

# Physical Characteristics of Neoclassical Toroidal Viscosity in Tokamaks for Rotation Control and the Evaluation of Plasma Response

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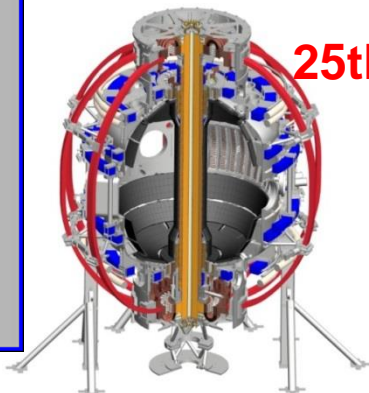
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**25th IAEA Fusion Energy Conference**

**October 14th, 2014**

**St. Petersburg,  
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# The physical characteristics of NTV investigated in tokamaks for rotation control and the evaluation of plasma response

## □ Motivation

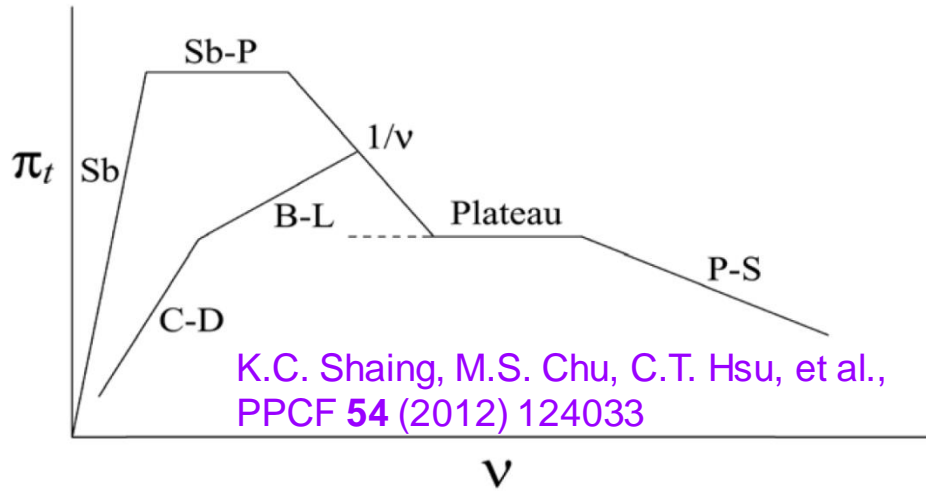
- Low magnitude ( $\delta B/B_0 \sim O(10^{-3})$ ) 3D magnetic fields are used favorably used in tokamaks (e.g. ELM suppression, MHD mode control)
- 3D fields of this magnitude can produce neoclassical toroidal viscosity (NTV), which can:
  - Alter plasma rotation K.C. Shaing, et al., Nucl. Fusion **54** (2014) 033012
  - Significantly reduce fusion gain, Q, by increased alpha particle transport ( $\delta B/B_0 \sim O(10^{-4})$ ) K.C. Shaing, et al., IAEA FEC 2014 Paper TH/P1-11
- Therefore, it is important to understand NTV in tokamaks, backed by accurate ( $\sim O(1)$ ) quantitative modeling

## □ Outline

- NTV physical characteristics
- NTV comparison of theory to experiment
- NTV experiments and assessment of plasma response
- Application of NTV to plasma rotation control for NSTX-U

# Neoclassical Toroidal Viscosity (NTV) can be studied through the application of 3D fields in tokamaks

- Theory: NTV strength varies with plasma collisionality  $\nu$ ,  $\delta B^2$ , rotation



## NTV force in “1/ν” collisionality regime

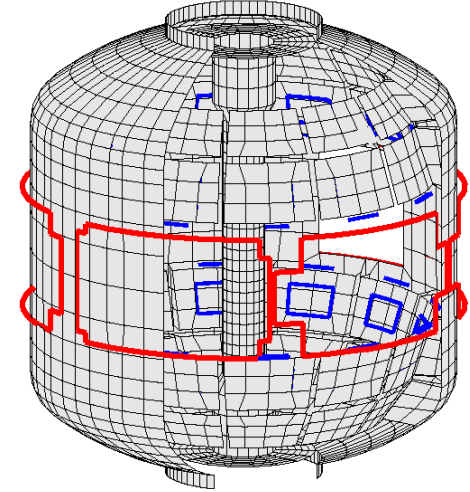
$$\left\langle \hat{e}_t \cdot \vec{\nabla} \cdot \vec{\Pi} \right\rangle_{(1/\nu)} = B_t R \left\langle \frac{1}{B_t} \right\rangle \left\langle \frac{1}{R^2} \right\rangle \frac{\lambda_{1i} p_i}{\pi^{3/2} \nu_i} \epsilon^{3/2} (\omega_\phi - \omega_{NC}) I_\lambda$$

K.C. Shaing, et al.,  
PPCF 51 (2009) 035004

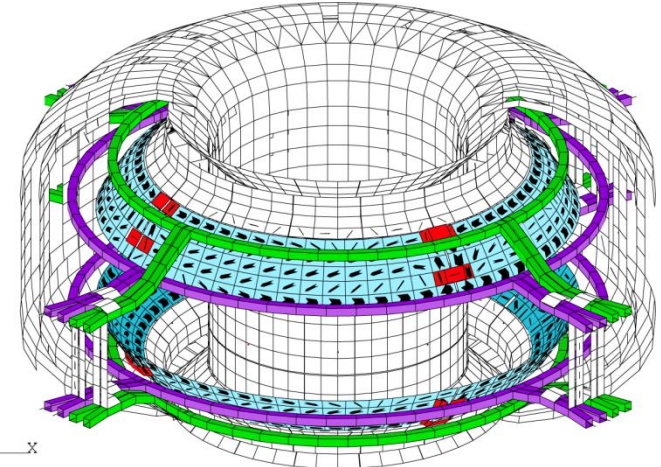
$T_i^{5/2}$

plasma rotation

NSTX 3D coils



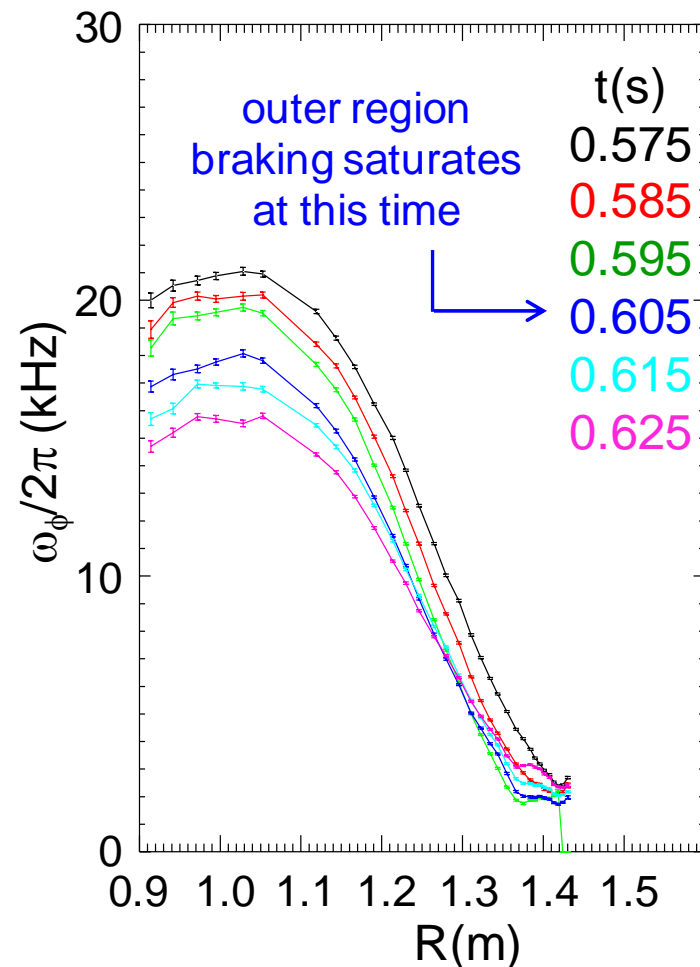
KSTAR 3D coils



# NTV physical characteristics are generally favorable for rotation control

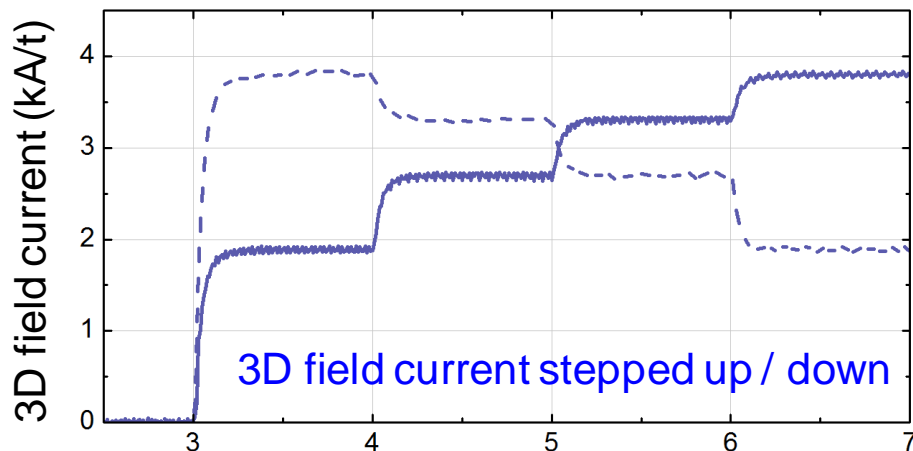
- Non-resonant NTV characteristics (e.g. in NSTX and KSTAR)
  - 3D field configurations with dominant toroidal mode number  $n > 1$  can alter the plasma rotation profile,  $\omega_\phi$ , without mode locking
  - Experimentally, NTV torque is radially extended, with a relatively smooth profile
  - NTV changes continuously as the applied 3D field is increased
  - $T_{NTV}$  is not simply an integrated torque applied at the plasma boundary, but a radial profile – e.g.  $\omega_\phi$  shear can be changed
- These aspects are generally favorable for rotation control; give potential mode control
- Questions remain
  - e.g. Is there hysteresis when  $\omega_\phi$  is altered by NTV?

$\omega_\phi$  alteration by  $n = 2$  applied field configuration in NSTX

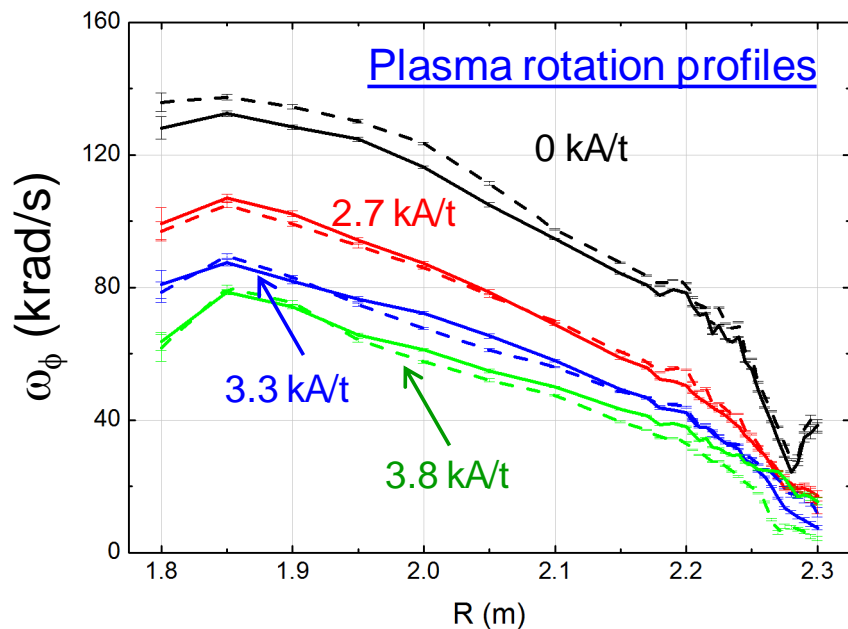


# KSTAR experiments show essentially no hysteresis in steady-state $\omega_\phi$ profile vs. applied 3D field strength

## KSTAR non-resonant (“n = 2”) NTV experiments

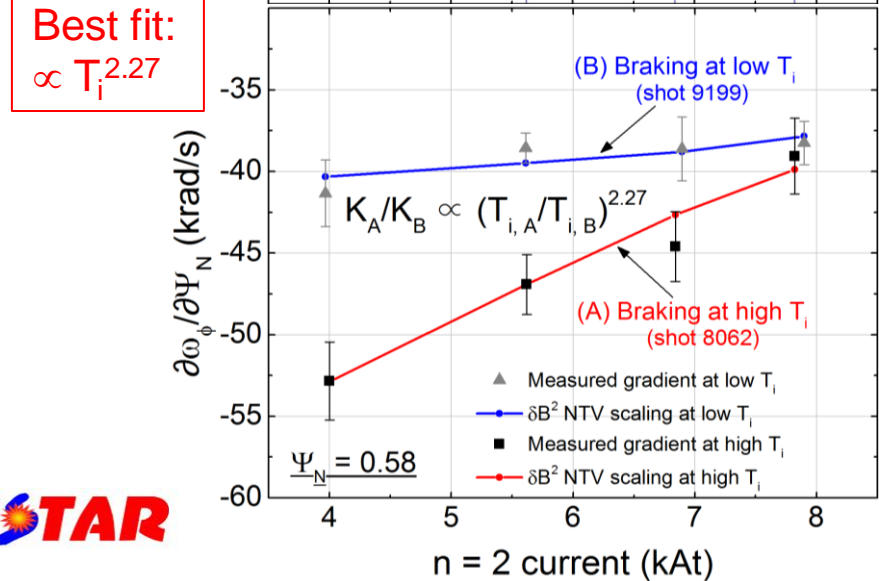
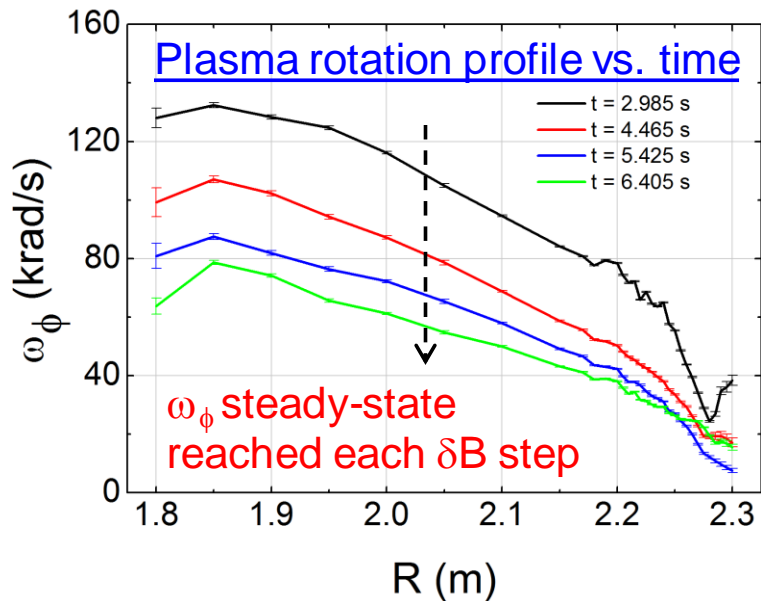
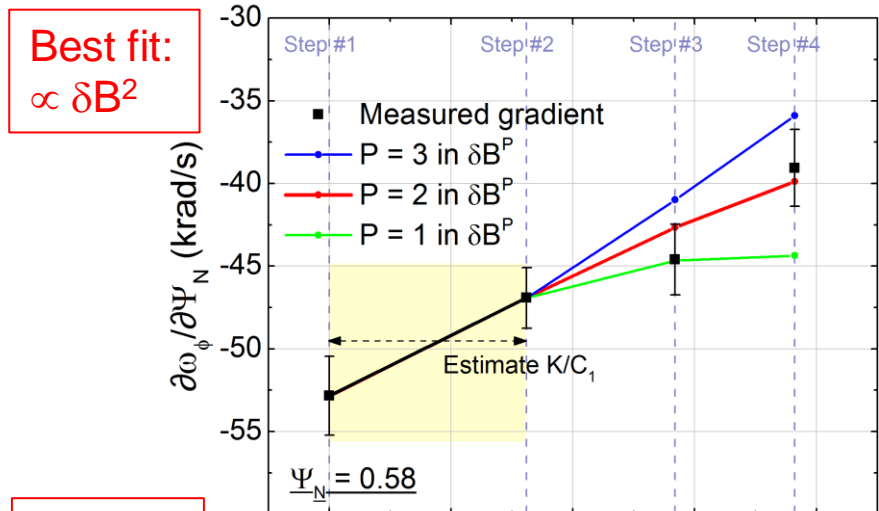
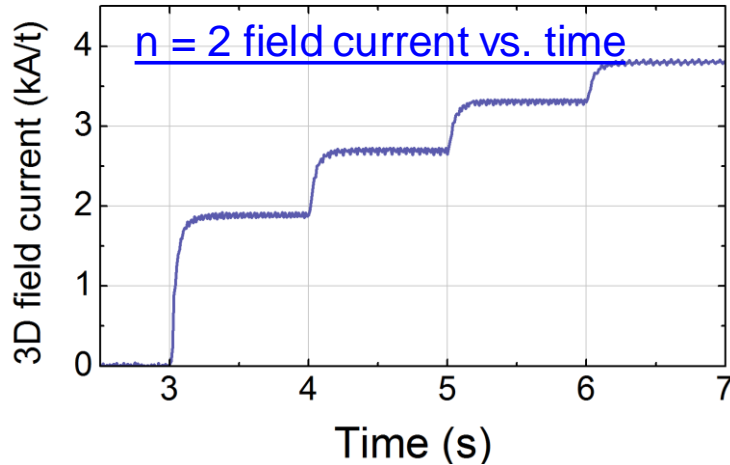


- Experiment run to produce various steady-state  $\omega_\phi$  with different 3D field evolution
- The steady-state rotation profile reached is generally independent of the starting point of  $\omega_\phi$ 
  - depends just on the applied 3D field current level
  - important for rotation control
- Absence of hysteresis further confirmed in very recent experiments with 6 steps in 3D field current





# Neoclassical Toroidal Viscosity varies as $\delta B^2$ , and $T_i^{2.27}$ in KSTAR experiments, expected by theory



□ NTV torque  $T_{NTV}$  expected to scale as  $\delta B^2$  and  $T_i^{2.5}$  in the “1/ $\nu$  regime”

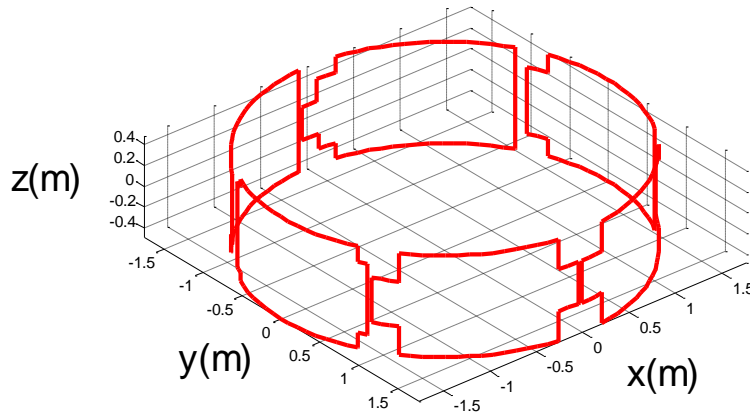
Y.S Park, et al., IAEA FEC 2014: EX/P8-05 (Fri. PM)

# 3D field perturbation experiments conducted to measure the $T_{NTV}$ profile in NSTX

- ❑ High normalized beta plasma targets typically chosen
  - ❑ Typically near or above  $n = 1$  no-wall limit (for higher  $T_i$ )
- ❑ Apply or otherwise change 3D field on a timescale significantly faster than the momentum diffusion time,  $\tau_m$ 
  - ❑ Analysis before/after 3D field application isolates  $T_{NTV}$  in the momentum diffusion equation;  $-dL/dt = T_{NTV}$
- ❑  $dL/dt$  measured experimentally and compared to theoretically computed  $T_{NTV}$  on this timescale
  - ❑  $dL/dt$  profile can change significantly on timescales  $> \tau_m$ , (diffuses radially, broadens, leads to significant error compared to  $T_{NTV}$ )
- ❑ Focus on non-resonant applied 3D field configurations
  - ❑ To avoid driving MHD modes
  - ❑ Resonant fields (e.g.  $n = 1$ ) are more strongly screened by plasma

# Theoretical NTV torque density profiles, $T_{\text{NTV}}$ are computed for NSTX using theory applicable to all collisionality regimes

## Non-axisymmetric coils fully modelled in 3D



## 3D field definition

$$\delta B = \vec{b} \cdot \left( \vec{B} / B \right) + \left( \vec{\xi} \cdot \nabla B \right)$$

↑  
plasma displacement

## □ General considerations

- In tokamaks,  $\xi$  not typically measured, can lead to large error
- “Fully-penetrated field constraint” used to define  $\xi$   $\left( \vec{B}_{2D} \cdot \nabla \vec{\xi} = \vec{b} \right)$ 
  - Singularities avoided by standard finite island width assumption
- For NSTX,  $|\xi| \sim 0.3 \text{ cm} \ll \varepsilon^{0.5} \rho_i$ , therefore, ion banana width-averaging is used for ion channel
  - Can explain why strong resonant peaks in NTV profile are not observed in experiment

## □ NTV analysis of NSTX – data interfaced to NTVTOK

(Y. Sun, Liang, Shaing, et al., NF 51 (2011) 053015)

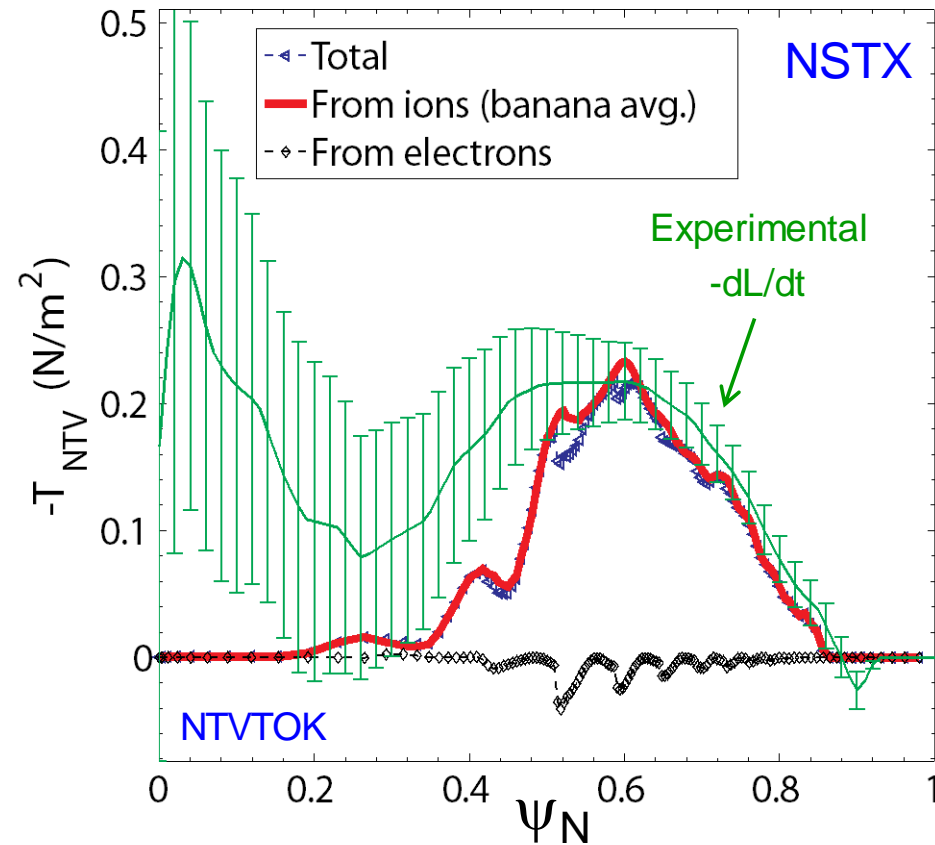
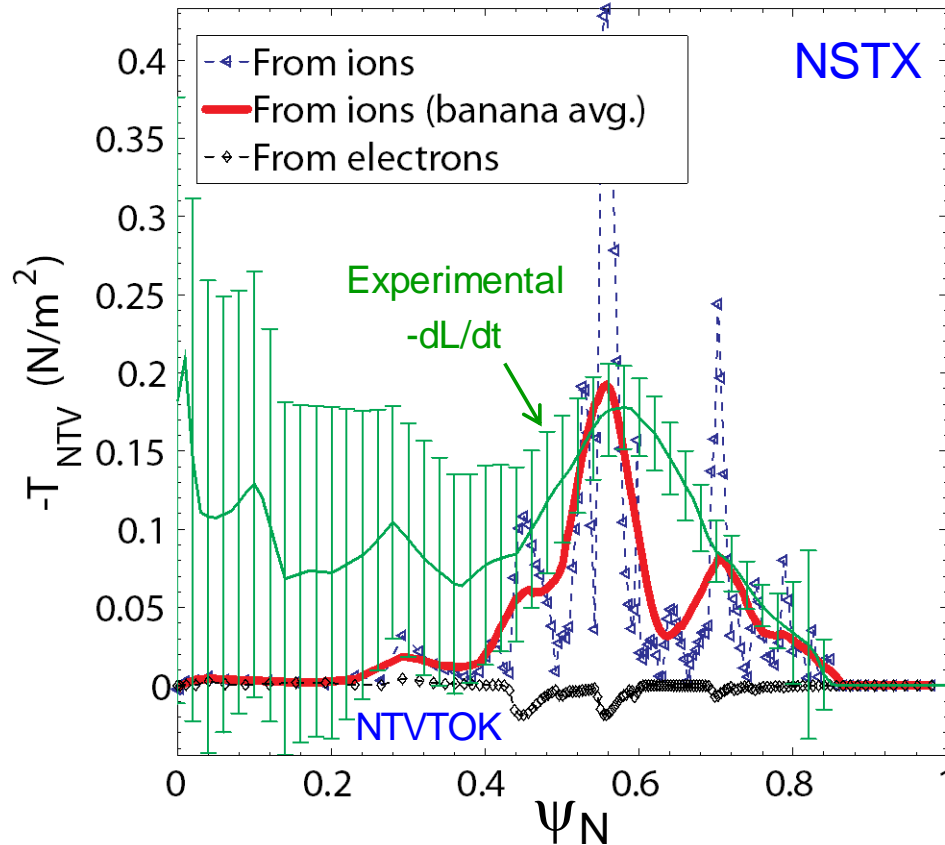
- Use Shaing’s “connected NTV model”, covers all  $\nu$ , superbanana plateau regimes (K.C. Shaing, Sabbagh, Chu, NF 50 (2010) 025022)
- Full 3D coil specification and  $\delta B$  spectrum, ion and electron components computed, no aspect ratio assumptions



# Measured NTV torque density profiles quantitatively compare well to computed $T_{NTV}$ using fully-penetrated 3D field

$n = 2$  coil configuration

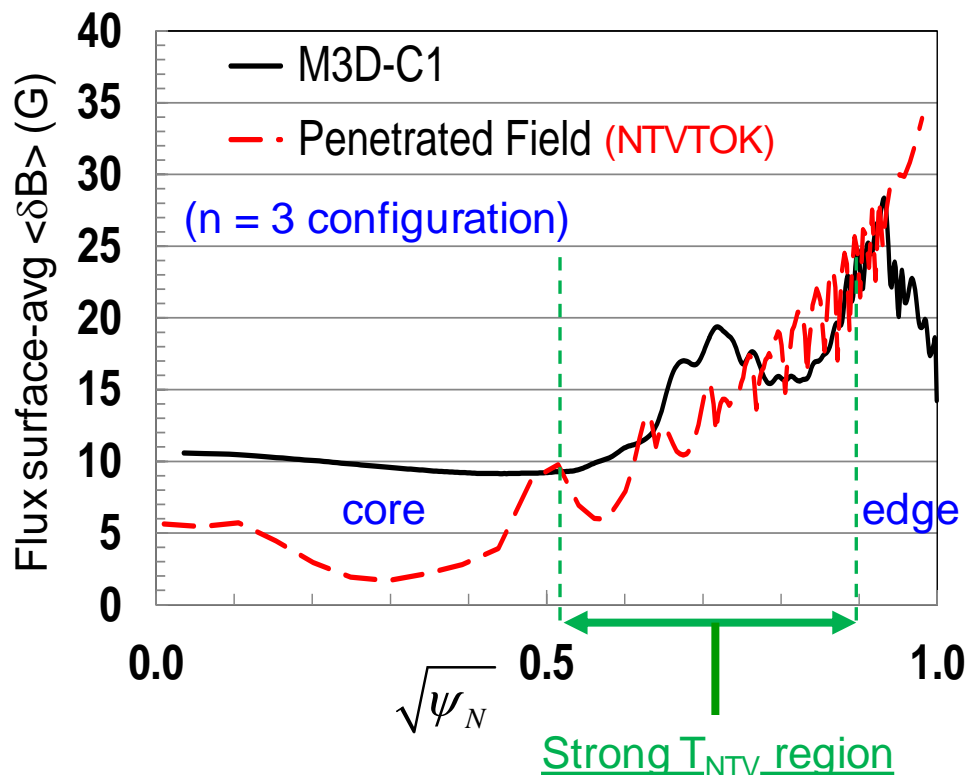
$n = 3$  coil configuration



- $T_{NTV}$  (theory) scaled to match peak value of measured  $-dL/dt$ 
  - Scale factor  $((dL/dt)/T_{NTV}) = 1.7$  and  $0.6$  (for cases shown above) –  $O(1)$  agreement
  - $O(1)$  agreement using “fully-penetrated 3D field” indicates that plasma response is not strongly amplified from this “vacuum field assumption” ( $T_{NTV} \sim \delta B^2$ )

# Plasma response from fully-penetrated 3D field used in NTV experimental analysis matches M3D-C<sup>1</sup> single fluid model

Surface-averaged  $\delta B$  from fully penetrated model vs. M3D-C<sup>1</sup> single fluid model



- NTV experimental data is a strong quantitative constraint on plasma response of  $\delta B$ 
  - Because the measured NTV scales as  $T_{NTV} \propto \delta B^2$ ,
- Level of agreement varies along the profile
  - Good agreement between NTVTOK / M3D-C<sup>1</sup> single fluid models in strong NTV region
  - M3D-C<sup>1</sup> core  $\langle \delta B \rangle$  larger than NTVTOK
    - Core mode in M3D-C<sup>1</sup>
  - M3D-C<sup>1</sup> edge  $\langle \delta B \rangle$  smaller
    - Experimental  $T_{NTV}$  too small in this region to constraint  $\delta B$

# Non-resonant NTV and NBI used as actuators in state-space rotation feedback controller designed for NSTX-U

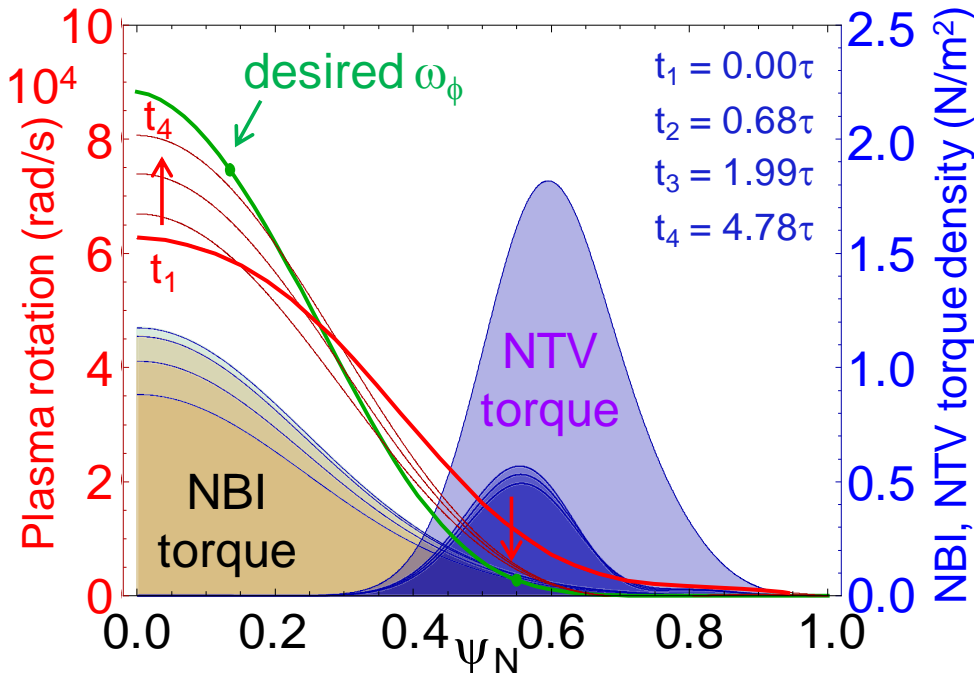
- Momentum force balance –  $\omega_\phi$  decomposed into Bessel function states

$$\sum_i n_i m_i \langle R^2 \rangle \frac{\partial \omega}{\partial t} = \left( \frac{\partial V}{\partial \rho} \right)^{-1} \frac{\partial}{\partial \rho} \left[ \frac{\partial V}{\partial \rho} \sum_i n_i m_i \chi_\phi \langle (R \nabla \rho)^2 \rangle \frac{\partial \omega}{\partial \rho} \right] + T_{NBI} + T_{NTV}$$

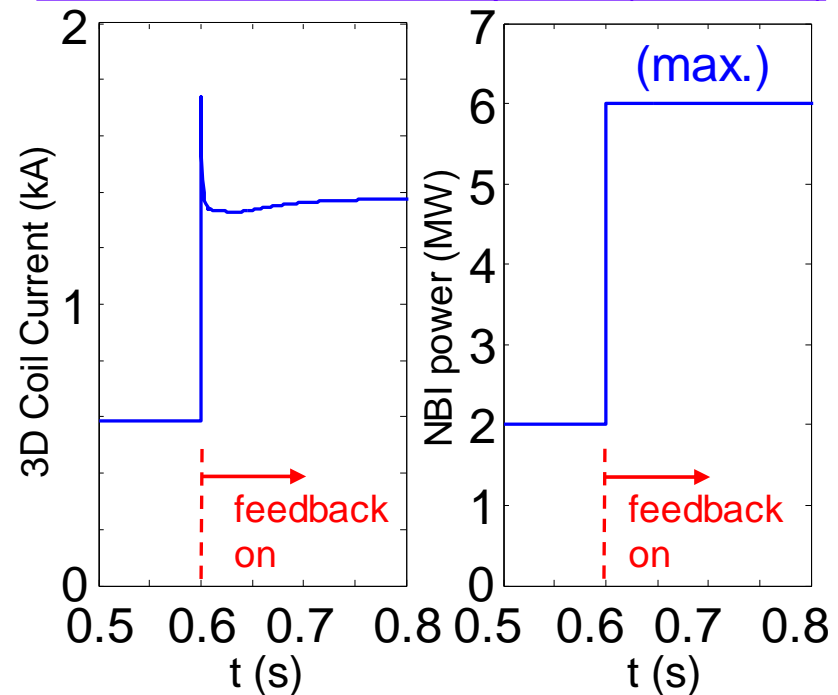
- NTV torque:

$$T_{NTV} \propto K \times f(n_{e,i}^{K1} T_{e,i}^{K2}) g(\delta B(\rho)) [I_{coil}^2 \omega] \quad \text{(non-linear)}$$

Rotation evolution and NBI and NTV torque profiles



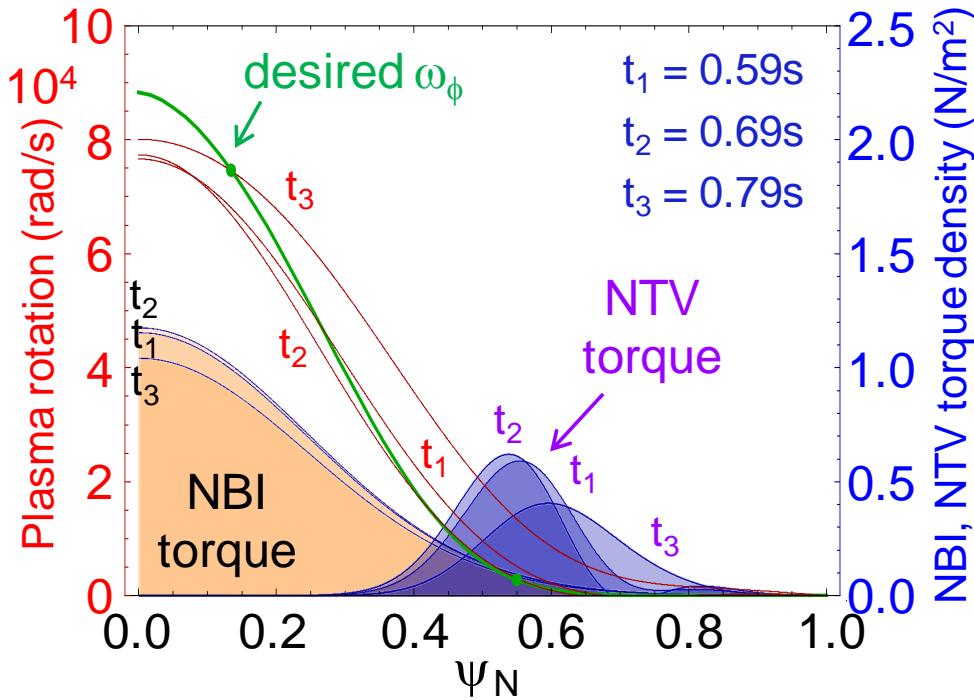
3D coil current and NBI power (actuators)



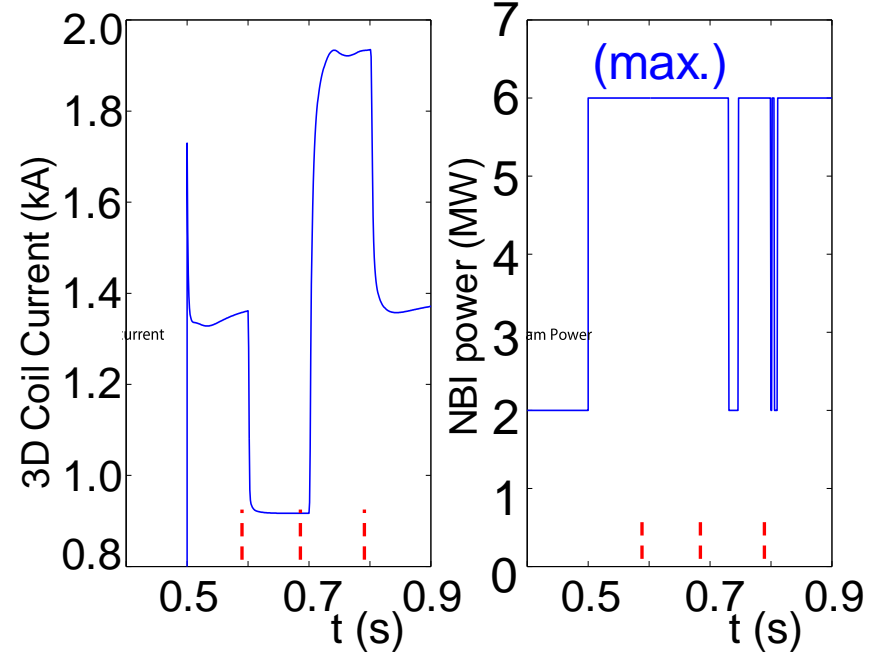
I. Goumiri (PU), S.A. Sabbagh (Columbia U.), D.A. Gates, S.P. Gerhardt (PPPL)

# When $T_i$ is included in NTV rotation controller model, 3D field current and NBI power can compensate for $T_i$ variations

Rotation evolution and NBI and NTV torque profiles



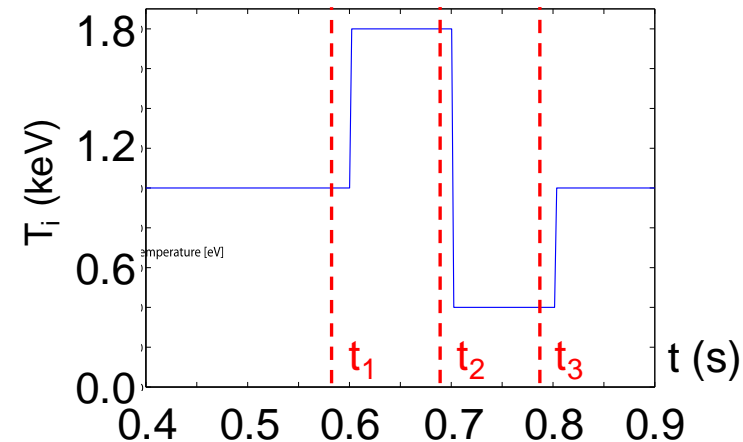
3D coil current and NBI power (actuators)



$$T_{NTV} \propto K \times f(n_{e,i}^{K1} T_i^{K2}) g(\delta B(\rho)) [I_{coil}^2 \omega]$$

$K1 = 0, K2 = 2.5$

- NTV torque profile model for feedback dependent on ion temperature



# Physical characteristics of NTV are investigated in tokamaks for rotation control and the evaluation of plasma response

- ❑ Experiments on NSTX and KSTAR show that non-resonant NTV torque  $T_{\text{NTV}}$  from applied 3D field is a radially extended, relatively smooth profile
- ❑ Analysis of KSTAR shows  $T_{\text{NTV}} \propto (\delta B_{3D})^2$ ;  $T_{\text{NTV}} \propto T_i^{2.27}$ ; **no hysteresis** on the rotation profile when altered by non-resonant NTV (key for control)
- ❑ 3D field perturbation experiments in NSTX using both  $n = 2$  and  $n = 3$  field configurations measure the  $T_{\text{NTV}}$  profile
- ❑ The measured  $T_{\text{NTV}}$  profile quantitatively compares well between experiment and Shaing's "connected NTV theory" K.C. Shaing, et al., NF 50 (2010) 025022)
- ❑ Non-resonant  $T_{\text{NTV}}$  profile in NSTX is quantitatively consistent with "fully-penetrated field" assumption of plasma response
- ❑ Surface-averaged 3D field profile from M3D-C<sup>1</sup> single fluid model consistent with field used for quantitative NTV agreement in experiment
- ❑ Rotation controller using NTV and NBI designed/tested for NSTX-U

# Extra slides for poster

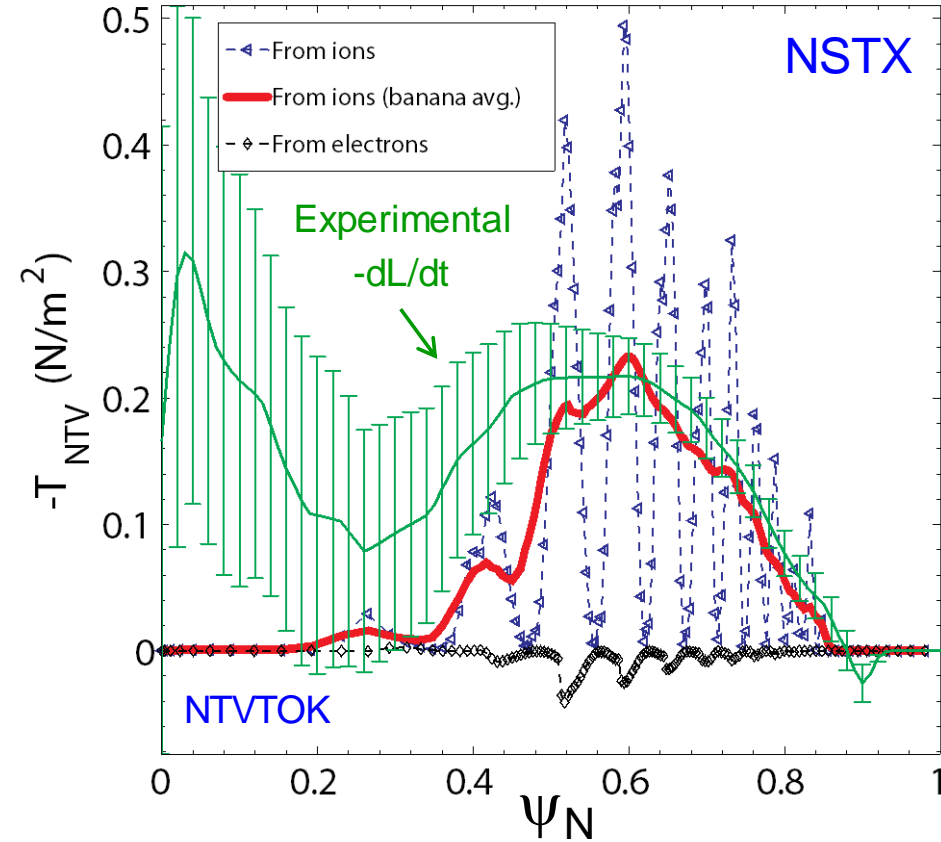
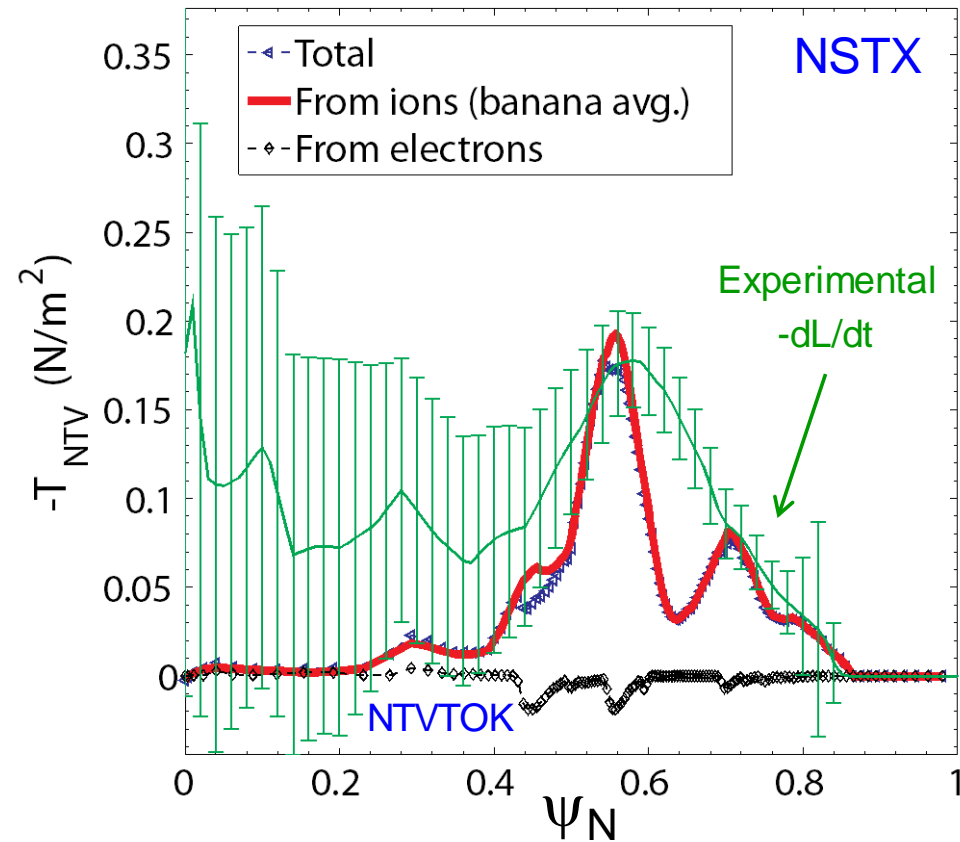
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# Measured NTV torque density profiles quantitatively compare well to computed $T_{NTV}$ using fully-penetrated 3D field

$n = 2$  coil configuration

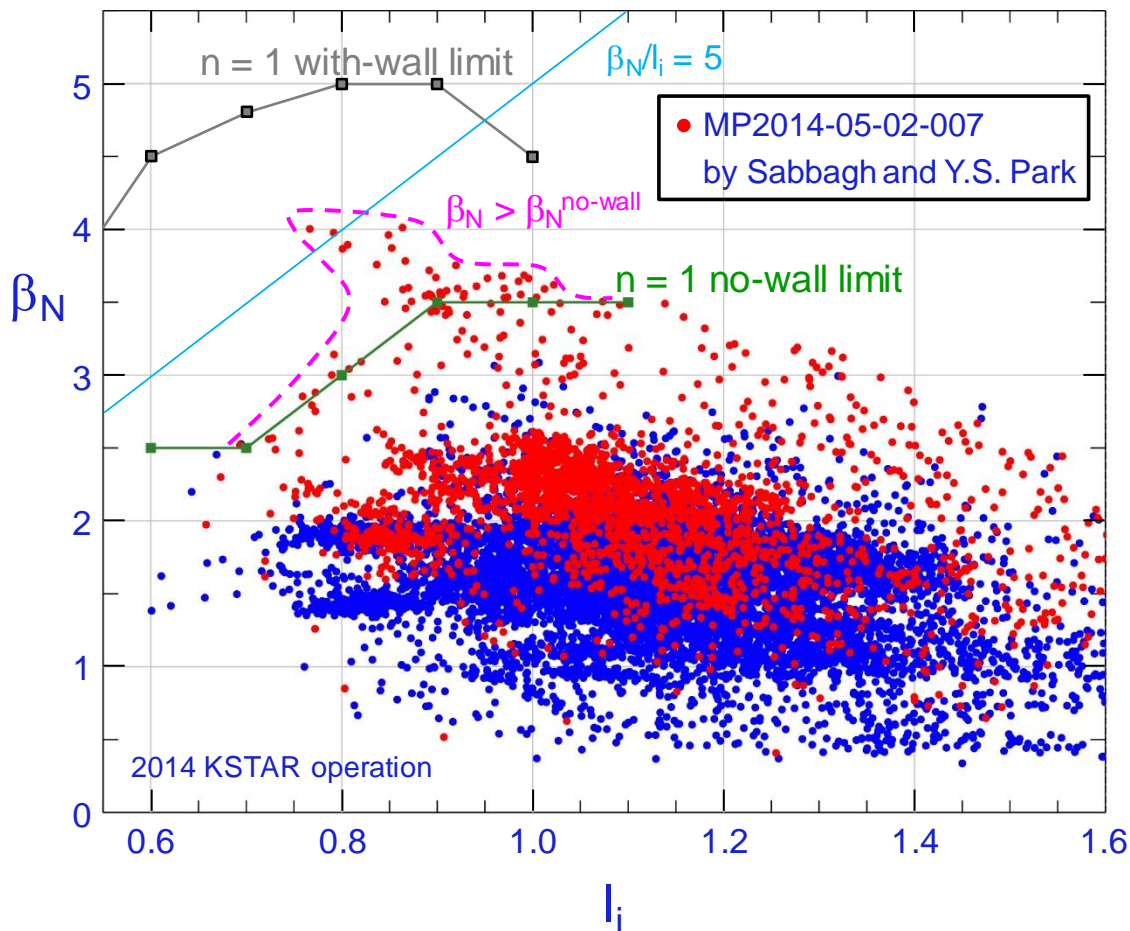
$n = 3$  coil configuration



- $T_{NTV}$  (theory) scaled to match peak value of measured  $-dL/dt$ 
  - Scale factor  $((dL/dt)/T_{NTV}) = 1.7$  and  $0.6$  (for cases shown above) –  $O(1)$  agreement
  - $O(1)$  agreement using “fully-penetrated 3D field” indicates that plasma response is not strongly amplified from this “vacuum field assumption” ( $T_{NTV} \sim \delta B^2$ )

# Very recently, high beta plasmas transiently reached $\beta_N = 4$ in 2014 campaign

KSTAR operating space containing ~11,500 equilibria



- Values obtained using fully converged KSTAR EFIT reconstructions
- High values reached transiently at lowered  $B_t$ 
  - $B_T$  in range 0.9 - 1.2 T
  - $\beta_N$  up to 4 with  $I_i \sim 0.8$  for duration longer than  $\tau_E \sim 60$  ms in these discharges
  - $\beta_N/I_i = 5$  is  $\sim 40\%$  over the computed  $n = 1$  ideal MHD no-wall limit
- Adding newly available 3<sup>rd</sup> neutral beam source may further increase the operating performance in the ongoing device campaign

Y.S Park, et al., IAEA FEC 2014 paper EX/P8-05 (Fri. PM)

S.W. Yoon, et al., IAEA FEC 2014 paper OV/3-4 (Tues. AM)



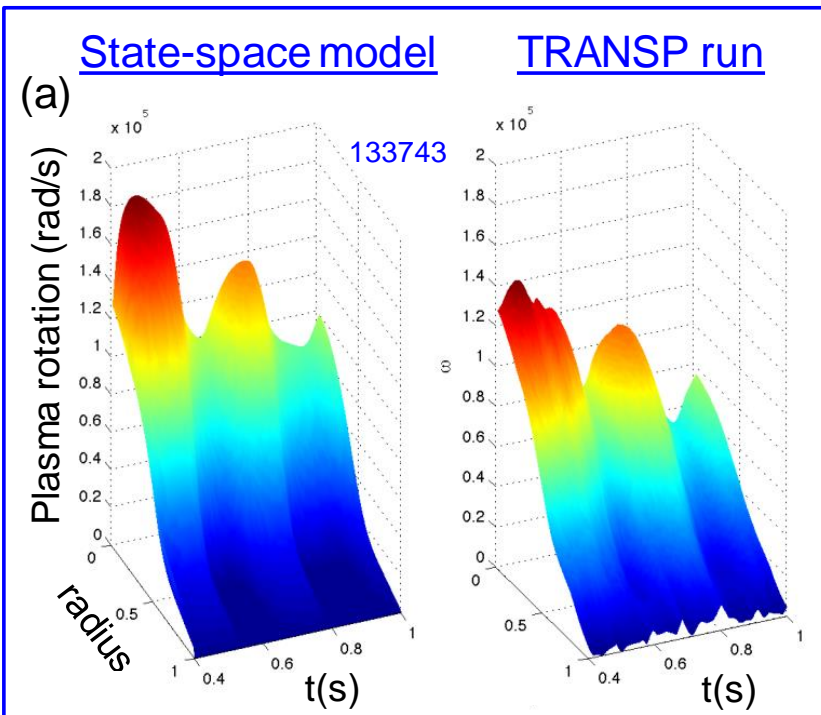
# Non-resonant Neoclassical Toroidal Viscosity (NTV) physics will be used for the first time in rotation feedback control

- Momentum force balance –  $\omega_\phi$  decomposed into Bessel function states

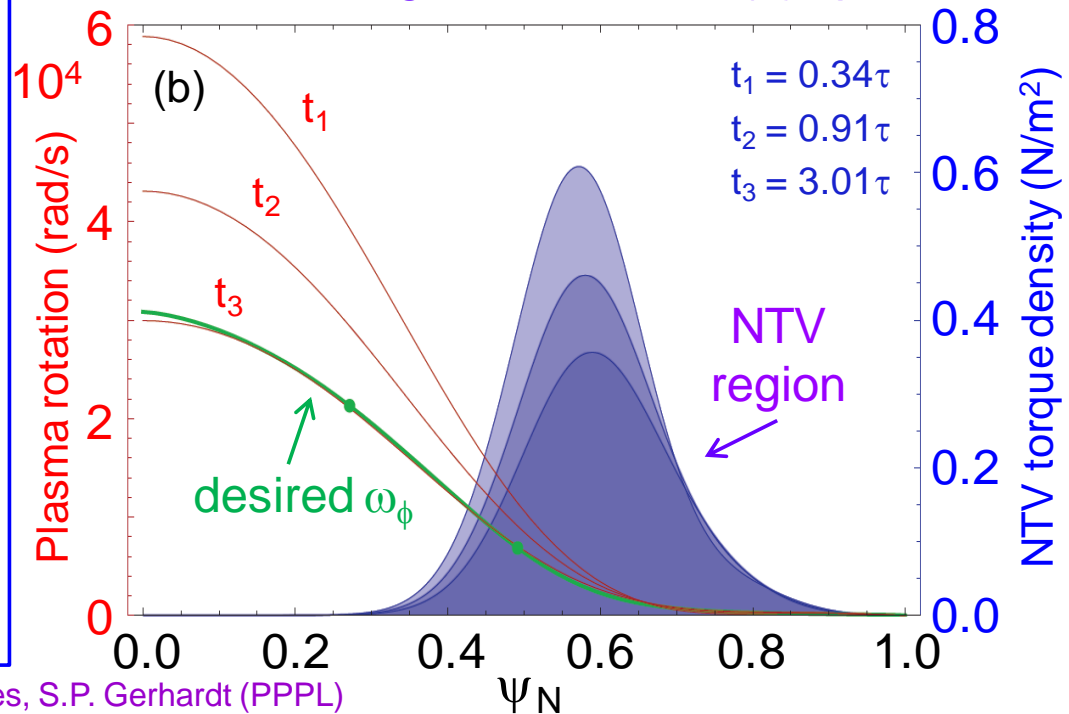
$$\sum_i n_i m_i \langle R^2 \rangle \frac{\partial \omega}{\partial t} = \left( \frac{\partial V}{\partial \rho} \right)^{-1} \frac{\partial}{\partial \rho} \left[ \frac{\partial V}{\partial \rho} \sum_i n_i m_i \chi_\phi \langle (R \nabla \rho)^2 \rangle \frac{\partial \omega}{\partial \rho} \right] + T_{NBI} + T_{NTV}$$

- NTV torque:

$$T_{NTV} \propto K \times f(n_{e,i}^{K1} T_{e,i}^{K2}) g(\delta B(\rho)) [I_{coil}^2 \omega] \quad \text{(non-linear)}$$



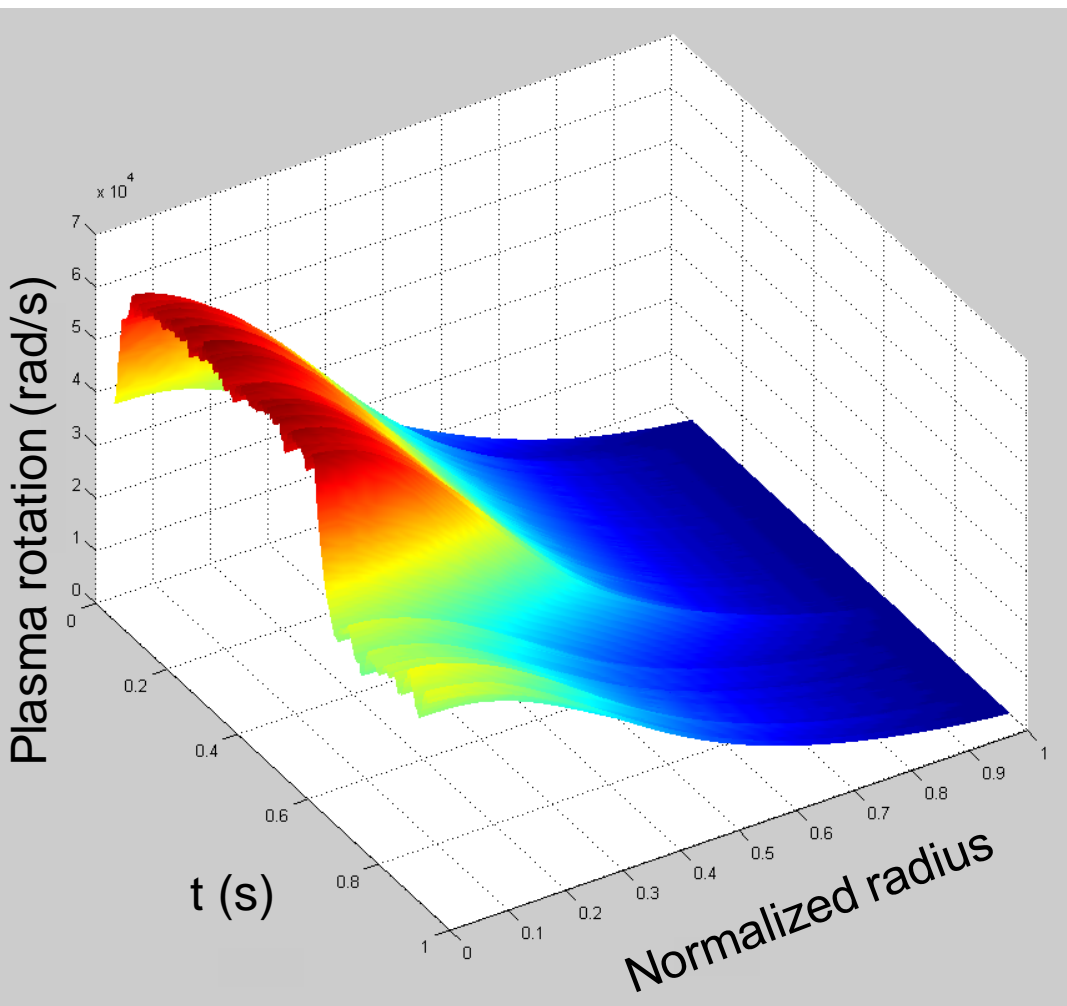
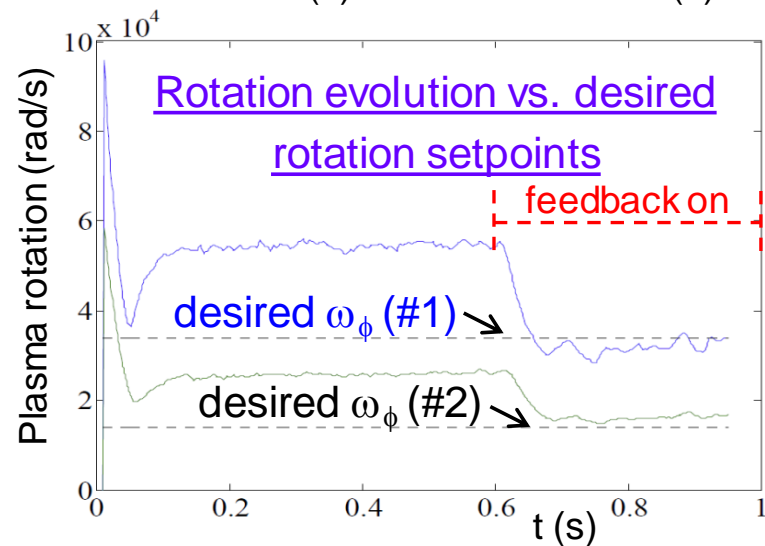
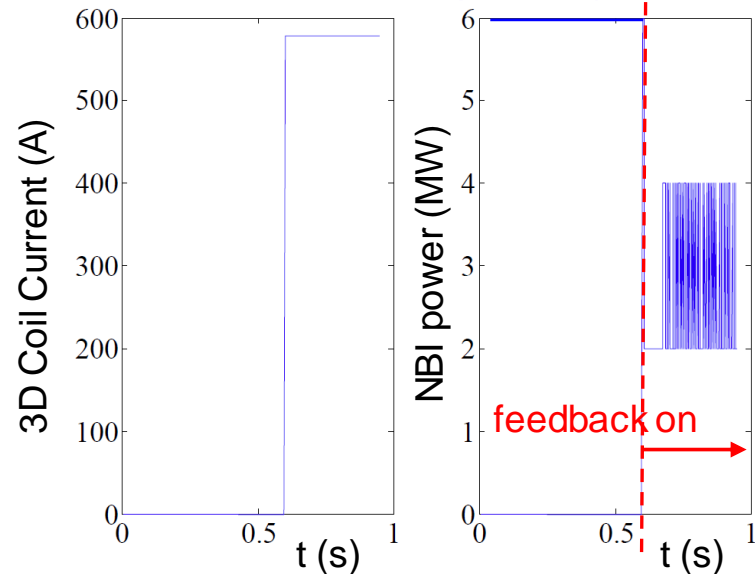
## Feedback using NTV: “n=3” $\delta B(\rho)$ spectrum



I. Goumiri (PU), S.A. Sabbagh (Columbia U.), D.A. Gates, S.P. Gerhardt (PPPL)

# Plasma rotation control has been demonstrated for the first time with TRANSP using NBI and NTV actuators

## 3D coil current and NBI power (actuators)



This case uses pre-programmed 3D coil current and NBI feedback

Please sign-up for a poster copy

# Extra slides



# Several ordered publications by K.C. Shaing, et al. led to the “Combined” NTV Formulation

## □ Publications (chronological order)

- 1) K.C. Shaing, S.P. Hirschman, and J.D. Callen, Phys. Fluids **29** (1986) 521.
- 2) K.C. Shaing, Phys. Rev. Lett., **87** (2001) 245003.
- 3) K.C. Shaing, Phys. Plasmas **10** (2003) 1443.
- 4) K.C. Shaing, Phys. Plasmas **13** (2006) 052505.
- 5) K.C. Shaing, S. A. Sabbagh, and M. Peng, Phys. Plasmas **14** (2007) 024501.
- 6) K.C. Shaing, S. A. Sabbagh, M.S. Chu, et al., Phys. Plasmas **15** (2008) 082505.
- 7) K.C. Shaing, P. Cahyna, M. Becoulet, et al., Phys. Plasmas **15** (2008) 082506.
- 8) K.C. Shaing, S. A. Sabbagh, and M. S. Chu, PPCF **51** (2009) 035004.
- 9) K.C. Shaing, S. A. Sabbagh, and M. S. Chu, PPCF **51** (2009) 035009.
- 10) K.C. Shaing, S. A. Sabbagh, and M. S. Chu, PPCF **51** (2009) 055003.
- 11) K.C. Shaing, M. S. Chu, and S. A. Sabbagh, PPCF **51** (2009) 075015.
- 12) K.C. Shaing, M. S. Chu, and S. A. Sabbagh, PPCF **52** (2010) 025005.
- 13) K.C. Shaing, S. A. Sabbagh, and M. S. Chu, Nucl. Fusion **50** (2010) 025022.
- 14) K.C. Shaing, J. Seol, Y.W. Sun, et al., Nucl. Fusion **50** (2010) 125008.
- 15) K.C. Shaing, M. S. Chu, and S. A. Sabbagh, Nucl. Fusion **50** (2010) 125012.
- 16) K.C. Shaing, T.H. Tsai, M.S. Chu, et al., Nucl. Fusion **51** (2011) 073043.
- 17) K.C. Shaing, M.S. Chu, C.T. Hsu, et al., PPCF **54** (2012) 124033.

## □ Topic

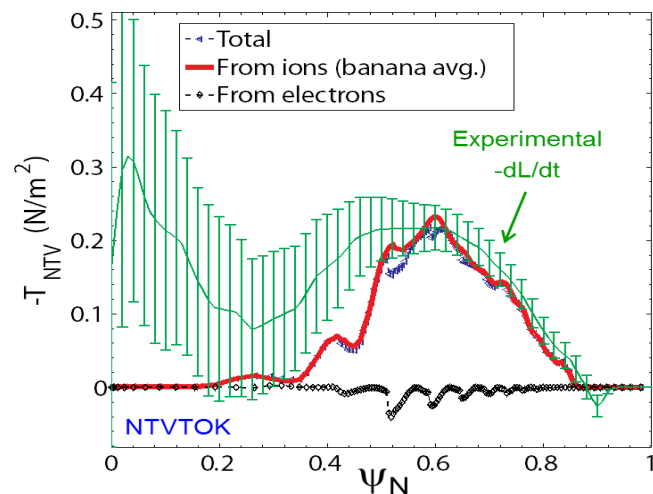
- Plateau transport
- Island NTV
- Collisional,  $1/\nu$  regimes
- Banana,  $1/\nu$  regimes
- Multiple trapping
- Orbit squeezing
- Coll. b'dary layer,  $\nu^{0.5}$
- Low  $\nu$  regimes
- Superbanana plateau
- Superbanana regime
- Bounce/transit/drift res.
- $J_{\text{bootstrap}}$  w/resonances
- Combined NTV formula
- $\nabla B$  drift in CBL analysis
- Flux/force gen. coords.
- SBP regime refinement
- NTV brief overview

# EX/1-4: Physical Characteristics of Neoclassical Toroidal Viscosity in Tokamaks for Rotation Control and the Evaluation of Plasma Response

## Highlights

- Experimental NTV characteristics
  - NTV experiments on NSTX and KSTAR
  - NTV torque  $T_{NTV}$  from applied 3D field is a radially extended, relatively smooth profile
  - Perturbation experiments measure  $T_{NTV}$  profile
- Aspects of NTV for rotation control
  - Varies as  $\delta \mathbf{B}^2$ ;  $T_{NTV} \propto T_i^{5/2}$  in primary collisionality regime for large tokamaks
  - No hysteresis on the rotation profile when altered by non-resonant NTV is key for control
  - Rotation controller using NTV and NBI tested for NSTX-U; model-based design saves power
- NTV analysis to assess plasma response
  - Non-resonant NTV quantitatively consistent with fully-penetrated field assumption
  - Surface-averaged 3D field profile from M3D-C<sup>1</sup> single fluid model consistent with field used for quantitative NTV agreement in experiment

## Perturbation experiments measure NTV torque profile and compare to theory



## Rotation controller using NTV and NBI

