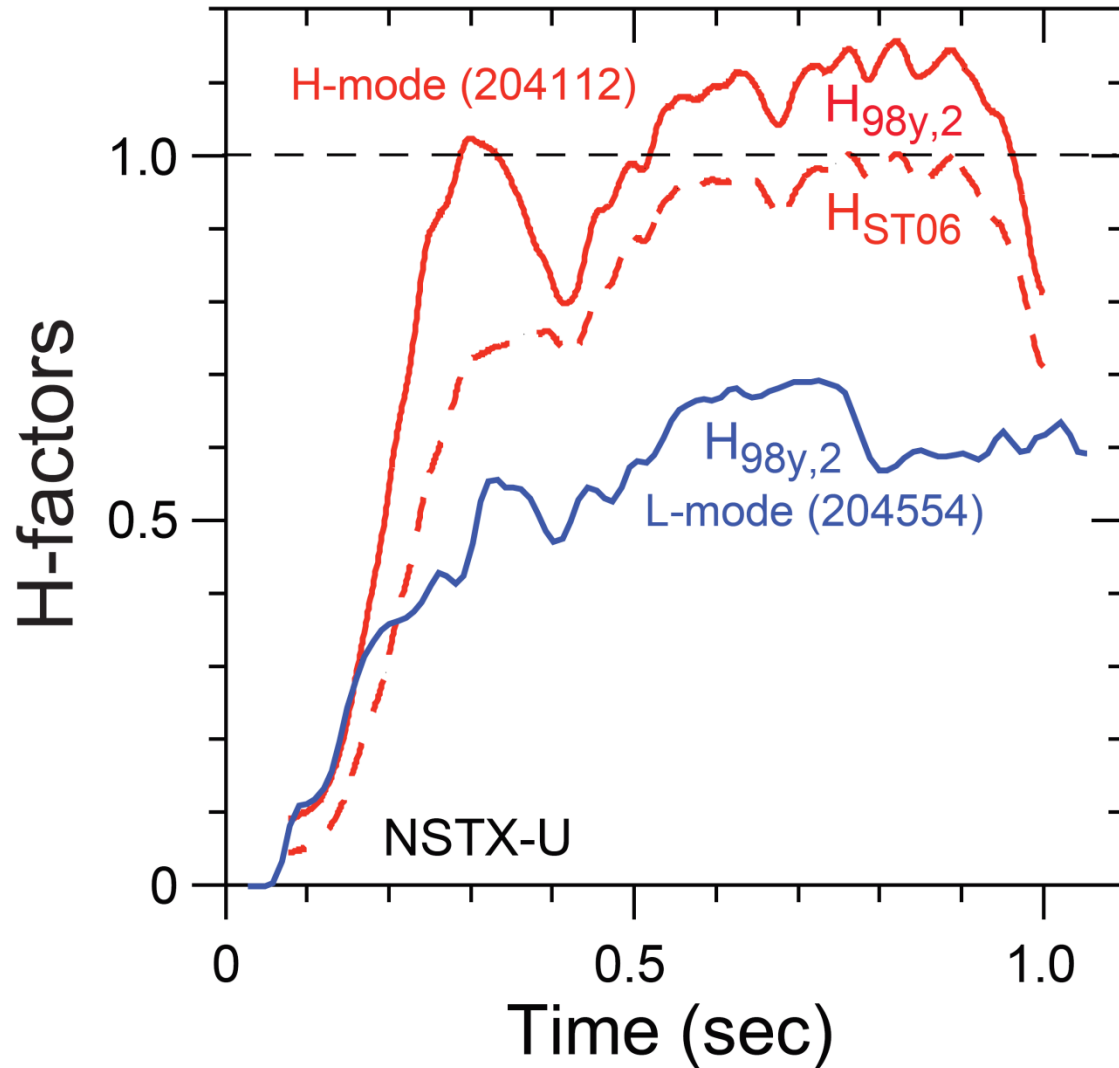
Recovered ~1MA H-modes with performance comparable to best NSTX plasmas at similar current

202946 – no EFC 204112 – EFC v2
203679 – EFC v1 204118 – EFC v2

$H_{98} \geq 1$, $\beta_N \sim 3.5-4 \geq n=1$ no-wall limit

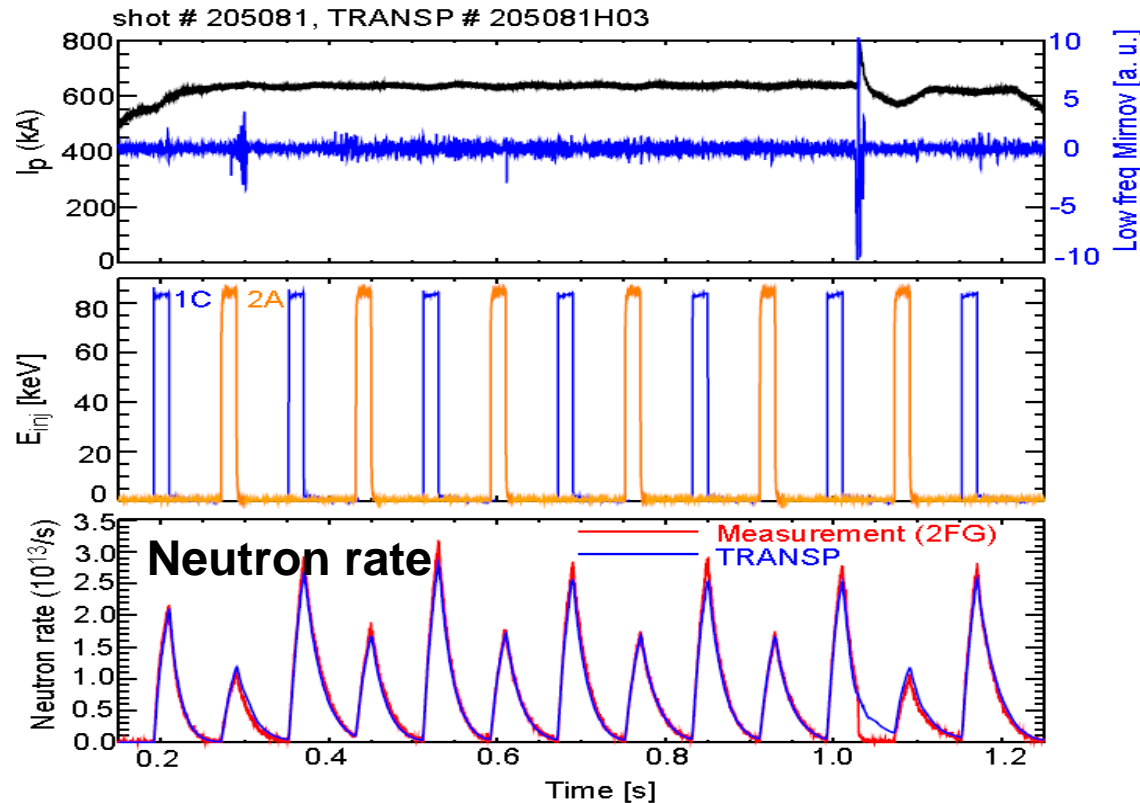


H-mode confinement > ITER scaling, consistent with ST scaling (so far) – need higher I_P , B_T to test

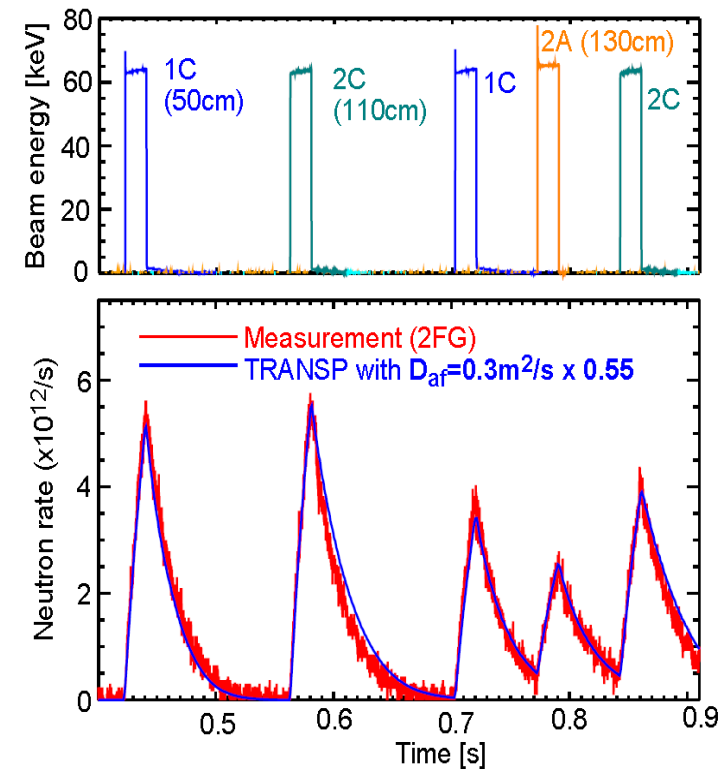


Fast-ion confinement measured to be at / near predicted values at low total NBI power $\sim 1\text{-}2\text{MW}$

$E_{\text{NBI}} = 85\text{keV}$



$E_{\text{NBI}} = 65\text{keV}$

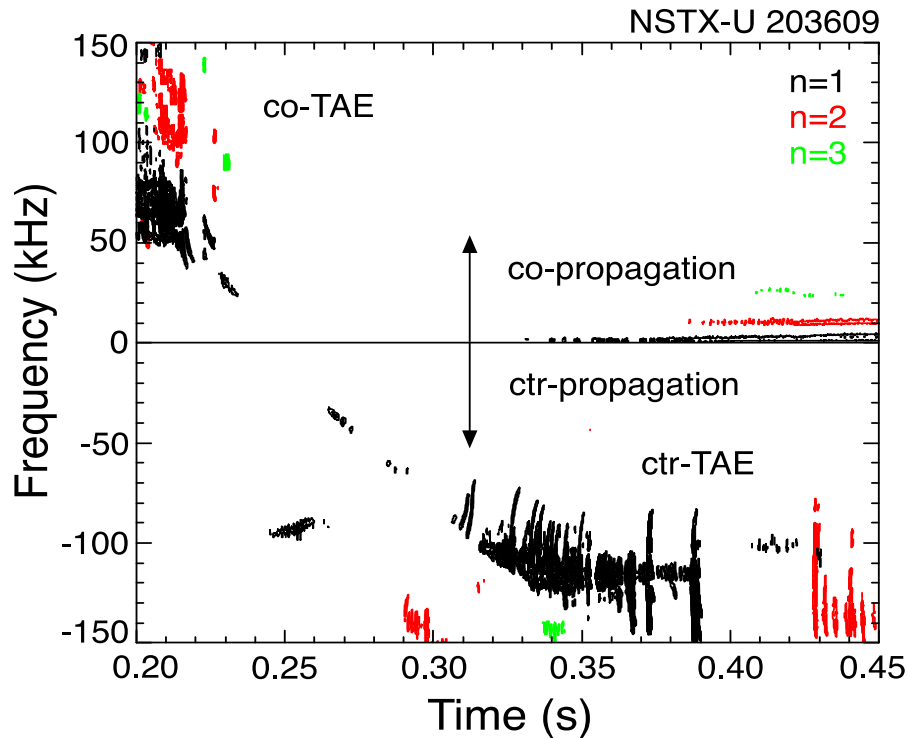


- Good agreement between **neutron measurement** and **TRANSP prediction**

- Need small anomalous fast ion diffusivity ($D_{\text{af}}=0.3\text{m}^2/\text{s}$) for agreement



New: Most tangential NBI generates counter-propagating Toroidal Alfvén Eigenmodes (TAEs)

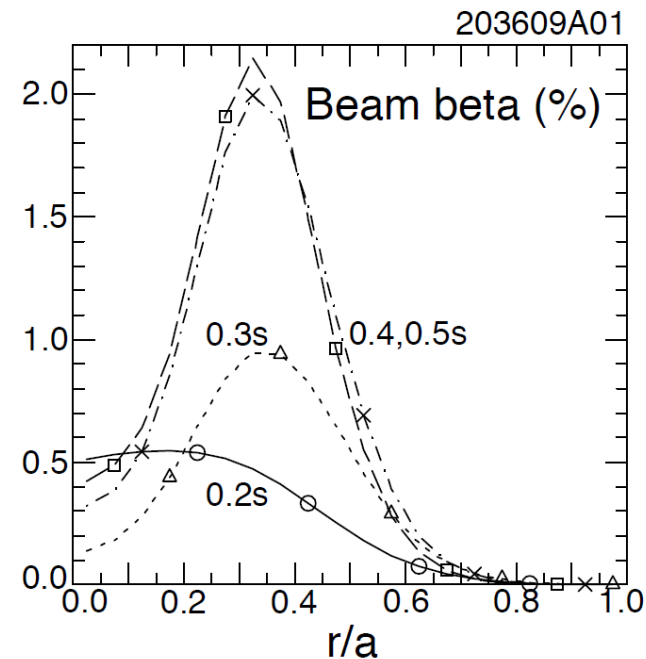
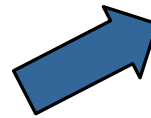


- Counter-propagating TAE predicted for **hollow** fast-ion profiles

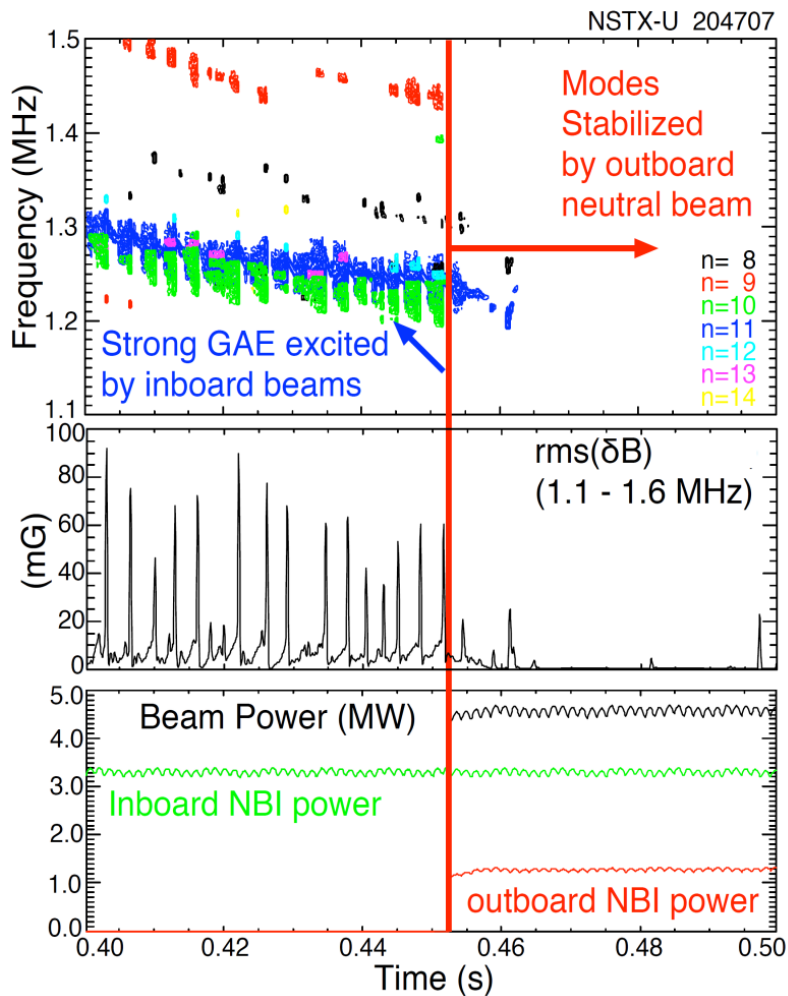
*H.V. Wong, H. Berk, Phys. Lett. A **251** (1999) 126.*

- TRANSP: As current builds up beam fast-ion beta profile predicted to become hollow

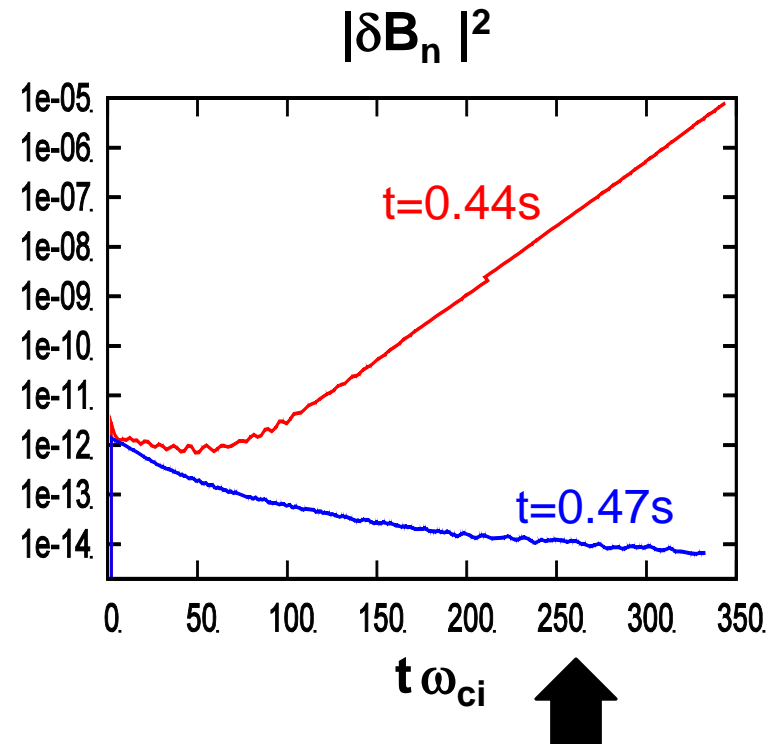
- 1st evidence of off-axis NBI in NSTX-U**



New: Tangential 2nd neutral beam suppresses Global Alfvén Eigenmode (GAE) – consistent with simulation



HYM code simulation of #204707, $n=10$



- HYM code: growth of $n=10$ counter-GAE from 1st NBI
- HYM: suppression of $n=10$ counter-GAE by 2nd NBI
- Most unstable n -number, mode ω consistent with HYM

New 2nd NBI already powerful tool for fast-ion mode physics

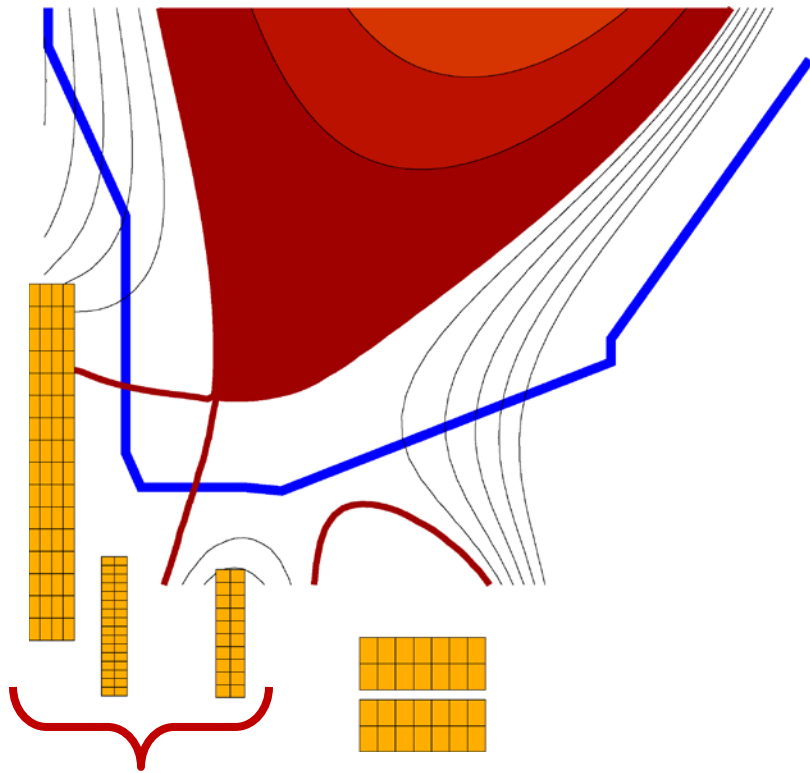
Goals for future NSTX-U operation

- Increase field to 0.8-1T, current to 1.6-2MA, extend flat-top duration (H-mode) to 2-5s
- Assess global stability, energy confinement, pedestal height/structure, edge heat-flux width
- Characterize 2nd beam: heating, current drive, torque / rotation profiles, fast-ion instabilities
- Push toward full non-inductive current drive
- Test advanced divertor heat flux mitigation

STs leading advanced divertor development

NSTX-U / MAST-U will collaborate on 1st plasma, scenarios, divertors

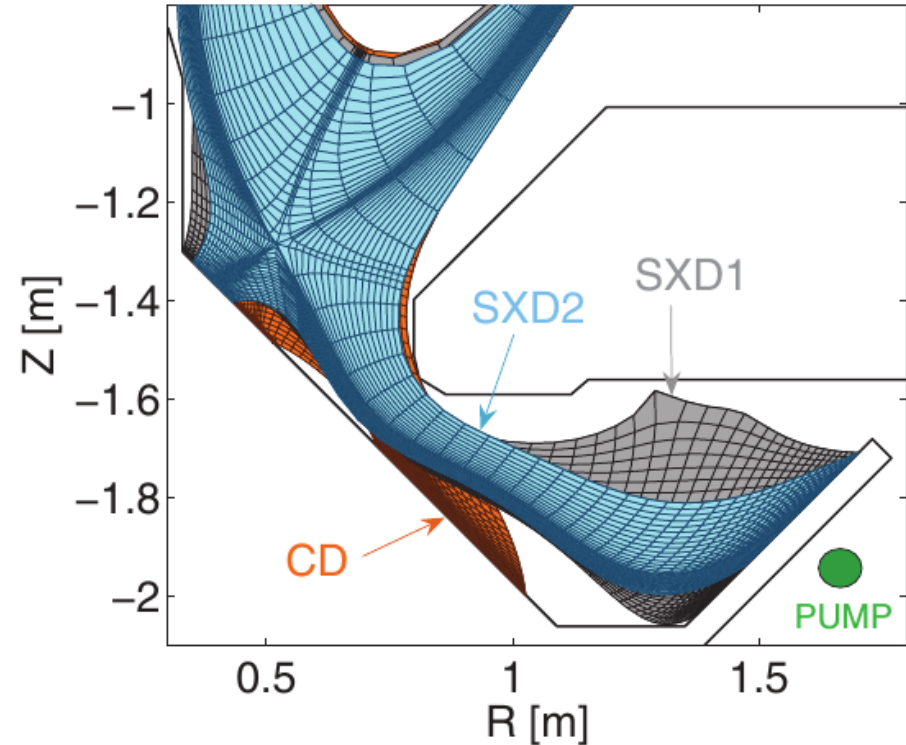
NSTX-U: Flared divertor using "snowflake/X" + radiation



New PF coils in NSTX-U central magnet

MAST-U will test range of divertors:

- Conventional, snowflake (not shown)
- Long-leg "Super-X" with variable flaring

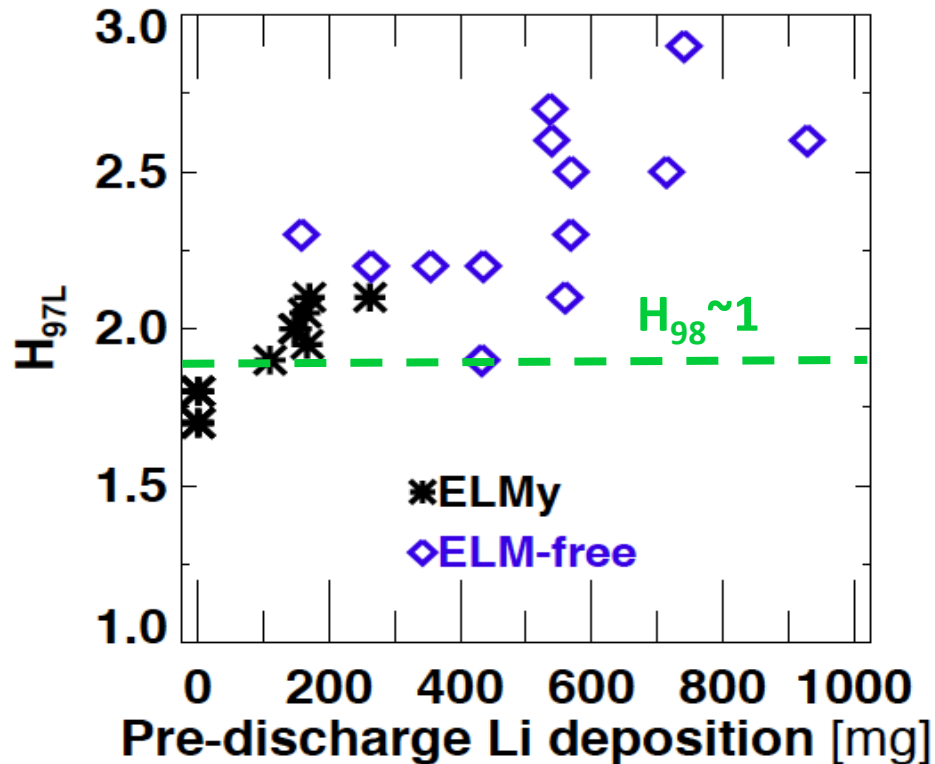


E. Havlickova, et al., Plasma Phys. Control. Fusion 56 (2014) 075008

STs investigating lithium (solid and liquid) walls to significantly increase energy confinement

NSTX (wider \rightarrow higher pedestals)

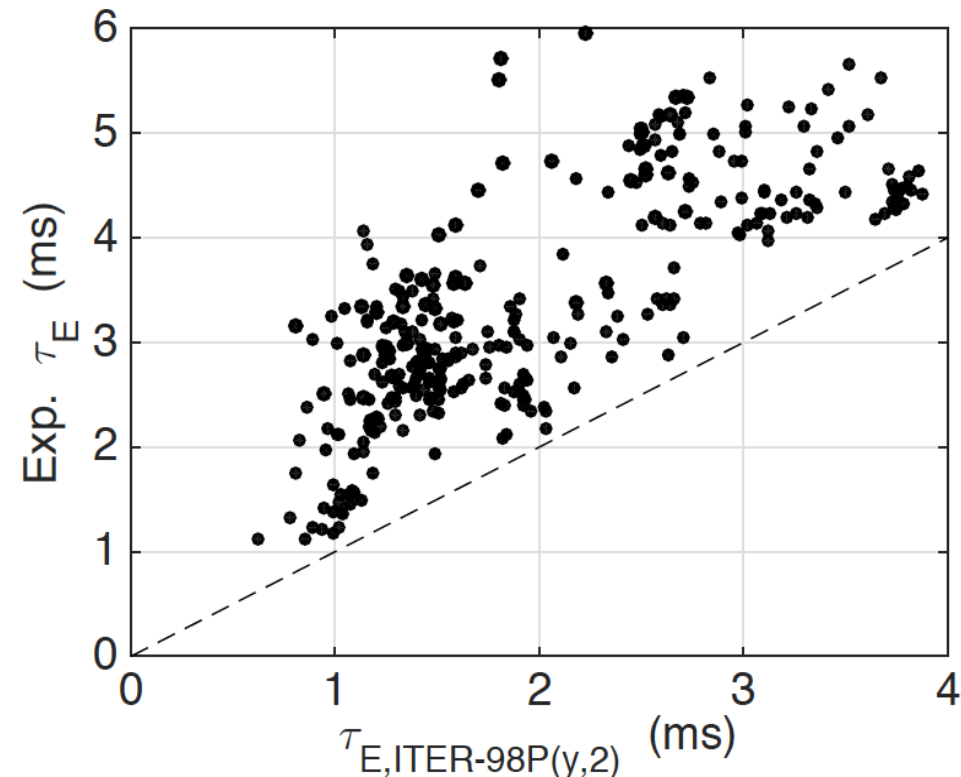
$H = 0.8 \rightarrow 1.4 \times \text{ITER98P}(y,2)$



D.P. Boyle, et al., J. Nucl. Mater. 438 (2013) S979

LTX (flatter \rightarrow higher T profiles)

$H = 2-4 \times \text{ITER98P}(y,2)$

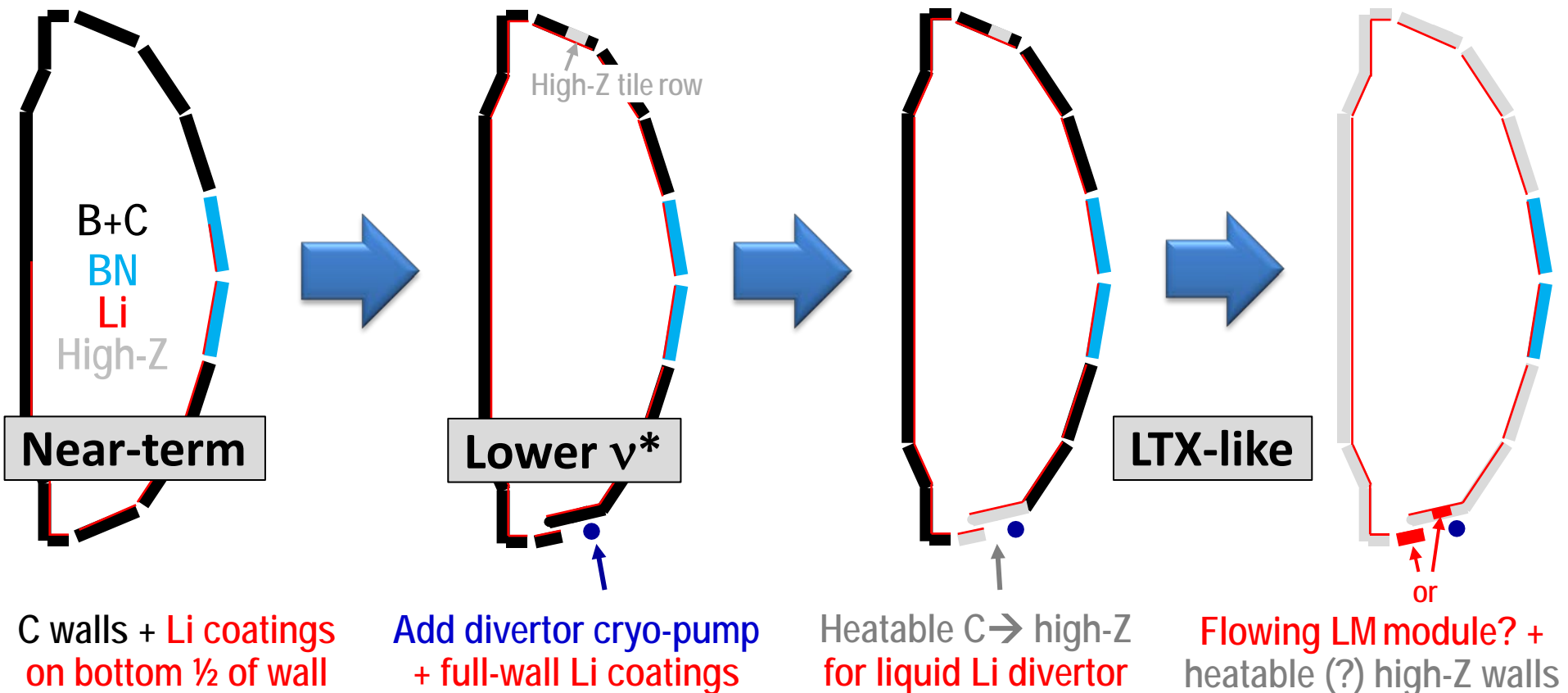


J.C. Schmitt, et al., Phys. Plasmas 22 (2015) 056112

NSTX-U long-term goals

- 5 year: Integrate high confinement + β_T + full non-inductive
- 10 year: Assess compatibility with high-Z & liquid Li PFCs

Possible progression of in-vessel / plasma-facing components:



Summary

- STs broaden our understanding of toroidal physics and enhance predictive capability for ITER & beyond
- ST potentially attractive as FNSF and Pilot Plant
- NSTX-U designed to be highest performance ST in world program - this is why the project is challenging
- Team is working very hard to ensure NSTX-U can run reliably at high performance & get back into operation!

Thank you!

Any questions?

