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Physics of tokamak plasma start-up

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Normal aspect ratio tokamaks have inner coils for inductive start-up, spherical tokamaks have limited space



- NSTX-U
- JT60-SA
- ITER
- Most of the planning revolves around what will happen during the flattop
- Recent start-up experience from
 - EAST and KSTAR, the first fully superconducting tokamaks
 - JET ITER-like wall
- Long-pulse STs require non central solenoid start-up



Generally focus is on the plasma current flattop, MHD events ELMs and disruptions

Start-up gets attention when it fails



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 - Early stage of plasma current ramp-up
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Inductive start-up can be divided into three phases, breakdown/avalanche, burn-through and controlled ramp-up

- Break-down, T_e < 10 eV, j < 35 kA/m² I_{p(NSTX)}< 35 kA
- Burn-through, 10 eV < T_e < 100 eV, 30 kA/m² < j < 300 kA/m²
- Controlled ramp-up I_p > 100 kA
- Central solenoid provides voltage
- Resistive heating or auxiliary power to heat and ionize low Z impurities
- Vertical field to control plasma radius
- Other Poloidal Field coils shaping
- Gas puffing for fueling







Inductive start-up

- The central solenoid is supplied with a current in the desired direction of the plasma current before t_0
- At t₀, the current is reduced towards zero by action of power supplies (assisted by IR drop)
 - Resistance of coil or for superconducting coils by a resistor inserted into the circuit



Free electrons are always present, but can be supplemented by ECH, radiation, heated filaments, etc.



Breakdown in a gas, the Townsend avalanche

$$F = m \frac{dv}{dt} = qE$$

$$\Rightarrow v_{impact} = \frac{q}{m} Et \Big|_{0}^{r_{coll}} = \frac{qE}{mn\sigma v}$$

$$\tau_{coll} = 1/n\sigma v; \sigma \text{ ionization cross sec tion}$$
mean free path $\lambda = 1/n\sigma$

$$\frac{1}{2}mv_{impact}^{2} = qE\lambda \ge 13.6eV(Hydrogen)$$
Neutral Hydrogen Total Ionization Cross-Section
$$(\nabla_{0} = 0, \nabla_{1} = 0,$$

 Ionization cross-section peaks at about 50 eV and falls at high energy From http://physics.nist.gov/cgi-bin/lonization/ion_data.php?id=HI&ision=I&initial=&total=Y

For parallel plate electrodes



Voltage

- If an electron produces α new electrons per meter then
- $dn_e = \alpha n_e dx$
- $n_e = n_e (0) e^{\alpha x}$
- α is called the first Townsend coefficient

From S.C. Brown, Intro. To Electrical Discharges in Gases, John Wiley and Sons, 1966.



The voltage required for an avalanche depends upon the pressure distance product



•For NSTX, p ~ 5x 10⁻⁵ Torr and V₁ ~ 2 V/turn

-For NSTX then $\alpha \sim 10^{-2}$ /m

•Connection length must be > 100 m, many toroidal transits

•For $E/p > 5X10^3$ V m⁻¹ Torr⁻¹, T_e is high enough that thermal ionization is important

•This limits T_e to about 10 eV until ionization of the initial gas is nearly complete R. Papoular, Nuclear Fusion <u>16</u> (1976) 37.



Electrons must travel many ionization lengths before being lost if an avalanche is to occur

- Parallel losses
- The stray field connection length, L ~ h B_T/< δ B_z> h is the height of the machine < δ B_z> is the average transverse field
 - For NSTX $B_T \sim 4 \text{ kG}$, h ~ 2 m
 - $<\delta B_z > \sim 2.5 \text{ to } 5.0 \text{ G}$
 - L ~ 3000 m
- The electron drift velocity, v_{de} parallel to the field solution
 lines is approximately 35 E/p (m/s)
 - Time to drift to wall ~ 6 ms
 - For ions, v_{di} = 0.9E/p, the time to drift to the wall ~ 150 ms
 - Secondary emission is unimportant
- Lloyd estimates the time to complete the avalanche process as $41/v_{de}(\alpha L^{-1})$

– ~ 7 ms

Lloyd et al., Nuclear Fusion, Vol.31. No.II (1991)



Field null at start-up in NSTX, includes eddy currents Similar plots can be made for every tokamak



Other losses that might stop avalanche from proceeding

- If pressure is too low the density of neutrals will not be sufficient to provide electrons for the avalanche to continue
- Guiding center drift velocity
 - $v_{\rm D} = (1/2v_{\perp}^2 + v_{\parallel}^2)/R\omega_{\rm ce} \ ; v_{\perp}^2 \sim v_{\parallel}^2 \sim 3KT_e/2m$
 - v_D ~ 4 to 40 m/s
 - Loss time ~ 25 250 ms > avalanche time
- Taken together for a wide range of devices
 - V_L = 2 to 30 V/turn, E = 0.3 to 2 V/m, with stray fields $B_z/B_T \sim 10^{-3}$ over much of the vessel
 - $p = 1-10 \times 10^{-5}$ Torr
 - E/p = .4 to 3 X 10⁴ V m⁻¹ Torr⁻¹
 - Time for avalanche to occur ~ 2 50 ms
 - JET found E B_T/dB_z > 10³ V/m
 A. Tanga, et al.in "Tokamak Start-up" H. Knoepfel. Plenum Press, NY (1985)
 - Consistent with NSTX and DIII-D

I.H.Hutchinson, J.D.Strachan Nucl. Fusion 14 649(1974)



Avalanche proceeds until electron-ion collisions are the dominate process compared to electron-neutral collisions

- Electron-neutral and electron-ion collision rates equal when $n_e \sim 0.1 n_0$
- Current density is $j = \gamma n_0 e v_d$ where γ is the H or D ionization fraction
 - j ~ 15-40 kA m⁻²
 - I_p ~ 5 10 kA for NSTX, ~ 20 kA for JET
 - For I_p = 10 kA a = 0.5 m, poloidal field $\mu_0 I_p/2\pi a \sim 40$ G
 - Comparable to stray fields
 - At end of avalanche phase, $\gamma \sim 0.5$, Coulomb collisions dominate j ~ 160 kA m⁻² this agrees with I_p ~ 200-400 kA at end of avalanche for JET
- Until ionization is nearly complete, T_e is limited below 10 eV
- Later T_e can be limited by low Z impurity radiation to < 100 eV until the impurities are ionized (latter phase is called burn-through)
 - Burn-through can be a sticking point when either the influx of impurities liberated from the wall or the density is too high
 - For NSTX this can happen at I_p = 100 to 300 kA and limit the current ramprate during start-up so discharge fails



Database from JET show good agreement between Lloyd's estimate of the avalanche time and the peak time of H_{α}

The JET ITER-like wall is comprised of Tungsten and berrylium



Using the best estimate of L Failed discharges do not deviate from others during the avalanche

 \rightarrow failure is generally not in the avalanche process

P. deVries, 25th IAEA,SanDiego, EXD4-2(2012)



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It has been known for a long time that Low Z impurity radiation can cause excessive energy losses at low T_e



- Radiation Barrier: The radiated power must be less than • the input power or the discharge will cool and collapse
- High Z materials have lower sputtering yields at low T so • are less important at start-up

From D.E. Post et al., At. Data Nucl. Data Tables 2, 400, 1977



(150V)

0

0.1

5

j²n

P RAD

16 775

50 100

From Hawryluk and Schmidt

20

T_c(eV)

1976 Nucl. Fusion

10

Impurity burn through has presented difficulties to most tokamaks, particularly early in the machine's operation

- Solutions employed to minimize impurity influx include
 - High temperature vacuum bake (to 350° to remove water and complex hydrocarbons)
 - Glow discharge cleaning (removes oils and He GDC removes H/D)
 - Boronization (various application techniques, reduces O)
 - Lithium coatings (Reduces C, O and H/D)
 - Ti gettering (coats surfaces reduces O, H/D and C)
 - Use of metal walls can limit the source of low Z impurities (ITER plans include Be which radiates significantly less than C or O)
- Alternatively auxiliary heating can be employed to burn through the low Z impurities and heat the plasma



Recently H-T Kim developed a model (DYON) that uses a dynamic recycling and sputtering model for JET start-up

- Deuterium confinement time τ_D $1/\tau_D = 1/\tau_{D,\parallel} + 1/\tau_{D,\perp}$
- The rotational transform will increase the effective distance to the wall as Ip increases so

 $L(t) \sim (0.25 \ a(t) \ B_T/B_z(t) \ exp(I_p(t)/I_{ref}))$ - I_{ref} is chosen so the plasma's poloidal field exceeds the stray field

- The deuterium confinement time due to parallel particle loss is $\tau_{D,\parallel} = L(t)/C_s$ where C_s is the sound speed $(T_e + T_i)^{1/2}/m_D$
- For Perpendicular transport use Bohm diffusion
- A dynamic recycling coefficient is used for deuterium
- Physical sputtering and a simple chemical sputtering model is used:
 O→ C+O and C + 4D→ CD₄

Hyun-Tae Kim, W. Fundamenski, A.C.C. Sips et al.Nucl. Fusion 52 (2012) 103016



Model results agree well with experiment and demonstrate the importance of including the parallel loss



- Blue lines indicate simulation results
- Red curves on the plots are JET data
- The temporal agreement for the C-II emission gives confidence that impurities are being well-modeled
- The time evolution of the C charge states in the model indicates from 0.15 s on C is fully ionized
- The early density discrepancies may be due to geometrical effects
- This recent start-up model is selfconsistent and includes the important time evolution of impurities from the wall due to sputtering by plasma ions

Hyun-Tae Kim, W. Fundamenski, A.C.C. Sips et al.Nucl. Fusion 52 (2012) 103016



The density at the time of burn-through depends on fill pressure, and the radiated power depends on the wall



- For discharges with similar start-up conditions $V_{loop} = 12 \text{ V}$, E = 0.8 V/m
- At t_{BURN} the density is prefill pressure + some extra for C-Wall
- At t_{BURN} radiated power is a steep function of density for C-Wall
 - No non-sustained breakdowns with ILW due to deconditioning

P. deVries, 25th IAEA, SanDiego, EXD4-2(2012)



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Superconducting tokamaks have low loop voltage which can be marginal on ITER for breakdown and burn-through

- Power supply cost, eddy current heating in the superconducting coils, vacuum vessel and cryo-stat combine to place limits on the applied electric field
 - For ITER, E ~ 0.3V/m, the low end of successful breakdown
 - EAST and KSTAR insert resistors into the coil circuits to produce higher voltage for breakdown
- All 3 have less ohmic power to heat the plasma and ramp the plasma current than conventional tokamaks
 - Electron Cyclotron Radiofrequency Heating (ECRH) can lower the voltage required for breakdown by a factor of about two
 - ECRH can provide power to heat the plasma
 - This is especially important during burn-through when ${\rm I}_{\rm p}$ is low and ohmic power is limited



Lloyd used a 0-D model of power balance during the start-up on ITER to assess the need for additional power

• Electron power balance

$$\frac{3}{2}\frac{d}{dt}\left(n_{e}KT_{e}\right) = P_{OH} + P_{RF} - \left(P_{Dion} + P_{Drad}\right) - P_{e-i} - P_{con}^{e} - P_{brem}$$
$$-\sum\left(P_{ion} + P_{line} + P_{RRE} + P_{DRE}\right)$$

• Ion power balance

$$\frac{3}{2}\frac{d}{dt}\left(n_{i}KT_{i}\right) = P_{e-i} - P_{CX} - P_{con}^{i}$$

$$\frac{dn_D}{dt} = \frac{V_n}{V_p} Sn_0 n_e - \frac{n_D}{\tau_p}$$

This 0-D model handles impurities by assuming they are a fixed fraction of the deuterium density

It uses deuterium recycling coefficient R=1.01

B Lloyd, P G Carolan and C D Warric, Plasma Phys. Control. Fusion 38 (1996) 1627–1643.



Conclusions for the 0-D model for start-up is that start-up on ITER will be more robust with additional power

- Zero-dimensional modeling of ITER start-up scenarios indicates that for inductive only start-up:
 - Burn-through with 2% Be impurity is possible for low fill pressure 1.5X10¹⁷/m³ (2X10⁻⁶ Torr), post avalanche density < 1.5 X10¹⁸/m³
 - However for 5% Be or a higher fill pressure failure is likely
- Addition of auxiliary power in the form of Electron Cyclotron Resonant Heating (ECRH) improves power margin
 - With 2 MW of absorbed ECRH 5% Be impurity is allowable with a post avalanche density of 5 X10¹⁸/m³
 - but not for 2% C
 - For 5% C and the same density 5 MW of absorbed ECRH is required for robust start-up
- ITER plans now include several MW of ECRH
- Now we will move on to experience with ECRH and start-up on JET with ITER-like wall which provide useful data for further insight

B Lloyd, P G Carolan and C D Warric, Plasma Phys. Control. Fusion 38 (1996) 1627–1643.



ECRH has been used on many devices to provide preionization and electron heating during start-up

- 2nd Harmonic X-Mode (E⊥B) and fundamental O-Mode (E||B) launched from the low field side can access the plasma
- Use of ECH lowers the required field for breakdown below 0.3 V/m



G. L. Jackson PhysPlasmas_17_056116 (2010)



Progression of discharge phases during ECRH start-up in DIII-D

- Avalanche and expansion phases have low I_{D}
- Current channel forms at 20-60 kA evidenced by increase it T_e
- Burn-through follows with additional heat from ECH



Jackson, et al., Fus. Sci & Technol.,57(2010)27



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Causes of discharge failure are not always obvious



CCD image just after break-down suggests failure to burn-through



- The start-up of the first plasma on EAST
 - Early attempts disrupted at $I_p \sim 35$ kA at 70-100 ms, unclear why
 - The breakdown resistors were in the circuit for 100 ms
 - Mutual from central coils exceeded vertical field power supply capability (which have since been upgraded)
- Model predicted more negative vertical field than achieved
- Camera images indicate plasma was at large R
- Failure was due to too small vertical field
- Shortened the breakdown resistor time to 50 ms



For all tokamaks it is essential to apply the proper vertical field and to have a vertically and radially stable field pattern

Vertical field (circular plasma)

$$B_{z} = -\frac{\mu_{0}I_{p}}{4\pi R_{0}} \left[\ln\left(\frac{8R_{0}}{a}\right) + \frac{l_{i}}{2} + \beta_{p} - \frac{3}{2} \right]$$
$$\beta_{p} \sim 0.1, \ l_{i} = \frac{2}{\mu_{0}^{2}RI^{2}} \int B_{p}^{2}dV \sim 1$$

Radial Field

$$B_r = -n\frac{B_z}{R_0} \left[Z - Z_0 \right]$$

Field index n

$$n = -(R/B_z)(\partial B_z/\partial R) \Longrightarrow 0 < n < 3/2$$

- KSTAR has ferromagnetic material in the coil jackets
- Higher vertical field at small R which increases field index
- Important effect for field null and low B_z
- Plasma start-up variability, particularly smaller R, sometimes resulted in radial instability before the effect of magnetic material was considered
- Modifying the start-up field pattern to account for ferromagnetic effects produced a stable configuration
- Greatly improved reliability when implemented in 2010 and allowed ohmic start-up without ECH for the first time in KSTAR

J. Kim, Nucl. Fusion 51 (2011) 083034



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So far neglected a discussion of plasma density except when discussing Kim's and Lloyd's modelling



P. deVries, 25th IAEA, SanDiego, EXD4-2(2012)



The choice of plasma growth strategy determines the current density profile evolution which influences stability



-Constant q growth realizes fully evolved j(r) profiles earlier ϵ internal inductance, $I_{\rm i}$

•Full aperture scenario has broader j(r) and minimizes I_i

•Each strategy is affected by ramp-rate, impurities and heating power and timing typically, $dI_p/dt < 0.5$ MA/s

Wesson, J., et al., Nucl. Fusion 29 (1989) 641.



ITER strategy for plasma growth has evolved from small aperture growth to a large-bore start-up that diverts earlier



- Small bore start-up scenario; q ~ constant growth, diverts at high current
 - Long Ip ramp-up time due to low loop voltage
 - Limited on outboard surface heating issue
 - Facilitates current penetration early sawteeth
- Large bore plasma that diverts at lower I_p reduces heating of limiter
- Large-bore start-up studies on DIII-D indicate less heating of limiter and lincologier to ITER target and has now been adopted by ITER

G. Jackson, Nucl. Fusion 48 (2008) 125002



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Central solenoid is well understood and works well, but there are reasons to consider other start-up techniques

- Elimination of a conventional solenoid is required to achieve low aspect ratio at small device size for fusion nuclear applications
- If non-inductive current drive could support a steady state reactor, an alternative start-up technique could support elimination of the solenoid
- Having small or no solenoid could also help reduce conventional tokamak reactor size and cost
- With an iron core the plasma physics is similar, but engineering issues remain

D.A. Gates et al. Fusion Engineering and Design 86 (2011) 41–44



All inductive start-up strategies face the same issues as using a central solenoid, but the emphasis may differ

- DIII-D, JT60, MAST, NSTX and others have demonstrated inductive start-up without use of the central coils
 - A good field null with a stabilizing poloidal field pattern must be provided while at the same time ramping the outer coils to produce flux

Example from DIII-D

- Coil currents and plasma current for outer PF start-up
- Used ECRH for plasma initiation and burn-through
- Only the labeled coils participate
- Diverting plasma requires positive current in divertor coils





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Of the candidate RF start-up strategies for the ST, so far Electron-Bernstein Wave (EBW) is the most promising

- The acceleration of electrons in a preferential direction by RF waves can be used for start-up
- Lower Hybrid Current Drive start-up to 100 kA was demonstrated on PLT in the early 80s.
- Start-up by ECH on DIII-D, TS2 and LATE
- EBW has been used on MAST to start-up the plasma current to 33 kA using 100 KW
 - EBW is an electrostatic wave that can exist only in a plasma
 - It can be produced by mode-conversion of X-Mode electroncyclotron frequency waves at the upper hybrid resonance (UHR)



EBW can be produced by mode conversion of X-Mode waves in ECRF launched from the high field side



- MAST solution is to launch O-Mode from the low field side (LFS)
 - O-Mode wave at 28 Ghz is not strongly damped (<2% absorption) below the cut off density of about 1X10¹⁹/m³
 - A grooved reflector (polarizing mirror) cut into the central column converts the O-Mode to X-Mode wave.

From V. Shevchenko, EC-15 Joint Workshop, 2008, Yosemite, CA, USA



28 GHz breakdown with O-Mode launch with toroidal field and 1mT vertical field in MAST, and ray tracing of EBW





V. Shevchenko, EC-15 Joint Workshop, 2008, Yosemite, CA, USA See Lagua, Plasma Phys. Control. Fusion 49 (2007) R1-R42



MAST EBW start-up to 33 kA with 100 kW ECRF using vertical shifts to provide co-current in both open- and closed-fields



- Time, s
 Proportion co/counter EBW CD depends on fraction above/below the midplane
- Plasma shifted up during open field line period
- Plasma shifted at the time closed field lines appear to maintain co-current drive

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Transient CHI current formation achieved by biasing inner vs. outer vessel to drive expanding helical current channel

Gas injected at bottom of device, breakdown proceeds via Townsend avalanche along helical field connecting inner and outer vessel with many wraps the toroidal current can be 100 times injector current



R. Raman, Nucl. Fusion 51 (2011) 113018 (8pp) D. Mueller. Fusion Science and Technol. 52 2007 Bubble burst condition: When $I_{inj} > 2 \psi_{inj}^2 / (\mu_0^2 d^2 I_{TF})$ Plasma fills vessel

Reconnection occurs when injector current is reduced to zero \rightarrow closed flux surfaces





Transient CHI start-up must be followed by another means of current drive - so far only inductive drive has been available



Comparison of CHI initiated discharge (in red) with only inductive current drive (in blue)

Neutral beam heated L-Mode discharges initiated with CHI use about 40% less inductive flux to reach 1 MA.

Discharges with high $T_{\rm e}$ early ramp to higher currents

From Nelson, Nucl. Fusion 51 (2011) 063008

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Plasma guns have been employed on PEGASUS to inject helicity and provide start-up plasmas

$$\begin{array}{ll} \hline \text{Relaxation Limit} \rightarrow \text{High } I_{\text{inj}}, \text{ low } w \\ Magnetic \ Helicity \quad K = \int \vec{A} \cdot \vec{B} \, dv \\ \lambda = \mu_0 I / \Psi \quad and \quad I_p \leq I_{inj} \frac{\Psi_T}{\Psi_{edge}} \\ where \quad \Psi_{edge} = 2\pi R_{edge} w B_{z,edge} \\ I_p \leq f_{Geom} \left(\frac{\varepsilon A_p I_{inj} I_{TF}}{2\pi R_{edge} w} \right)^{1/2} \quad 1 < f_{Geom} < 3 \end{array}$$

<u>Helicity input rate \rightarrow High V_{inj}, A_{inj}</u>

$$I < \frac{1}{2\pi \langle \eta \rangle} \left(\frac{A_p V_{ind}}{R_0} + \frac{A_{inj} V_{bias}}{R_{inj}} \right)$$

Gun location is flexible Could be withdrawn after start-up

From Battaglia, J Fusion Energy (2009) 28:140-143



From Eidietis, J. Fusion Energy (2007)26:43-46



From Battaglia, Nucl. Fusion 51 (2011) 073029

Images of plasma gun startup with gun located near the center (top) and outside (lower) of the Pegasus

- a) filaments
- b) Merging
- c) Relaxation



Plasmas initiated with plasma guns on PEGASUS have been ramped to over 100 kA with < 4 kA of gun current



- Demonstrated initiation and rampup I_p > 100 kA
- Current multiplication >25 Battaglia, PRL 102, 225003 (2009)





- Plasma initiated with plasma guns can be ramped to higher current by induction.
 - 150 kA in this example was achieved with half the inductive flux required for a purely inductively driven plasma

Inductive start-up with ECH is well understood and promising non-inductive start-up techniques exist for STs

- Townsend avalanche and burn-through have been modeled self-consistently
- ECRH to both assist the avalanche and heat to burn through low Z impurities
- Low aspect ratio tokamaks have little space for a central solenoid so the usual inductive technique is problematic
- Possible alternatives to solenoidal start-up
 - Outer PF start-up
 - Electron Bernstein Wave
 - Coaxial helicity injection
 - Plasma guns to inject helicity
- Demonstrated start-up from zero to significant current
- Well-positioned for start-up of ITER



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EBW

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