Internal kink mode dynamics in high-beta NSTX plasmas

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Abstract.

Saturated internal kink modes have been observed in many of the highest toroidal $\beta$ discharges of the National Spherical Torus Experiment (NSTX). These modes often cause rotation flattening in the plasma core, can degrade fast-ion confinement, and in some cases contribute to the complete loss of plasma angular momentum and stored energy. Characteristics of the modes are measured using soft X-ray, kinetic profile, and magnetic diagnostics. Toroidal flows approaching Alfvénic speeds, island pressure peaking, and enhanced viscous and diamagnetic effects associated with high-$\beta$ may contribute to mode non-linear stabilization. These saturation mechanisms are investigated for NSTX parameters and compared to experimental data.

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1. Introduction

The Spherical Torus (ST) [1] configuration is presently being actively investigated as a potentially high-$\beta$ and compact magnetic confinement concept. The National Spherical Torus Experiment (NSTX) [2] and the Mega-Ampere Spherical Tokamak (MAST) [3] are presently the largest ST devices in the world fusion program. These devices have achieved both high confinement [4, 5, 6] and high $\beta$. NSTX has achieved both high toroidal beta $\beta_T \equiv 2\mu_0\langle p \rangle/B_T^2$ up to 35\% and high normalized-beta $\beta_N \equiv 40\pi\beta_T a B_T/\mu_0 I_p$ up to 6.5 [7, 8] using up to 7MW of deuterium Neutral Beam Injection (NBI) heating. Here $\langle p \rangle$ is the volume-average pressure, $B_T$ is the vacuum toroidal field at the plasma geometric center $R_0$, $a$ is the plasma minor radius, and $I_p$ is the plasma current. In the highest $\beta_T$ discharges obtained at high $I_p/a B_T > 6$MA/mT, the central safety factor inferred from EFIT reconstructions [9, 10, 11] based on external magnetic measurements, simulations of resistive current diffusion, and preliminary Motional Stark Effect (MSE) data [12], is typically near 1 with a wide region of low magnetic shear. Such discharges are computed to be ideally unstable to $n=1$ pressure-driven internal kink instabilities, and experimentally the onset of $n=1$ activity is often observed near the computed instability thresholds. While operating regimes with high-$l_i$ and low to moderate $\beta$ exist in NSTX where sawteeth are routinely observed, at high $\beta$, sawteeth are relatively rare, and it is more common for a core $1/1$ mode to grow slowly and saturate in amplitude even for $\beta$ values well above the computed ideal (no-wall) limit at mode onset. In this manuscript we explore possible saturation mechanisms for the $1/1$ mode in NSTX, and attempt to compare the available experimental data to various stabilization theories. While the ultimate aim of NSTX research is to avoid the $1/1$ mode by operating with elevated $q$, until fully non-inductive current drive scenarios are developed, eventually current diffusion will cause the $q=1$ surface to enter the
plasma. Further, the high $\beta$ and near-Alfvénic toroidal flow speeds achievable in NSTX plasmas could significantly alter the behavior of the 1/1 instability. Thus, the ST offers a potentially unique configuration to better understand the underlying physics that controls the sawtooth instability.

The remainder of this article is outlined as follows. First, Section 2 discusses the impact of the 1/1 mode on fast-ion and thermal confinement, the impact of the mode on the plasma toroidal rotation, and the possible role of rapid rotation on the mode stability. Methods for estimating the island mode structure from Ultra-Soft X-ray (USXR) diagnostics [13] are described, and the inferred mode fields are used to estimate rotation damping from neoclassical toroidal viscous damping theory. Several additional possible mode saturation mechanisms are discussed including the role of enhanced viscosity, pressure peaking inside the island, and non-linearly enhanced diamagnetic flows. These results are summarized in Section 3. Lastly, more detail of how island-model-constrained USXR tomography has been used to follow the growth and saturation dynamics of the 1/1 mode is contained in the Appendix.

2. Experimental Results and Interpretation

In this section, experimental data is presented illustrating the behavior and effects of internal modes in NSTX high-$\beta$ discharges as evident in the stored energy, neutron rate, USXR, magnetic fluctuation, and Neutral Particle Analyzer (NPA) [14] data. In the present context, high-$\beta$ corresponds roughly to $\beta_T > 25\%$. For the 1/1 modes described here, typical initial linear growth times are in the range 200$\mu$s to 2ms, and the modes grow to a particular amplitude and either rapidly decay in amplitude (on millisecond time-scales) or more commonly “saturate” in amplitude. Saturation here implies that after growing to some finite amplitude, the mode subsequently grows or
decays in amplitude on a much longer time scale than the initial characteristic growth
time and persists in the plasma for of order 10 to 100ms (or more), i.e. much longer than
the initial growth time. A variety of possible stabilization and saturation mechanisms
are described below and compared to the experimental data. These comparisons are
often qualitative, as non-linear treatments of the internal mode stability are difficult
both analytically and computationally. Further, systematic $q$ profile measurements are
not yet available, so precise linear stability growth rate calculations are not yet feasible.

2.1. Fast particle effects

Fast ions are well known to excite a variety of MHD instabilities, and several types
of fast-ion-induced modes have been observed on NSTX ranging in frequency from the
Compressional Alfvén Eigenmode (CAE) [15, 16] ($f=0.5$-2MHz) to the Toroidal Alfvén
Eigenmode (TAE) ($f=20$-200kHz) and “bounce” and bounce-precession fishbones [17]
($f < 100$kHz). On the other hand, trapped energetic ions are also well known to help
stabilize the internal kink mode [18, 19, 20]. For reference, typical NSTX plasmas have
trapped particle fractions (in the zero-banana-width limit) above 50% for normalized
minor radius (i.e. square-root of normalized poloidal flux) greater than 0.2. In tokamaks,
such stabilization can delay the occurrence of normal sawteeth, allow the current profile
to penetrate on axis, and ultimately lead to monster sawteeth [21, 22] with large
inversion radius and long sawtooth oscillation period. However, $m=1$ mode stability
is also sensitive to the magnetic shear at the $q=1$ surface, and external current drive
localized near the $q=1$ surface has recently been shown capable of destabilizing the $m=1$
mode and shortening the period between long sawteeth [23].

As stated previously, cyclic sawtooth activity is relatively rare in high $\beta$ discharges
in NSTX, and the highest $\beta$ discharges appear to experience significant fast-ion
confinement degradation when saturated $m/n = 1/1$ modes are present. The loss of fast ions induced by low-$n$ MHD modes in NSTX has previously been shown [24] to be a sensitive function of the time-evolving mode eigenfunction and the resonance condition between the fast-ions and the rotating mode. We do not attempt to accurately model the interaction between the 1/1 mode and fast-ions here. Rather, we argue below that changes in the measured neutron rate and NPA signals are consistent with significant fast-ion loss and that such loss is an unlikely cause of mode saturation.

Figure 1a shows the plasma current, neutral beam heating power, and line average density for a discharge that achieves a peak total $\beta_T$ of 30% as shown in Figure 1b. The time-trace of divertor $D_\alpha$ emission shown in Figure 1b and electron density profile evolution (not shown) indicate a transition to sustained H-mode at $t=260\text{ms}$. After this transition, the plasma $\beta$ again begins increasing monotonically after a period of relatively constant $\beta$ between $t=230\text{ms}$ and $t=260\text{ms}$. This data suggests that the saturation in $\beta$ between $t=230\text{ms}$ and $t=260\text{ms}$ could simply be the result of intrinsic L-mode thermal energy transport and fixed heating power. However, the saturation in $\beta$ could also result from a reduction in effective NBI heating power caused by strong fast-ion diffusion or loss associated with the 1/1 mode.

Figure 1c compares the measured and predicted neutron rates as computed by TRANSP [25] assuming classical slowing down. As is evident in the figure, the measured neutron rate is in good agreement with the predicted rate prior to $t=230\text{ms}$. However, after $t=230\text{ms}$, the measured neutron rate begins decreasing whereas the predicted rate continues to increase in response to the addition of two NBI sources after $t=210\text{ms}$. The plasma density and other parameters are nearly constant between $t=230\text{ms}$ and $t=260\text{ms}$ as the measured neutron rate decreases to approximately half of the predicted value at $t=245\text{ms}$. Further, both the measured and predicted neutron rates do not
appear to be strongly modified by the H-mode transition and its associated density profile broadening at t=260ms. These results indicate that the neutron rate discrepancy is most likely the result of fast-ion loss and that some factor other than the equilibrium plasma parameters controls the rate of loss. Figure 1d indicates that the onset of the neutron rate discrepancy coincides with the onset of central USXR fluctuations (line-integrated) and poloidal magnetic field perturbations measured at the vessel wall. For this discharge, the mode exists continuously from mode onset at t=228ms to the final disruption phase near t=300ms, and the mode fluctuation frequency as measured by the USXR and Mirnov diagnostics decreases continuously from 15kHz to 10kHz and matches the local plasma rotation frequency as measured by Carbon impurity spectroscopy [7]. The measured USXR emission fluctuation amplitude is a sensitive function of the bulk plasma and impurity ion density and temperature profiles in the presence of the mode. The magnetic fluctuation amplitude measured outside the plasma also likely evolves in response to changes in the plasma profiles. For instance, an initially predominantly internal mode may become more external as the pressure and current profiles broaden in H-mode and as $\beta$ increases. Such profile broadening is evident in the $p_e$ and $T_i$ profiles shown in Figure 17. Generally, once the 1/1 mode has saturated, a reduction in the neutron rate discrepancy appears to correlate with a reduction in the edge magnetic field fluctuation amplitude for otherwise similar plasma parameters. Further, as described in Section 2.3, the increase in $\beta$ after t=250ms shown in Figure 1b is correlated with a reduction in the 1/1 island width as shown in Figure 9f. However, because of the dependencies described above, it is difficult to precisely correlate the evolution of the mode-induced fluctuations with the evolution of the neutron rate.

Figure 2 shows data for a higher-$\beta$ discharge where mode-induced fast-ion loss also likely reduces the effective NBI heating power. This discharge does not have ion profile
data available (therefore no TRANSP analysis is possible), but does have ion energy
spectrum data from the NSTX NPA. In this discharge, Figure 2b shows that the total $\beta$
is nearly constant between $t=240\text{ms}$ and $t=290\text{ms}$ following an H-mode transition near$t=233\text{ms}$. As seen in Figure 2c, there is a burst of mode activity ($n=1$) at $t=223\text{ms}$
which decays within 5ms to very low amplitude. As seen in Figure 2d, the neutron rate
promptly decreases by 35% in response to this instability and then begins increasing
again at the same rate as before mode onset once the mode amplitude has decayed.
Finally, a long-lived (70ms) 1/1 mode becomes unstable at $t=235\text{ms}$ coincident with
the neutron rate slowly decreasing rather than increasing. The neutron rate following
mode onset remains lower than before onset for the remainder of the discharge. The
central thermal ion temperature is typically 1-3keV in NSTX, so nearly of all the neutron
production is from beam-target fusion reactions occurring at fast-ion energies near the
beam injection energy. Figure 2e shows that during the mode saturation phase indicated
by the blue and red curves, the low energy ion distribution ($E < 30\text{keV}$) reaches a near
steady state in time, whereas increasing fast ion depletion is apparent between energies
$E = 30\text{keV}$ and $E = 85\text{keV}$ at the tangency radius of the measurement $R_{\text{tan}}=70\text{cm}$. The
electron density and temperature profile shapes at $t=255\text{ms}$ and $t=285\text{ms}$ are similar
(not shown), the line-average electron density increases by only 15% during this time
interval, and the line-average $Z_{\text{eff}}$ decreases by a similar percentage. Thus, the NPA
data apparently implies that the density of fast ions at this tangency radius with energy
above approximately 30keV decreases by a factor of 3 to 5 when the saturated 1/1 mode
is present, while the loss rate of lower energy fast ions is smaller. Similar depletion of the
fast ion population below the injection energy has been previously shown to be consistent
with a resonant interaction between fast ions and low-$n$ MHD modes [24] with the same
toroidal propagation direction and similar real frequency (after accounting for differences
in toroidal mode number). Thus, it appears likely that the fast ion confinement in the core is degraded by the 1/1 mode, and it is therefore likely that any fast-ion stabilization effects are weakened by the onset and saturation of the mode. We therefore conclude that fast-ion stabilization effects are unlikely to be the dominant saturation mechanism for the 1/1 mode unless the fast ions were in fact initially linearly destabilizing.

2.2. Sheared toroidal rotation

2.2.1. Impact on equilibrium force balance  NBI is presently unidirectional on NSTX, and thus far has only been in the co-plasma-current direction to minimize prompt loss of fast ions. Since high-\(\beta\) is only routinely achieved with NBI, significant toroidal rotation is nearly always present in NSTX high-\(\beta\) discharges. As the toroidal flow speed becomes comparable to the sound speed, centrifugal effects become important [26, 27, 28] and the single-fluid MHD force balance for scalar pressure becomes:

\[
\vec{J} \times \vec{B} = \nabla p + \rho \vec{v} \cdot \nabla \vec{v}.
\]  

Solutions to this total force balance equation depend on various assumptions, such as whether one works in a highly collisional or nearly collisionless limit. Neoclassical transport calculations generalized to treat plasma flow speeds of order the ion thermal speed (assuming a single ion species) find that thermal species temperatures should be poloidal flux functions, that poloidal flow remains strongly damped by ion-ion collisions whenever \(\vec{B} \cdot \nabla B \neq 0\) on a flux surface, and that ion density becomes non-uniform on a magnetic surface in response to the centrifugal potential [29]. Working in the limit where collisional friction forces are sub-dominant to the centrifugal and electrostatic forces [30] for multiple species, the momentum balance equation for each species \(s\) becomes:

\[
\vec{J}_s \times \vec{B} = \nabla p_s + \rho_s \vec{v}_s \cdot \nabla \vec{v}_s + Z_s e n_s \nabla \Phi
\]  

(2)
where $\vec{J}_s$ is the species current density, $p_s$ is the species scalar pressure, $\vec{v}_s$ is the species fluid velocity, $Z_s$ is the species charge number, $n_s$ is the species density, and $\Phi$ is the 2D electrostatic potential. Thus, assuming isotropic species temperatures $T_s = T_s(\psi)$ and pure toroidal rotation with $v_{\phi s} = R\Omega_{\phi s}$ where $\Omega_{\phi s} = \Omega_{\phi s}(\psi)$, the fact that the component of Equation 2 along $\vec{B}$ is identically zero yields 2D species density solutions of the form:

$$n_s(\psi, R) = N_s(\psi) \exp\left(\frac{m_s \Omega_{\phi s}^2 (R^2 - R_{axis}^2)}{2 k_B T_s} - \frac{Z_s e \Phi(\psi, \theta)}{k_B T_s}\right).$$

Including the angular momentum of the fast ions, treating the fast ions as an isotropic species, and using the quasi-neutrality constraint, the potential variation on a flux surface can be obtained using an iterative solution to the multi-species density equations above. Solutions to these equations generally show good agreement with the measured electron density profile provided that the modeled fast ion distribution function from TRANSP is not too anisotropic and the discharge is relatively MHD quiescent.

One difficulty with the above formulation of the species densities and pressures is the loss of a simple total equilibrium force balance equation for computing the total plasma current density and poloidal flux. Significant simplification occurs if the electrostatic potential is also treated as a flux function. This yields more approximate solutions to Equation 1 for multiple ion species given by:

$$\vec{J} \times \vec{B} = \sum_s \nabla(n_s T_s(\psi)) + \sum_s m_s n_s \Omega_{\phi s}^2 \nabla(\frac{R^2}{2})$$

$$n_s(\psi, R) = N_s(\psi) \exp(U(\psi)(\frac{R^2}{R_{axis}^2} - 1))$$

$$U(\psi) = P_\Omega(\psi)/P_K(\psi)$$

$$P_\Omega(\psi) = \sum_s N_s(\psi) m_s^2 \Omega_{\phi s}^2 R_{axis}^2/2$$

$$P_K(\psi) = \sum_s N_s(\psi) T_s(\psi)$$

$$0 = \sum_s N_s(\psi) Z_s.$$
Note that for the above solution, the species densities in Equation 5 have the same exponential form in order to satisfy charge neutrality. Further, the above solution is equivalent to solutions obtained from Equation 3 plus quasi-neutrality when only a single ion species of arbitrary charge is present. Equation 5 also shows that in-out asymmetries in the radial profile of the density result from large ratios of centrifugal pressure \((P_\Omega)\) to kinetic pressure \((P_K)\).

Experimentally, such in-out density asymmetries are often apparent in NSTX Thomson scattering [31] data when the central rotation is sufficiently high. The consistency of the above model equations with the experimental density profiles can be tested by trying to fit the above model to the data. Peaked density profiles provide the most stringent test of the theory, and Figure 3 shows such a comparison for an 800kA \(B_T=4.5\text{kG}\) discharge with 6MW of NBI heating. The equilibrium for this discharge is calculated using a version of the J-SOLVER equilibrium code [32] extended to include the effect of toroidal rotation. The plasma boundary shape and parallel current density profile are taken from the EFIT reconstruction, whereas the total (thermal plus fast ion) pressure and rotation profiles are taken from TRANSP analysis incorporating the measured electron and Carbon impurity density and temperature profiles. Figure 3a shows that the electron temperature profile (blue) is well fit by a poloidal flux function as expected. Figures 3a and b also show that the carbon impurity ion temperature and rotation frequency can be well fit to poloidal flux functions. However, insufficient high-field-side data is available to validate the assumption that these profiles are poloidal flux functions. The green curve in the same figure is the neoclassical deuterium rotation frequency as computed by NCLASS [33] in TRANSP, and typically exceeds the measured carbon rotation. Thus, the local carbon rotation speed may not be representative of the bulk fluid rotation speed if the local ion pressure gradients are
sufficiently large [34, 35]. For reference, the ratio of centrifugal to kinetic pressure at the magnetic axis is $U(\psi = \psi_{axis}) = 0.3$ for this discharge.

Figure 3c plots the fit carbon (red) and computed deuterium (green) density profiles from the model. In this figure, the dashed curves represent the flux-surface-symmetric profiles which are only functions of the poloidal flux, i.e. $N_C(\psi)$ and $N_D(\psi)$, whereas the solid lines represent the 2D profiles $n_C(\psi, R)$ and $n_D(\psi, R)$. Finally, Figure 3d compares the electron density profile (black curve) from the model (i.e. $n_e(\psi, R)$) to the data (diamonds). The model including centrifugal effects is clearly a much better fit to the data than the flux-surface-symmetric profile $N_e(\psi)$ shown by the dashed curve.

For the model above, the fast ion rotation frequency and effective temperature are approximated as flux functions, and the fast ion density profile is assumed to follow the functional form given in Equation 5. Pressure anisotropy is ignored, as the core anisotropy $\equiv (p_{||} - p_{\perp})/p$ computed by TRANSP is less than 5% in this relatively high central density discharge. The dotted curve in Figure 3d (between the dashed and solid curves) represents the electron density profile predicted by the model if the fast ion centrifugal pressure is ignored while retaining the fast ion kinetic pressure. For this case, the fast ion centrifugal pressure is relatively small.

In contrast, for a higher temperature, lower density L-mode discharge with $U(\psi = \psi_{axis}) = 0.2$ and core pressure anisotropy $\approx 15\%$ shown in Figure 4, the dotted curve in Figure 4d shows that the fast-ion centrifugal pressure accounts for roughly half the total. Thus, the predicted electron density profile does not agree with the data if the fast ion kinetic pressure is included while ignoring the fast ion centrifugal pressure. Thus, in some cases, it may also be important to include the fast ion contribution to the total toroidal rotation velocity when assessing the impact of rotation on linear stability.
2.2.2. Impact on stability  The data above clearly shows that centrifugal effects can be important in the equilibrium force balance in NSTX. Plasma rotation and rotational shear can modify the mode structure of MHD instabilities and can be either stabilizing or destabilizing depending on the plasma profiles, rotation profile, and the mode in question [27]. Centrifugal and flow-shear effects have also been studied previously in the context of internal kink stability [26, 36, 37]. For a high aspect ratio tokamak with circular flux surfaces, the internal kink instability growth rate including sheared rotation can be expressed as [26]:

\[
\gamma_0 = -\frac{\pi r_s \omega_A}{\sqrt{3|q'|R_0^2}} \hat{W}_i, \quad \Delta \beta_p^2 \equiv (\beta_p^2 - \frac{13}{144})
\]

\[
\hat{W}_i \approx -3r_s |q'| \Delta \beta_p^2 + \left( \frac{2}{\epsilon_{V}^2} - \frac{1}{\epsilon_{\rho}^2} \right) \frac{V_0^2}{V_A^2}
\]

(10)

(11)

Here \( \epsilon_V = L_V/R_0 \) and \( \epsilon_\rho = L_\rho/R_0 \) where \( L_V \) and \( L_\rho \) are the scale lengths normalizing the minor radius for parabolic velocity and density profiles given by \( V(r) = V_0(1 - r^2/L_V^2) \) and \( \rho(r) = \rho_0(1 - r^2/L_\rho^2) \). Thus, high flow speed relative to the Alfvén speed (high \( \frac{V_0}{V_A} \)) and strong flow shear (small \( \epsilon_V \)) are potentially strongly stabilizing for the internal kink mode.

The potential stabilizing effect of sheared toroidal flow on the 1/1 mode in NSTX has previously been studied with the M3D code [38]. For typical parameters in NSTX, M3D studies find that nonlinear saturation of the 1/1 mode is not possible via sheared-flow alone for fixed momentum source rate. Rather, as shown in Figure 5, the growth rate is reduced by a factor or 2 to 3, but complete reconnection still occurs in the simulations. As a result of the reconnection, the core pressure and rotation profiles are quickly flattened. Thus, any rotational shear that could stabilize the mode non-linearly is reduced by the mode itself. However, the simulations also show that if the rotation shear is forced to be maintained during the mode growth, saturation does indeed occur.
Consistent with the simulations with constant momentum input equal to the equilibrium value, rotation flattening is indeed often observed experimentally during the early phase of mode growth. Figure 6 shows the $\beta$ and mode magnetic field amplitude evolution for two internal-kink unstable discharges. The mode becomes unstable for $\beta_T$ near 15-20% ($\beta_N \approx 4$) in both discharges. For shot 108104, the NBI heating power increased from 1.6MW to 5MW at $t=200$ms. For shot 108103, the NBI heating power increased from 1.6MW to 5MW approximately 30ms later. As a result, $d\beta/dt$ is 2-3 times higher for 108104 near marginal stability, and this may partly explain the more rapid initial growth of the mode ($\tau_g \approx 400-600\mu s$) as compared to 108103 ($\tau_g \approx 1-2ms$).

Figure 7 shows that the higher $\beta$ shot from Figure 6 (108103) has 25% higher central rotation speed at mode onset than 108104, and from Equation 11 this could reduce the mode linear growth rate as much as a factor of 1.6 near the marginal $\beta_p$. The instability clearly causes rotation angular frequency flattening in both discharges, and the rotation frequency at larger minor radius increases during the flattening consistent with approximate angular momentum conservation during the flattening. However, Figure 7a suggests that the rotation profile is not completely flattened. Eventually, the core frequency begins increasing as the off-axis rotation frequency drops in the island region and then also reaches a nearly steady-state value of 10kHz near $R=1.3m$. The resultant rotational shear in the non-linear state may aid in the mode saturation. For this shot, the core rotation is maintained at 15kHz or above.

In contrast, Figure 7b for shot 108104 shows that the core rotation is lower at mode onset, and is lower following flattening. For this shot, the central rotation never recovers in the presence of the mode, and ultimately the rotation collapses with a flat frequency profile. As the mode rotation frequency falls below a threshold value near 2kHz, the mode field begins to penetrate the wall and is weakly evident as a rotating mode at the
The mode quickly becomes nearly static (f=100-200Hz) in the lab frame and grows to large amplitude within 1ms near t=270ms (1 Gauss on external sensors). The mode then locks with 8 Gauss amplitude within 10ms destroying the remaining thermal and angular momentum confinement. The rapid drop in mode rotation frequency may be the result of the wall drag increasing rapidly with island width and decreasing mode frequency, or may indicate the presence of a forbidden frequency band [39]. For these discharges, comparison of the rotation profiles suggests that if enough rotation and rotational shear is maintained (with a threshold near $f_{rotation} = 12-15$kHz) following the initial mode growth, the rotation and shear may contribute to the non-linear saturation of the mode.

### 2.3. Island structure and dynamics

Despite the apparent flattening of the plasma rotation frequency profile following mode onset, the carbon Charge Exchange Recombination Spectroscopy (CHERS) [40] data does not have sufficient time resolution (20ms for the data shown above) to determine when and how quickly the flattening occurs. Faster diagnostics are clearly needed to follow the mode dynamics inside the plasma. The NSTX USXR array measurements have sufficient time resolution (190kHz sampling rate) but are line integrated measurements. However, the emission perturbation from the 1/1 mode is large enough in fluctuation amplitude and spatial extent that important details of the mode structure can be inferred from the USXR data. Indeed, tomographic methods have proven to be a powerful tool in aiding in the determination of the structure of MHD modes [41] in many magnetic fusion devices. Given the spatial resolution of the present arrays on NSTX, it is beneficial to constrain the USXR emission model of the plasma to more accurately infer the gross characteristics of the mode such as $q=1$ radius,
island width, island poloidal extent, propagation frequency, and estimated magnetic field structure. This model fits the total emission profile in the presence of a large island with a single dominant helicity. The constraints of the island model are described in more detail in the Appendix.

Figure 8a shows line-average emission contours in time versus chord index for shot 108103 near $t=230\text{ms}$. The chord indices increase from bottom to top of the plasma at the intersection of the chords and the left vertical axis at $R=0.2\text{m}$ as shown in Figure 9a. Chords from the upper and lower arrays overlap in the plasma core and near the outboard midplane helping to constrain the fit parameters of the island model. Figure 8b shows the best-fit to the chord data using the single helicity island model with the black iso-surface lines overlaid from the measurement to more easily compare the model to measurement. The island location, width, and poloidal extent are varied to minimize the fitting error, and 6 to 10 minor-radial basis functions are usually needed to capture variations in the core emission associated with the island and edge variations associated with H-mode. When a single dominant mode helicity is present in the plasma, the minimum fitting error is typically 5-6%.

Figure 9 shows the inverted emission distribution at constant island phase during the growth and saturation phases of the island. As seen in Figures 9a-c, the mode grows to nearly full amplitude in approximately 2ms until the full width is roughly equal to the inversion radius. After this comparatively rapid growth phase, Figures 9d-e show the mode width remains essentially unchanged over the next 10ms. At later times, as shown in Figure 9f, the island width shrinks slowly while the inferred $q=1$ radius (indicated by the vertical dashed lines) slowly increases. The two black closed curves in the plasma core on the contour plots for $t > 227\text{ms}$ are curves of constant normalized helical flux equal to 50% (inner) and 99% (outer) of the enclosed flux from the island O-point to
the island separatrix. The emission is allowed to be a function of the helical flux inside the island, but the best fits are typically obtained with very small emission variation inside the island, i.e. showing little variation with normalized helical flux. For the fixed island phase (arbitrarily) chosen which places the island O-point at large major radius and just below the midplane, this weak emission variation is also evident between \( R \approx 1 \text{m} \) and the outboard major radius of the \( q=1 \) surface in the midplane emission profiles of Figures 9b-f.

This evolution is consistent with mode saturation occurring several ms after the initial onset, and the late shrinking of the island width is consistent with the eventual recovery of the core toroidal rotation in Figure 7a. Since complete reconnection does not apparently occur with total expulsion of the hot core from inside the \( q=1 \) surface, it is possible that other effects are needed to explain the rotation flattening shown at \( t=250\text{ms} \) (CHERS integration from 240 to 260ms) in Figure 7a. An alternative mechanism for this flattening is described below.

2.4. Rotation damping from magnetic islands

During the 2004 NSTX run campaign, techniques were adopted from DIII-D [42] to trigger an H-mode transition during the plasma current ramp. The early H-mode transition broadens the plasma pressure and bootstrap current profiles and increases the ramp-up plasma temperature. These effects, combined with higher elongation operation, have allowed significantly longer duration discharges by delaying the onset of deleterious MHD activity associated with the core safety factor being near 1. For instance, the flat-top duration at 1MA has been doubled in NSTX from 0.4s to 0.8 using these techniques. This longer pulse-length allows the fast-ion heating and plasma stored energy to achieve more nearly steady state values at elevated \( q \). By using such discharges as high \( \beta \) targets
either by operating at fixed lower toroidal field (TF) or by ramping down the TF, plasmas with $\beta \geq 30\%$ are now much more frequently obtained. Nevertheless, the onset and saturation of the $1/1$ mode can still limit the $\beta$ in these discharges by reducing the fast-ion confinement and toroidal rotation either directly or through coupling to other modes.

An example of this is shown in Figure 10 which compares a long-pulse 1.2MA discharge at fixed vacuum toroidal field $B_{T0} = 0.44$T to a high-$\beta$ plasma obtained by ramping $B_{T0}$ down from 0.44T to 0.29T with otherwise similar discharge conditions. Both discharges have a long-lived $n=1$ mode present from early in the discharge which appears to be driven unstable by the early H-mode transition. Island model fits to the USXR data for this early mode are most consistent with the presence of an $m=5$ saturated tearing mode near $r/a = 0.93$. However, the edge rotation frequency from CHERS (5kHz) is much lower than the mode frequency (14kHz) from the USXR and magnetic diagnostics, so there is some uncertainty in the eigenfunction being localized at the edge. For instance, $m = 3$ fits the chord data near $r/a = 0.7$ reasonably well and more closely agrees with the rotation frequency from CHERS, but cannot reproduce the edge details in the USXR data. The mode rotation frequency slows from 16kHz to 5kHz from 240ms to 520ms and has a slowly decreasing magnetic fluctuation amplitude throughout this phase to 1 Gauss amplitude by 520ms. The amplitude of the $n = 1$ mode (possibly with different $m$ number) begins increasing again near 520-540ms and remains rotating at 5kHz until $t=560$ms. For the lower TF shot 112600, near $t=560$ms, the $m/n = 1/1$ mode becomes unstable as evident in the neutron rate drop in Figure 10c and in the appearance of a 15kHz $n=1$ mode as shown in Figure 11. The frequency spectrogram of Figure 11 also suggests an early coupling between mid-radius and core $n = 1$ modes, as the mid-radius mode frequency appears to increase in the early phase of
the core mode growth. Later, during the saturation phase of the 1/1 mode, one cannot distinguish between the two modes, as the modes appear to become phase-locked and rotate as a coupled structure. Coupling between the 1/1 mode and other low-\(m\) and \(n\) modes has previously been shown to play an important role in a variety of disruptive phenomena including density limit disruptions \cite{43} and the triggering of Neoclassical Tearing Modes (NTMs) \cite{44, 45, 46, 47, 48, 49, 50, 51}.

This coupling is also evident in Figure 12a which indicates the presence of the 1/1 mode (chords 6-14) in addition to a mid-radius mode (chord 3) and edge perturbation (chord 1). The best fit from the single helicity island model (for 1/1) is shown in Figure 12b. For this fit, the radial profile of the helical flux perturbation has been extended beyond the \(q=1\) radius (at \(R=1.2m\) at the midplane) to reflect the non-zero displacement outside this radius. An important difference between discharge 112600 and the discharges of Figures 1 and 2 is that a mid-radius \(n = 1\) mode exists in the plasma before the 1/1 mode becomes unstable in 112600, whereas there is no such mode activity evident in the USXR and Mirnov diagnostics prior to 1/1 mode onset in 108103 and 108989. Thus, the weakness or absence of other low-\(n\) modes may be a necessary condition for sustaining or increasing the plasma \(\beta\) when a saturated 1/1 mode is present.

Figure 13 shows that prior to the onset of the 1/1 mode, the core rotation profiles for the discharges in Figure 10 are nearly identical despite the significantly lower field of the higher \(\beta\) discharge 112600. Higher spatial and time resolution CHERS data became available during the 2004 experiments, and Figure 13a shows significant rotation damping in the 10ms between \(t=560ms\) and 570ms inside the inferred \(q=1\) radius at \(R=1.2m\). Interestingly, the rotation is observed to increase outside \(R=1.3\) closer to the plasma edge, while dropping in the intermediate region.
Since the core mode is observed to saturate without complete reconnection, other rotation damping mechanisms are likely at play in the core rotation decay of shot 112600. Electromagnetic torque near the island singular surfaces [52] and enhanced neoclassical toroidal viscosity (NTV) [53, 54] arising from the loss of axisymmetry in the presence of the modes are likely candidates for explaining the rotational braking. Using only the outer channels of the data shown in Figure 12a, the best-fit helicity of the mid-radius perturbation is found to be $m/n = 2/1$ with an island full-width of 12cm at the outboard midplane. Prior to the onset of the 1/1 mode, the best-fit to the 2/1 island island full-width of 12cm at the outboard midplane. Just prior to the onset of the 1/1 mode, the estimated 2/1 island width is 5 to 8cm using the same outer USXR channels. Such island widths are large enough that perturbed neoclassical bootstrap current effects could potentially be important. However, the total bootstrap fraction (as computed by TRANSP) of the highest-$\beta$ discharges treated here is only approximately 15%. In addition, we observe that for discharge 112596 in Figure 10 the mode amplitude decreases, increases, and then decreases again at nearly constant plasma $\beta$ and independent of other MHD activity including Edge Localized Modes (ELMs) and fast-ion-driven instabilities. It therefore appears unlikely that neoclassical effects are playing the dominant role in the dynamics of the mid-radius $n = 1$ mode. This mode does however have a noticeable impact on confinement, as the global energy confinement time is observed to increase by 15-20% after the mode amplitude decays at $t=570\text{ms}$ in discharge 112596.

Figure 14 shows 2D contours of the perturbed radial field of both the 1/1 and 2/1 islands computed from the island model. Figure 14a shows peak radial fields of 50-100 Gauss in the core with most of the core experiencing a perturbation of at least 20 Gauss, while Figure 14b shows that radial field perturbations of 10-50 Gauss are estimated
outside the core and approaching the edge. Thus, the magnetic field perturbation from these coupled modes can be expected to extend over most of plasma cross-section with the strongest fields near the core and half-radius. The coupled modes will initially rotate differentially with respect to the sheared plasma rotation, so rotational damping is expected in the plasma core while acceleration could occur at large minor radius.

Estimates of the rotation profile damping rates have been computed using the perturbed magnetic fields shown in Figure 14. These estimates use a simple cylindrical representation of the mode perturbed field [53] using the flux-surface-average $B_\perp$ of the fields shown in Figure 14. Figure 15a compares the time evolution of the measured rotation profile (diamonds) with the predicted evolution (solid lines) including the effects of axisymmetric fluid viscosity, entrainment of plasma mass in the large 2/1 island, and NTV arising from mode-induced non-axisymmetry. The electromagnetic torques are relatively small compared to the viscous torques for this particular case at this time in the evolution. The coupled-mode frequency very nearly matches the plasma rotation frequency at the 2/1 surface, so the largest initial differential rotation between mode and plasma occurs in the plasma core. For this reason, the rotation damping contribution from NTV is only strong in the plasma core ($R < 1.2\text{m}$) where the perturbed fields from the 1/1 mode are large. Figure 15b compares measured rotation evolution with prediction excluding the NTV damping term and indicates that significantly better agreement between the measured and predicted central rotation frequency is obtained with the NTV damping term included. Differences between the measured and predicted profiles between $R=1.1\text{m}$ and $1.2\text{m}$ (including NTV) may be the result of our approximate treatment of the mode fields in the NTV model. Nevertheless, we infer from this modeling that the net effect of the 1/1 mode NTV torque is to significantly widen the region of flattened rotation relative to what would be induced
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by the 2/1 mode alone.

While the NTV model is apparently consistent with the observed rotation flattening, the explanation for the decay of the flattened rotation profile is less clear. To better diagnose the low-frequency MHD activity potentially responsible for the rotation decay, an array of 48 new in-vessel magnetic field sensors has been installed in NSTX for the detection of error fields, locked modes, and resistive wall modes. These sensors measure radial field perturbations normal to the primary passive plates and poloidal field perturbations at the ends of the plates both above and below the midplane. There are 12 sensors in each toroidal array allowing toroidal mode number determination ranging from n=1 to 3. The copper plates just behind the radial field sensors strongly filter any fluctuations above a few tens of Hertz, whereas the poloidal sensors are effectively low-pass filtered above a few kHz but still measure large fluctuations up to 10kHz.

Figure 16a shows the radial field perturbation toroidal mode numbers as determined by these sensor arrays during the rotation decay phase preceding the final \( \beta \) collapse. As is evident from the figure, there is no obvious change in the radial field signals from the time of 1/1 mode onset at 560ms to the final collapse 30ms later. Figure 16b shows similar behavior except the rotating modes appear (aliased by the 5kHz digitizer sampling) starting around 530ms until the collapse phase. In both figures, there is no clear evidence of a slowly growing nearly stationary mode such as a resistive wall mode which might be responsible for the rotation decay.

If no quasi-stationary mode is responsible for the decay, other island effects may be responsible. First, the diffusion and/or loss of fast ions in the presence of a large island structure could reduce the torque applied to the plasma by NBI. Second, Figure 10 indicates that the edge poloidal field perturbation amplitude nearly doubles when the coupled islands are present. Assuming a similar scaling for the island \( B_r \), this could
quadruple the wall torque applied to the mode. Further, as the mode slows, the wall torque would increase since initially $\omega \tau_{\text{wall}} \gg 1$. Finally, the removal of rotational shear in the plasma core may also contribute to increased angular momentum diffusivity from electrostatic ion turbulence [55, 56].

As for shot 108104 in Figure 7, once the mode rotation frequency falls below 2kHz, it rapidly decreases in frequency, quickly locks to the wall, and then penetrates the passive plates and vacuum vessel. An alternative explanation is that once the rotation falls below this critical value, a resistive wall mode is destabilized [54, 57] and rapidly grows on a 1ms time-scale. Whatever the precise identity of the mode that causes the final disruption, this locked state ultimately induced a vertical displacement event (VDE) which caused a plasma current disruption in shot 112600. Thus, the operational effects of the 1/1 mode can range from persisting in a relatively benign saturated state to significantly degrading fast-ion and momentum confinement sometimes to the point of island locking and/or RWM destabilization leading to complete collapse of the plasma.

2.5. Stabilization from viscous effects

In the linear theory of resistive internal kink mode stability, it has been shown [58] that viscous dissipation is potentially stabilizing for the mode. The resistive treatment is at least partially applicable for NSTX since $\nu_{ei}\tau_A \approx 0.3$ using an electron-ion collision rate consistent with the neoclassical parallel resistivity. Thus, the collision rate may be competitive with characteristic mode growth rates and may impact even collisionless estimates of the growth-rate [59]. On the other hand, the resistive tearing layer width is much narrower than other characteristic widths thought to control the reconnection, so the simple resistive treatment is unlikely to be relevant to the early non-linear phase [60].

In any case, the stabilization strength of viscous dissipation can be estimated from
the linear theory developed for high aspect ratio tokamak plasmas with circular cross section which finds that when the MHD growth rate is less than the ion diamagnetic frequency, the mode complex frequency is given by:

\[ \omega \approx \frac{\gamma_{MHD}^2}{\omega_{di}} + (5i/2)\nu_\eta \omega_A^2/|\omega_{di}\hat{\omega}_{se}| - (i/2)\nu_\mu/\lambda_H^2. \]  

(12)

In this regime, perpendicular viscosity is stabilizing when \( \hat{D} \geq D_{crit} \) where \( \hat{D} \equiv \nu_\mu/\nu_\eta, \nu_\mu \) and \( \nu_\eta \) are viscous and resistive relaxation rates respectively, and \( \hat{D}_{crit} = 5(\omega_A^2/|\omega_{di}\hat{\omega}_{se}|)\lambda_H^2 \). We note that \( \hat{D} \approx 0.3(m_iT_e/m_eT_i)^{0.5}\beta_e \) implying that perpendicular viscous effects are significantly enhanced by high \( \beta \). We also note that \( \omega_{si}/\omega_A = A\beta_i(0)\delta_i/a \) for parabolic profiles where \( A \) is the plasma aspect ratio, \( \beta_i(0) \) is the central ion \( \beta \), \( \delta_i \) is the collisionless ion skin depth, and \( a \) is the plasma minor radius. Thus, high \( \beta \) could also enhance the diamagnetic drift stabilization of the internal kink mode.

Applying the equations from Equations 12 to low aspect ratio and shaped plasmas (i.e. beyond their regime of strict applicability), near the time of 1/1 mode onset for discharge 112600 in Figure 10, \( \omega_A \approx 10^6, \omega_{si} \approx 2 \times 10^4, \hat{\omega}_{se} \approx -3 \times 10^4, \) and \( \lambda_H \approx 0.02 \). For these parameters, \( \gamma_{MHD} = 2.3 \times 10^4 \approx \omega_{si}, \hat{D} \approx 2, \) and \( \hat{D}_{crit} \approx 4 \). Consistent with the experiment, for these parameters the mode is estimated to be linearly unstable since \( \gamma_{MHD} > \omega_{si}/2 \) and viscous effects are roughly a factor of 2 too low to provide linear stability. An extension of the theory to incorporate low aspect ratio and non-circular plasma cross-section would be needed to make more meaningful quantitative comparisons between theory and experiment. The perpendicular viscosity effects are the correct order of magnitude to be important in mode linear stability, and if the viscosity is somehow driven anomalously high through nonlinear effects associated with mode growth, it is possible that it plays a role in mode saturation. For instance, the NTV rotation damping process tested above and the kinetic profile data shown in Figure 17 highlight the potentially strong changes in plasma transport and flows
induced by magnetic islands that have been both conjectured [61] and observed [62]. Such effects would have to be strong and active over the relevant length scales of the reconnection region of the 1/1 mode which could be as large as $\rho_{si} \approx 1-2$cm in width and $\Delta \theta \approx 120^\circ$ in poloidal extent.

2.6. Stabilization from island pressure peaking

Nonlinear simulations of the 1/1 mode using the M3D code in the single-fluid resistive MHD approximation [38] did find saturated states under some conditions. Such states were not reproducibly obtained in the simulations however, as these states resulted after several crash cycles and are apparently the result of the pressure becoming locally highest inside the island [63]. Electron cyclotron emission (ECE) measurements of the island electron temperature are not possible in NSTX because of over-dense plasma conditions. However, the mode is sufficiently long-lived in some discharges that several Thomson scattering profiles can be obtained capturing the island structure of the mode. Further, the CHERS data can provide time-average data to test whether the ion temperature is higher or lower in the island region.

Figure 17 shows the time evolution of these profiles for shot 108103 from Figure 1. Figure 17a at $t=227$ms prior to mode onset shows the electron temperature peaking near the magnetic axis $R_{axis} = 1.0$m as reconstructed by EFIT. During the saturation phase at $t=243$ms, the Thomson scattering system is apparently imaging the mode phase where the hot core has been displaced outwards. At $t=260$ms, the opposite phase is evident with the hot core located on the inboard side with a $T_e$ flat-spot on the low-field side. By $t=277$ms as the island width is shrinking in the USXR inversions, the central $T_e$ has largely recovered, and narrow temperature flat-spots are apparent on both sides of the magnetic axis. Figure 17b shows that the electron pressure evolution is very similar
to the temperature evolution, as the density profile is relatively flat and changes little with island phase when the mode is present. Figure 17c shows that the ion temperature is initially peaked, flattens and broadens during the early growth phase as does the rotation, and eventually recovers both on-axis and off-axis while remaining depressed near the island region during the mode saturation. The location of the time-average ion temperature depression at t=270ms is in good agreement with the position and width of the 1/1 island shown in Figure 9f. In further support of this being the location of the 1/1 island, equilibrium reconstructions find the $q=1.5$ and 2 surfaces to be at $R=1.41m$ and $R=1.45m$ respectively at the outboard midplane. There is little evidence of any similar profile flattening or other mode-induced effects at these radial locations.

With respect to pressure peaking inside the island, the electron data suggests that the displaced core remains hotter (and with higher pressure) than the island region, and the ion data is consistent with lower ion temperature in the island also. Thus, it appears unlikely that pressure peaking inside the island is responsible for mode saturation.

2.7. Ion diamagnetic stabilization

One noteworthy feature of the data in Figure 17b is the obvious displacement of the core at $t=243ms$. The pressure data points outside of $R=1.3m$ are nearly identical at $t=227ms$ and $t=243ms$, indicating that the displacement outside the core is small - consistent with the USXR data shown in Figure 8. Further, the local electron pressure gradient is clearly significantly higher in the island inferred X-point region near $R=1.25-1.3m$ at $t=243ms$. If this pressure gradient enhancement also occurs in the ion channel, quasi-linear enhancement of $\omega_{*i}$ could also play a role in non-linear saturation of the mode [64]. In the two-fluid theory of the $m=1$ mode [60], the displacement of the core enhances both the pressure gradient and magnetic shear, and results in a criteria for
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quasi-linear stability given by:

$$\alpha \omega_{si}\tau_A > 2 q' \sqrt{\lambda_h^2 / q'^2 + q'^2 \left( \rho_s^2 + 5 d_e^2 \right) / 2}$$

(13)

Here $\lambda_h$ is the ideal mode layer width, $\rho_s$ is the ion sound-speed Larmor radius, and $d_e$ is the collisionless electron skin depth. The pressure gradient enhancement factor $\alpha = 1 + 2 \chi^2$, the shear enhancement factor $q' = 1 + 6 \chi^2$, and $\chi = \xi_0 / 2 \pi \lambda_h$ where $\xi_0$ is the radial displacement of the magnetic axis in the presence of the island. The stability criteria from Equation 13 can be expressed as

$$\alpha \omega_{si}\tau_A > 2 \sqrt{\left( \gamma_0 \tau_A / q' \right)^2 + \left( q' \rho_s^2 + 5 d_e^2 \right)} / 2.$$  

(14)

using the relation

$$\gamma_0 \tau_A = q' \lambda_h = \sqrt{3 \pi} \epsilon_{q=1}^2 \Delta \beta_p^2$$

(15)

which is equivalent to Equations 10 and 11 in the absence of rotation. From these equations, it is evident that at fixed $\beta$, the quasi-linear shear enhancement reduces the ideal instability drive, enhances the diamagnetic stabilization, but increases the destabilizing effects of the electron compressibility ($\rho_s$) and inertia ($d_e$). At sufficiently large equilibrium shear $q'$, the destabilizing terms dominate and very large $\omega_{si}$ is required to stabilize the mode.

Figure 18 plots the normalized displacement of the core predicted by Equation 13 versus the normalized ideal instability growth rate and normalized magnetic shear $\dot{s} = rdq/dr$ at the $q=1$ surface. With zero electron diamagnetic drift, Figure 18a shows that for NSTX parameters, there is indeed a range of magnetic shear ($\dot{s} = 0.1-0.15$) where saturated normalized displacements similar to the experimental values of 0.3-0.6 are accessible with ideal linear growth rates well above the nominal threshold of $\gamma_0 / \omega_{si} = 0.5$. This implies that mode saturation would occur over a fairly narrow range of magnetic shear and ideal instability drive. However, Equation 13 was derived in the
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limit of zero electron diamagnetism. When electron diamagnetic effects are included in the quasi-linear dispersion relation, Figure 18b shows that saturation should occur over a wider range of instability drive and shear. For the parameters of the discharge shown, saturation at $\xi_0/r_{q=1} \approx 0.5$ with $\gamma_0/\omega_{si} \gg 0.5$ is possible for $s$ near 0.2 which corresponds to a gradient scale length of approximately 1.4m for $q$ at the $q=1$ surface. The predictions of this model will be tested for NSTX in the near-term using magnetic shear measurements from MSE-constrained magnetic reconstructions.

3. Summary

Internal kink instabilities have been observed in many of the highest toroidal $\beta$ discharges of NSTX which have low central safety factor near unity. These modes often cause rotation flattening in the plasma core, can degrade fast particle confinement, and in some cases contribute to the complete loss of plasma angular momentum and stored energy. In many cases, the modes do not apparently undergo complete reconnection, and in some cases can persist in a saturated state for 10-200 initial growth times. Several candidate saturations mechanisms have been explored and compared qualitatively with experiment.

First, the mode has been observed to significantly increase the fast ion transport and/or loss in the plasma core as evident in the measured neutron rate and NPA data. Thus, trapped-fast-ion stabilization is likely reduced, although it is possible that the stabilization is actually enhanced at larger minor radius if the ions are moved from the core but not lost. Rotational shear has been shown theoretically to reduce the growth rate of the internal kink mode, and this may contribute to the relatively slow growth rate of the mode near marginal stability when the central toroidal flow speed approaches the Alfvén speed. Non-linearly, the mode appears to flatten the rotation
profile in simulations with M3D and in the experiment, so rotational shear is an unlikely mode saturation mechanism. This rotation flattening is observed even with only partial reconnection, i.e. no ejection of the hot plasma core. However, inside the \( q=1 \) surface, the mode non-axisymmetric magnetic field appears to be large enough to cause rotation flattening from enhanced neoclassical toroidal viscosity. In situations where the core mode couples non-linearly to another island at larger minor radius, the rotation flattening can extend to even larger minor radius. In this state, a rotational collapse across most of the plasma can occur leading to plasma disruption either through island locking or destabilization of the resistive wall mode.

Perpendicular viscous effects have also been shown theoretically to reduce internal kink growth rates, and these effects should be enhanced at high \( \beta \). These effects are the correct order of magnitude to modify the mode linear stability, but it is unclear if they are sufficiently strong to explain the non-linear saturation behavior unless the island itself leads to an enhanced anomalous viscosity in the reconnection region. Pressure peaking inside the island could also lead to mode stabilization, but appears inconsistent with the available kinetic pressure profile data inside the 1/1 island. Finally, diamagnetic effects are enhanced at high \( \beta \), and for a range of magnetic shear values, saturated island states with instability drive significantly above the linear diamagnetic stabilization threshold may be possible. Nonlinear diamagnetic stabilization can be evaluated more quantitatively once magnetic shear measurements become available in the near term. Overall, the interplay of all the effects described above is likely to be complex, since the growth and saturation of the mode appear to weaken several of the linearly stabilizing influences while potentially enhancing other stabilizing effects non-linearly.
Appendix

Flux and field in the single helicity magnetic island model

The magnetic field of the island equilibrium can be computed perturbatively by expanding about an axisymmetric equilibrium. The total magnetic field in the plasma can be expressed as $\vec{B} = \nabla \times \vec{A}$ where gauge freedom allows the vector potential $\vec{A}$ to be expressed as $\vec{A} = \psi_p \nabla \phi - \psi_t \nabla \theta$. Here $\phi$ is the (toroidal) angle in a right-handed $R, \phi, Z$ cylindrical coordinate system, $\theta$ is a poloidal angle coordinate in the $R, Z$ plane, $\psi_p$ is the total (equilibrium plus perturbed) poloidal flux function, and $\psi_t$ is the total toroidal flux function. In the absence of perturbations, these flux functions are functions of the equilibrium poloidal flux $\psi \equiv RA_\phi(R, Z)$ only. Specifically, $\psi_p = \psi$ and $\psi_t = \int q(\psi) d\psi$.

Dealing with an island of a single helicity, it is convenient to introduce the helical coordinate $\alpha = \phi - q_s \theta$ where $q_s$ is the safety factor of the singular surface at which the mode is resonant. This coordinate satisfies $\nabla \alpha \cdot \vec{B} = 0$ where $q(\psi) = q_s$. Perturbed magnetic fields proportional to gradients of this coordinate therefore minimize field-line bending at the mode-rational surface and favor mode instability.

With the introduction of this helical coordinate, the total magnetic field can be expressed as $\vec{B} = \nabla \psi_p \times \nabla \phi - \nabla \psi_h \times \nabla \theta$ where the helical flux is defined as:

$$\psi_h \equiv \psi_t - q_s \psi_p$$  \hspace{1cm} (16)

To compute the total field in the presence of the island, we now **assume** the total poloidal and toroidal fluxes can be expressed as functions of $\psi$ and $\alpha$ alone, i.e. $\psi_t = \psi_t(\psi, \alpha)$ and $\psi_p = \psi_p(\psi, \alpha)$. The total magnetic field then becomes:

$$\vec{B} = \nabla \psi \times \nabla \phi \partial_\psi \psi_p - \nabla \phi \times \nabla \theta \partial_\alpha \psi_h - \nabla \psi \times \nabla \theta \partial_\psi \psi_t$$  \hspace{1cm} (17)

From this equation, it is clear that the $\nabla \psi \cdot \vec{B}$ (radial) component of the magnetic field comes only from the helical flux, and that this component is zero in the axisymmetric
system as required. Further, the equilibrium helical flux \( \psi_{h0}(\psi) \equiv \int_{\psi_s}^{\psi} (q(\psi') - q_s) d\psi' \) is zero at the resonant surface, making this flux useful for perturbative expansions about the equilibrium. Perhaps most importantly, \( \nabla \psi_h \cdot \vec{B} = \nabla \alpha \cdot \nabla \psi_h \times \nabla \psi_p = 0 \) by construction even in the perturbed system. This implies that \( \psi_h \) can uniquely label magnetic surfaces even in the presence of a magnetic island provided the flux perturbations take the form assumed above.

The detailed structure of the flux and field inside the island depends sensitively on the plasma physics assumed, but outside the island, the field structure should be well approximated by ideal MHD. This implies that outside the island, the perturbed vector potential \( \delta \vec{A} \approx \vec{\xi} \times \vec{B}_0 \) where \( \vec{\xi} \) is the plasma displacement and \( \vec{B}_0 \) is the equilibrium magnetic field. From this relation, it follows that \( \delta \psi_p = -\vec{\xi} \cdot \nabla \psi \) and that
\[
\delta \vec{A} = (\nabla \phi - q \nabla \theta) \delta \psi_p.
\]
This allows the perturbed poloidal flux outside the island to be related to the perturbed helical flux via \( \delta \psi_p = \delta \psi_h / (q - q_s) \). This relation demonstrates both the singular behavior and odd parity of the normal displacement near the resonant (singular) surface for an even and finite helical flux perturbation at this surface.

Since an infinite normal displacement is nonphysical, the perturbed poloidal flux is assumed to vary across the singular layer as:
\[
\delta \psi_p(\psi, \alpha) = f(\psi) \delta \psi_h(\psi, \alpha) / (q(\psi) - q_s)
\]
where \( f(\psi) = 1 - \exp(-\alpha^2 x^2), \ x = \rho - \rho_s; \ \rho = \hat{\psi}^{1/2}, \ \hat{\psi} \) is the normalized equilibrium poloidal flux, and \( \alpha = 2/w_p \) where \( w_p \) is the normalized minor radial width of the poloidal flux variation across the singular surface located at \( \rho = \rho_s \). The perturbed helical flux of the single-helicity island is further assumed to be separable in the radial and helical coordinates, specifically:
\[
\delta \psi_h = A(\psi) \cos(n\alpha)
\]
where the integer \( n \) is the toroidal mode number of the tearing mode. With this
formulation, tearing modes with \( m/n = q_s \) where \( m \) is the integer poloidal mode number will then generate resonant perturbations to the radial magnetic field and create magnetic islands.

The model amplitude profile form used is

\[
A(\psi) \propto \rho^m (1 - \rho)^{m(1 - \rho_s)/\rho_s} \quad \text{(for } m \geq 2)\]

which scales as \( \rho^m \) for small \( \rho \) consistent with cylindrical theory, has maximum value at \( \rho = \rho_s \), and is zero at the plasma boundary. For \( m=1 \), the model amplitude profile form used is

\[
A(\psi) \propto \hat{\rho}_s (1 - \hat{\rho}_s) \quad \text{where } \hat{\rho}_s = \rho/\rho_s \text{ for } \rho \leq \rho_s \text{ and } A(\psi) = 0 \text{ for } \rho > \rho_s.\]

The amplitude of the \( \delta \psi_h \) profile is then adjusted to generate a magnetic island at the chosen rational surface \( \rho_s \) of full width \( \Delta \rho = w \). With the perturbed helical flux function and poloidal flux function variation width \( w_p \) specified, Eqns. 16–19 can be used to calculate the field from a single tearing mode anywhere in the equilibrium plasma. The profile for the perturbed helical flux could also be obtained from a code such as PEST-3 [65] and could include such effects as non-zero edge displacement and calculations of the mode field in the vacuum region.

**Magnetic island emissivity model constraints**

For the tomographic inversions discussed above, the USXR emission is assumed to be a function of the total helical flux alone. Emissivity basis functions of the normalized total helical flux both inside and outside the island are applied, and line integrals through each basis function are computed numerically. The line-average measurements of the USXR emission are then used to determine the 3D emissivity profile using SVD techniques. The island width and poloidal extent are scanned to find the best fit to the data. It is also necessary to scan the \( q=1 \) radius for the \( 1/1 \) mode as internal \( q \)-profile measurements are presently unavailable. The helical flux profile is a direct byproduct of this inversion process and can be used to estimate the magnetic field perturbation from the island as
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described above.

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References

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Figure 1. (a) Plasma current, NBI heating power, and line-average electron density, (b) toroidal $\beta$ and divertor $D_\alpha$ signal, (c) measured and predicted (from TRANSP) neutron rates, and (d) integrated Mirnov and USXR fluctuation amplitudes for high-$\beta$ NSTX discharge 108103 whose fast-ion confinement is modified by a saturated 1/1 island in the plasma core.
Figure 2. Plasma current, NBI heating power, and line-average electron density, (b) toroidal $\beta$ and divertor $D_\alpha$ signal, (c) integrated Mirnov and USXR fluctuation amplitudes, (d) measured neutron rate, and (e) fast-ion energy distribution functions for the two times highlighted in (d) for high-$\beta$ NSTX discharge 108989 whose fast-ion confinement is modified by a saturated 1/1 island in the plasma core.
Figure 3. Kinetic profiles for discharge 112546 at t=546ms. (a) Poloidal flux function fits to ion (red) and electron (blue) temperature profiles, (b) poloidal flux function fits to carbon and deuterium (neoclassical) toroidal rotation frequencies, (c) carbon (red) and deuterium (green) number density profiles, and (d) electron density profiles. Dashed curves in the figures correspond to the profiles which are functions of poloidal flux only, and vertical dashed lines represent the magnetic axis.
Figure 4. Kinetic profiles for discharge 107540 at t=330ms. Figure labels are the same as those in Figure 3.
Figure 5. Simulated USXR signals from M3D for an equilibrium with 24% toroidal $\beta$ with $q_{\text{min}} = 0.85$ and $\rho(q = 1) = 0.45$ for on-axis Alfvén Mach numbers $M_A = 0.0$ and 0.3. The growth rate of the higher $M_A$ case is reduced by a factor of 2-3 relative to zero plasma rotation.
Figure 6. (a) Toroidal $\beta$, (b) integrated Mirnov amplitudes at vessel wall, and (c) ex-vessel $n=1$ radial field amplitude for discharges with fast-ion confinement modified by saturated 1/1 modes in the plasma core.
Figure 7. Rotation profile evolution for discharges (a) 108103 and (b) 108104 from Figure 6.
Figure 8. (a) Contours of USXR line-average emission in time versus chord index with a large 1/1 mode present for shot 108103, and (b) best-fit to the emission data using the single-helicity island model. The black contour lines are from the measurements in both figures.
Figure 9. Evolution of reconstructed island emission during early mode growth (a-c), saturation (d-e), and decay (f) phases for shot 108103. Dashed vertical lines in the midplane emission plots indicate the position of the $q=1$ surface inferred from the model. The reconstructions are plotted at fixed island phase angle.
Figure 10. (a) Toroidal $\beta_T$ and vacuum toroidal field (dashed), (b) magnetic fluctuation amplitude, and (c) neutron rate for 1.2MA discharges 112596 and 112600.
Figure 11. Mode evolution leading to the final disruption of high-\(\beta\) discharge 112600.
Figure 12. (a) Contours of USXR line-average emission fluctuation in time versus chord index for shot 112600 at $t=567\text{ms}$, and (b) best-fit to the emission data using the single-helicity island model with $m/n = 1/1$. 
Figure 13. Rotation profile evolution for discharges (a) 112600 and (b) 112596 from Figure 10.
Figure 14. Magnitude of the flux-surface-normal component of the perturbed magnetic field for the (a) 1/1 mode and (b) 2/1 mode using separate single helicity island model fits to the core and off-axis mode data shown in Figure 12.
Figure 15. Measured rotation profiles (diamonds) during the early growth phase of the 1/1 mode in shot 112600 compared to the predicted (solid lines) rotation profile evolution (a) including the torque from NTV, and (b) excluding the NTV torque using the island fields from Figure 14.
Figure 16. Decomposition of in-vessel magnetic field fluctuations into $n=1$-$3$ components for (a) upper radial and (b) upper poloidal sensors before and during the rotation damping phase of shot 112600.
Figure 17. Thomson scattering (a) electron temperature, (b) electron pressure, and (c) 20ms time-averaged ion temperature from CHERS before and during the 1/1 mode activity in shot 108103.
Figure 18. Predicted displacement of the plasma core (normalized to the radius of the $q=1$ surface) during non-linear 1/1 mode saturation in the Rogers-Zakharov model (a) ignoring the electron diamagnetic drift, and (b) including the electron diamagnetic drift for shot 108103 at $t=220\text{ms}$.