

Predictions and observations of global beta-induced Alfvén-acoustic modes in JET and NSTX

N.N. Gorelenkov, E.D. Fredrickson, S. Kaye, H. Park

Princeton Plasma Physics Laboratory, Princeton

H. L. Berk

Institute for Fusion Studies, Austin, Texas

S. E. Sharapov

Euroatom/UKAEA Fusion Assoc., Culham Science Centre, Abingdon, Oxfordshire

D. Stutman, K. Tritz,

Johns Hopkins University, Baltimore, Maryland

N. A. Crocker, S. Kubota, W. Peebles

University of California, Los Angeles, California

S. A. Sabbagh

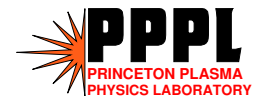
Columbia University, New York

F. M. Levinton, H. Yuh

Nova Photonics, Princeton, New Jersey

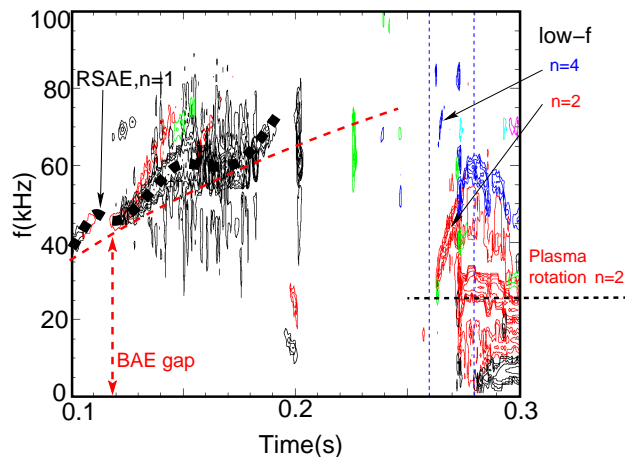
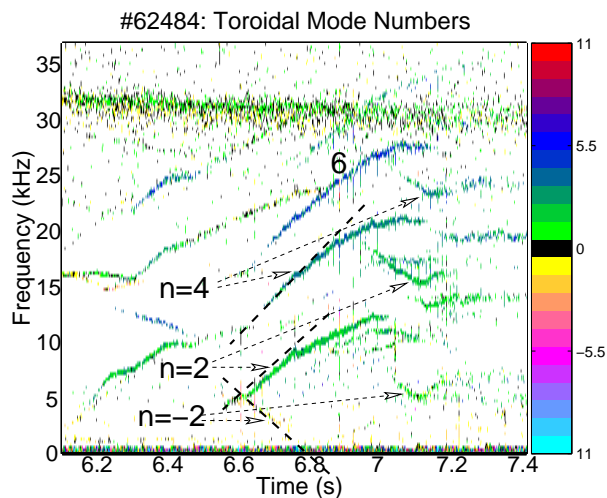
JET EFDA Contributors and the NSTX team

34th EPS Conference on Plasma Physics, July 2-6, Warsaw, 2007



New experimental observations on JET and NSTX motivate low frequency mode study

	<i>JET</i>	<i>NSTX</i>
$B(T)$	2.7	0.45
$R/a(m)$	2.95/0.95	0.85/0.66
β_{pl}/β_{fast} (%)	1/1	34/15
<i>fast ions</i>	<i>2MW ICRH</i>	<i>2MW NBI</i>



Frequencies sweep up from $f_{pl} = 0 - 20kHz$

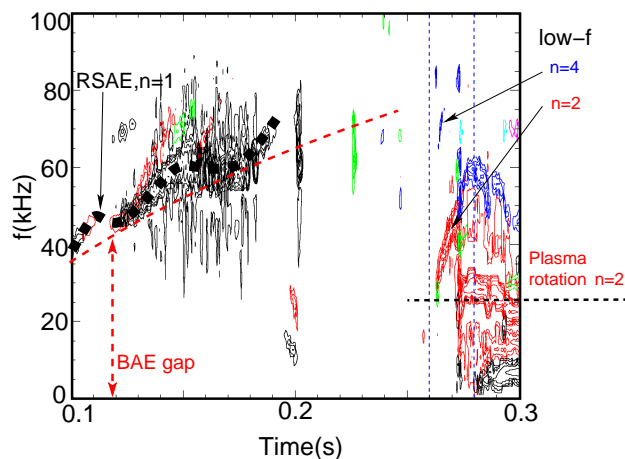
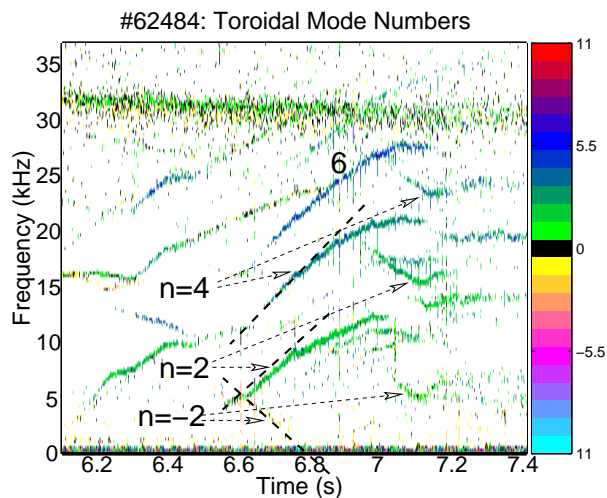
Only even n 's were observed.

q-profile: monotonic in JET, reversed in NSTX.

Frequency is much lower than RSAE/TAE frequency, v_A/qR and $\omega_* < 1kHz$

New experimental observations on JET and NSTX motivate low frequency mode study

	<i>JET</i>	<i>NSTX</i>
$B(T)$	2.7	0.45
$R/a(m)$	2.95/0.95	0.85/0.66
β_{pl}/β_{fast} (%)	1/1	34/15
<i>fast ions</i>	<i>2MW ICRH</i>	<i>2MW NBI</i>



Frequencies sweep up from $f_{pl} = 0 - 20kHz$

Only even n 's were observed.

q-profile: monotonic in JET, reversed in NSTX.

Frequency is much lower than RSAE/TAE frequency, v_A/qR and $\omega_* < 1kHz$

What are these modes: EPMS, fishbones, KBM, TAEs?

What is the importance of low- f instabilities?

- New class of instabilities called here **Beta-induced Alfvén Acoustic Eigenmode (BAAE)** helps to study two fundamental MHD waves: Alfvén and acoustic.

What is the importance of low- f instabilities?

- New class of instabilities called here **Beta-induced Alfvén Acoustic Eigenmode (BAAE)** helps to study two fundamental MHD waves: Alfvén and acoustic.
- Energetic particle driven low- f MHD instabilities mostly result in radial particle transport:
 - On NSTX, bursting low- f modes can lead to a significant loss of injected beam ions (Fredrickson'06).

What is the importance of low- f instabilities?

- New class of instabilities called here **Beta-induced Alfvén Acoustic Eigenmode (BAAE)** helps to study two fundamental MHD waves: Alfvén and acoustic.
- Energetic particle driven low- f MHD instabilities mostly result in radial particle transport:
 - On NSTX, bursting low- f modes can lead to a significant loss of injected beam ions (Fredrickson'06).
- **MHD spectroscopy** application for q -profile diagnostic:
 - BAAE can complement MHD spectroscopy in low-, medium- β plasma
 - BAAE maybe the only MHD spectroscopy tool in **high- β plasma**, such as in STs when RSAEs are suppressed.

What is the importance of low- f instabilities?

- New class of instabilities called here **Beta-induced Alfvén Acoustic Eigenmode (BAAE)** helps to study two fundamental MHD waves: Alfvén and acoustic.
- Energetic particle driven low- f MHD instabilities mostly result in radial particle transport:
 - On NSTX, bursting low- f modes can lead to a significant loss of injected beam ions (Fredrickson'06).
- **MHD spectroscopy** application for q -profile diagnostic:
 - BAAE can complement MHD spectroscopy in low-, medium- β plasma
 - BAAE maybe the only MHD spectroscopy tool in **high- β plasma**, such as in STs when RSAEs are suppressed.
- Due to coupling to acoustic branch strong interaction with thermal ions is expected:
 - \Rightarrow strong drive due to fast ions and strong damping due to thermal ions,
 - \Rightarrow potential for **energy channeling** from beam ions directly to thermal ions (**α -channeling**, Fisch'93, hot-ion mode, LiWall).

TALK OUTLINE

1. Theory of Alfvén - acoustic continuum in ideal MHD
2. JET analysis and data comparison
3. NSTX analysis and data comparison
4. Discussion and Summary

Theory of Alfvén/acoustic continuum

Simplified shear Alfvén and acoustic coupled equations capture main effects in low- β , large aspect ratio plasma, low ω_* , (Cheng, Chance '86):

$$\Omega^2 y + \partial_{\parallel}^2 y + \gamma\beta \sin\theta z = 0 \text{ (Alfvénic)} \quad (1)$$

$$\Omega^2 \left(1 + \frac{\gamma\beta}{2}\right) z + \frac{\gamma\beta}{2} \partial_{\parallel}^2 z + 2\Omega^2 \sin\theta y = 0 \text{ (acoustic)}, \quad (2)$$

where $\Omega \equiv \omega R_0 / v_A$, $y \equiv \xi_s \varepsilon / q$, $\xi_s \equiv \vec{\xi} \cdot \frac{[\mathbf{B} \times \nabla \Psi]}{|\nabla \Psi|^2}$ and $z \equiv \nabla \cdot \vec{\xi}$, $\hat{k}_{\parallel} \equiv i\partial_{\parallel}$.

Coupling is due to geodesic curvature: m Alfvénic and $m \pm 1$ acoustic harmonics.

Theory of Alfvén/acoustic continuum

Simplified shear Alfvén and acoustic coupled equations capture main effects in low- β , large aspect ratio plasma, low ω_* , (Cheng, Chance '86):

$$\Omega^2 y + \partial_{\parallel}^2 y + \gamma\beta \sin\theta z = 0 \text{ (Alfvénic)} \quad (1)$$

$$\Omega^2 \left(1 + \frac{\gamma\beta}{2}\right) z + \frac{\gamma\beta}{2} \partial_{\parallel}^2 z + 2\Omega^2 \sin\theta y = 0 \text{ (acoustic)}, \quad (2)$$

where $\Omega \equiv \omega R_0 / v_A$, $y \equiv \xi_s \varepsilon / q$, $\xi_s \equiv \vec{\xi} \cdot \frac{[\mathbf{B} \times \nabla \Psi]}{|\nabla \Psi|^2}$ and $z \equiv \nabla \cdot \vec{\xi}$, $\hat{k}_{\parallel} \equiv i\partial_{\parallel}$.

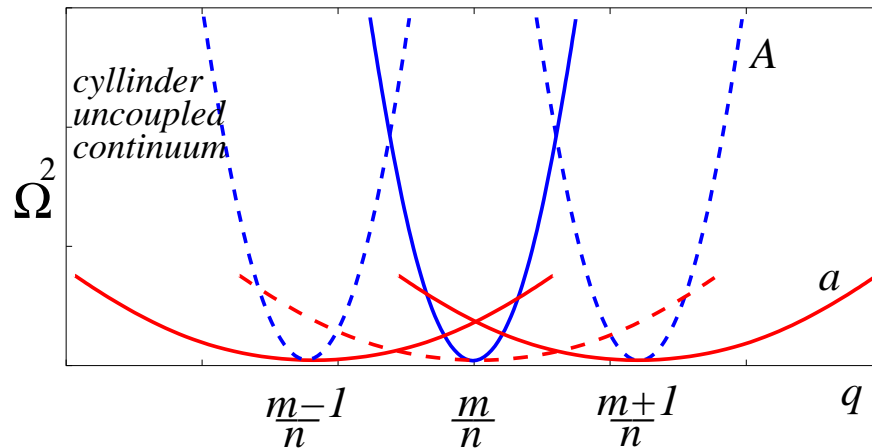
Coupling is due to geodesic curvature: m Alfvénic and $m \pm 1$ acoustic harmonics.

Various solutions follows (Winsor'68, Goedbloed'75, Mikhailovski'75,'98, Chu'92, Turnbull '92, Zonca'96, van der Holst'00, Breizman'05, Berk'06):

- Pure acoustic modes (AMs) $\Omega^2 = \frac{1}{2} \gamma\beta k_{\parallel}^2$.
- Pure Alfvénic branch $\Omega^2 = k_{\parallel}^2 + \gamma\beta (1 + 1/2q^2)$.
- GAMs: $\Omega^2 = \gamma\beta (1 + 1/2q^2)$ in the assumption of $\Omega^2 \geq \gamma\beta$.
- **Modified shear Alfvén** branch $\Omega^2 = k_0^2 / (1 + 2q^2)$ exists for $\Omega^2 \ll \gamma\beta$.

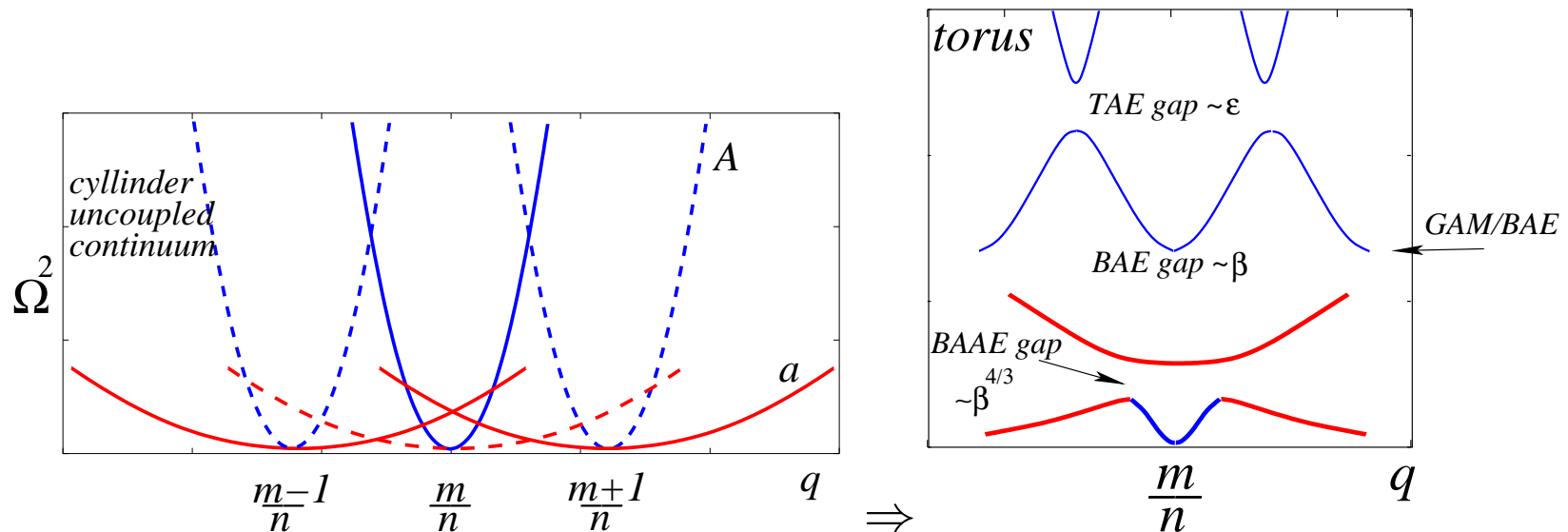
Alfvén/acoustic coupling in toroidal equilibrium (schematic)

- Alfvén (A) continuum at low frequency: $\Omega^2 = k_{0,\pm 1}^2$
- Acoustic (a) branch $\Omega^2 = \gamma\beta k_{0,\pm 1}^2/2(1 + \delta)$



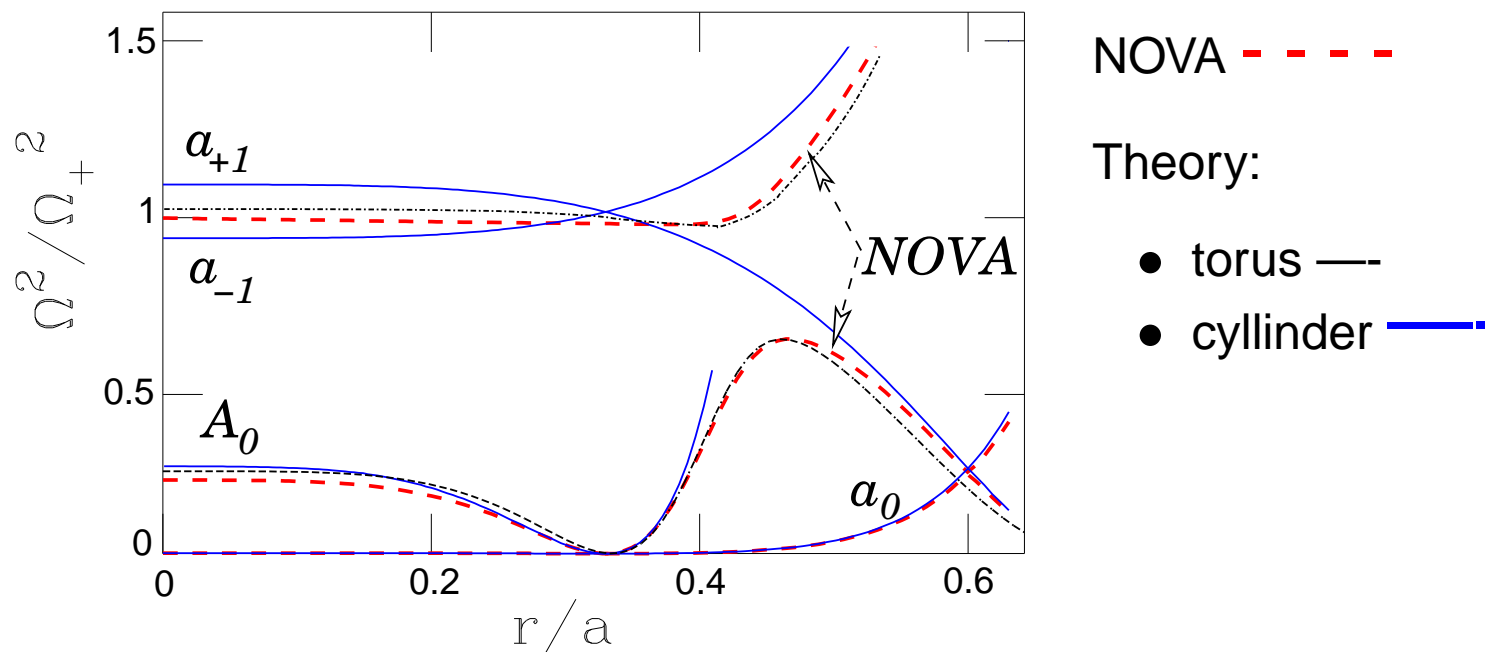
Alfvén/acoustic coupling in toroidal equilibrium (schematic)

- Alfvén (A) continuum at low frequency: $\Omega^2 = k_{0,\pm 1}^2 / (1 + 2q^2)$ (modified)
- Acoustic (a) branch $\Omega^2 = \gamma\beta k_{0,\pm 1}^2 / 2(1 + \delta)$ is coupled via $m \pm 1$ sidebands with modified Alfvén continuum (m harmonic) due to geodesic curvature and pressure.



Analytic dispersion for Alfvén/acoustic continuum gap is derived

- Consider JET, monotonic q-profile, ten times higher aspect ratio.
- $\Omega_+ = \sqrt{\gamma\beta/2}/q_r$ (compare with GAM $\Omega = \sqrt{\gamma\beta(1 + 1/2q^2)}$).

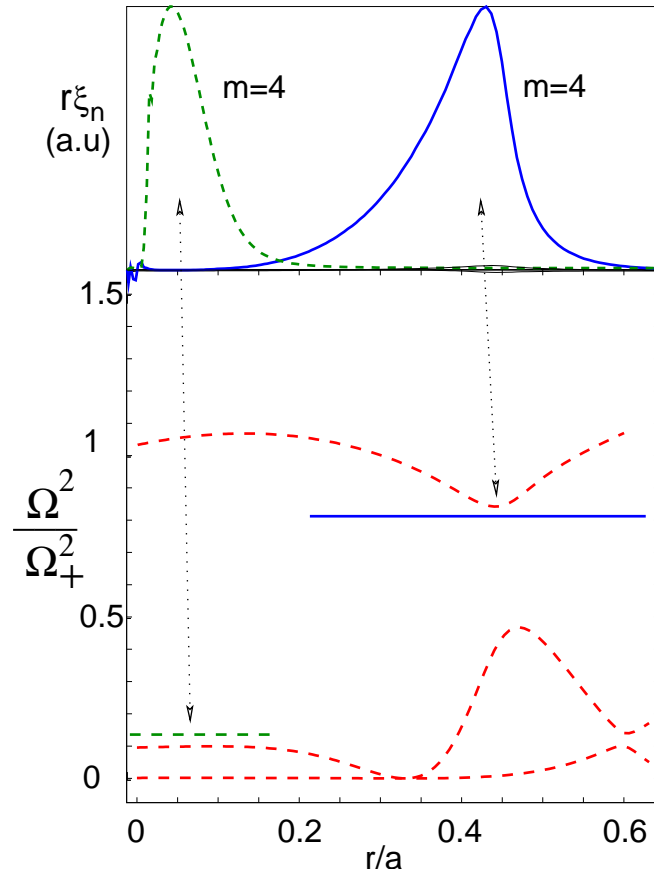


Exact MHD (NOVA) continuum is in good agreement with theory.

TALK OUTLINE

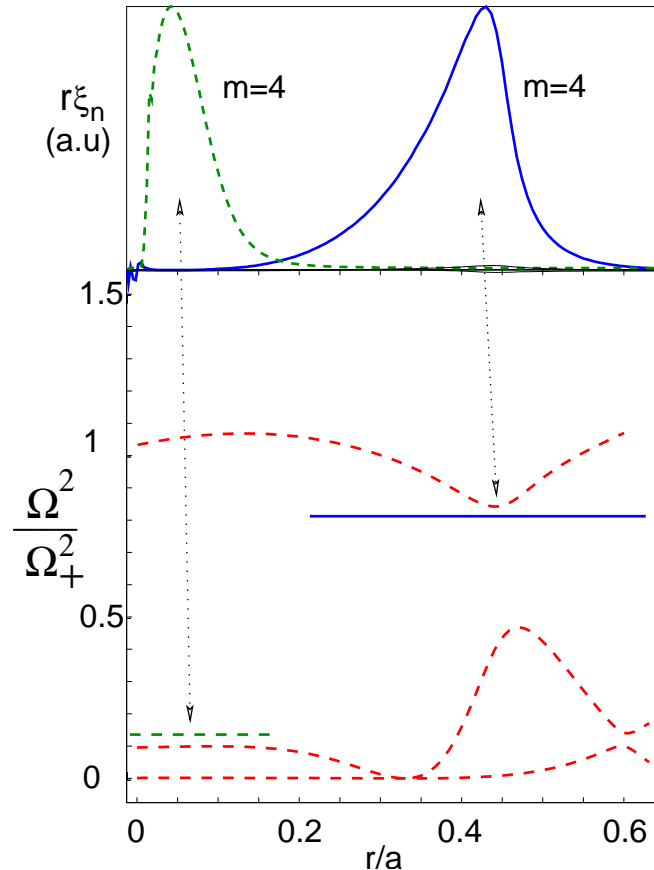
1. Theory of Alfvén - acoustic continuum in ideal MHD
2. **JET analysis and data comparison**
3. NSTX analysis and data comparison
4. Discussion and Summary

JET plasma analysis: two global BAAE modes are found numerically



- Core localized and gap BAAEs are found with one dominant poloidal harmonic.
 - Monotonic q -profile (EFIT):
 $q_0 \simeq 1, q_a = 4.$
- Core localized BAAE (A):
 $\omega = v_A k_{\parallel} / \sqrt{1 + 2q_{min}^2 |_{r=0}.$
- Gap BAAE (A-a):
 $\Omega_+ \simeq v_A \sqrt{\gamma\beta/2} / q_{min} R.$
- $n = 4, r\xi_n$ is shown.
- $\nabla\xi, m \pm 1$ sidebands are present ($\sim \xi_{\theta}/a$).

JET plasma analysis: two global BAAE modes are found numerically

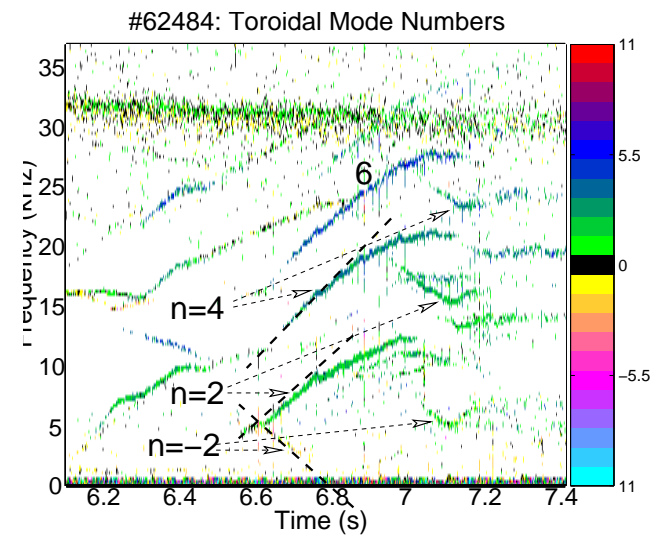
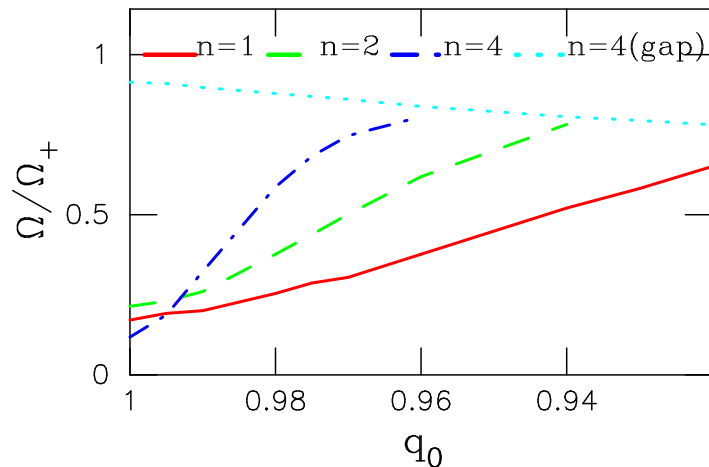


- Core localized and gap BAAEs are found with one dominant poloidal harmonic.
 - Monotonic q -profile (EFIT):
 $q_0 \simeq 1, q_a = 4.$
- Core localized BAAE (A):
 $\omega = v_A k_{\parallel} / \sqrt{1 + 2q_{min}^2 |_{r=0}.$
- Gap BAAE (A-a):
 $\Omega_+ \simeq v_A \sqrt{\gamma\beta/2} / q_{min} R.$
- $n = 4, r\xi_n$ is shown.
- $\nabla\xi, m \pm 1$ sidebands are present ($\sim \xi_{\theta}/a$).

BAAE frequency is related to q_{min} value \Rightarrow useful for diagnostic

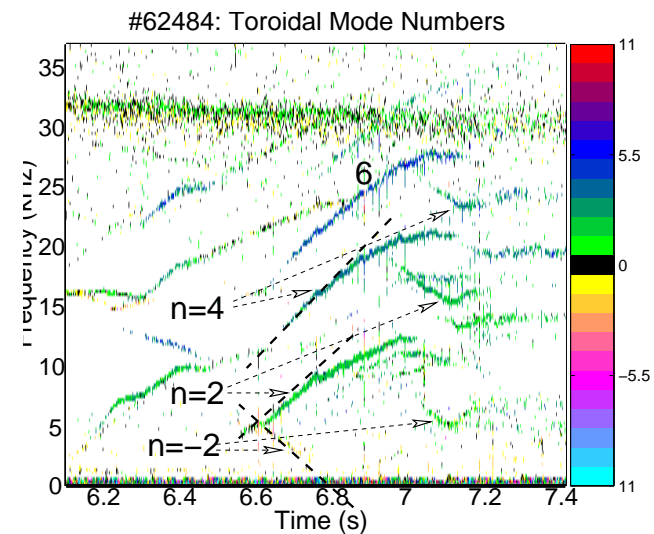
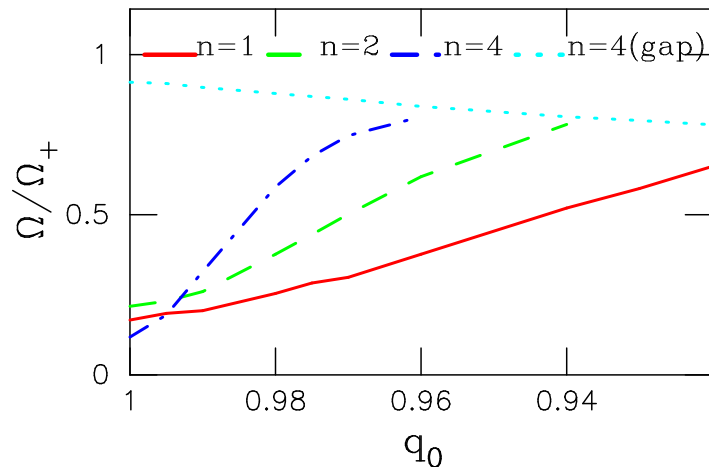
Relaxing q -profile results in BAAE frequency up-sweep

- Core BAAE activity is predicted to have sweeping frequency ($T_e > T_i$)
- Up-chirp is limited by the gap, $\Omega_+ \simeq v_A \sqrt{\gamma\beta/2}/qR$.
 $\Omega_+ = 31\text{kHz}$ for pure electron plasma.
- Core BAAE evolution frequency is close to modified Alfvén branch.
- Rotation is inferred $f_{rot} = 2.5\text{kHz}$.



Relaxing q -profile results in BAAE frequency up-sweep

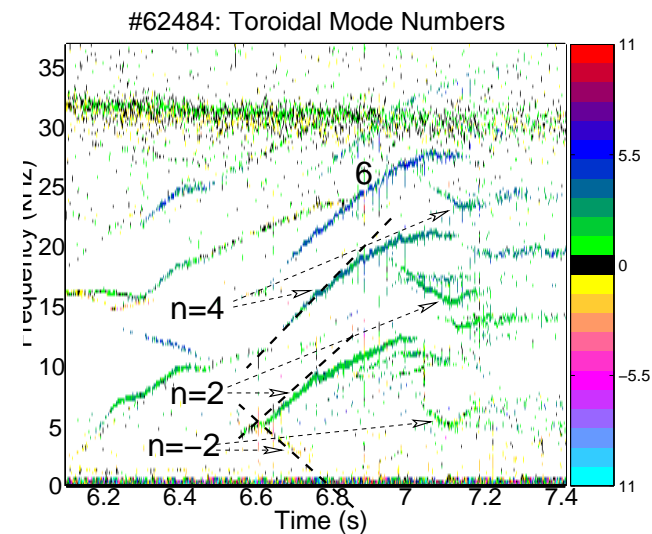
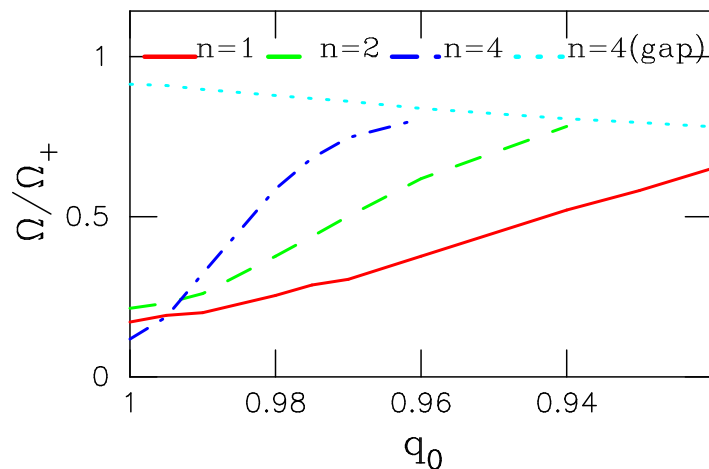
- Core BAAE activity is predicted to have sweeping frequency ($T_e > T_i$)
- Up-chirp is limited by the gap, $\Omega_+ \simeq v_A \sqrt{\gamma\beta/2}/qR$.
 $\Omega_+ = 31\text{kHz}$ for pure electron plasma.
- Core BAAE evolution frequency is close to modified Alfvén branch.
- Rotation is inferred $f_{rot} = 2.5\text{kHz}$.



NOVA predicts gap $f_{BAAE} = 24.8\text{kHz}$ against observed 14kHz , all n 's exist ($q_0 = 1$).
Possible way to resolve this is to assume local negative shear with $q_{min} = 3/2$:
1) frequency $\sim q^{-1}$, goes down to $\sim 16\text{kHz}$,
2) only even m 's are expected: $m = nq_{min}$ is integer.

Relaxing q -profile results in BAAE frequency up-sweep

- Core BAAE activity is predicted to have sweeping frequency ($T_e > T_i$)
- Up-chirp is limited by the gap, $\Omega_+ \simeq v_A \sqrt{\gamma\beta/2}/qR$.
 $\Omega_+ = 31\text{kHz}$ for pure electron plasma.
- Core BAAE evolution frequency is close to modified Alfvén branch.
- Rotation is inferred $f_{rot} = 2.5\text{kHz}$.



NOVA predicts gap $f_{BAAE} = 24.8\text{kHz}$ against observed 14kHz , all n 's exist ($q_0 = 1$).
Possible way to resolve this is to assume local negative shear with $q_{min} = 3/2$:
1) frequency $\sim q^{-1}$, goes down to $\sim 16\text{kHz}$,
2) only even m 's are expected: $m = nq_{min}$ is integer.

MSE was available only later in the shot.

TALK OUTLINE

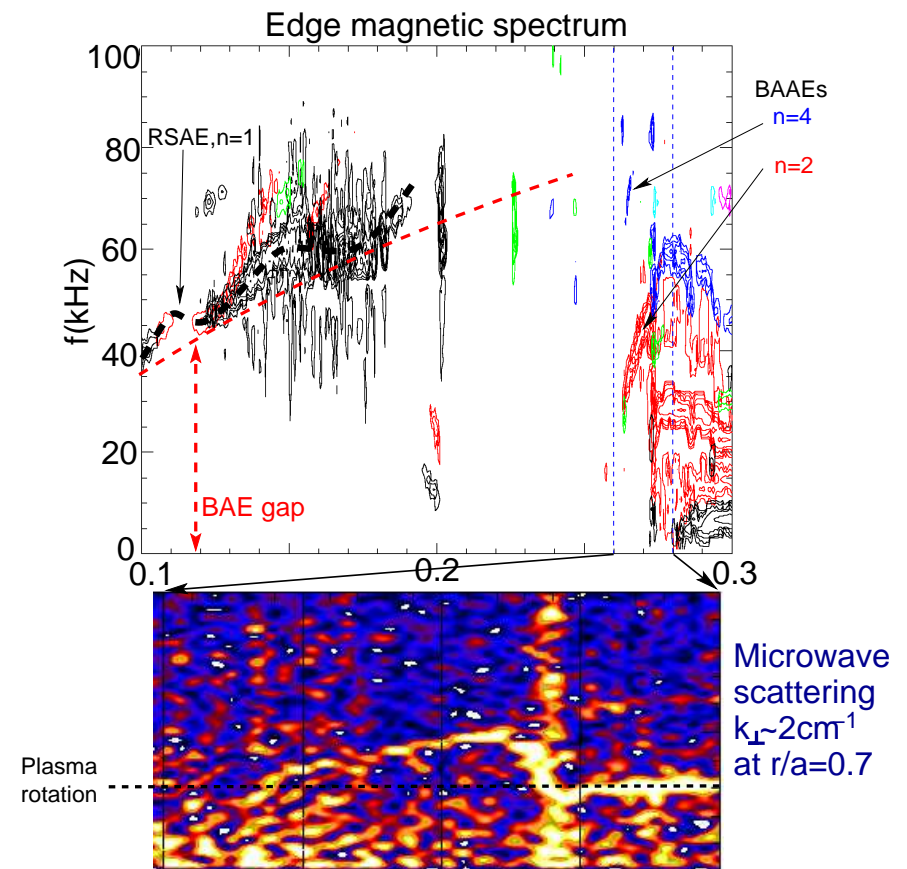
1. Theory of Alfvén - acoustic continuum in ideal MHD
2. JET analysis and data comparison
3. **NSTX analysis and data comparison**
4. Discussion and Summary

NSTX experiments with MSE address frequency mismatch

- Low density $n_e \simeq 3 \times 10^{19} m^{-3}$,
 $P_{NBI} = 2MW$, $E_{NBI} = 90keV$.
- 12 channel MSE measures q profile (reversed shear).
- Need to test the theory.

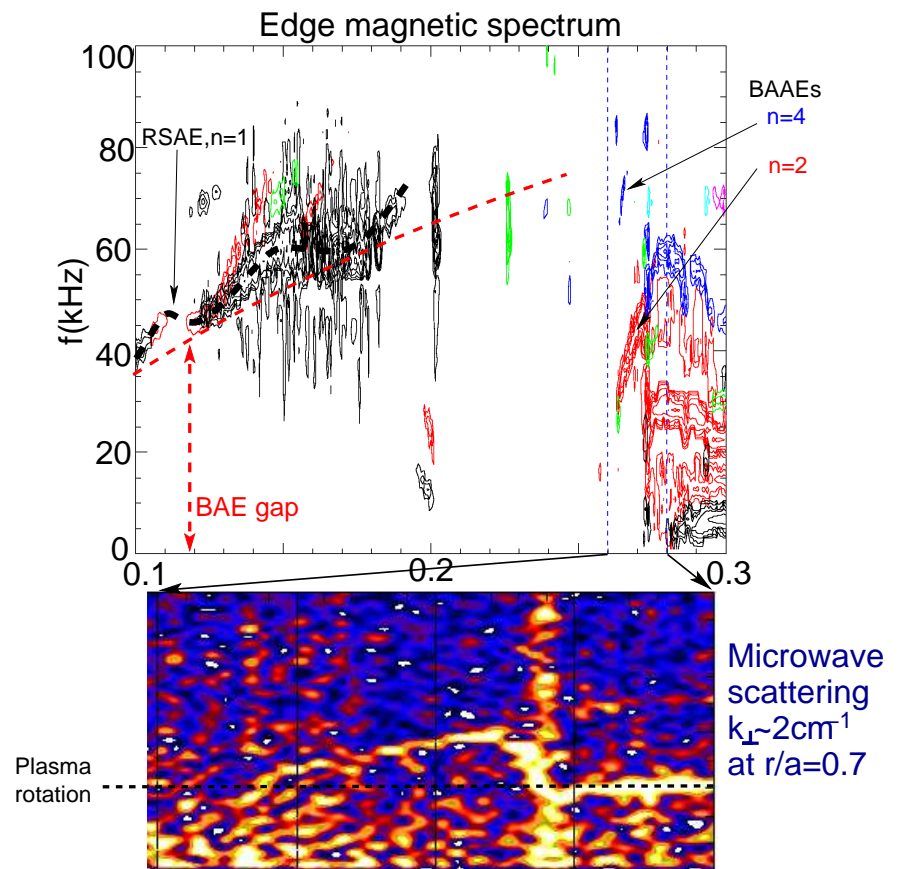
NSTX experiments with MSE address frequency mismatch

- Low density $n_e \simeq 3 \times 10^{19} m^{-3}$, $P_{NBI} = 2MW$, $E_{NBI} = 90keV$.
- 12 channel MSE measures q profile (reversed shear).
- Need to test the theory.
- Low frequency oscillations (BAAEs) are seen unstable:
 - Characteristic upshift frequency evolution from zero (plasma frame).
 - BAAEs reside in wider BAE gap $f \sim \sqrt{\beta_{pl}}$.
- High-k component of BAAE at $r/a = 0.7 \Rightarrow$ conversion to KAW (H.Park, P2.045).



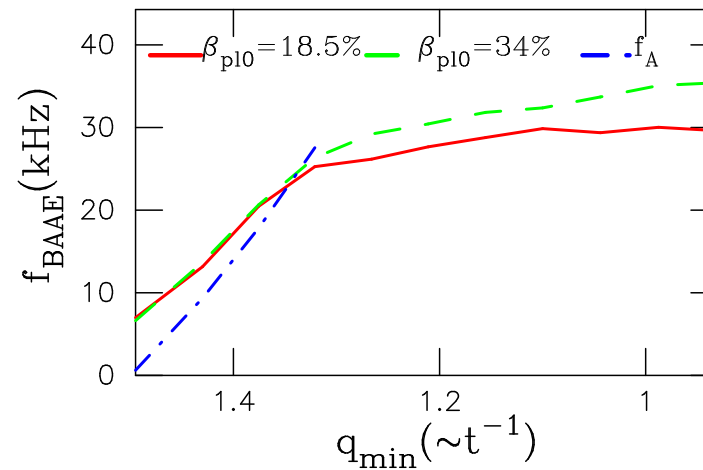
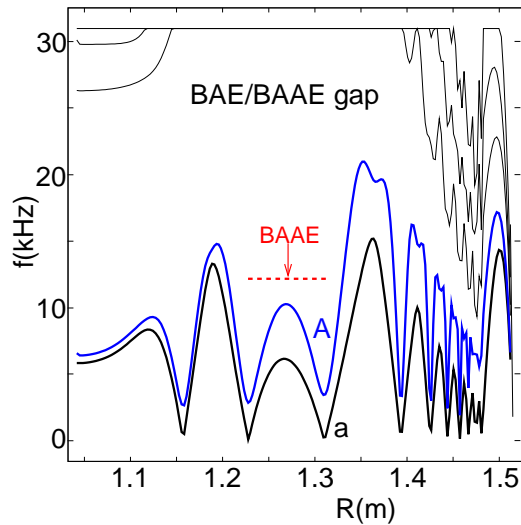
NSTX experiments with MSE address frequency mismatch

- Low density $n_e \simeq 3 \times 10^{19} m^{-3}$, $P_{NBI} = 2MW$, $E_{NBI} = 90keV$.
- 12 channel MSE measures q profile (reversed shear).
- Need to test the theory.
- Low frequency oscillations (BAAEs) are seen unstable:
 - Characteristic upshift frequency evolution from zero (plasma frame).
 - BAAEs reside in wider BAE gap $f \sim \sqrt{\beta_{pl}}$.
- High-k component of BAAE at $r/a = 0.7 \Rightarrow$ conversion to KAW (H.Park, P2.045).



TAE/RSAEs are suppressed (see E. Fredrickson poster) and BAAEs are excited by beams in high- β NSTX plasmas (typically $\beta_{pl} > \sim 15\%$).

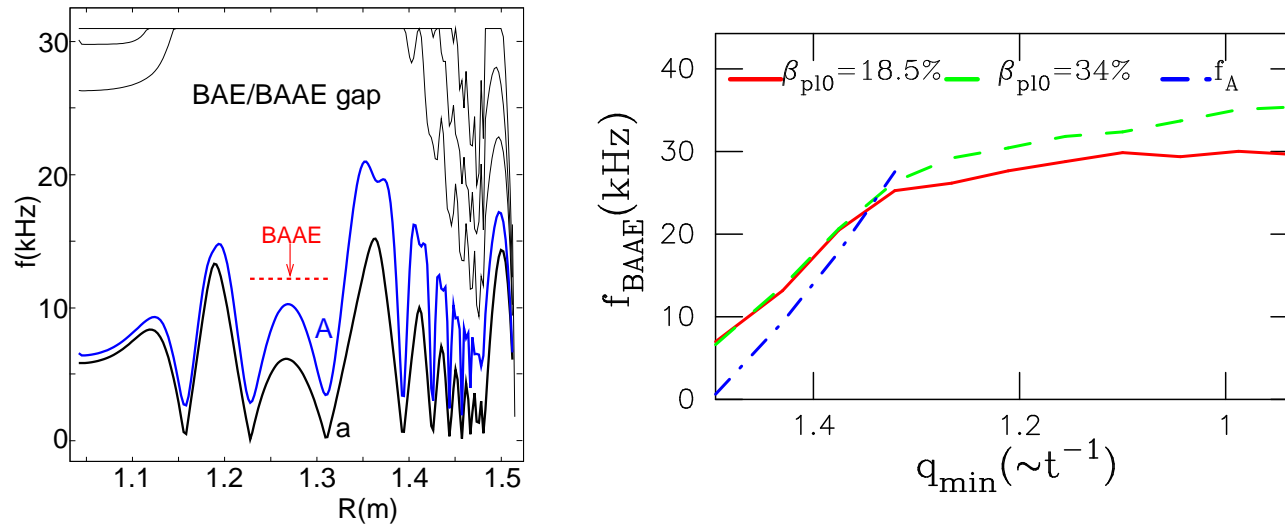
Numerically global BAAE modes are found at q_{min} surface in NSTX



MSE measured inversed q -profile is used in NOVA modeling.

- At high- $\beta_0 = 34\%$, BAE is wide, up to TAE frequency.
- Two Alfvén/acoustic (A/a) continuum branches are found with $\Omega^2 < \gamma\beta$, $n = 2$

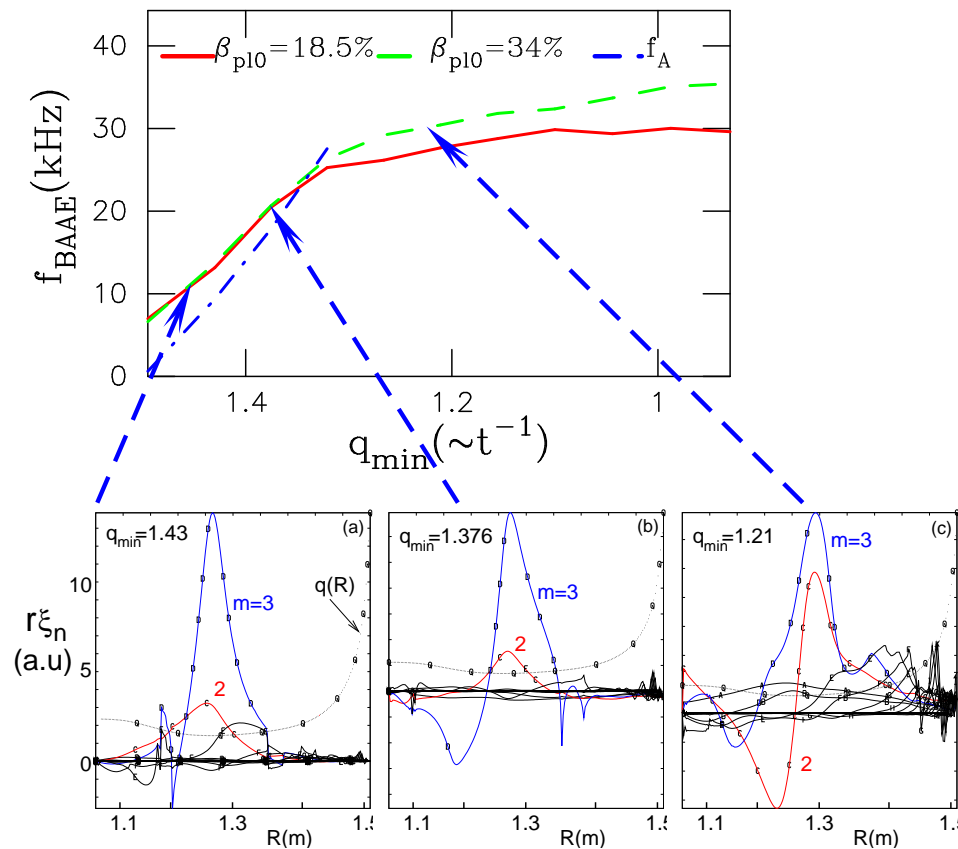
Numerically global BAAE modes are found at q_{min} surface in NSTX



MSE measured inversed q -profile is used in NOVA modeling.

- At high- $\beta_0 = 34\%$, BAE is wide, up to TAE frequency.
- Two Alfvén/acoustic (A/a) continuum branches are found with $\Omega^2 < \gamma\beta$, $n = 2$
- Low shear BAAE frequency
 - does not depend on β for q close to rational
 - continuously transforms to gap mode (due to higher β , strong coupling)
 - f_{BAAE} is close to modified Alfvén branch $f_A = v_A k_{||} / \sqrt{1 + 2q_{min}^2}|_{r=0}$.

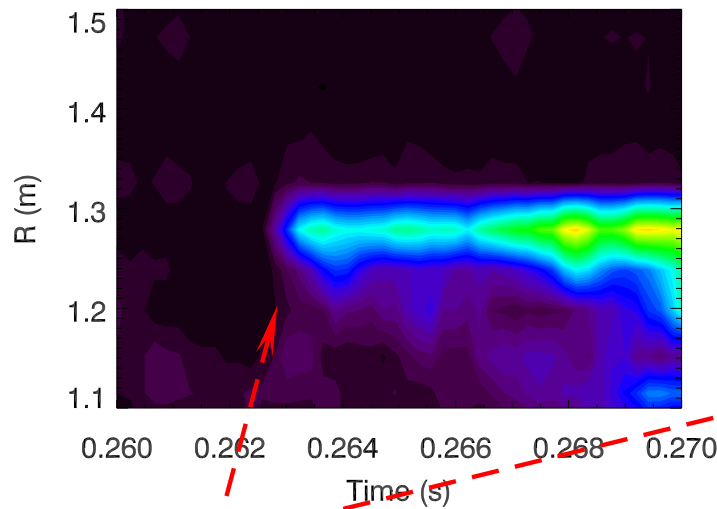
NOVA: BAAE broadens radially as q_{min} decreases



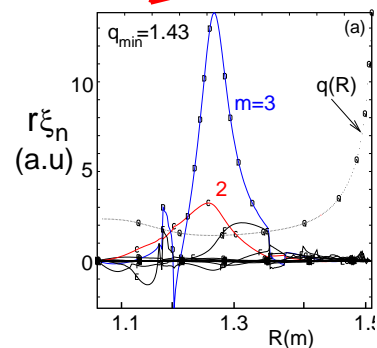
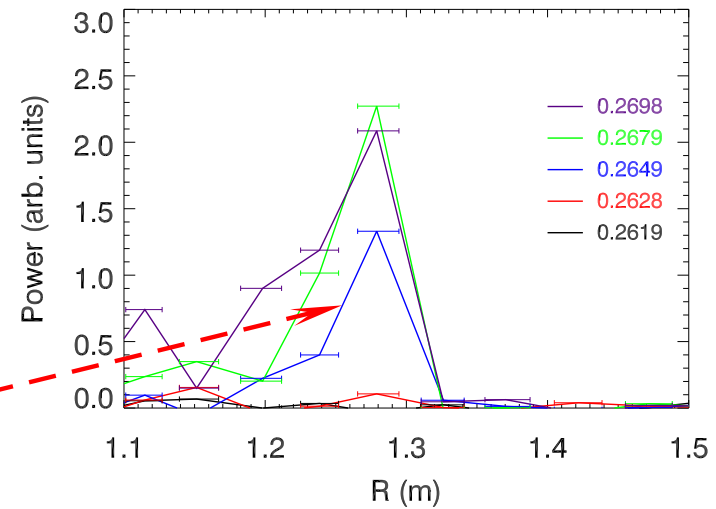
- BAAE frequency sweeps as q -profile relaxes.
- One dominant harmonic $m = nq_{min} = 3$.
- BAAEs interact with the continuum.

Ultra SXR measures the same radial structure broadening

Raw USXR signal (\sim BAAE structure)



Radial profile evolution

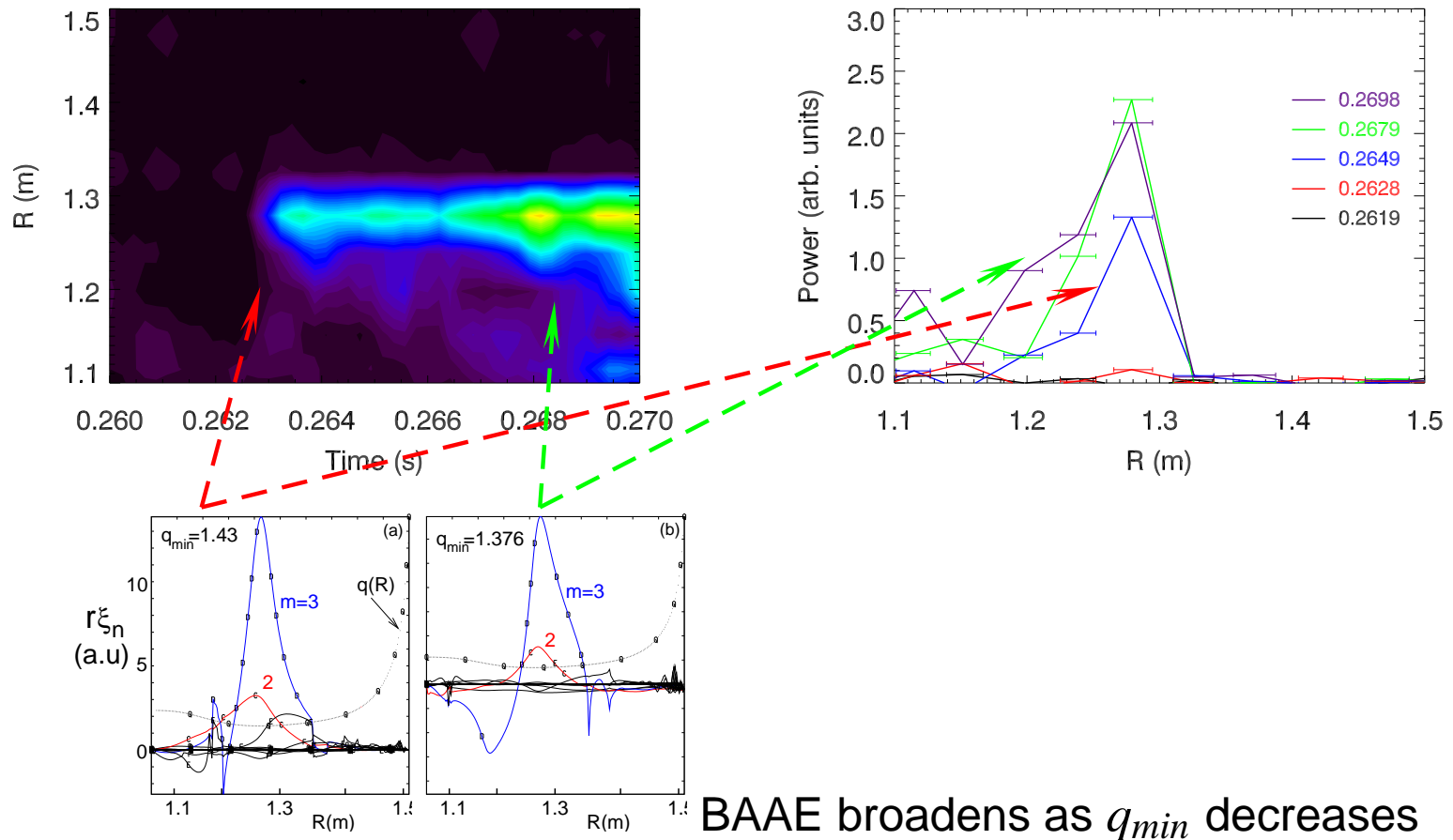


BAAE broadens as q_{min} decreases

Ultra SXR measures the same radial structure broadening

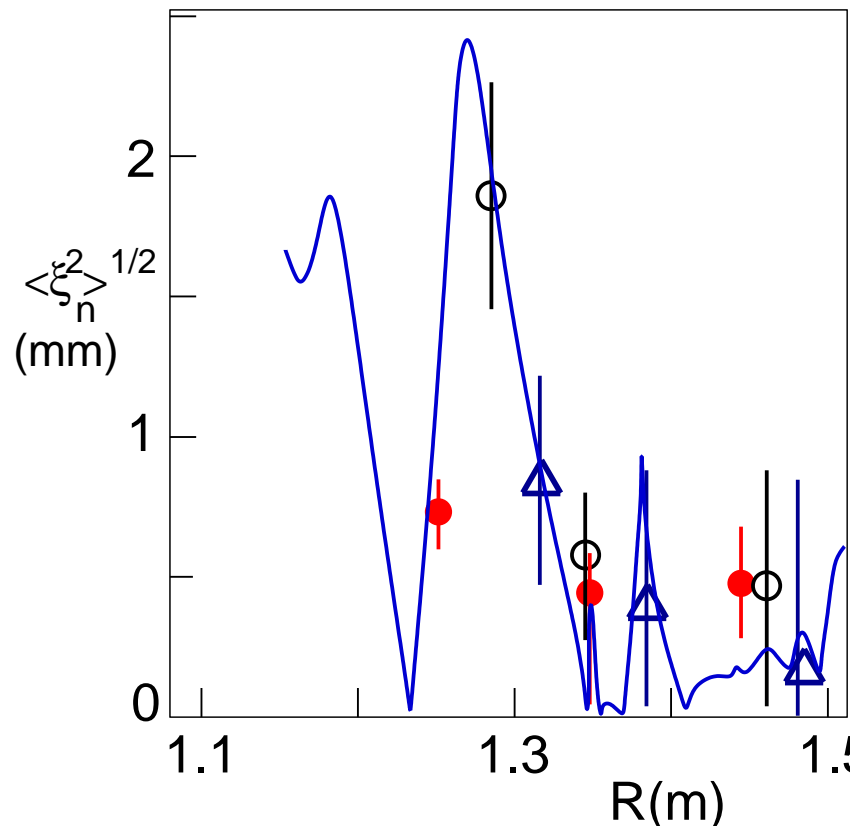
Raw USXR signal (\sim BAAE structure)

Radial profile evolution



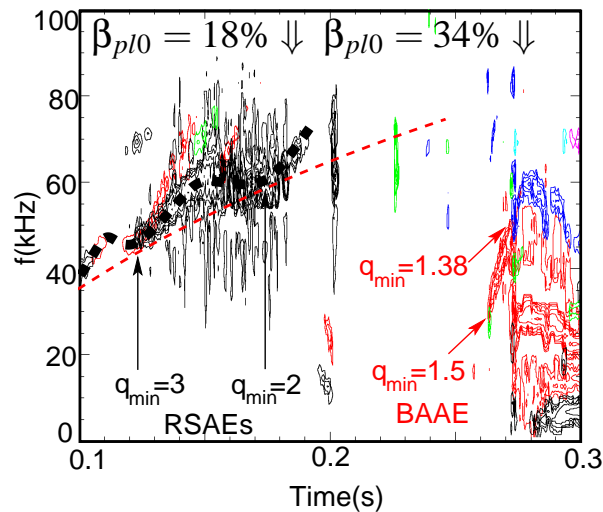
BAAE broadens as q_{min} decreases

Reflectometer confirms localized BAAE structure



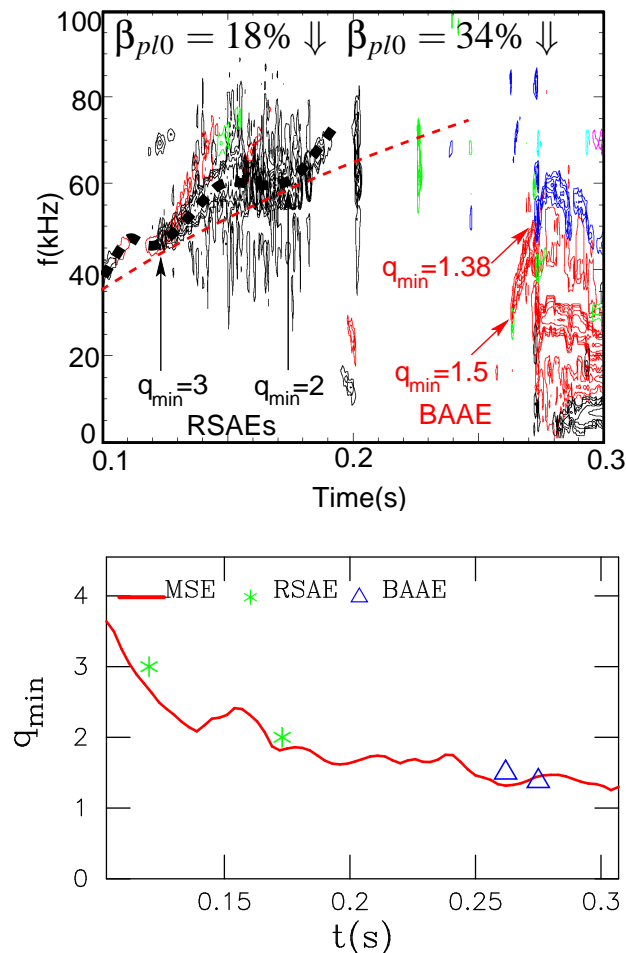
- Three plasmas, 3 points each:
 - $n_e = 3.3 \times 10^{19} m^{-3}$
 - $n_e = 3.6 \times 10^{19} m^{-3}$
 - $n_e = 3.8 \times 10^{19} m^{-3}$
- Vertical axis refers to points
 - - #123816.
- Measurements are taken at signal maximum.
- Internal fluctuations level $\delta n/n \sim 2 \times 10^{-3}$.

In high- β plasma BAEs may be the only MHD spectroscopy tool for determining q -profile



- RSAE/TAEs can be used to infer q_{min} in low-, medium- β plasma
- Zero BAAE frequency point (plasma frame) indicates rational q_{min} .
- BAAE activity is terminated at $t = 0.275s$. Potential interplay of beam driven instabilities with internal $m = 3/n = 2$ kink-like instability - similar to TAE/sawtooth nonlinear interplay (Bernabei'01, Sharapov'06).
- RSAE/TAE and BAAE inferred q_{min} values are in agreement with MSE measurement.

In high- β plasma BAEs may be the only MHD spectroscopy tool for determining q -profile



- RSAE/TAEs can be used to infer q_{min} in low-, medium- β plasma
- Zero BAAE frequency point (plasma frame) indicates rational q_{min} .
- BAAE activity is terminated at $t = 0.275s$. Potential interplay of beam driven instabilities with internal $m = 3/n = 2$ kink-like instability - similar to TAE/sawtooth nonlinear interplay (Bernabei'01, Sharapov'06).
- RSAE/TAE and BAAE inferred q_{min} values are in agreement with MSE measurement.

TALK OUTLINE

1. Theory of Alfvén - acoustic continuum in ideal MHD
2. JET analysis and data comparison
3. NSTX analysis and data comparison
4. **Discussion and Summary**

Discussion and Summary

- Theory and numerical analysis show:
 - the existence of geodesic curvature induced gaps in the Alfvén/acoustic continuum below GAM frequency (van der Holst'00),
 - low-n global beta-induced Alfvén/acoustic eigenmodes - BAAE are found,
 - BAAEs exist in finite beta plasma within wider BAE gap.

Discussion and Summary

- Theory and numerical analysis show:
 - the existence of geodesic curvature induced gaps in the Alfvén/acoustic continuum below GAM frequency (van der Holst'00),
 - low-n global beta-induced Alfvén/acoustic eigenmodes - BAAE are found,
 - BAAEs exist in finite beta plasma within wider BAE gap.
- BAAEs are different from BAEs (Heidbrink-Turnbull-Chu-Huysmans) interpretation as BAAEs require compressibility effect, i.e. sound wave coupling:
 - frequency can sweep up from almost zero in reversed shear.
 - frequency is lower $0 < \Omega < \sqrt{\gamma\beta/2}/q_{min}$ vs. $\Omega = \sqrt{\gamma\beta(1 + 1/2q_{min}^2)}$ for BAE/GAM.
 - both low shear and gap BAAEs can coexist (similar to RSAE/TAEs)

Discussion and Summary

- Theory and numerical analysis show:
 - the existence of geodesic curvature induced gaps in the Alfvén/acoustic continuum below GAM frequency (van der Holst'00),
 - low-n global beta-induced Alfvén/acoustic eigenmodes - BAAE are found,
 - BAAEs exist in finite beta plasma within wider BAE gap.
- BAAEs are different from BAEs (Heidbrink-Turnbull-Chu-Huysmans) interpretation as BAAEs require compressibility effect, i.e. sound wave coupling:
 - frequency can sweep up from almost zero in reversed shear.
 - frequency is lower $0 < \Omega < \sqrt{\gamma\beta/2}/q_{min}$ vs. $\Omega = \sqrt{\gamma\beta(1 + 1/2q_{min}^2)}$ for BAE/GAM.
 - both low shear and gap BAAEs can coexist (similar to RSAE/TAEs)
- Kinetic modification of MHD theory is required for new global modes (Zonca'96, Mikhailovski'98):
 - damping is expected to be strong due to phase velocity of acoustic component close to thermal ion velocity.
 - dominant electron plasma is expected to be favorable for BAAE existence.

Summary (continued)

- NOVA shows existence of BAAEs in ICRH JET and NBI NSTX plasmas.
- Qualitatively NOVA predicts BAAE frequency evolution in agreement with observations on both tokamaks.
- In NSTX $n = 2$ low shear BAAE internal structure, frequency and their evolution are in agreement with NOVA.
 - MSE measurements on NSTX seem to validate theory and MHD (q_{min}) spectroscopy via BAAEs.
 - Maybe useful for burning plasmas, ITER.
- For pure electron plasma (lowest f) gap (sound wave effect) BAAE frequency is above the measured value in JET by factor ~ 1.77 (if $T_i \ll T_e$).
- Need to reconcile theory and experiment via kinetic theory and/or:
 - may imply local reversed shear with $q_{min} = 1.5$ but strong indications exist for $q_0 = 1$,
 - possible redistribution of the current drive due to:
 - * MHD activity H-minority transport,
 - * ICRH current drive,
 - * runaway electrons in low density JET plasma.
- BAAEs are expected in plasmas with $T_e > T_i$ and strong drive from fast ions and/or η_i (ITG-like drive)

Thank you !