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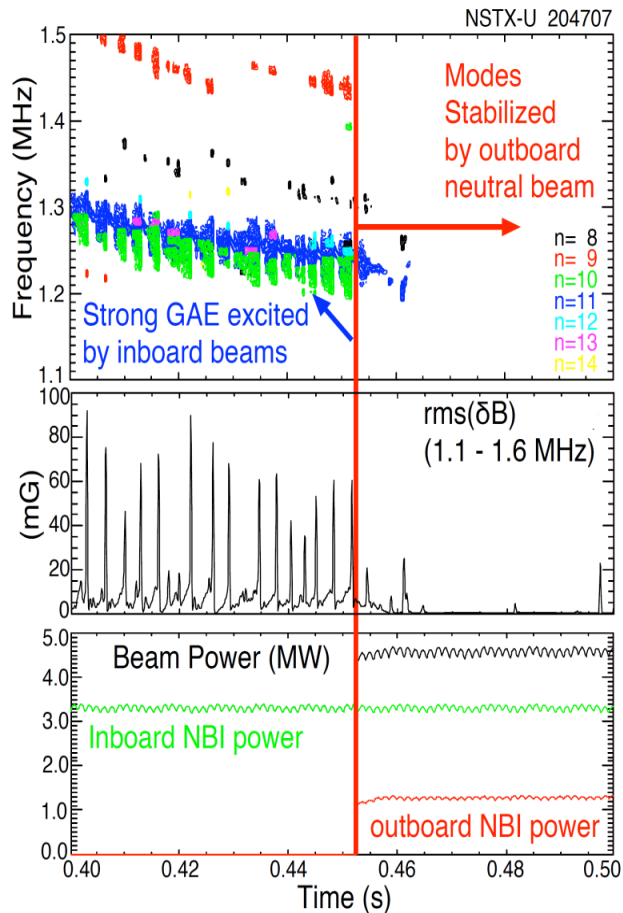
# Numerical simulations of Global Alfvén Eigenmode (GAE) stabilization in NSTX-U

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team

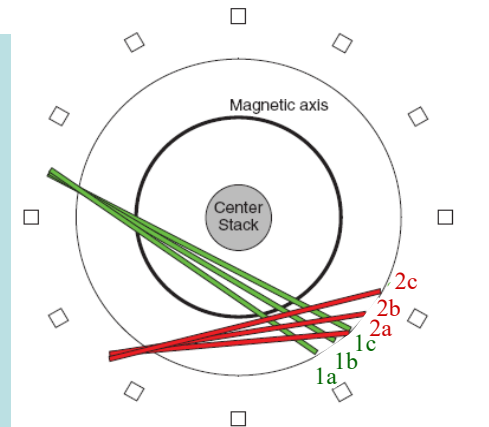
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# NSTX-U off-axis neutral beam suppresses Global Alfvén Eigenmodes (GAEs) [E. Fredrickson, PRL 2017]

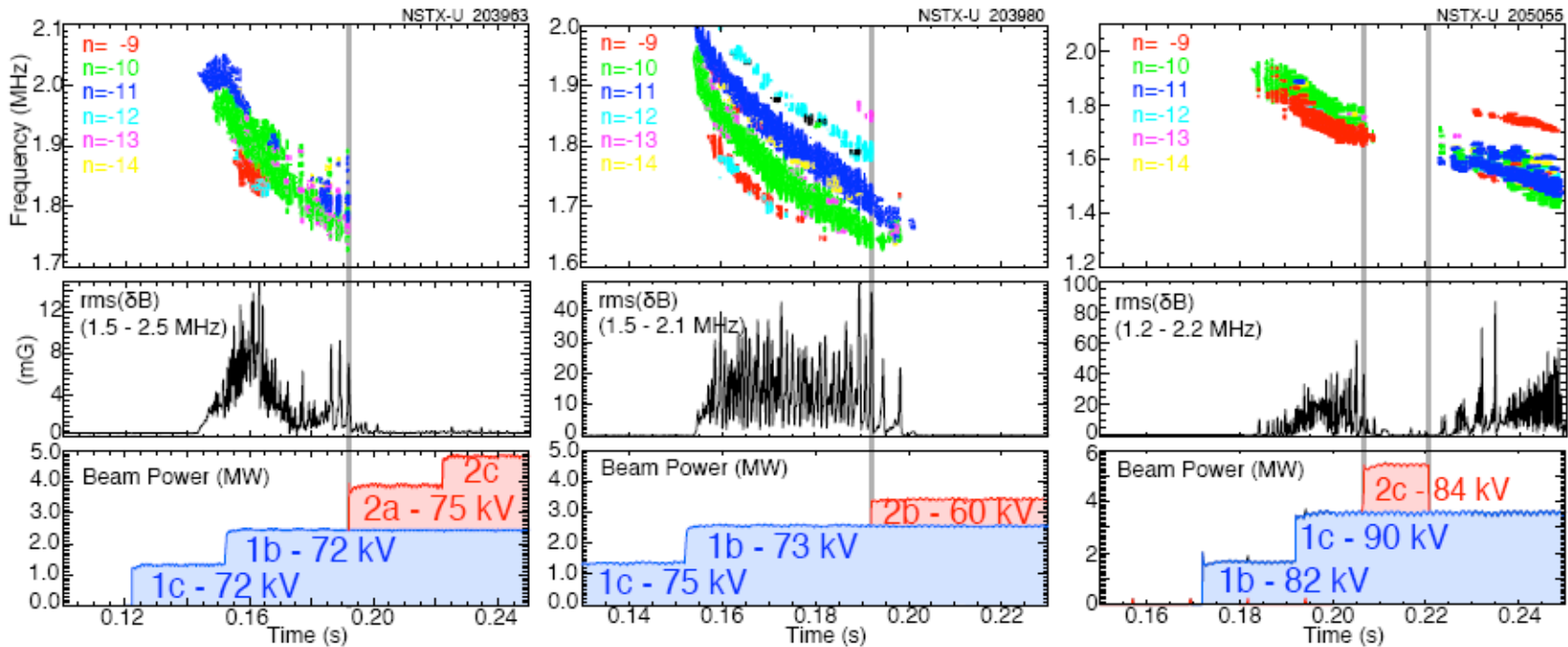


- Counter-propagating GAEs are frequently observed in the sub-cyclotron frequency range of  $0.1f_{ci}$  up to  $0.5f_{ci}$ , in NSTX and NSTX-U.
- Driven by cyclotron resonance with beam ions
- New neutral beam sources – ability to control the fast ion distribution.
- Off-axis neutral beams inject fast ions onto trajectories largely parallel to the magnetic field, with pitch  $0.8 < V_{||}/V < 1$ .
- Reliable suppression of the counter-propagating GAE when an additional 1.3MW is injected using the outboard beam.



Sketch of neutral beam geometry. Original beams in green, labeled 1a, 1b, 1c; new beams for NSTX-U shown in red labeled 2a, 2b and 2c.

## GAE stabilization has been well documented for many NSTX-U shots



Three examples of GAE being suppressed by the injection of one of the three off-axis beam sources. Figure 1(c) also shows that the GAE can reappear when the 2c power is turned off.

The measured GAE suppression time  $\sim$  few ms is much smaller than slowing-down time ( $\sim 50$ ms), suggesting that it takes relatively few high-pitch fast ions to suppress the GAE.

## HYM – HYbrid and MHD code

### Applications

- NSTX
  - Sub-cyclotron frequency Alfvén eigenmodes (GAE and CAE)
- ICC Theory and Modeling
  - Hybrid simulations of spheromak merging
  - FRC: Effects of beam ions on stability
    - Rotation control
    - $n=2$  rotational and  $n=1$  wobble modes

### Code description

- 3-D nonlinear.
- Physical models:
  - Resistive MHD & Hall-MHD
  - Hybrid (fluid electrons, particle ions)
  - **MHD/particle (one-fluid thermal plasma, + energetic particle ions)**
    - Drift-kinetic particle electrons
- Full-orbit kinetic ions.
- For particles: delta-f / full-f numerical scheme.
- Parallel (3D domain decomposition, MPI)

# Self-consistent MHD + fast ions coupling scheme

Background plasma - fluid:

$$\rho \frac{d\mathbf{V}}{dt} = -\nabla p + (\mathbf{j} - \mathbf{j}_b) \times \mathbf{B} - n_b (\mathbf{E} - \eta \mathbf{j})$$

$$\mathbf{E} = -\mathbf{V} \times \mathbf{B} + \eta \mathbf{j}$$

$$\mathbf{B} = \mathbf{B}_0 + \nabla \times \mathbf{A}$$

$$\partial \mathbf{A} / \partial t = -\mathbf{E}$$

$$\mathbf{j} = \nabla \times \mathbf{B}$$

$$\partial p^{1/\gamma} / \partial t = -\nabla \cdot (\mathbf{V} p^{1/\gamma})$$

$$\partial \rho / \partial t = -\nabla \cdot (\mathbf{V} \rho)$$

Fast ions – delta-F scheme:

$$\frac{d\mathbf{x}}{dt} = \mathbf{v}$$

$$\frac{d\mathbf{v}}{dt} = \mathbf{E} - \eta \mathbf{j} + \mathbf{v} \times \mathbf{B}$$

$$w = \delta F / F \quad \text{- particle weight}$$

$$\frac{dw}{dt} = -(1-w) \frac{d(\ln F_0)}{dt}$$

$$F_0 = F_0(\varepsilon, \mu, p_\phi)$$

$\rho$ ,  $\mathbf{V}$  and  $p$  are thermal plasma density, velocity and pressure,  $n_b$  and  $\mathbf{j}_b$  are beam ion density and current, and  $n_b \ll n_e$  – is assumed.

# Self-consistent anisotropic equilibrium including the NBI ions

Grad-Shafranov equation for two-component plasma:

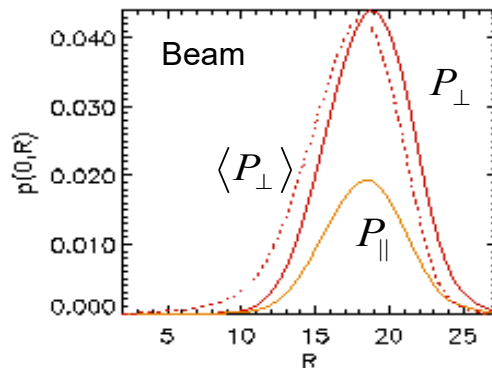
MHD plasma (thermal) and fast ions [Belova et al, Phys. Plasmas 2003].

$$\frac{\partial^2 \psi}{\partial z^2} + R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) = -R^2 p' - HH' - \underbrace{GH' + RJ_{b\phi}}_{\text{Beam effects}}$$

$$\mathbf{B} = \nabla \phi \times \nabla \psi + h \nabla \phi$$

$$h(R, z) = H(\psi) + G(R, z)$$

$$\mathbf{J}_{bp} = \nabla G \times \nabla \phi, \quad G - \text{poloidal stream function}$$



Modifications of equilibrium due to beam ions:

- more peaked current profile,
  - anisotropic pressure,
  - increase in Shafranov shift
- might have indirect effect on stability.

# Fast ions – delta-f scheme: $F_0 = F_0(\varepsilon, \mu, p_\phi)$

Equilibrium distribution function  $F_0 = F_1(v) F_2(\lambda) F_3(p_\phi, v)$

$$F_1(v) = \frac{1}{v^3 + v_*^3}, \text{ for } v < v_0$$

$$F_2(\lambda) = \exp(-(\lambda - \lambda_0)^2 / \Delta\lambda^2)$$

$$F_3(p_\phi, v) = \frac{(p_\phi - p_0)^\beta}{(R_0 v - \psi_0 - p_0)^\beta}, \text{ for } p_\phi > p_0$$

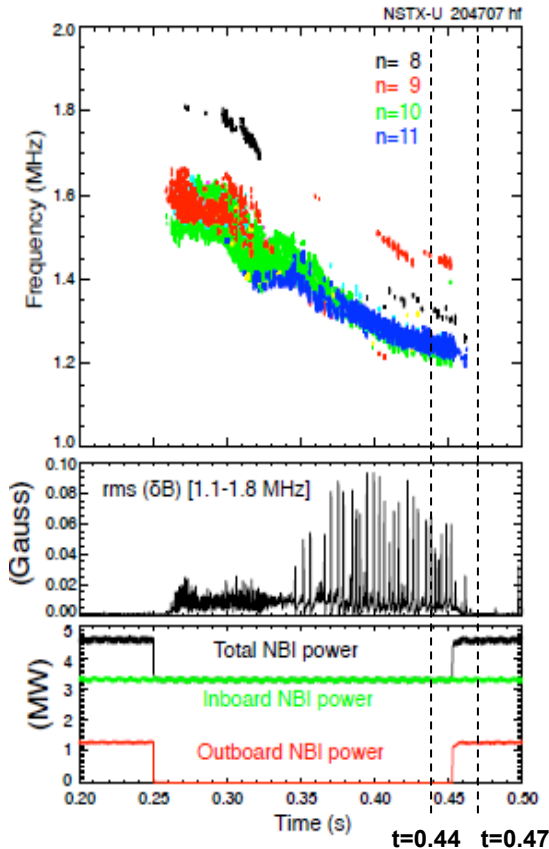
where  $v_0 = 2-5v_A$ ,  $v_* = v_0/2$ ,  $\lambda = \mu B_0/\varepsilon$  – pitch angle parameter,  $\lambda_0 = 0.5-0.7$  (typical of on-axis beam), and  $\mu = \mu_0 + \mu_1$  includes first-order corrections [Littlejohn'81]:

$$\mu = \frac{(\mathbf{v}_\perp - \mathbf{v}_d)^2}{2B} - \frac{\mu_0 v_\parallel}{2B} [\hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} - 2(\hat{\mathbf{a}} \cdot \nabla \hat{\mathbf{b}}) \cdot \hat{\mathbf{c}}]$$

$\mathbf{v}_d$  is magnetic gradient and curvature drift velocity,  $\hat{\mathbf{c}} = \mathbf{v}_\perp / v_\perp$ ,  $\hat{\mathbf{a}} = \hat{\mathbf{b}} \times \hat{\mathbf{c}}$ .

Parameters are chosen to match TRANSP beam profiles.

# Simulations have been performed to study the excitation and stabilization of GAEs in the NSTX-U



(a) Spectrogram on magnetic fluctuations ( $n=8-11$  counter-GAEs).  
 (b) Rms magnetic fluctuations;  
 (c) Injected beam power.

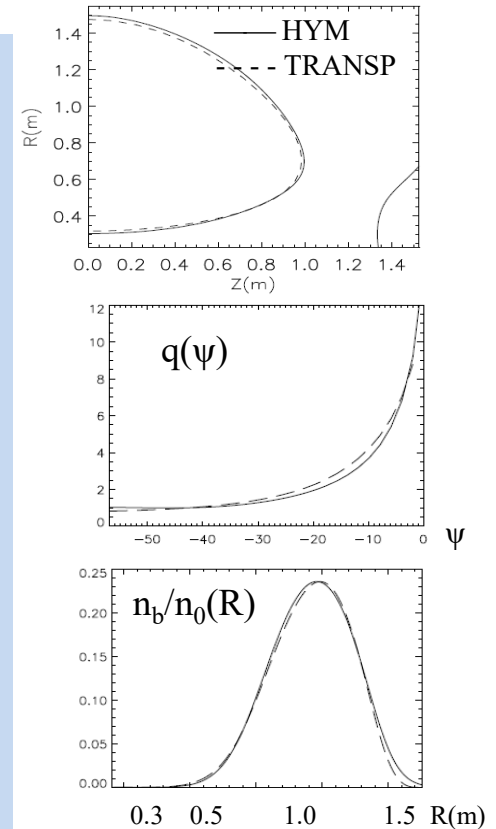
- Simulations using the HYM code have been performed for NSTX-U shot #204707 right before ( $t=0.44s$ ) and shortly after ( $t=0.47s$ ) the additional off-axis beam injection.

- Plasma and beam profiles have been chosen to match TRANSP profiles for  $t=0.44s$  and  $t=0.47s$ .

- The beam ion distribution function matches TRANSP data, with pitch distribution in the form

$$F_b \sim \exp[-(\lambda - \lambda_0(\epsilon))^2 / \Delta\lambda(\epsilon)^2] -$$

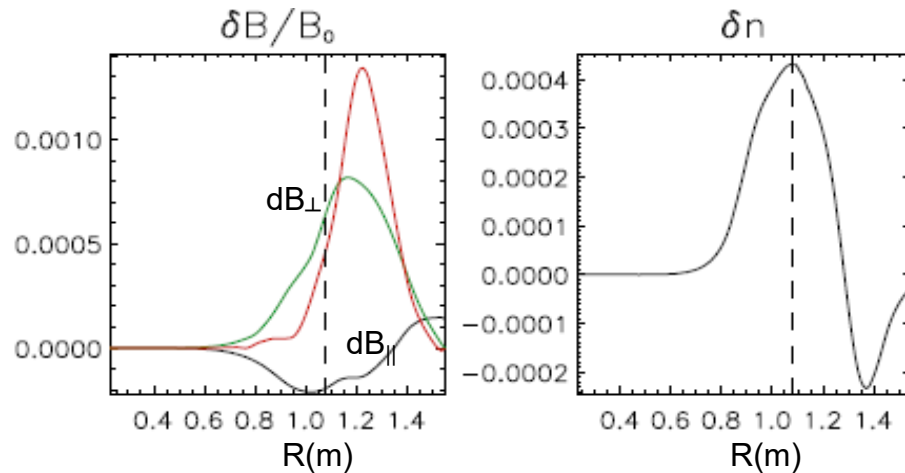
- improved fit includes energy dependence of pitch distribution.



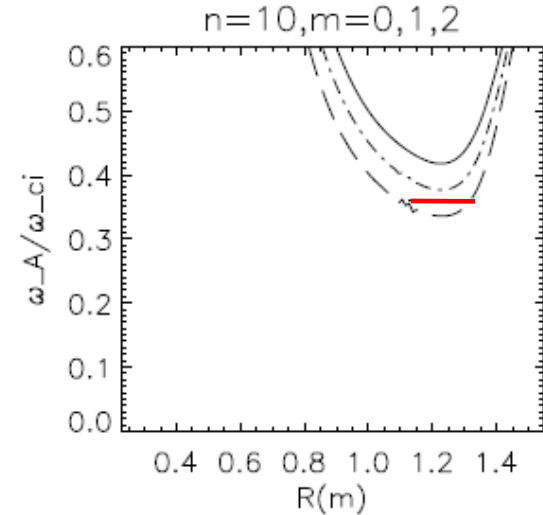
Plasma shape,  $q$ - and  $n_b$  profiles for NSTX-U shot 204707  $t=0.44$  from TRANSP and HYM GS solver + FREE\_FIX.



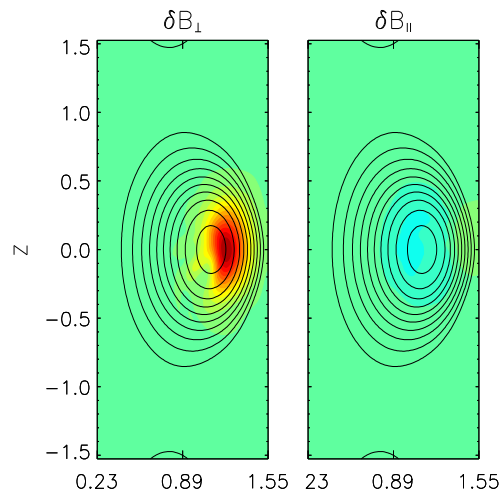
# NSTX-U linear simulations: $n=-10$ counter-GAE ( $t=0.44s$ )



Radial profile of  $\delta \mathbf{B}$  and  $\delta n$  for  $n=-10$  counter-GAE.

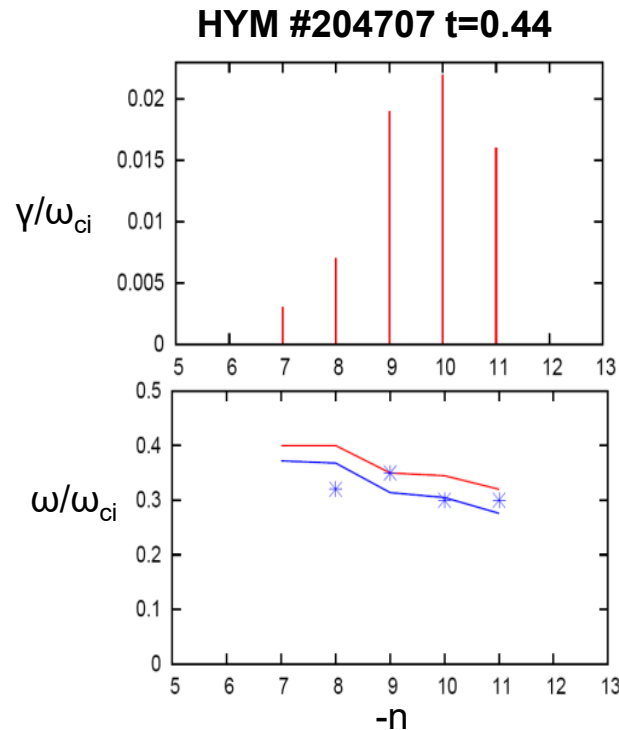


Radial profile of  $\omega_A/\omega_{ci}$  for  $n=-10$ ,  $m=0-2$ ; location of GAE.



- Unstable modes are counter-rotating and have shear Alfvén polarization
- Located near min of  $\omega_A$

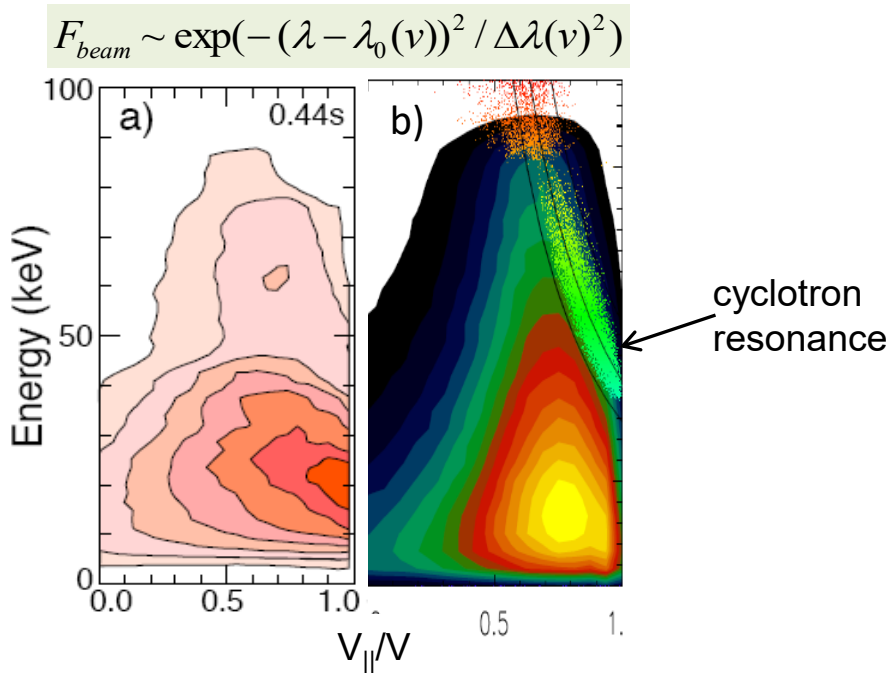
# HYM reproduces experimentally observed unstable GAEs



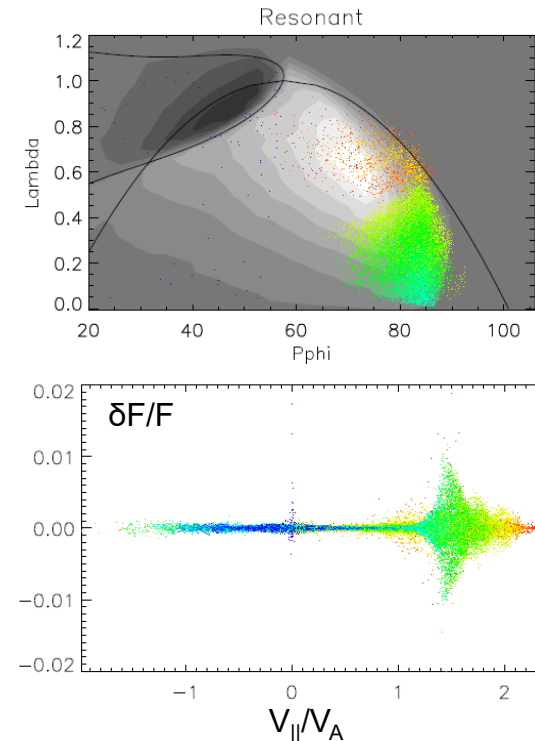
(a) Growth rates and (b) frequencies of unstable counter-GAEs from HYM simulations for  $t=0.44$ s. Blue line is Doppler-shift corrected frequencies, points – experimental values.

- Simulations reproduce most unstable toroidal mode numbers and GAEs frequencies.
- HYM overestimates growth rates compared experimental analysis by 2-3 times.
- Experimental estimates [Fredrickson, NF 2018]:
  - $n=-10 \gamma/\omega_{ci}=0.84\%$
  - $n=-11 \gamma/\omega_{ci}=0.6\%$
- Growth rates are sensitive to distribution function parameters – resonance particles are in ‘tail’ of distribution.

# Improved $F_{beam}$ fit allows more accurate description

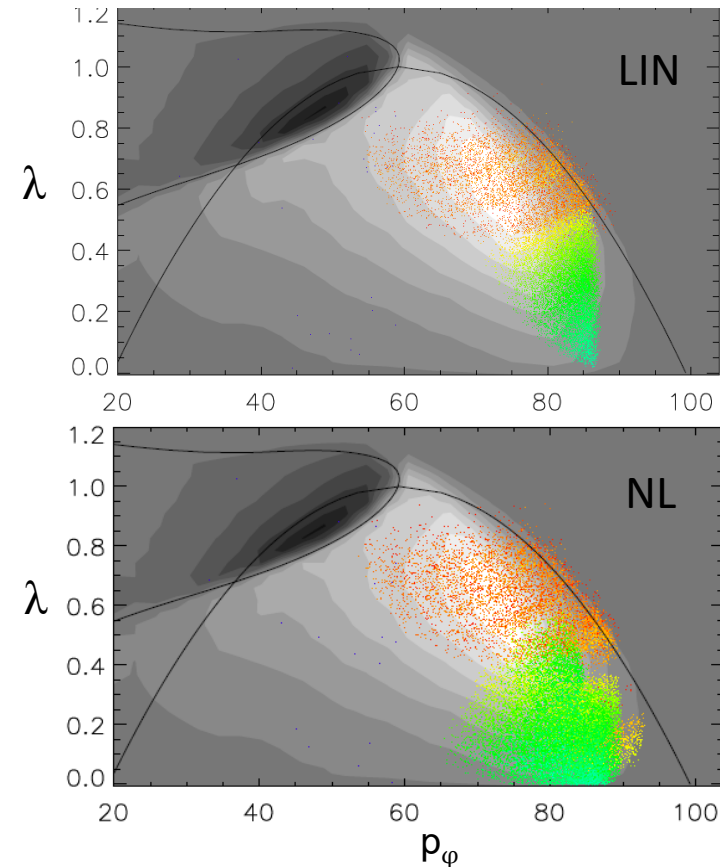
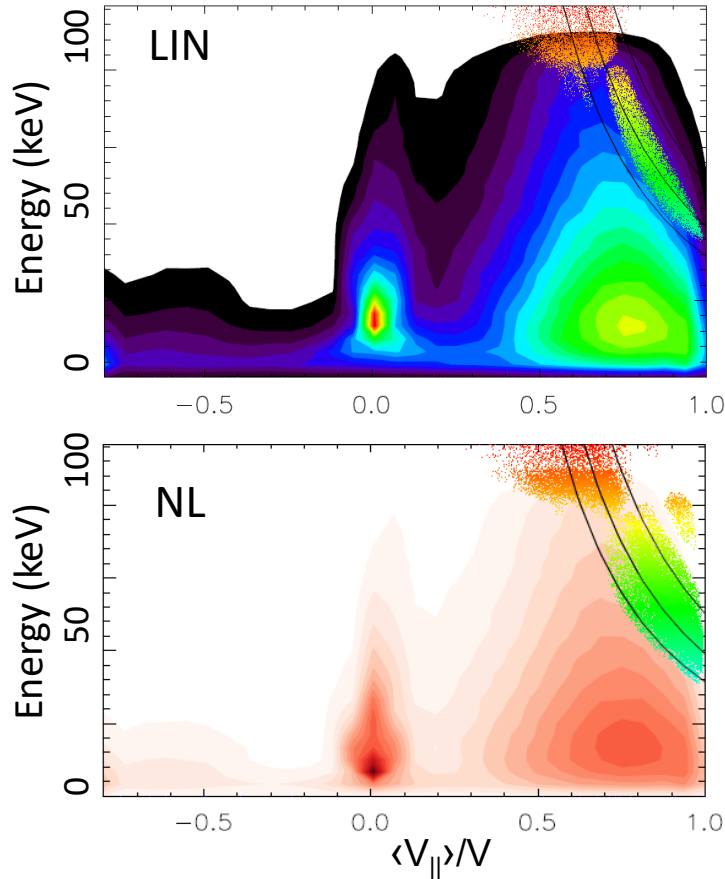


- (a) TRANSP fast-ion distribution before the outboard beam injection  $t=0.44$ s.
- (b) HYM fast-ion distribution from  $n=-11$  GAE simulations; dots show resonant particles.



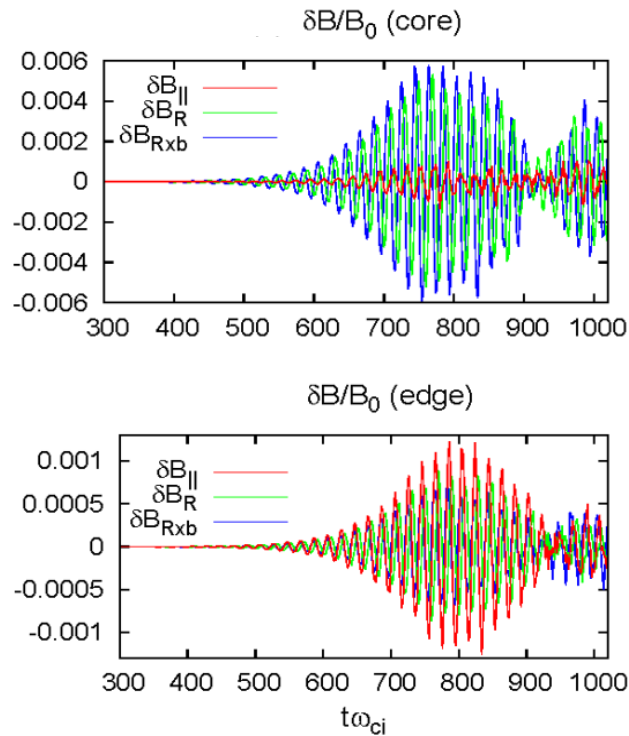
- (a) Location of resonant particles in phase space:  $\lambda = \mu B_0 / \epsilon$  vs  $p_\phi$ .
- (b) Particle weight  $w \sim \delta F / F$  vs orbit-averaged parallel velocity. Particle color corresponds to different energies: from  $E=0$  (purple) to  $E=90\text{keV}$  (red).

## Resonant region is wider in nonlinear phase



Location of resonant particles in phase space; from linearized and nonlinear simulations of  $n=-11$  GAE. Particle color corresponds to different energies: from  $E=0$  (purple) to  $E=90\text{keV}$  (red);  $\langle V_{\parallel} \rangle$  - orbit averaged velocity.

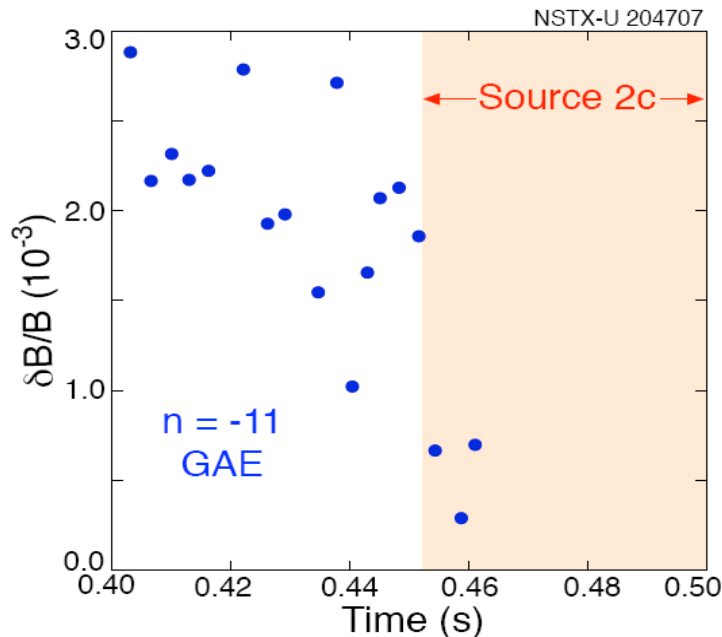
## Nonlinear simulations: n=-11 counter-GAE (t=0.44s)



- Nonlinear simulations show peak saturation amplitudes of  $\delta B/B_0 \sim 5 \times 10^{-3}$  at  $R \sim 1.2\text{m}$  close to the minimum of the Alfvén continuum, and  $\delta B/B_0 \sim 10^{-3}$  near the edge at the midplane.
- Unstable modes have shear Alfvén polarization in the core, and mixed polarization at the edge.

Time evolution of perturbed magnetic field components from nonlinear simulations for n=-11 GAE.

# Experimental amplitudes estimates are comparable to nonlinear simulation results



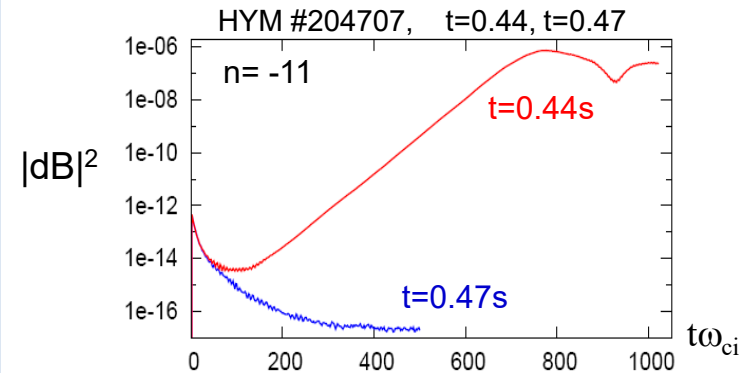
Time evolution of peak amplitude of  $n=-11$  GAE in NSTX-U shot 204707, based on reflectometer reconstructions [E. Fredrickson].

- Approximate peak amplitudes averaged over the time window. A correction factor (the ratio between peak and average amplitude) varied between 1.2 and 2.7.
- Experimental estimates of the peak mode amplitudes are  $\delta B/B_0 \approx 2.7 \cdot 10^{-3}$  for the  $n=-10$  and  $\delta B/B_0 \approx (1-2) \cdot 10^{-3}$  for the  $n=-11$  modes at  $t \sim 0.44$ s.
- Large uncertainty is in the reflectometer reconstructions which depend on accurate density profile gradient data.

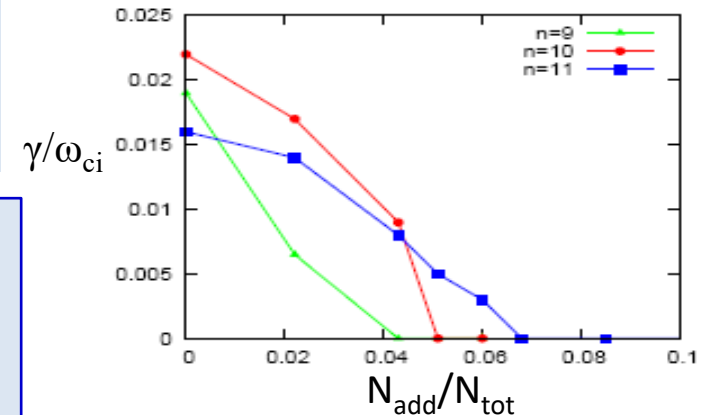
## HYM simulations reproduce experimental finding: off-axis neutral beam injection reliably and strongly suppresses unstable GAEs

- Off-axis beam injection has been modelled by adding beam ions with distribution  $F_{\text{add}} \sim \exp[-\lambda^2/\Delta\lambda(\epsilon)^2]$ , and varying density.
- For NSTX-U beam parameters, HYM shows complete linear stabilization of all unstable GAEs.
- In additional simulations, fraction of off-axis beam population of the total fast ion inventory has been varied from 4% to 17%.
- Unstable  $n=-11$  GAE is stabilized when the fraction of the off-axis beam ions is larger than 7%.
- Stabilization threshold is lower for lower  $|n|$  modes.

Fraction of outboard beam power vs total beam power in NSTX-U was ~24-30%, but the GAEs become suppressed at the point where the fast ion population has increased by 6% (based on the neutron rate increase by 6%) – excellent agreement with simulations.



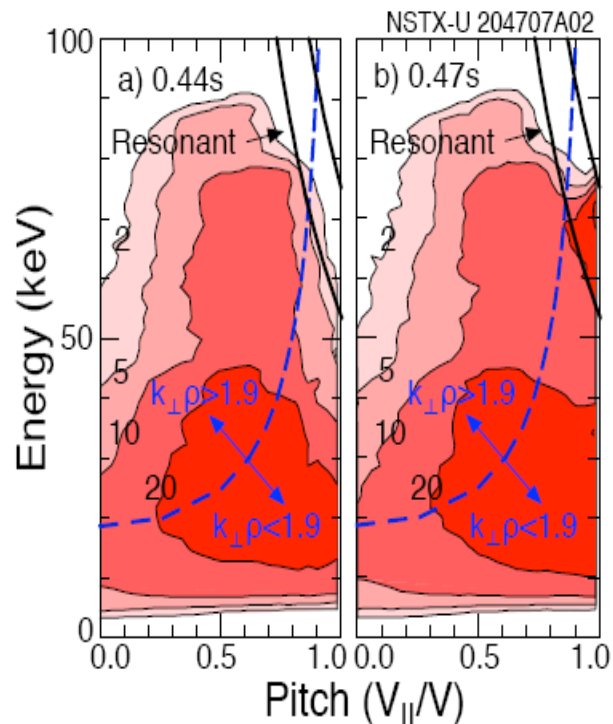
Time evolution of magnetic energy of  $n=-11$  GAE from HYM simulations for  $t=0.44$ s (red), and  $t=0.47$ s (blue).



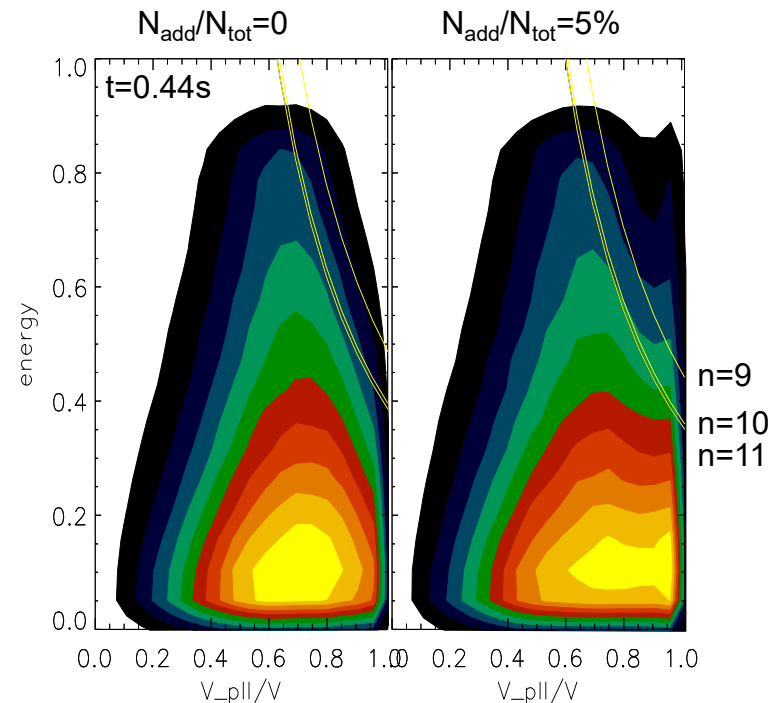
Growth rates of  $n=-11$  (blue),  $-10$  (red),  $-9$  (green) GAEs vs fraction of outboard beam ion population.

# GAE stabilization is consistent with analytic predictions

Analytical instability condition:  $2 < k_{\perp} \rho_b < 4$  [Gorelenkov,2003] – large pitch particles are stabilizing.



TRANSP fast-ion distribution before and after the outboard beam injection. Fast ions with pitch  $v_{||}/v \sim 1$  are responsible for GAE suppression [Fredrickson,2017].



Fast-ion distribution from HYM simulations before the outboard beam injection and for case with  $N_{\text{add}}/N_{\text{tot}}=5\%$  ( $n=9,10$  are stable). Cyclotron resonance lines are shown for  $n=9,10,11$  GAEs.



# Summary

- Simulations confirm robust stabilizing mechanism for beam-driven global Alfvén eigenmodes (GAEs) discovered experimentally in NSTX-U, where new beam sources injecting nearly parallel to magnetic field reliably and strongly suppressed unstable GAEs [E. Fredrickson, PRL 2017].
- GAEs have been linked to flattening of electron temperature profiles and anomalously low central temperature at high beam power in the NSTX.
- Good agreement of simulations with experimental observations:
  - range of toroidal mode numbers, frequencies, and saturation amplitudes of unstable GAEs match the experimentally observed.
- A very effective mechanism for stabilizing GAEs - threshold for stabilization of all modes for extra beam is less than 7% of total beam power – demonstrated both experimentally and numerically.
- Relevant to ITER, and other fusion devices where super-Alfvénic fast ions might be present.