

RELATIVISTIC EFFECTS IN ELECTRON BERNSTEIN WAVE HEATING AND CURRENT DRIVE

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BRIEF SYNOPSIS

- Theoretical calculations using non-relativistic dielectric tensor show that mode converted (from X or O modes) electron Bernstein waves could be used for heating electrons and for current generation in high- β plasmas in spherical tori like NSTX.
- Experimental observations in NSTX and CDX-U have validated the mode conversion process.
- Recent developments:
 - a code R2D2 has been developed for studying wave propagation and evaluating the quasilinear diffusion coefficient using a fully relativistic dielectric tensor;
 - a drift kinetic Fokker-Planck code DKE with quasilinear wave diffusion has been developed for studying current drive.
- Topics discussed in this poster:
 - changes in the characteristics of electron Bernstein waves due to relativistic effects;
 - current drive by electron Bernstein waves.

RELATIVISTIC DIELECTRIC TENSOR

- From the linearized Vlasov equation, the perturbed distribution function is

$$f_1 = -\frac{1}{\Omega} \exp \left\{ \frac{-i}{\Omega} \left(\lambda \phi - \frac{p_{\perp} k_{\perp}}{m\gamma} \sin(\phi - \psi) \right) \right\} \times \\ \int_{-\infty}^{\phi} d\phi' \exp \left\{ \frac{i}{\Omega} \left(\lambda \phi' - \frac{p_{\perp} k_{\perp}}{m\gamma} \sin(\phi' - \psi) \right) \right\} \hat{P}' f_0(p_{\perp}, p_{\parallel})$$

$$\frac{\hat{P}}{-q} = \frac{1}{\sqrt{2}} (E_l e^{-i\phi} + E_r e^{i\phi}) \hat{G} + E_{\parallel} \left(\frac{k_{\perp}}{\omega} \cos(\psi - \phi) \hat{H} + \frac{\partial}{\partial p_{\parallel}} \right)$$

$$\hat{G} = \frac{\partial}{\partial p_{\perp}} - \frac{k_{\parallel}}{\omega} \hat{H}, \quad \hat{H} = \frac{p_{\parallel}}{m\gamma} \frac{\partial}{\partial p_{\perp}} - \frac{p_{\perp}}{m\gamma} \frac{\partial}{\partial p_{\parallel}}$$

$$\lambda = \omega - \frac{k_{\parallel} p_{\parallel}}{m\gamma}, \quad \gamma = \left(1 + \frac{p_{\perp}^2}{m^2 c^2} + \frac{p_{\parallel}^2}{m^2 c^2} \right)^{1/2}, \quad \Omega = \frac{qB_0}{m\gamma},$$

$$p_x = p_{\perp} \cos(\phi), \quad k_x = k_{\perp} \cos(\psi), \quad \sqrt{2} E_{l,r} = E_x \pm i E_y$$

- The plasma conductivity tensor is obtained from the current density

$$\vec{j} = q \int d^3 p \frac{\vec{p}}{m\gamma} f_1 = \vec{\sigma} \cdot \vec{E}$$

RELATIVISTIC DIELECTRIC TENSOR

FIRST APPROACH

For a relativistic Maxwellian distribution function

$$\overline{\overline{\sigma}} = \frac{1}{4\pi} \frac{\omega_p^2}{\omega_c} \frac{c^4}{v_t^4} \frac{1}{K_2\left(\frac{c^2}{v_t^2}\right)} \int_0^\infty d\xi \left\{ \frac{K_2(R^{1/2})}{R} \overline{\overline{T}}_1 - \frac{K_3(R^{1/2})}{R^{3/2}} \overline{\overline{T}}_2 \right\}$$

$$\text{where } R = \left(\frac{c^2}{v_t^2} - i\xi \frac{\omega}{\omega_c} \right)^2 + 2 \left(\frac{k_\perp c}{\omega_c} \right)^2 (1 - \cos \xi) + \frac{k_\parallel^2 c^2 \xi^2}{\omega_c^2}$$

$$\overline{\overline{T}}_1 = \begin{pmatrix} \cos \xi & -\sin \xi & 0 \\ \sin \xi & \cos \xi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\overline{\overline{T}}_2 = \frac{c^2}{\omega_c^2} \begin{pmatrix} k_\perp^2 \sin^2 \xi & -k_\perp^2 \sin \xi (1 - \cos \xi) & k_\perp k_\parallel \xi \sin \xi \\ k_\perp^2 \sin \xi (1 - \cos \xi) & -k_\perp^2 (1 - \cos \xi)^2 & k_\perp k_\parallel \xi (1 - \cos \xi) \\ k_\perp k_\parallel \xi \sin \xi & -k_\perp k_\parallel \xi (1 - \cos \xi) & k_\parallel^2 \xi^2 \end{pmatrix}$$

SECOND APPROACH

For any equilibrium distribution function $f_0(p_\perp, p_\parallel)$:

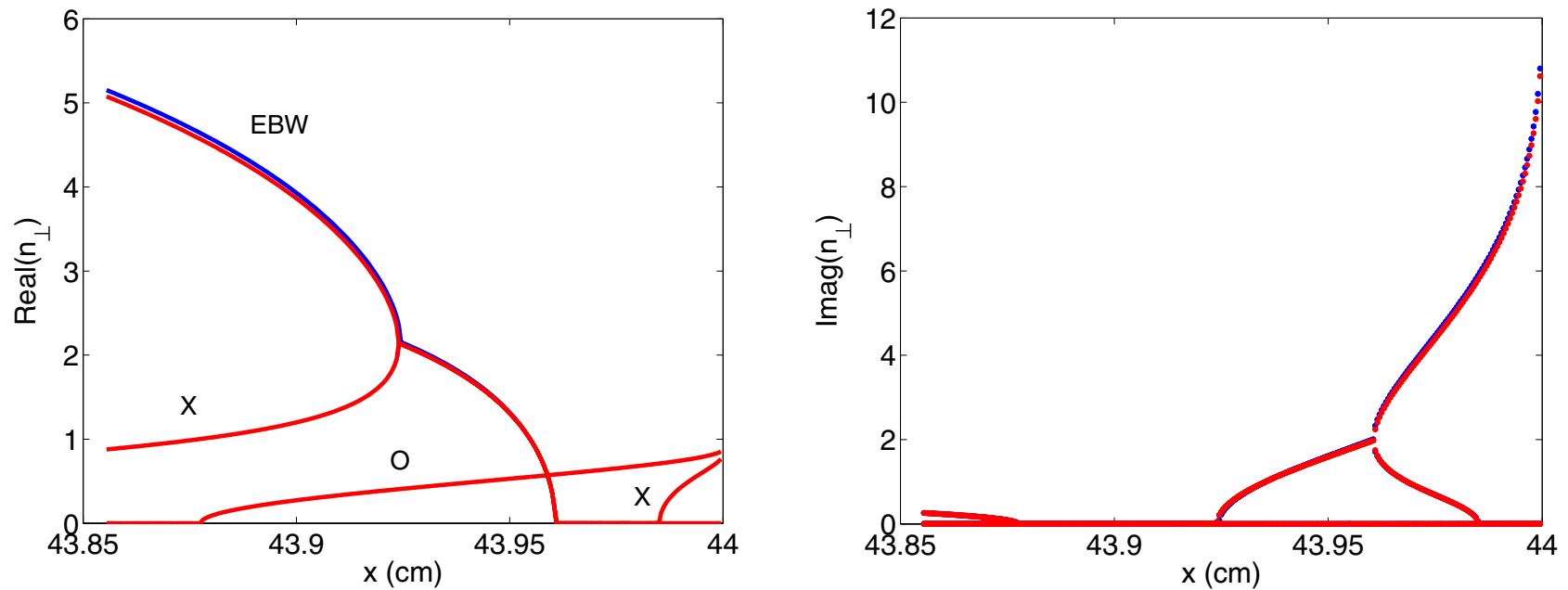
$$\overline{\overline{\sigma}} = -\frac{i}{2} \frac{\omega_p^2}{\omega_c} \left\langle \sum_{n=-\infty}^{\infty} \frac{1}{n - \overline{\omega}} \left(\frac{1}{\kappa T} \frac{p_\perp}{m\gamma} \right) \overline{\overline{\sigma}}_N f_0(p_\perp, p_\parallel) \right\rangle$$

$$\text{where } \overline{\overline{\sigma}}_N = \begin{pmatrix} \frac{n^2}{\zeta^2} p_\perp J_n^2 & -i \frac{n}{\zeta} p_\perp J_n J'_n & \frac{n}{\zeta} p_\parallel J_n^2 \\ i \frac{n}{\zeta} p_\perp J_n J'_n & p_\perp J_n'^2 & i p_\parallel J_n J'_n \\ \frac{n}{\zeta} p_\parallel J_n^2 & -i p_\parallel J_n J'_n & \frac{p_\parallel^2}{p_\perp} J_n^2 \end{pmatrix}$$

$$\zeta = \frac{k_\perp p_\perp}{m\omega_c}, \quad \overline{\omega} = \frac{1}{\omega_c} \left(\omega\gamma - k_\parallel \frac{p_\parallel}{m} \right),$$

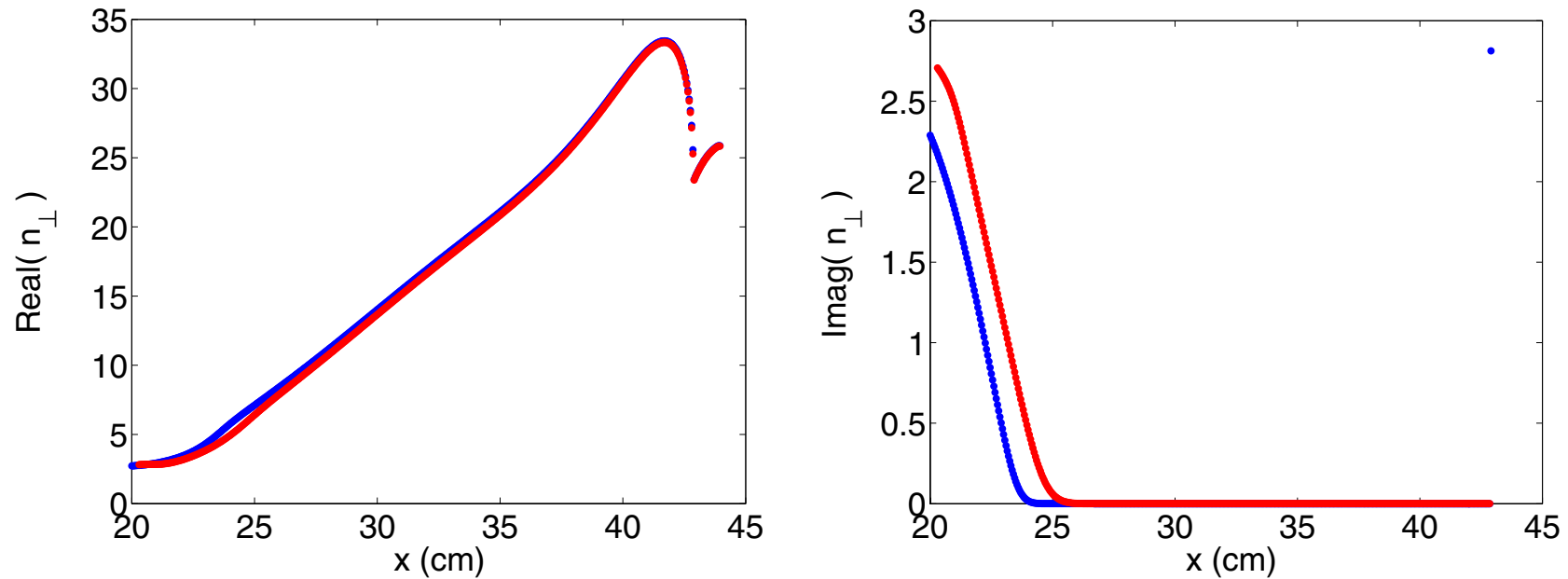
$$\omega_c = \frac{eB_0}{m}, \quad \langle \dots \rangle = \int_0^\infty dp_\perp p_\perp \int_{-\infty}^\infty dp_\parallel$$

COMPARISON BETWEEN RELATIVISTIC AND NON-RELATIVISTIC MODES IN THE MODE CONVERSION REGION



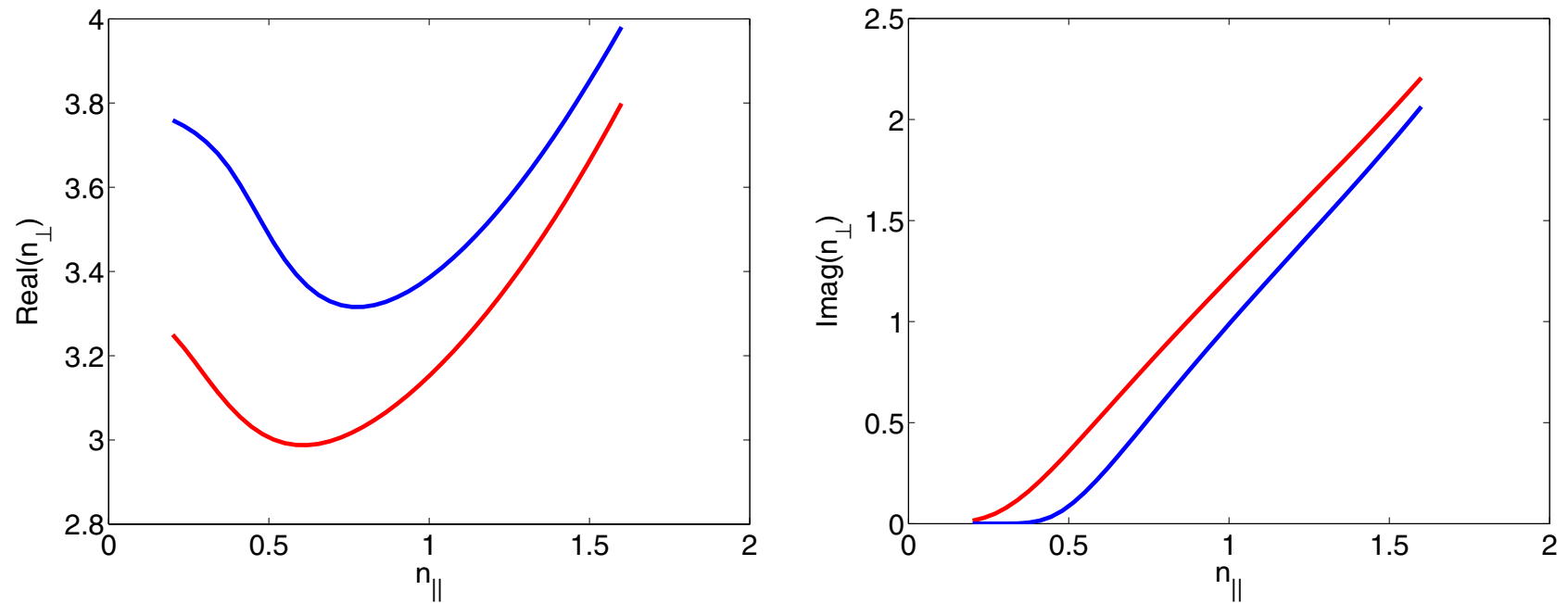
NSTX parameters, $a = 44$ cm., $f = 15$ GHz, $n_{\parallel} = 0.1$.

COMPARISON BETWEEN RELATIVISTIC AND NON-RELATIVISTIC EBWs AWAY FROM THE MODE CONVERSION REGION



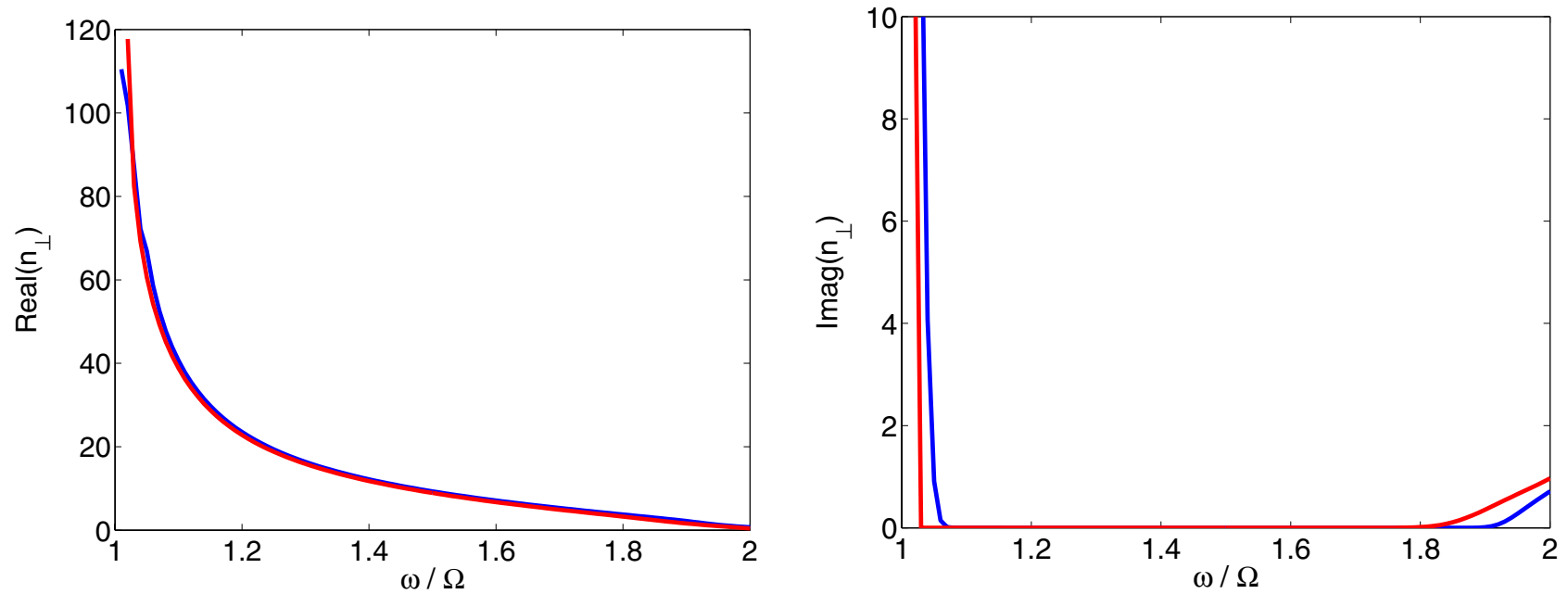
NSTX parameters, $a = 44$ cm., $f = 28$ GHz, $n_{\parallel} = 0.2$.

COMPARISON BETWEEN RELATIVISTIC AND NON-RELATIVISTIC EBWs



$$\omega_p/\omega_c = 6, \quad \omega/\omega_c = 1.8, \quad T_e = 3 \text{ keV}$$

COMPARISON BETWEEN RELATIVISTIC AND NON-RELATIVISTIC EBWs



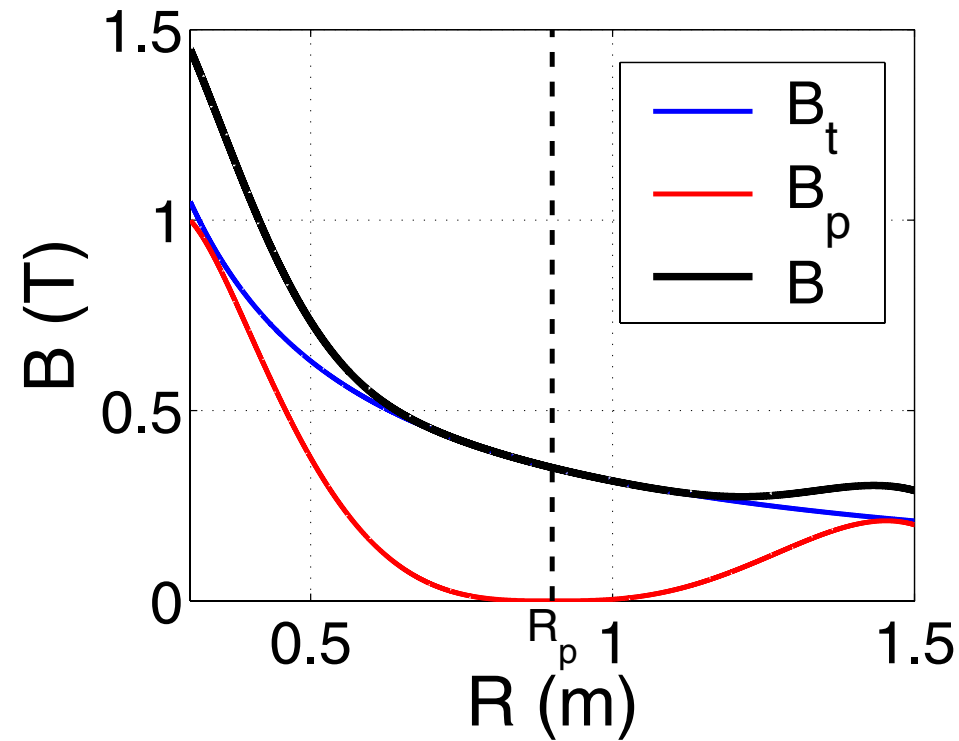
$$\omega_p / \omega_c = 6, \quad n_{\parallel} = 0.2, \quad T_e = 3 \text{ keV}$$

FOKKER-PLANCK DESCRIPTION OF THE ELECTRON DISTRIBUTION FUNCTION

[See poster PP1.084 (Thursday afternoon) by J. Decker]

- DKE is used to solve $\{C(f)\} + \{Q(f)\} = 0$ for the electron distribution function
 - $C(f)$ is the collision operator,
 - $Q(f)$ is the quasilinear RF diffusion operator.
- There are two viable schemes for current drive by electron Bernstein waves:
 - **OHKAWA**: asymmetric EBW induced banana trapping of electrons $\Rightarrow$ symmetric collisional de-trapping.
 - **FISCH-BOOZER**: asymmetric EBW induced resistivity.

NSTX-TYPE PARAMETERS AND PROFILES



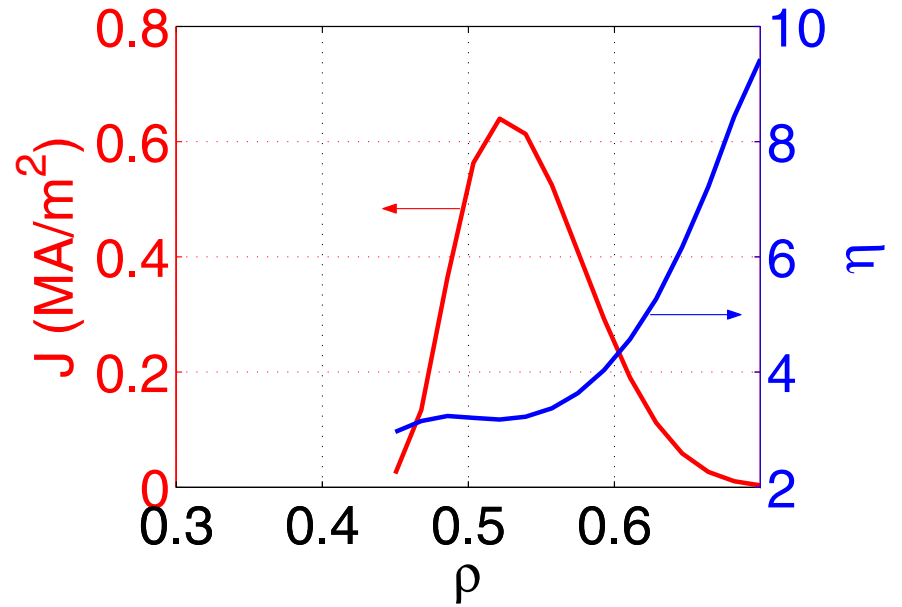
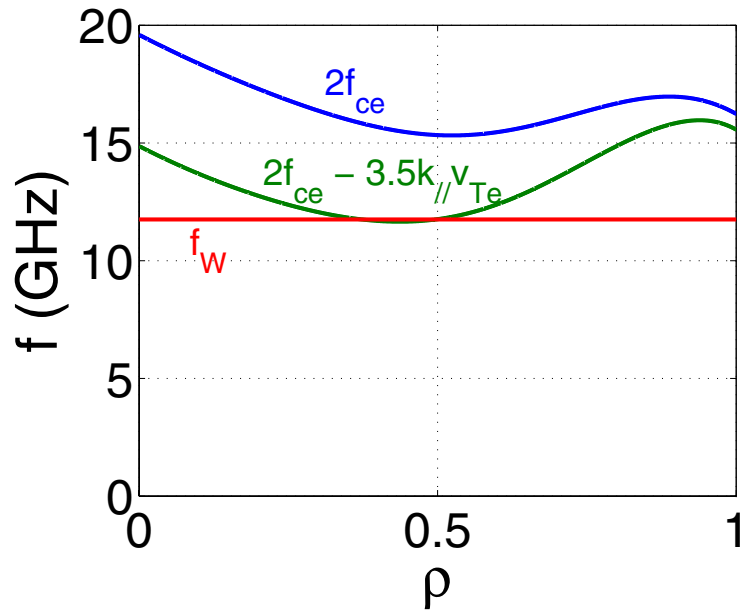
$$R = 0.9 \text{ m}, \quad a = 0.6 \text{ m}, \quad n_0 = 3 \times 10^{19} \text{ m}^{-3}, \quad T_0 = 3 \text{ keV}$$

$$n = n_E + (n_0 - n_E) \left(1 - r^2/a^2\right)^{1/2}$$

$$T = T_E + (T_0 - T_E) \left(1 - r^2/a^2\right)^2$$

$$n_E/n_0 = 0.02, \quad T_E/T_0 = 0.02$$

OHKAWA CURRENT DRIVE



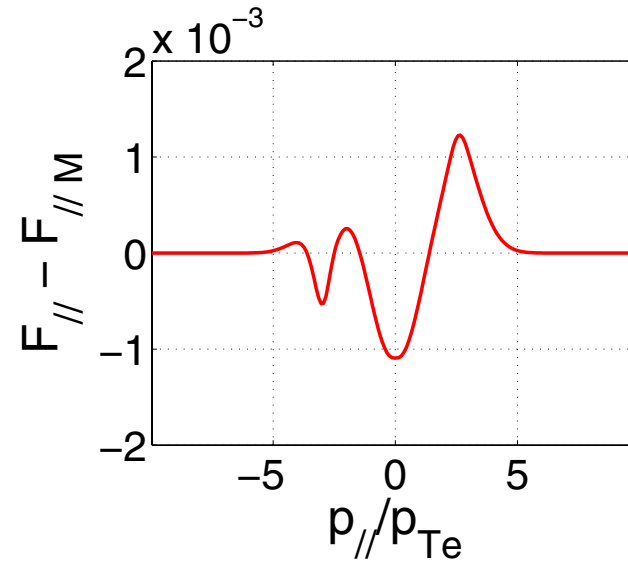
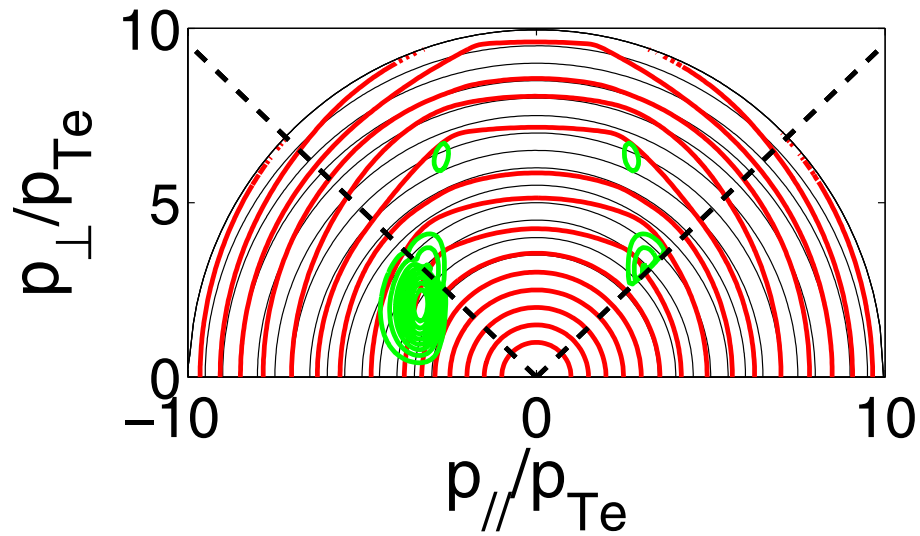
$$f = 11.8 \text{ GHz}, n_{\parallel} = 1.5$$

$$J_{peak} \approx 0.64 \text{ MA m}^{-2}, P_{peak} \approx 1.4 \text{ MW m}^{-3}, \eta'_{peak} \approx 0.47 \text{ A.m/W}$$

$$\eta = \frac{J/en_e v_{te}}{P/\nu_e n_e m_e v_{te}^2} \approx 3.2$$

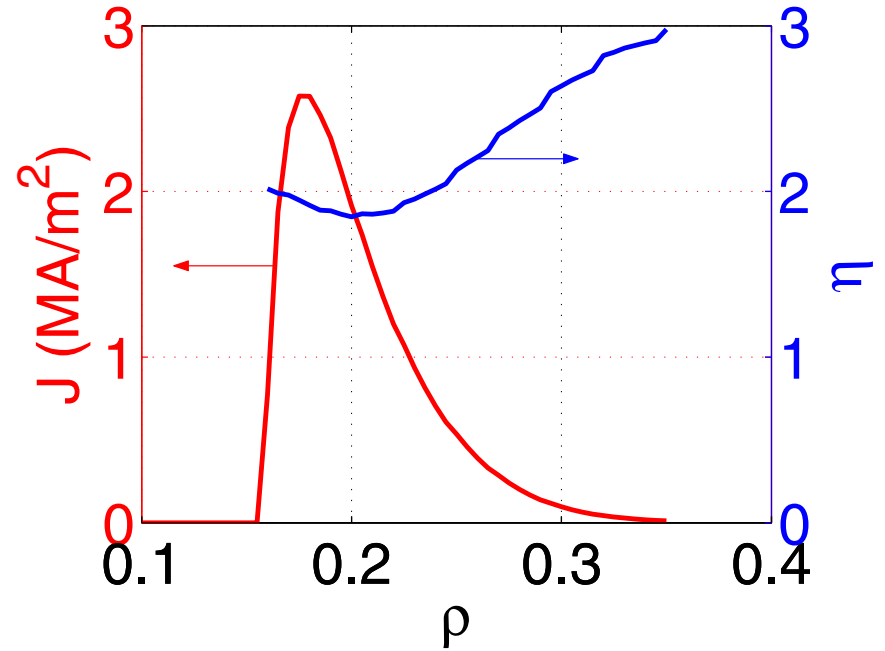
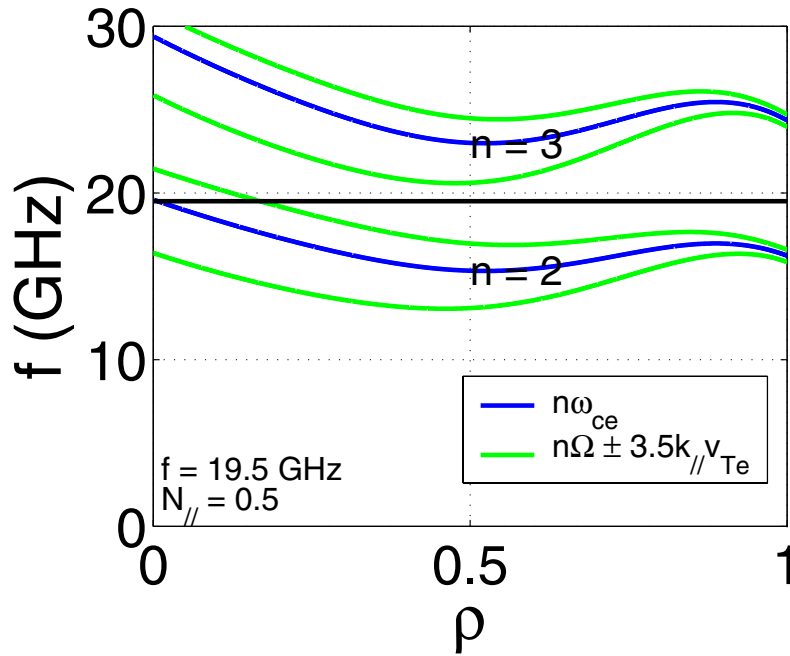
OHKAWA CURRENT DRIVE

DISTRIBUTION AT PEAK CURRENT



$$f = 11.8 \text{ GHz}, n_{\parallel} = 1.5$$

FISCH-BOOZER CURRENT DRIVE



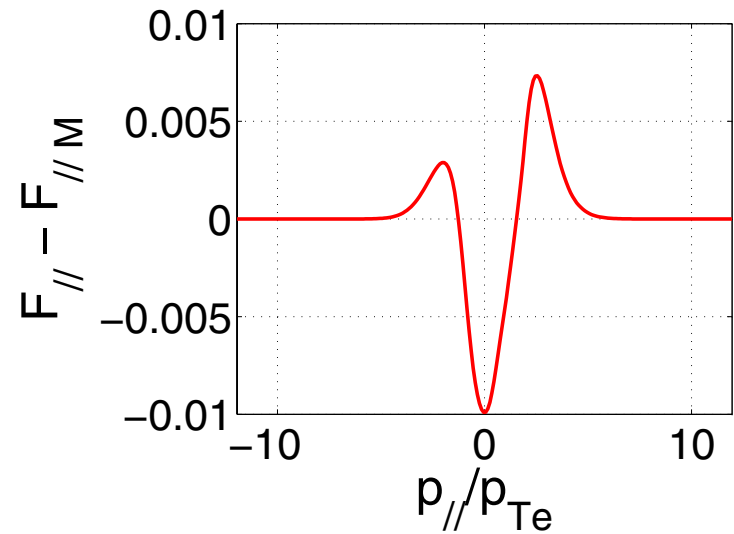
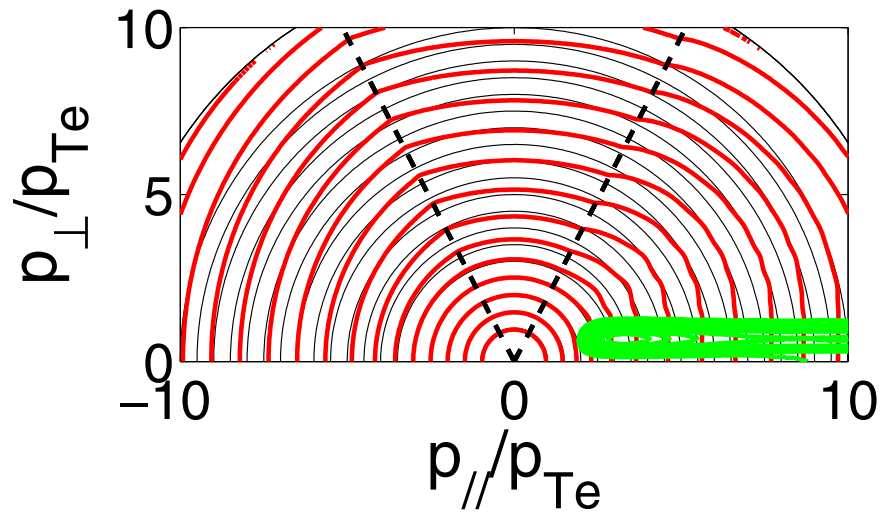
$$f = 19.5 \text{ GHz}, n_{\parallel} = 0.5$$

$$J_{peak} \approx 2.6 \text{ MA m}^{-2}, P_{peak} \approx 6.1 \text{ MW m}^{-3}, \eta'_{peak} \approx 0.42 \text{ A.m/W}$$

$$\eta = \frac{J/en_e v_{te}}{P/\nu_e n_e m_e v_{te}^2} \approx 1.9$$

FISCH-BOOZER CURRENT DRIVE

DISTRIBUTION AT PEAK CURRENT



$$f = 19.5 \text{ GHz}, n_{\parallel} = 0.5$$

CONCLUSIONS

- Numerical results show that relativistic effects lead to changes in the dispersion properties of the electron Bernstein wave when compared to the non-relativistically derived properties
 - the difference in the real part of the wave vector can modify the propagation characteristics of the EBWs;
 - the difference in the imaginary part of the wave vector can modify the region where the EBWs damp on electrons and drive plasma currents.
- Electron Bernstein waves offer a wide and rich range of phase space conditions for current drive in spherical tori plasmas. It may be possible to
 - spatially control the localized current drive;
 - interact with a specific part of the electron distribution function;
 - drive Ohkawa current efficiently away from the core of the plasma (current profile control);
 - drive Fisch-Boozer current efficiently in the core of the plasma.

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