Numerical study of instabilities driven by the energetic neutral beam ions in NSTX

E. V. Belova, N. N. Gorelenkov, C. Z. Cheng,

R. C. Davidson, E. D. Fredrickson (PPPL)

Outline

- Motivation, experimental observations of sub-cyclotron frequency modes in NSTX

- Numerical model, delta-f method

- Self-consistent equilibrium calculations including energetic ions

- Linear delta-f simulations; GAE and CAE modes

- Summary and future work

Motivation

- Sub-cyclotron frequency modes are observed in NSTX. Experimental observations and analytic calculations[1] suggest that these are exited by energetic neutral beam ions during NBI injection.

- Modes are predicted to be driven unstable through cyclotron resonance with beam ions.

- Strong anisotropy in the fast-ion pitch-angle distribution provides the energy source for CAE and GAE instabilities.

- These modes may be responsible for the enhanced energy transfer[2] from the fast ions to the thermal ions.

- Numerical simulations are needed to include: self-consistent anisotropic equilibrium, FLR effects, thermal ion and nonlinear effects.

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[1] N. N. Gorelenkov and C. Z. Cheng, Nucl. Fusion 35 1743 (1995); [2] D. Gates et al. PRL 2001

Nonlinear 3D HYM (Hybrid and MHD) global simulation code

- 2d order accurate in time, explicit scheme
- 4th order spatial derivatives (3D finite difference)
- Linearized or nonlinear
  - MHD
  - **MHD + fast ions**
  - Hybrid (fluid electrons, particle ions)
- For particles: delta-f scheme
- FRC or ST/tokamak equilibrium

Self-consistent MHD + fast ions coupling scheme

Background plasma - fluid:

$$\rho \frac{d\mathbf{V}}{dt} = -\nabla p + (\mathbf{j} - \mathbf{j}_i) \times \mathbf{B} - n_i(\mathbf{E} - \eta\mathbf{j})$$

$$\mathbf{E} = -\mathbf{V} \times \mathbf{B} + \eta\mathbf{j}$$

$$\mathbf{B} = \mathbf{B}_0 + \nabla \times \mathbf{A}$$

$$\partial\mathbf{A} / \partial t = -\mathbf{E}$$

$$\mathbf{j} = \nabla \times \mathbf{B}$$

$$\partial p^{1/\gamma} / \partial t = -\nabla \cdot (\mathbf{V} p^{1/\gamma})$$

$$\partial\rho / \partial t = -\nabla \cdot (\mathbf{V}\rho)$$

Fast ions – delta-F scheme:

$$\frac{d\mathbf{x}}{dt} = \mathbf{v}$$

$$\frac{d\mathbf{v}}{dt} = \mathbf{E} - \eta\mathbf{j} + \mathbf{v} \times \mathbf{B}$$

$$w = \delta F / F \qquad \text{- particle weight}$$

$$\frac{dw}{dt} = -(1-w)\frac{d(\ln F_0)}{dt}$$

$$F_0 = F_0(\varepsilon, \mu, p_\phi)$$

ρ, $\mathbf{V}$ and p are bulk plasma density, velocity and pressure, n_i and $\mathbf{j}_i$ are fast ion density and current, $n_i << n$ – is assumed.

Equilibrium with energetic ions

Grad-Shafranov equation for two-component plasma: MHD plasma (bulk) and fast ions

$$\frac{\partial^2 \psi}{\partial z^2} + R \frac{\partial}{\partial R}\left(\frac{1}{R}\frac{\partial \psi}{\partial R}\right) = -R^2 p' - HH' - GH' + RJ_{i\phi}$$

where $\mathbf{B} = \nabla\phi \times \nabla\psi + h\nabla\phi$

$h(R,z) = H(\psi) + G(R,z)$

$\mathbf{J}_{ip} = \nabla G \times \nabla\phi$

$\mathbf{J}_{ip}, J_{i\phi}$ - poloidal and toroidal fast ion current, $p(\psi)$ – bulk plasma pressure, G – poloidal stream function.

Equilibrium calculations

Equilibrium distribution function $F_0 = F_1(v)F_2(\lambda)F_3(p_\phi)$

$$
\left\{
\begin{array}{rcl}
F_1(v) & = & \dfrac{1}{v^3 + v_*^3}, \quad \text{for } v < v_0 \\[2mm]
F_2(\lambda) & = & \exp(-(\lambda - \lambda_0)^2/\Delta\lambda^2) \\[2mm]
F_3(p_\phi) & = & \dfrac{(p_\phi - p_0)^\beta}{(R_0 v - \psi_0 - p_0)^\beta}, \quad \text{for } p_\phi > p_0
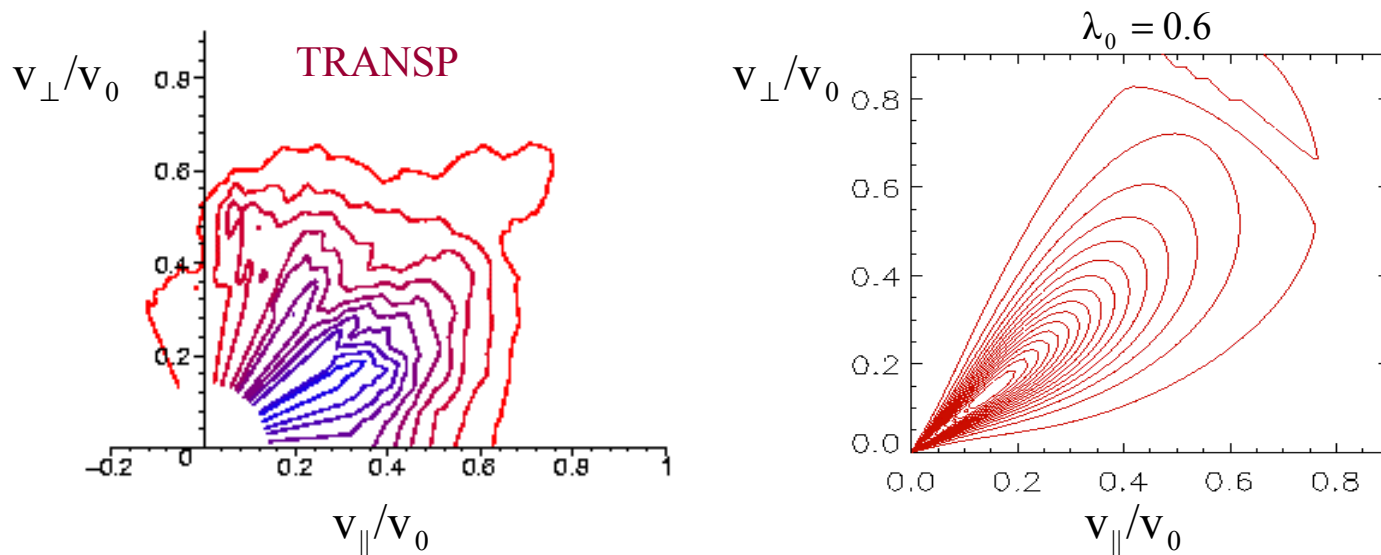\end{array}
\right.
$$

where $v_0 \approx 3v_A$, $\quad v_* = v_0/\sqrt{3}$, $\quad \lambda = \mu B_0/\varepsilon$ - pitch angle, $\lambda_0 = 0.8 - 1$, and $\mu = \mu_0 + \mu_1$ includes first-order corrections [Littlejohn'81]:

$$
\mu = \frac{(\mathbf{v}_\perp - \mathbf{v}_d)^2}{2B} - \frac{\mu_0 v_\parallel}{2B}[\hat{\mathbf{b}} \cdot \nabla \times \hat{\mathbf{b}} - 2(\hat{\mathbf{a}} \cdot \nabla \hat{\mathbf{b}}) \cdot \hat{\mathbf{c}}]
$$

$\mathbf{v}_d$ is magnetic gradient and curvature drift velocity, $\hat{\mathbf{c}} = \mathbf{v}_\perp/v_\perp$, $\hat{\mathbf{a}} = \hat{\mathbf{b}} \times \hat{\mathbf{c}}$
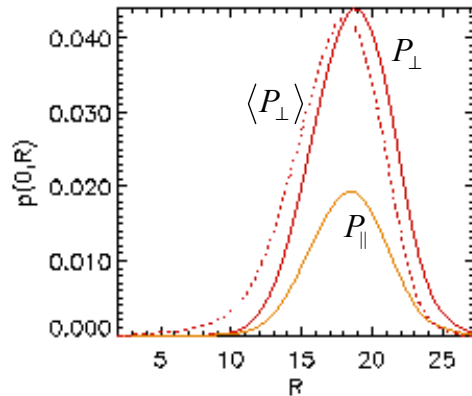
Beam ion distribution function: TRANSP vs analytical

Shown is the equilibrium distribution function at the LFS:
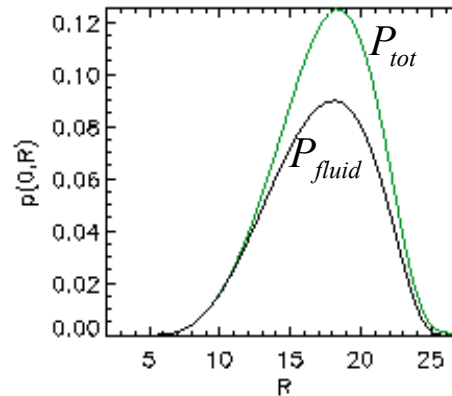- TRANSP - averaged over r/a=[0.5,0.6]
- analytical – local at r/a=0.55

At fixed $v_\parallel$ positive velocity gradient drives instability.

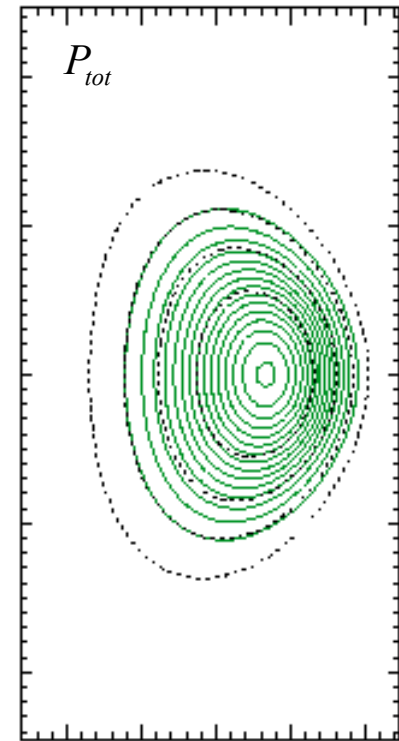# Equilibrium profiles of fluid and beam ion pressure

Beam ion density=3%, E=80keV;
Max thermal plasma beta=18%

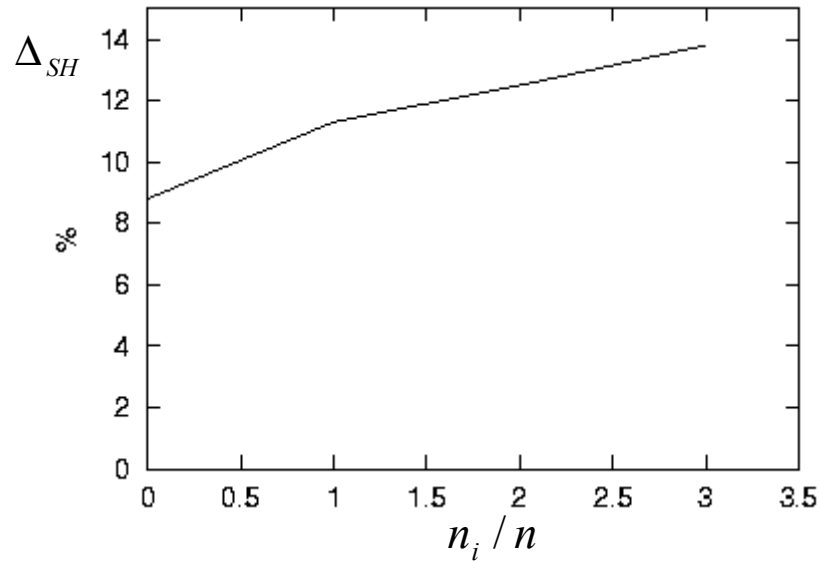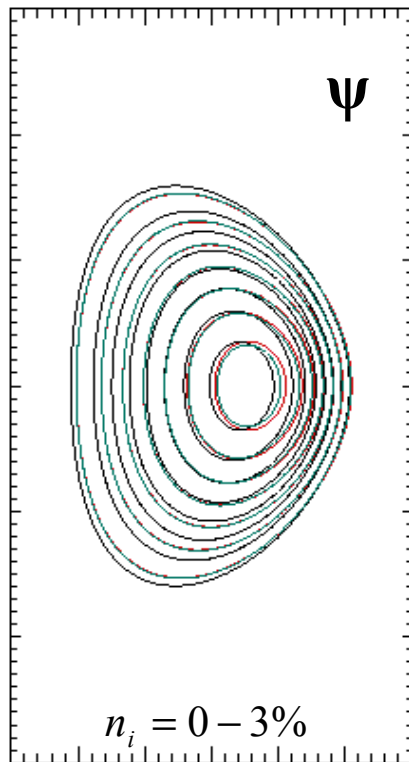Radial profiles of beam ion parallel and perpendicular pressure.

Radial profiles of total and fluid pressure.

Equilibrium with fast ions

Effect of beam ions on equilibrium profiles for $V_0 = 3.4 V_A$, and different beam ion density.

Ψ

$n_i = 0 - 3\%$

Δ_{SH}

%

n_i / n

G-S iterations are done keeping total toroidal current and maximum bulk pressure the same: I=const, max(P)=9%.
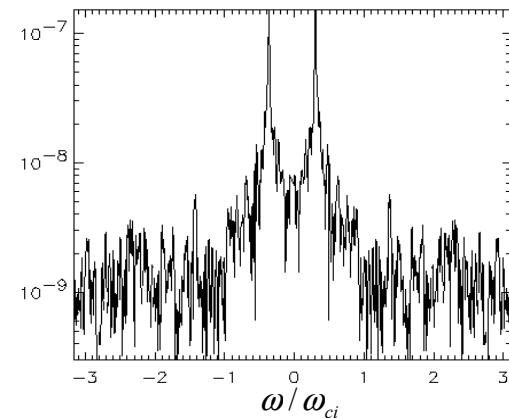
Linearized delta-f simulations

Simulations show instability for *n*=4
with $\gamma = 0.005\omega_{ci}$ and $\omega = 0.3\omega_{ci}$
for 3% beam ion density and E=80keV

$t\omega_{ci}$

Fluid velocity at equatorial plane, R=1.2R$_0$

$t\omega_{ci}$
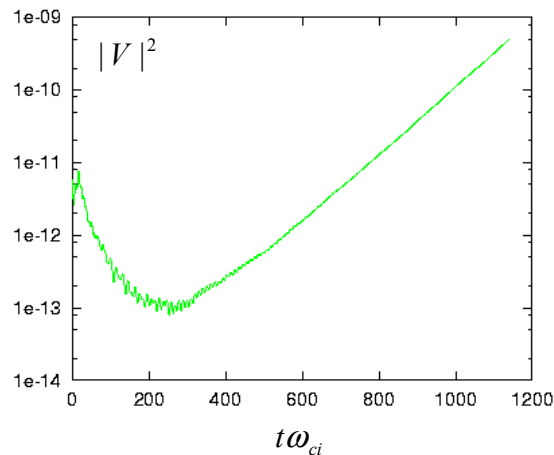
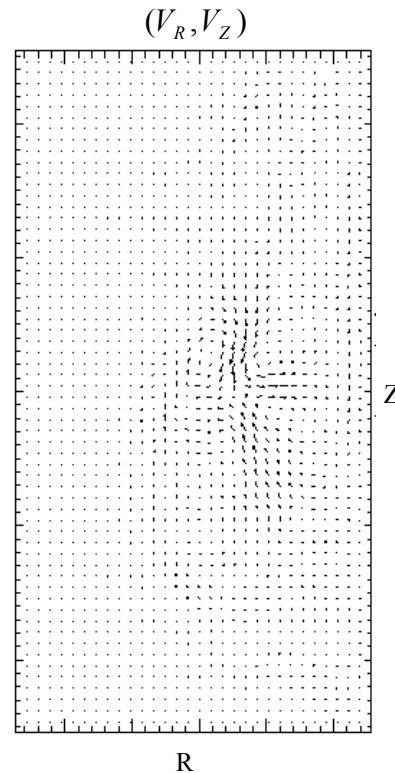Time evolution of bulk fluid kinetic energy for n=4.

ω/ω_{ci}
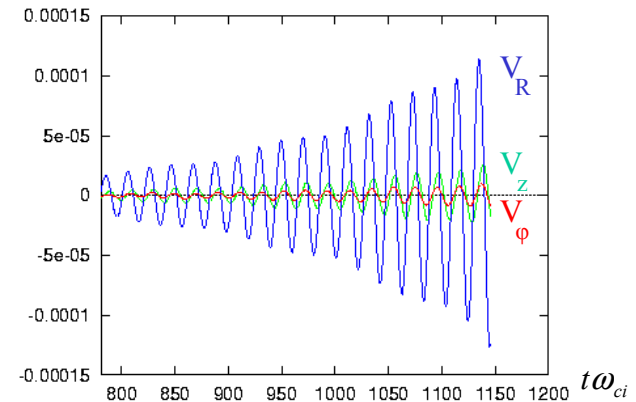
Frequency spectrum calculated from perturbed
beam ion density.

Linear mode structure (fluid velocity and pressure)

V_R

V (R) at z=   0.00000
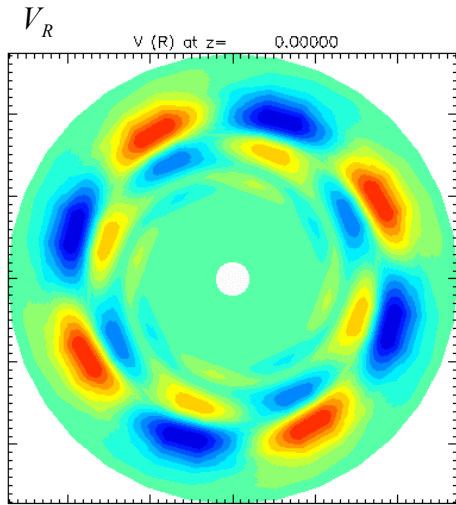
Contour plot of radial component
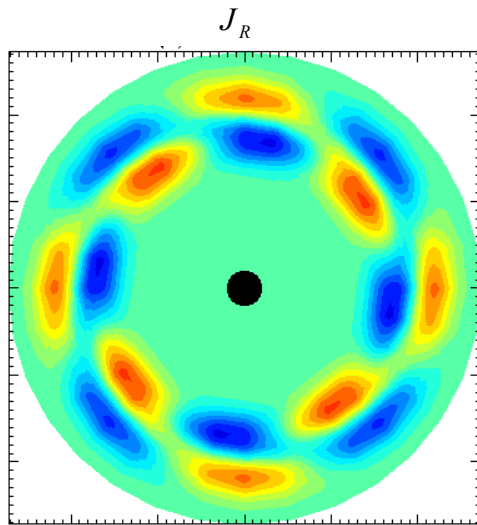of fluid velocity at equatorial plane.

(V_R, V_Z)

Z

R

Vector plot of poloidal fluid
velocity.

δP
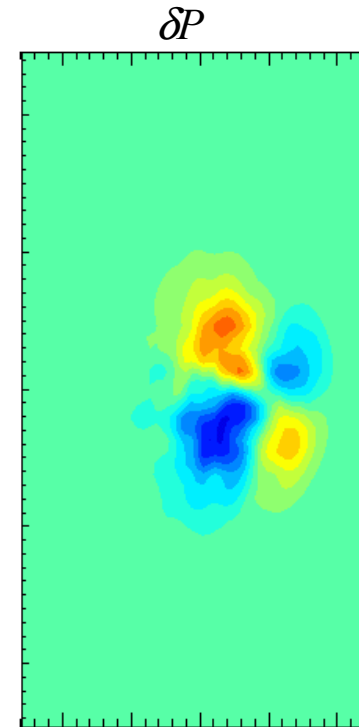
Contour plot of perturbed fluid
pressure in poloidal plane.

Mode has a character of Global Alfven Eigenmode (GAE) with n=4, m=2.
It has large $k_{\parallel}$, and significant compressional component: $\delta B_{\parallel} \approx \frac{1}{3} \delta B_{\perp}$
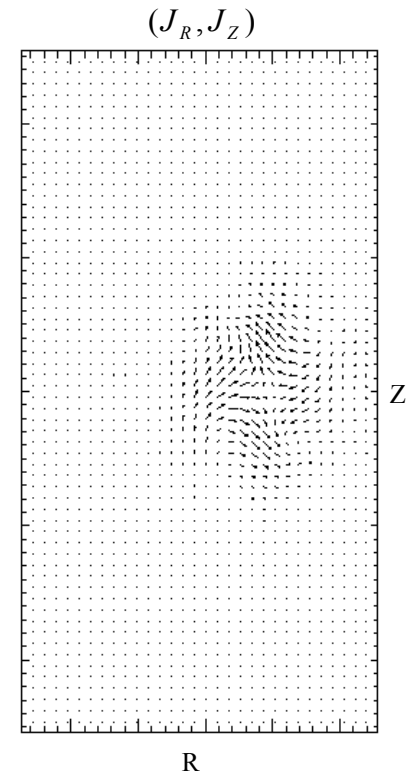
Linear mode structure (beam ion current)

J_R

(J_R, J_ϕ)

(J_R, J_Z)

Contour plot of radial component of perturbed beam ion current at equatorial plane.
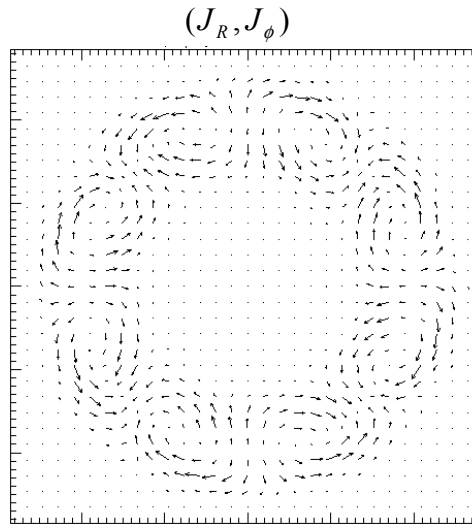
Vector plot of beam ion current at equatorial plane.

Z

R

Vector plot of poloidal beam ion current.

# At low *n*, <u>most unstable modes</u> have a character of GAE modes

- Modes with 2<*n*<7 are unstable.
- For each *n*, several *m* are unstable with large $k_\parallel$ and *nm*<0.
- Localized near magnetic axis.
- Large $\delta B_\perp$ component.

- observed features agree with that of GAE mode, which exists just below the lower edge of the Alfven continuum [Appert et al.,1982].
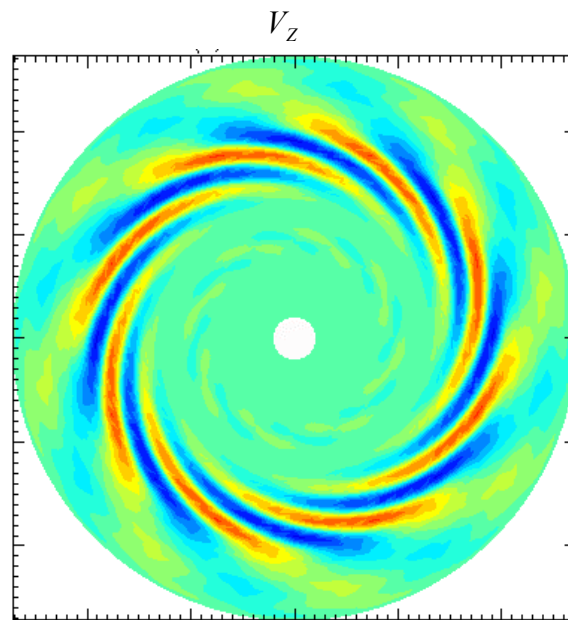
Resonant beam ions satisfy condition:

$$\omega - k_\parallel V_\parallel - \omega_{ci} = 0$$

Simulations with *n*=4

$\dfrac{\omega}{\omega_{ci}}$

CAE?

m=3
m=2

$\omega_{ci} t$

Preliminary nonlinear results show saturation of instability at amplitudes: $\delta B \approx 10^{-4} - 10^{-3} B_0$
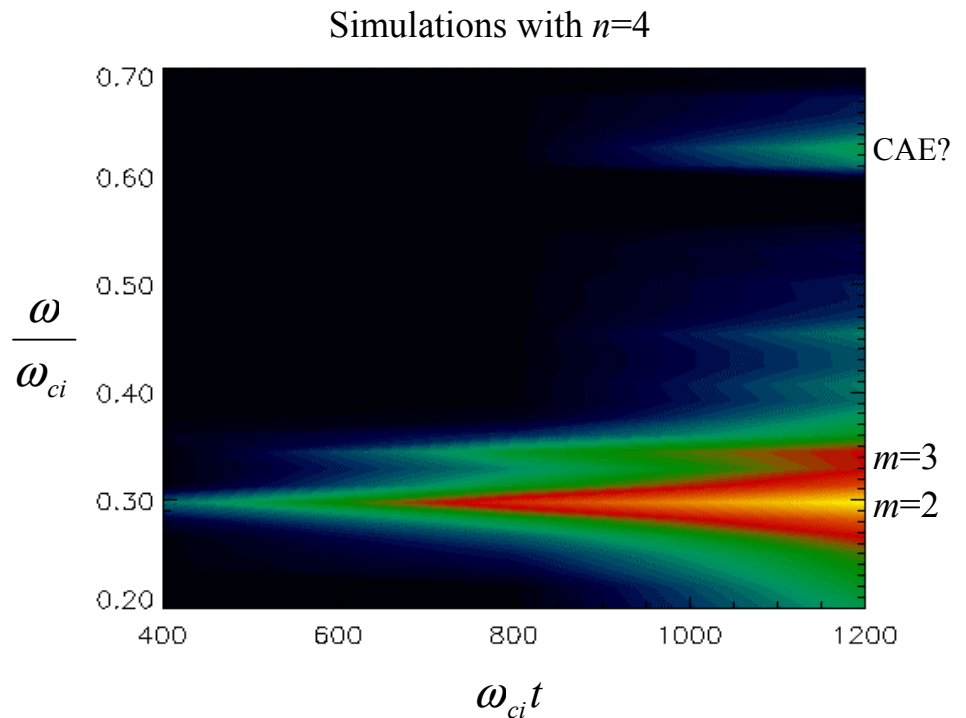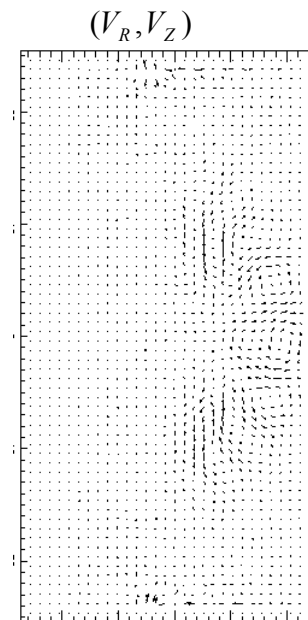
Simulations for *n>7* show localized modes with large <u>compressional</u> component (CAE?)
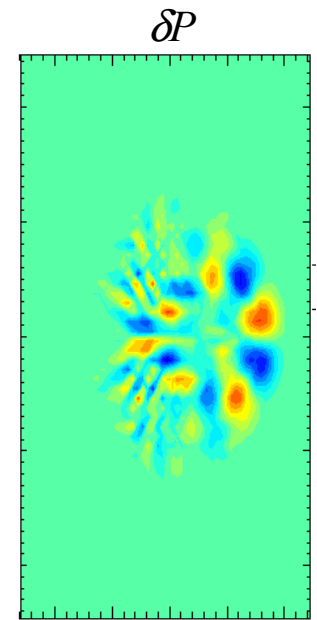
Hybrid simulation with *n*=8 show
weakly unstable mode with *m*=8-10,
$\omega = 0.4\omega_{ci}$, $\gamma \approx 0.001\omega_{ci}$, and $\delta B_\parallel > \delta B_\perp$

V_Z (V_R, V_Z) δP

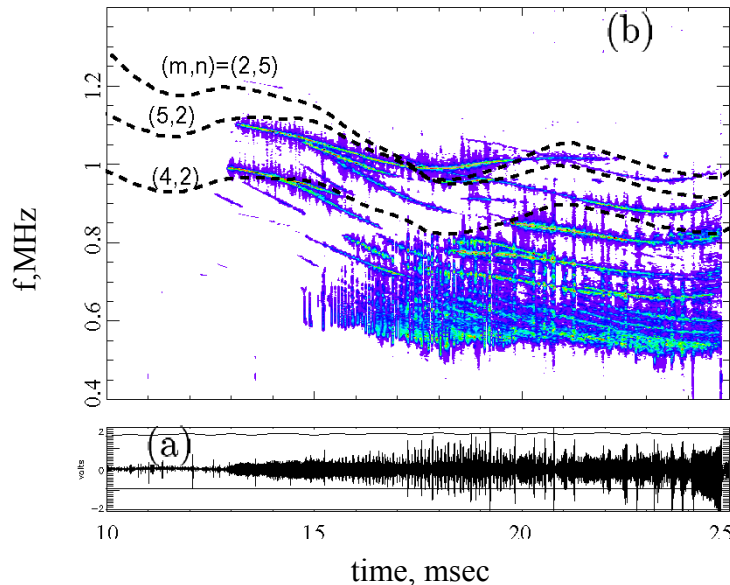Contour plot of z-component of fluid velocity at equatorial plane

Vector plot of poloidal fluid velocity.

Contour plot of perturbed pressure.

Numerical simulations agree with observations and theory

Time evolution of the Mirnov signal (a), and its frequency spectrum (b) in NSTX shot #108236 [N. Gorelenkov, IAEA-2002].

- New observations of sub-cyclotron frequency modes during NBI injection show the spectrum line intersections, corresponding to GAE dispersion (with q-profile relaxation).

-Both CAE and GAE modes are observed.

-Theory predicts stronger instability for GAE with $\gamma/\omega \sim 1\%$ for $n_b \sim 1\%$ - in agreement with HYM simulations.

Summary

- Nonlinear 3D hybrid and MHD (FRC simulation) code has been modified for ST geometry – HYM code.

- Grad-Shafranov solver for general case of the two component plasma: MHD plasma with $p=p(\psi)$, plus energetic ions with anisotropic distribution.

- Simulations show that for large injection velocities, and strong anisotropy in the pitch-angle distributiom, many Alfven modes can be excited:

  - GAE modes for $2<n<7$ with $\gamma \approx 0.002 - 0.01\omega_{ci}$ and $\omega_r \approx 0.3 - 0.4\omega_{ci}$

  - for larger n, localized modes with smaller growth rate and large compressional component (CAE?)

  Plans: simulations of GAE and CAE instabilities with:
  - various fast particle distributions
  - model electron Landau damping effects
  - nonlinear effects and thermal ion effects